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An airborne infrared laser spectrometer for in-situ trace gas measurements

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An airborne infrared laser spectrometer for in-situ trace gas measurements: application to tropical convection case studies

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Abstract

A three-channel laser absorption spectrometer called SPIRIT (SPectromètre InfraRouge In situ Toute altitude) has been developed for airborne measurements of trace gases in the troposphere and lower stratosphere. More than three different species can be measured simultaneously with high time resolution (each 1.6 s) using three individual CW-DFB-QCLs (Continuous Wave Distributed FeedBack Quantum Cascade Lasers) coupled to a single Robert multipass optical cell. The lasers are operated in a time-multiplexed mode. Absorption of the mid-infrared radiations occur in the cell (2.8 L with effective path lengths of 134 to 151 m) at reduced pressure, with detection achieved using a HgCdTe detector cooled by Stirling cycle. The performances of the instrument are described, in particular precisions of 1, 1 and 3 %, and volume mixing ratio (vmr) sensitivities of 0.4, 6 and 2.4 ppbv are determined at 1.6 s for CO, CH₄ and N₂O, respectively (at 1 σ confidence level). Estimated accuracies without calibration are about 6 %. Dynamic measuring ranges of about four decades are established. The first deployment of SPIRