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## Advances in the lead time of Sahel rainfall prediction with the North American Multi-Model Ensemble

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#### **Key Points:**

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14	•	The North American Multi-Model Ensemble predicts July-September Sahel-wide
15		precipitation as skillfully in February/March as in June.
16	•	Skill comes from the ability to predict tropical Pacific and North Atlantic surface
17		temperatures, attributable to 2 models in particular.
18	•	Skill in predicting the spatial average is significantly higher than the spatial av-
19		erage of local/gridpoint skill.

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#### 20 Abstract

We assess the deterministic skill in seasonal climate predictions of Sahel rainfall 21 made with the North American Multi-Model Ensemble (NMME). We find that skill for 22 a regionally averaged rainfall index is essentially the same for forecasts for the July-September 23 target season made as early as February/March and as late as June. The two dominant 24 influences on the climate of the Sahel, the North Atlantic and the global tropical oceans, 25 shape this predictability. Multi-model ensemble skill hinges on the combination of skill-26 ful predictions of the El Niño-Southern Oscillation made with one model (CMC2-CanCM4) 27 with those of North Atlantic sea surface temperatures made with another (NASA-GEOSS2S). 28

#### <sup>29</sup> Plain Language Summary

The seasonal climate outlook forum for the Sudano-Sahelian region of West Africa 30 convenes in mid/late-April at the earliest, because the statistical models currently in use 31 to make predictions for the July-September rainy season have little skill before then. Here 32 we show that the North American Multi-Model Ensemble (NMME), a seasonal climate 33 prediction system based on dynamical models, predicts Sahel-wide July-September rain-34 fall anomalies in February/March with essentially the same skill as in June. An earlier, 35 by 2-3 months, outlook is consequential to decisions that can exploit it for better pre-36 paredness, such as purchasing, stocking and distributing adapted seed varieties, or trig-37 gering humanitarian intervention to prevent regional food insecurity. 38

The NMME prediction system owes its skill to the correct characterization of oceanic influence on Sahel rainfall, which is achieved by combining output from two models particularly skillful at predicting North Atlantic and tropical Pacific sea surface temperature anomalies respectively. Recognition that the oceanic source of predictability is the same for the entire region means that whether the forecast for the regional average holds in a given year, at a specific location, largely depends on the strength of oceanic influence in that year, rather than on any local condition or consideration.

Keywords: Sahel, precipitation, seasonal climate prediction, PRESA-SS, El Niño-Southern
 Oscillation, climate services.

#### 48 1 Introduction

Rainfall in the Sahel, the semi-arid southern edge of the Sahara, is characterized 49 by high spatio-temporal variability. Variability in time is evident in Figure 1, an update 50 of Ali and Lebel's (2009) analysis, in the multi-decadal swings between the anomalously 51 wet 1950s and 1960s and the anomalously dry 1970s and 1980s. Interannual variability 52 is particularly marked in the current epoch, which has been labelled a *partial recovery* 53 (Nicholson 2005; AGRHYMET 2010). Variability in space is an intrinsic property of con-54 vective precipitation (Le Barbé and Lebel 1997; Rio et al. 2019). Despite this apparent 55 complexity, seasonal and sub-continental anomalies are coherent. The strength of ob-56 served regionally averaged precipitation anomalies is proportional to the area character-57 ized by anomalies of consistent sign, that is to say, that the larger the anomaly in the 58 regional average, the more extensive the area of anomaly of the same sign (Ali and Lebel 59 2009). A leading Empirical Orthogonal Function of sub-Saharan African rainfall vari-60 ability defines the Sahel as the poleward edge of the northern hemisphere summer mon-61 soon (Giannini et al. 2005). In models, this pattern is present in atmospheric simula-62 tions run over climatological sea surface temperature (SST), and amplified in the pres-63 ence of observed SST variability. 64

For societies where a large fraction of the population finds employment in the agri-65 cultural sector, skillful seasonal prediction is a valuable tool to manage crop risk related 66 to climate variation (Tall et al. 2018; Ouedraogo et al. 2018). Indeed, the West African 67 climate outlook forum has met annually since 1998 to produce consensus forecasts (Ogallo 68 et al. 2000; Traoré et al. 2014). Initially a single forum, referred to as PRESAO from 69 the French acronym for Prévisions Saisonnières en Afrique de l'Ouest (Seasonal Predic-70 tions in West Africa), the process has recently split into two, PRESA-GG and PRESA-71 SS, involving the Gulf of Guinea and Sudano-Sahelian countries, respectively, in recog-72 nition of differences in seasonality. The original prediction methodology was statistical, 73 and exploited multi-linear regression to relate the predict and, that is, precipitation at 74 broad subnational scales, with predictors chosen among a small set of SST indices (e.g., 75 Folland et al. 1991; Ward et al. 1993; Baddour 1998). In current practice, during the 76 *pre-forum* experts from the National Meteorological Services present their predictions, 77 still largely statistical in nature. Discussions combining these quantitative assessments 78 with the qualitative assessment of predictions made by research and operational centers 79 worldwide are distilled into a consensus forecast, which is communicated to stakehold-80 ers in the *forum*. 81

Globally, seasonal prediction has evolved from a 2-tier to a 1-tier approach. In the 82 2-tier approach of the mid-1990s, SSTs were predicted first, usually with a combination 83 of statistical and dynamical models, and used as boundary conditions for atmospheric 84 models (e.g., Barnston et al. 2003). In the current 1-tier approach, a coupled ocean-atmosphere 85 model is used to simultaneously predict SST and atmospheric variables of interest, typ-86 ically temperature and precipitation. Operational centers, labeled Global Producing Cen-87 tres of Long-range Forecasts by the World Meteorological Organization, make predic-88 tions with dynamical models. In fact, current prediction systems combine repeated simulations-89 termed *ensembles*, made up of *members* started from slightly different initial conditions 90 with different coupled models into a Multi-Model Ensemble (MME). These efforts started 91 92 with the "Development of a European Multimodel Ensemble system for seasonal to in-TERannual prediction" (DEMETER), a European project (Palmer et al. 2004). Efforts 93 to increase access to the output from dynamical forecasts are more recent. The North 94 American Multi-Model Ensemble (NMME; Kirtman et al. 2014), the prediction system 95 exploited here, is one such system. It started sharing real-time forecasts in 2011. These 96 are updated monthly and are openly accessible through the IRI Data Library (see Sup-97 porting Information for a brief tutorial). 98

<sup>99</sup> To facilitate the production of national forecasts at PRESAO, IRI developed the <sup>100</sup> *Climate Predictability Tool* (CPT; Mason and Tippett 2017). Using dynamical model

output as the predictor field, Ndiaye et al. (2008) demonstrated the improvement in skill 101 when using the 925 hPa wind field for Sahel rainfall instead of rainfall itself. The use of 102 a dynamical prediction system over a statistical one is advantageous, because once a ro-103 bust model-output-statistics (MOS) routine is put in place, such routine is independent 104 of lead time. In contrast, because there is no guarantee that the predictors extracted from 105 observations for a given lead time be the same for all lead times, development of statis-106 tical routines requires that a model be developed for each lead time. To illustrate this 107 difference, let's presume that predictability in our region of interest is defined by ENSO. 108 The skill of a statistical prediction system is constrained by the ability to identify the 109 signature associated with ENSO evolution in observations at the desired lead time. In 110 contrast, a dynamical prediction system relies on the system's ability to predict ENSO 111 with the desired lead time. Ndiaye *et al.* (2011) first demonstrated the potential for in-112 creasing forecast lead time in the Sahel using dynamical models, highlighting the abil-113 ity of the NCEP Climate Forecast System (CFS) to capture the El Niño-Southern Os-114 cillation (ENSO). Sheen et al. (2017) showed skill in forecasts initialized in November 115 for the following June-August season in the UK Met Office forecast system DePreSys. 116

Because it still relies primarily on statistical schemes, the PRESAO process does not attempt predictions earlier than April or May for the June-August and July-September seasons in the Sudano-Sahel. Here we report on the breakthrough in increased lead time of a skillful prediction for Sahel precipitation, which is made all the more robust by exploitation of a multi-model ensemble, the NMME. Secondly, we reflect on the spatio-temporal nature of predictability, specifically, its oceanic origin and implications for the provision of local information typically demanded by real-world decisions.

#### <sup>124</sup> 2 Data and Methods

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Predictors are derived from the precipitation fields output by 5 models in the North 125 American Multi-Model Ensemble (NMME), one per modeling group (Environment Canada, 126 NASA/Goddard Space Flight Center/Global Modeling and Assimilation Office, NCAR/Center 127 for Ocean-Land-Atmosphere Studies/Rosenstiel School for Marine and Atmospheric Sci-128 ences, NOAA/Geophysical Fluid Dynamics Laboratory, and NOAA/National Centers 129 for Environmental Prediction/Climate Prediction Center). The model versions selected 130 were current as of the 2018 PRESA-SS, which was held in Abidjan, Côte d'Ivoire from 131 April 30 to May 4. Details of the simulations are reported in Table S1 in Supporting In-132 formation. The predictors are: 133

- 1341. regional rainfall averages, over two domains of extremely different size: a sub-continental135Sahel (10-20°N, 20°W-40°E), and a rectangular domain encompassing a single coun-136try (Senegal: 13-16°N, 17-12°W)
  - 2. the full precipitation fields over the same domains specified in (1).

Predictands are derived from CHIRPS (University of California, Santa Barbara's Climate Hazards group InfraRed Precipitation with Station data; Funk *et al.* 2015). They
mirror the two types of predictors defined above, that is, spatial average or explicit gridpointby-gridpoint field. Specifically, in the left and middle columns of the rows in Figure 2,
discussed in the Results section, predictor and predictand quantities are the same. In
addition, to measure the spatial coherence of predictions, we consider a third type of predictand:

1453. the fraction of area characterized by abundant rains, that is, the portion of grid-<br/>points in a region with rainfall anomalies greater than 0.5 times the local stan-<br/>dard deviation.

We assess predictions at two different spatial scales, namely, sub-continental and 148 country-wide, in part to highlight the large-scale nature of predictability of Sahelian cli-149 mate, in part to give a sense of how such predictability is affected when national per-150 spectives are taken into account. The specific choice of Senegal is relevant to discussions 151 of the heterogeneity of climatic variations between the western and central Sahel (Lamptey 152 2008; Lebel and Ali 2009; Biasutti 2013; Salack et al. 2014; Panthou et al. 2018). We 153 provide recipes to download predictor fields from the IRI Data Library in Supporting 154 Information. 155

156 We are interested in seasonal prediction of precipitation accumulation for the core monsoon season, that is, July-September (JAS). We refer to the shortest lead time, that 157 of a prediction for JAS made at the beginning of June, as a 1-month lead, and to the 158 longest lead time, that of a prediction made for the same JAS target at the beginning 159 of January, as a 6-month lead. For each model we compute the average of all available 160 ensemble members, with ensemble size varying with model and/or between hindcasts (1981-161 2010) and real-time forecasts (2011-2016) as reported in Table S1. Single model ensem-162 ble means are weighed equally when averaged into the multi-model mean. We use de-163 terministic measures of skill, that is, both Pearson and Spearman correlations (Becker 164 et al. 2014; Barnston et al. 2017), meaning that we only take into consideration infor-165 mation derived from the ensemble mean, not from the ensemble spread. 166

#### <sup>167</sup> 3 Results

The top panel of Figure 2 shows time series of NMME predictions of Sahel-wide rainfall anomaly at different lead times, in color, and compares them to observations, in black. Qualitatively, it is possible to associate successes in prediction with the recurrence of La Niña events and abundant rainfall, for example, in 1988, 1998-99 and 2010, and of El Niño events and deficient rainfall, for example in 1987, 1997 and 2009. It is also possible to identify calamitous forecast failures, most notably, in 1984, the driest year in the 20th century.

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#### 3.1 Prediction skill as a function of lead time and spatial extent

Panels in the lower portion of Figure 2 quantify skill dependence on forecast start 176 date. Skill is measured by correlation between predictions and observations of rainfall 177 over the 35-year period of study (1982-2016: the 5% significance level with 33 degrees 178 of freedom is 0.33, plotted in the thick grey dotted line). In each panel, the forecast made 179 for the shortest lead time, at the beginning of June, is on the left, with lead time increas-180 ing to the right. Panels on the top of two rows in Figure 2 are for the sub-continental 181 Sahel, panels on the bottom of two rows, for a box including Senegal. In each panel, solid 182 lines denote Spearman correlation, dashed lines Pearson correlation, in the thick red line 183 for the multi-model mean, and in thinner lines of different color for the single models. 184

The panels in the left column of the rows in Figure 2 represent correlations of re-185 gional averages, that is, the skill in predicting the spatially averaged anomaly in accu-186 mulation for the region under consideration. Predictor and predictand are the same. For 187 the sub-continental Sahelian average (top row, left) multi-model mean values are remark-188 ably consistent across lead times, varying between 0.5 and 0.6. Two models show skill 189 comparable to the multi-model mean, those in the orange and turquoise lines. Orange 190 model correlations are lowest for forecasts with start dates in January and February, and 191 increase as lead time decreases. Turquoise model correlations are lowest for forecasts with 192 an April start date. When Senegal-average rainfall is used to predict itself (bottom row, 193 left) the situation is more unstable: (i) values are overall lower, (ii) the multi-model mean 194 is surpassed by two models, in purple and especially in orange, and (iii) there is greater 195 variation with start date, with a tendency for skill to increase as lead time decreases (with 196 the notable exception of the orange model). 197

The panels in the middle column of the two rows in Figure 2 represent anomaly 198 correlation as defined in Becker et al. (2014): correlations in time between the ensemble-199 mean predicted and observed fields, regridded to the same 1°x 1°grid in longitude and 200 latitude, are first computed locally, at each gridpoint, then averaged over all gridpoints 201 in the domain, again for the entire sub-continental Sahel in the top row, and for Sene-202 gal in the bottom row. Again, predictor and predictand are the same. The loss of skill 203 when comparing gridpoint value (middle column) and regional average (left column) predictions is large. The average of local correlation values varies around 0.3 for the multi-205 model mean of sub-continental Sahel rainfall, against values between 0.5 and 0.6 for the 206 regional average, and is consistently lower for single-model forecasts. The loss of skill is 207 smaller in the case of Senegal, where it was lower to begin with. 208

To further characterize the nature of local predictability, the panels in the right col-209 umn in the rows in Figure 2 depict the skill in predicting measures of spatial coherence 210 using the Sahel regional average as predictor. In the top row, right column, Sahel av-211 erage accumulation is used to predict the fraction of Sahelian domain covered by a pos-212 itive anomaly 0.5 times the local standard deviation or greater. Ali and Lebel (2009) found 213 consistency in the relationship between the magnitude of a regionally averaged anomaly 214 and its spatial coherence, that is, the spatial extent of anomalies of the same sign. We 215 interpret the comparison of skill in predicting the area with significant positive precip-216 itation, in the top row, right column of Figure 2, with that in predicting the regional av-217 erage, in the top row, left column, consistently with Ali and Lebel (2009). The regional 218 average is a good measure of the strength of the signal: the stronger the signal, the larger 219 the number of points behaving consistently with it. However, the loss of skill in the top 220 row, middle column implies that exactly which points or locations will behave as pre-221 dicted, and which will deviate from prediction, is unpredictable. In the bottom row, right 222 column, Sahel average accumulation is used to predict Senegal average accumulation. 223 Comparison of the bottom row, left and right columns in Figure 2 shows that the Sa-224 hel average is a better predictor of Senegal-average rainfall than the Senegal average it-225 self, and that, once more, the orange model is more skillful than the multi-model mean. 226

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#### 3.2 Oceanic sources of predictability

To characterize the oceanic origin of NMME predictability, we regress predictions 228 of sub-continental July-September average Sahel rainfall onto the simultaneous SST field. 229 In Figure 3, the predicted rainfall index is regressed against predicted SST fields. In Fig-230 ure S1 in Supporting Information, the same predicted rainfall index is regressed against 231 observed SST fields. Panels in each row of Figure 3 (and Figure S1 in Supporting In-232 formation) are ordered by forecast start date, with the shortest lead, June, on the left, 233 and the longest, January, on the right. The single row at the top of Figure 3 (and Fig-234 ure S1) represents the multi-model mean. The following 5 rows represents each model's 235 ensemble mean. 236

ENSO is the strongest source of predictability (Ndiave *et al.* 2011). As expected, 237 above-average Sahel rainfall is associated with the negative phase of ENSO, or La Niña 238 conditions in the tropical Pacific (Janicot et al. 1996; Ward 1998; Giannini et al. 2003). 239 The ENSO signature is present in the multi-model mean and in most models at all lead 240 times. The long-lead skill in ENSO prediction of CMC2-CanCM4, the turquoise model 241 in the rows in Figure 2 and the third row in the bottom of Figure 3, is well known (e.g., 242 Gonzalez and Goddard 2016). This model's skill in predicting Sahel rainfall rivals that 243 of the multi-model mean for all start dates except April, as noted in the previous sub-244 section. This loss of skill in predicting Sahel rainfall in view of the model's skill in pre-245 dicting ENSO can be interpreted as a relic of the spring predictability barrier. 246

The North Atlantic Ocean is a complementary source of predictability. Its contribution is best captured in two models, in the middle and especially in the bottom of two

rows in Figure 3, which shape the multi-model mean picture. These correspond to the 249 turquoise and orange lines in the rows in Figure 2, respectively. In the turquoise model, 250 CMC2-CanCM4, the North Atlantic warming that is positively correlated with Sahel rain-251 fall is extratropical in winter, and *propagates*, for lack of a better word, along the east-252 ern boundary toward the tropics as the Sahelian rainy season approaches. In the orange 253 model, NASA-GEOSS2S, the 5% statistical significance of positive regression values col-254 ors the entire North Atlantic basin starting in January, with largest values in extratrop-255 ical latitudes, between 30 and 60°N. Regression values in the tropical North Atlantic weaken 256 in March-May, and strengthen in June, while regression values with ENSO strengthen, 257 peaking in May. In the multi-model mean, the fact that extra-tropical North Atlantic 258 Ocean anomalies are strongest in winter (January and February start dates) supports 259 relating these to wintertime North Atlantic Oscillation (NAO) forcing of SST anoma-260 lies. The late-spring strengthening of tropical North Atlantic anomalies is suggestive of 261 re-emergence mediated by the response of trade winds to higher latitude SST anoma-262 lies (Seager et al. 2000; Chiang et al. 2003; Czaja et al. 2002; Clement et al. 2015), and 263 is worthy of more detailed research. 264

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#### 3.3 Translating insights into practice at PRESA-SS

Finally, to relate directly to the practice of making seasonal predictions at PRESA-266 SS, we run CPT to test our insights about the spatio-temporal predictability of Sahel 267 rainfall. As an illustration, we consider predictions made at the beginning of April, the start date most closely preceding the current PRESA-SS calendar. The predictor field 269 is NMME multi-model mean precipitation in the 10-20°N, 20°W-40°E region. The pre-270 dictand field is CHIRPS precipitation in the same region. To filter out spatial noise, when 271 running Canonical Correlation Analysis (CCA; Bretherton et al. 1992), CPT extracts 272 the dominant spatio-temporal pattern(s) applying Principal Component Analysis (PCA; 273 Preisendorfer 1988) to the predictor and predictand fields. The resulting leading pat-274 terns of variability in each field are correlated in CCA to predict the best correlated pat-275 tern(s). CPT conveniently automates this routine, and provides cross-validated measures 276 of skill. One such summary measure is the *goodness index*, defined as the spatial aver-277 age of Kendall's tau rank correlation (Alfaro et al. 2018; Wilks 2011). CPT computes 278 this index for all combinations of predictor and predictand Principal Components (PCs) 279 and retains as the predictive model the one associated with the highest goodness index. 280

In our case, when we test retaining a maximum of 10 PCs of the predictor and pre-281 dictand fields to predict a single precipitation pattern, the best model is composed of 282 all 10 predictor and only 1, the first, predict and PCs. These retain respectively 96% of 283 the total variance of the predictor field, and 46% of the predict field. This model es-284 sentially predicts Sahel-wide rainfall. The goodness index varies between 0.247 and 0.359. 285 when 1 and 10 predictor PCs are retained respectively. In comparison, when we use the 286 Sahel-wide precipitation average as the single predictor, CPT computes a goodness skill 287 of 0.226. These values are consistent with the multi-model mean anomaly correlation val-288 ues plotted in Figure 2, in the top row, middle column, varying between 0.25 and 0.35. 289 As a cross-check, to see whether we missed any potential sources of predictability, we ex-290 tract the 10 predictor (precipitation) PCs from CPT and correlate them with predictions 291 of precipitation and SST in the NMME multi-model mean. The first 5 patterns are shown 202 in Figure 4. The first is a Sahelian pattern. Not surprisingly, over the 1982-2016 period 293 it correlates strongly with ENSO. The second is a Gulf of Guinea pattern which strongly 294 correlates with local SSTs, but has no projection on the Sahel (Giannini et al. 2003, 2005). 295 Despite the intriguing SST patterns of PCs 3 and 4 in the North Atlantic, the projec-296 tion onto Sahel rainfall of the remaining patterns is non-existent. This behavior raises 297 the concern that a model that essentially takes as many predictor PCs as are available 298 may be contaminated with artificial skill—statistical skill that has no physical counterpart-299 and strengthens our conclusion that the predictability is all in a Sahel-wide pattern. 300

#### 301 4 Conclusions

We assessed the deterministic skill of the North American multi-model ensemble 302 (NMME), an operational, state-of-the-art seasonal climate prediction system, in predict-303 ing July-September precipitation over the Sahel. We found skill in predicting regionally 304 and seasonally averaged rainfall anomalies as early as February/March. Skill in the multi-305 model mean is the combination of skillful predictions of ENSO in one model, and of North 306 Atlantic sea surface temperatures in another. That such distinct behaviors simply add 307 up to shape multi-model mean skill is exemplary of the value of multi-model ensemble 308 prediction systems. Interestingly, the skill of a system composed of only these two *best* 309 models, which correspond to the turquoise and orange lines in the rows in Figure 2, is 310 more variable: it is higher in some instances, lower in others, than the skill of the sys-311 tem based on all models considered here (see Figure S2 in Supporting Information). At 312 the smaller scale of Senegal, the greater skill of the model that best predicts North At-313 lantic temperatures is indicative of the greater relative influence of the adjacent basin 314 on the westernmost portion of the Sahel. This behavior points to east-west differences 315 in sub-regional dynamics that merit following up. 316

We emphasize that this level of skill, consistent with the large-scale, oceanic ori-317 gin of predictability, is achieved at the very largest spatial scales, that is, the sub-continental 318 scale of the entire Sahel. As illustrated in the rows in Figure 2, the skill in regionally av-319 eraged precipitation (in the left column) is different from, and significantly larger than 320 the regional average of local skill (in the middle column). Further, the stronger the pre-321 dictable signal, captured in SST anomalies, the greater the spatial coherence of the out-322 come. A local forecast scheme could be envisioned that calculated probabilities based 323 solely on the regionally averaged signal weighted by its strength. 324

The skill at lead times of 3-4 months on the start of the rainy season that is de-325 scribed here is a significant advancement. Its practical implications are profound, con-326 sidering that the current regional climate outlook forum process, largely based on sta-327 tistical prediction models, convenes in May, or April at the earliest. Awaiting a further 328 quantitative assessment, this level of skill should be sufficient for the timely communi-329 cation of an early qualitative outlook. This may be relevant for national governments 330 to assure timely approval of the budget items supporting the agricultural sector, in the 331 form of purchasing and stocking for inputs that are best adapted to the predicted char-332 acter of the upcoming season. It may be even more relevant for institutions concerned 333 with regional food security, such as the CILSS (Comité Permanent Inter-États de Lutte 334 contre la Sécheresse dans le Sahel, or Permanent Interstate Committee for Drought Con-335 trol in the Sahel) and its global partners, including the UN World Food Programme, the 336 Famine Early Warning Systems Network, and the Réseau de Prévention des Crises Al-337 imentaires, because it could buy them more time to secure donor funding ahead of a po-338 tential large-scale crisis, such as a repeat drought year. 339

Finally, we find confirmation that Sahelian variability is shaped by the interplay of independent, North Atlantic and global tropical, sources of predictability, encapsulated in the North Atlantic Relative Index (Giannini *et al.* 2013). Indeed, the competition in warming between North Atlantic and global tropical oceans under the influence of greenhouse gases is one way to interpret the increased interannual variability that is qualitatively manifest in any Sahelian rainfall time series since the mid-1990s, including that in Figure 1—behavior which makes seasonal prediction all the more valuable.

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348	The data used in this study were accessed via the IRI Data Library, at:
349	http://iridl.ldeo.columbia.edu/SOURCES/.Models/.NMME/
350	and $http://iridl.ldeo.columbia.edu/SOURCES/.UCSB/.CHIRPS/$
351	The original data repositories can be found at:
352	https://www.nws.noaa.gov/ost/CTB/nmme.htm
353	and https://chc.ucsb.edu/data/chirps/

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#### 479 Figure Captions

Figure 1 Standardized Sahelian rainfall index from rain gauge observations. Updated from
and calculated over the same region as Ali and Lebel (2009), covering the countries of
the CILSS, from the Atlantic coast to Chad included.

Figure 2 (Top panel) Sahel rainfall time series, the average over the "sub-continental Sa-483 hel" region in 10-20°N, 20°W-40°E, in the multi-model mean of 5 NMME models, in col-484 ors according to prediction start time (see legend insert), and in observations (CHIRPS) 485 in black. (Two rows of panels) Skill of NMME predictions for the July-September sea-486 son. Predictions are started from the previous January, on the right in each panel, to 487 the June immediately before the season, on the left, corresponding to lead times from 488 6 months to 1 month. Skill is measured by Spearman (solid line) and Pearson (dashed 489 line) correlations over 1982-2016: the thick, red line is for the multi-model mean, the thin-490 ner lines of different colors are for single models, with the thick grey dotted line repre-491 senting the 5% significance level. (Top row) Sahel-wide predictions. (Bottom row) pre-492 dictions over Senegal. (Left column) prediction of the spatial average. (Middle column) 493 gridpoint prediction, averaged over the area. (Right column) predictions based on Sahel-494 average rainfall of (top row) the fraction of Sahel area under positive rainfall anomaly 495 and (bottom row) Senegal average rainfall, based on Sahel-average rainfall. 496

*Figure 3* Regressions of predicted July-September Sahel rainfall with simultaneously predicted sea surface temperatures, for start dates from January on the right to June on
the left. The separate, top row is for the multi-model mean. Rows below are for single
models. Values are in degrees Celsius: contour starts at 0.1 degrees and is every 0.2 degrees. Color, red for positive values and blue for negative values, indicates statistical significance of the regression values at the 5% level.

Figure 4 Correlation maps of the 5 leading NMME precipitation predictor fields in the
 region 10-20°N, 20°W-40°E extracted from CPT with (left) precipitation and (right) SST.
 Predictions are made in April for the July-September season over 1982-2016. Only val-

<sup>506</sup> ues statistically significant at the 5% level, corresponding to a value of 0.33, are plotted.

 $_{507}$  Contour is every 0.2, starting at 0.4.

# Supporting Information for "Advances in the lead time of Sahel rainfall prediction with the North American Multi-Model Ensemble"

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### Contents of this file

- 1. Text S1—Accessing the NMME in the IRI Data Library
- 2. Figures S1 to S2
- 3. Table S1

#### Introduction

This Supplementary Information includes additional Figures and a Table. Figures S1 and S2 are extensions of figures 3 and 2, respectively. Table S1 provides detailed information about the model simulations analyzed. It also includes a template recipe to define and download rainfall predictors from the NMME archive maintained in the IRI Data Library.

**Figure S1.** The Sahel precipitation index derived from NMME predictions is correlated with the *observed* patterns of sea surface temperature (SST). The intent is to compare these correlation patterns with those that result from correlating the same index with *predicted* SSTs, in Figure 3, to gauge the extent to which NMME captures the SST-Sahel precipitation relationship.

Figure S2. The left panel is repeated from the top row, left column of Figure 2, which details the skill dependence on lead time for the 5-model ensemble. It is compared to the same skill for a 2-model ensemble based on the *best* models, in the right panel. As discussed in the Conclusions section, while the skill of the smaller ensemble is at times larger, the skill of the larger ensemble is more stable across lead times.

Table S1. This table contains details about the simulations analyzed, made with the 5 models named in the Data and Methods section of the article.

#### Accessing the NMME in the IRI Data Library

X - 4

The IRI Data Library (IRIDL) maintains a regularly updated archive of NMME model output, including hindcasts and proper real-time forecasts (see Table S1) at http://iridl.ldeo.columbia.edu/SOURCES/.Models/.NMME/. In this note we document the steps to (1) select model output, *e.g.*, choose models and variables, and define spatial domain, start date and lead time, (2) combine models into the multi-model mean, and (3) download in a format compatible with the Climate Predictability Tool (CPT).

Working behind the scenes is *ingrid* (See http://iridl.ldeo.columbia.edu/dochelp/ Documentation/funcindex.html for function documentation), the coding language germane to the IRIDL, developed to select, analyze and visualize data in a web browser environment. The coding becomes visible by clicking on the Expert mode tab. This action opens a window, to which the lines of code described below, in Courier font, can be copied and pasted directly. (Clicking on the OK button below the Expert mode window executes the code.)

Let us start with an NMME model that archives hindcasts and forecasts in the same directory, and select the precipitation (prec) variable. From http://iridl.ldeo.columbia .edu/SOURCES/.Models/.NMME/, first select the model, COLA-RSMAS-CCSM4, by clicking on its name, then the MONTHLY directory, which contains the archive of predictions, and finally the variable, prec. These actions are explicited in Expert mode as:

SOURCES .Models .NMME .COLA-RSMAS-CCSM4 .MONTHLY .prec We specify the geographic domain of interest by applying the function RANGEEDGES to the X and Y grids:

### X -20 40 RANGEEDGES

#### Y 10 20 RANGEEDGES

where X and Y are typically longitude and latitude respectively. In *ingrid* longitudes west of the Greenwich meridian and latitudes south of the Equator are identified by negative values, longitudes east and latitudes north, by positive values. The ranges set above correspond to the sub-continental Sahel as defined in this study: 10-20°N, 20°W-40°E.

We average gridpoints in the domain into a regional index with the command line

#### [X Y] average

Start date and lead time of prediction are typically indicated by S and L respectively. The following:

S (0000 1 Apr ) VALUES

L (3.5) (5.5) RANGEEDGES

denotes a prediction made on 1 April, with lead times comprised between 3.5 and 5.5 months. Since months are typically identified by the mid-month date, *e.g.*, 16 Jan, 16 Feb, 16 Mar, etc., this combination of selections on **S** and **L** identifies the July-September period. A prediction made on 1 April for the June-August period looks like this:

S (0000 1 Apr) VALUES

L (2.5) (4.5) RANGEEDGES

In addition, M typically denotes ensemble member. Therefore, [M] average denotes the ensemble mean over all members available.

The combined specifications for the case of a model archiving hindcasts and forecasts in the same directory, resulting in April predictions for July-September Sahel average rainfall X - 6 GIANNINI ET AL.: NMME PREDICTIONS OF SAHEL RAINFALL

over the entire period covered by the NMME (1982 to present), can be copied and pasted directly to the Expert mode window, where they look like this:

SOURCES .Models .NMME .COLA-RSMAS-CCSM4 .MONTHLY .prec

X -20 40 RANGEEDGES

Y 10 20 RANGEEDGES

S (0000 1 Apr ) VALUES

L (3.5) (5.5) RANGEEDGES

[L] /keepgrids average

#### [M X Y]average

The same specifications for the case of a model archiving hindcasts and forecasts in separate directories look like this:

SOURCES .Models .NMME .CMC2-CanCM4 .HINDCAST .MONTHLY .prec

X -20 40 RANGEEDGES Y 10 20 RANGEEDGES

S (0000 1 Apr ) VALUES L (3.5) (5.5) RANGEEDGES

[L] /keepgrids average

[M X Y]average

SOURCES .Models .NMME .CMC2-CanCM4 .FORECAST .MONTHLY .prec

X -20 40 RANGEEDGES Y 10 20 RANGEEDGES

S (0000 1 Apr ) VALUES L (3.5) (5.5) RANGEEDGES

[L] /keepgrids average

[M X Y]average

appendstream

In other words, the specifications are repeated for hindcasts and forecasts, and the two data streams are combined using the function appendstream.

To combine more models into the multi-model mean, models are added together and divided by their number. Using the two models described thus far:

SOURCES .Models .NMME .CMC2-CanCM4 .HINDCAST .MONTHLY .prec

X -20 40 RANGEEDGES Y 10 20 RANGEEDGES

S (0000 1 Apr ) VALUES L (3.5) (5.5) RANGEEDGES

[L] /keepgrids average [M X Y]average

SOURCES .Models .NMME .CMC2-CanCM4 .FORECAST .MONTHLY .prec

X -20 40 RANGEEDGES Y 10 20 RANGEEDGES

S (0000 1 Apr ) VALUES L (3.5) (5.5) RANGEEDGES

[L] /keepgrids average [M X Y]average

appendstream

SOURCES .Models .NMME .COLA-RSMAS-CCSM4 .MONTHLY .prec

S (0000 1 Apr ) VALUES L (3.5) (5.5) RANGEEDGES

X -20 40 RANGEEDGES Y 10 20 RANGEEDGES

[L] /keepgrids average

[M X Y] average

```
add 2 div
```

yields the result sought, with the last line, add 2 div, signifying that the two data streams are first added up and then divided by 2. Note that in *ingrid* space and line break are equivalent.

#### X - 8 GIANNINI ET AL.: NMME PREDICTIONS OF SAHEL RAINFALL

Manipulations to consider in order to tailor data selection to user needs include:

• selecting a different spatial domain. The domain is set using the functions RANGE or RANGEEDGES operating on the X and Y grids. For example, in the country case investigated in this study, the rectangular domain comprising Senegal, defined by 13-16°N, 17-12°W, is rendered as Y 13 16 RANGE X -17 -12 RANGE;

• including the line [X Y] average computes a regional average. Eliminating it results in longitude/latitude fields, or maps of the predictor variable;

• start date (S) and lead time (L) need to be adjusted consistently, depending on the time that the prediction is made, and the period to be predicted.

The end result is an up-to-date time series of Sahel average precipitation predictions, concatenating hindcasts and forecasts starting in 1982, and using the 5 models analyzed in this study. In Expert mode it looks like this:

SOURCES .Models .NMME .CMC2-CanCM4 .HINDCAST .MONTHLY .prec X -20 40 RANGEEDGES Y 10 20 RANGEEDGES S (0000 1 Apr ) VALUES L (3.5) (5.5) RANGEEDGES [L] /keepgrids average [M X Y]average SOURCES .Models .NMME .CMC2-CanCM4 .FORECAST .MONTHLY .prec X -20 40 RANGEEDGES Y 10 20 RANGEEDGES S (0000 1 Apr ) VALUES L (3.5) (5.5) RANGEEDGES [L] /keepgrids average

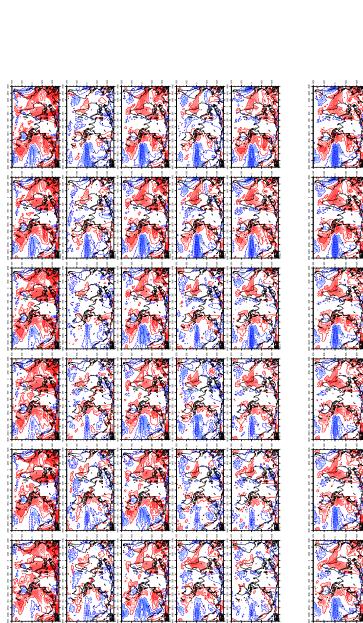
```
[M X Y] average
 appendstream
 SOURCES .Models .NMME .NCEP-CFSv2 .HINDCAST .MONTHLY .prec
 X -20 40 RANGEEDGES Y 10 20 RANGEEDGES
 S (0000 1 Apr ) VALUES L (3.5) (5.5) RANGEEDGES
 [L] /keepgrids average
 [M X Y] average
 SOURCES .Models .NMME .NCEP-CFSv2 .FORECAST .EARLY_MONTH_SAMPLES .MONTHLY
.prec
 X -20 40 RANGEEDGES Y 10 20 RANGEEDGES
 S (0000 1 Apr ) VALUES L (3.5) (5.5) RANGEEDGES
 [L] /keepgrids average
 [M X Y] average
 appendstream
 add
 SOURCES .Models .NMME .NASA-GEOSS2S .HINDCAST .MONTHLY .prec
 X -20 40 RANGEEDGES Y 10 20 RANGEEDGES
 S (0000 1 Apr ) VALUES L (3.5) (5.5) RANGEEDGES
 [L] /keepgrids average
 [M X Y] average
 SOURCES .Models .NMME .NASA-GEOSS2S .FORECAST .MONTHLY .prec
 X -20 40 RANGEEDGES Y 10 20 RANGEEDGES
```

```
X - 10
              GIANNINI ET AL.: NMME PREDICTIONS OF SAHEL RAINFALL
 S (0000 1 Apr ) VALUES L (3.5) (5.5) RANGEEDGES
  [L] /keepgrids average
  [M X Y] average
 appendstream
 add
 SOURCES .Models .NMME .GFDL-CM2p1-aer04 .MONTHLY .prec
 X -20 40 RANGEEDGES Y 10 20 RANGEEDGES
 S (0000 1 Apr ) VALUES L (3.5) (5.5) RANGEEDGES
  [L] /keepgrids average
  [M X Y]average
 add
 SOURCES .Models .NMME .COLA-RSMAS-CCSM4 .MONTHLY .prec
 X -20 40 RANGEEDGES Y 10 20 RANGEEDGES
 S (0000 1 Apr ) VALUES L (3.5) (5.5) RANGEEDGES
  [L] /keepgrids average
  [M X Y]average
 add
 5 div
 /missing_value -999.0 def
```

SLtoT L removeGRID

When needed, forecasts are appended to hindcasts using appendstream, then each model is added to the previous, using add, and finally, the total sum is divided by the number of models, in this case 5, using div.

When model output is ready for download, the user clicks first on the Data files tab above the Expert mode window, then chooses the relevant format on the following web page. In addition to a format compatible with CPT, frequently used formats include formats for direct input into NCL or Matlab scripts, and downloads into netCDF files.



January on the right to June on the left. The figure structure is the same as in Figure 3. The separate, top row is for the multi-model mean. Rows below are Figure S1. Regressions of predicted July-September Sahel rainfall with observed July-September sea surface temperatures, for start dates from

for single models. Values are in degrees Celsius: contour starts at 0.1 degrees and is every 0.2 degrees. Color, red for positive values and blue for negative

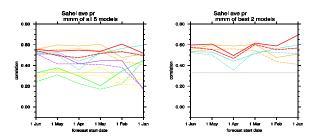


Figure S2. Skill of NMME predictions for the July-September season. Predictions are started from the previous January, on the right in each panel, to the June immediately before the season, on the left, corresponding to lead times from 6 months to 1 month. Skill is measured by Spearman [solid line] and Pearson [dashed line] correlations over 1982-2016: the thick, red line is for the multi-model mean, the thinner lines of different colors are for single models, with the thick grey dotted line representing the 5% significance level. The left panel is the same as in Figure 2, for the multi-model system of all 5 NMME models considered in this study. The right panel is for the 2-model system using only the *best* models.

10 Jan 2011 to present	10	0-11 Jan 1982-Dec 2010	0-11	GFDL-CM2p1-aer04
24 Mar 2011 to present	24	0-9 Jan 1982-Dec 2010	0-9	NCEP-CFSv2
4 Nov 2017 to present	4	0-8 Feb 1981-Jan 2017	8-0	NASA-GEOSS2S
10 Jan 2011 to present	10	0-11 Jan 1982-Dec 2010	0-11	COLA-RSMAS-CCSM4
10 Jan 2011 to present	10	0-11 Jan 1981-Dec 2010	0-11	CMC2-CanCM4
Forecast period	Ens Size	Lead time Hindcast period Ens Size Forecast	Lead time	Model

Table S1. Specifics of the NMME simulations used. The *lead time* is in months.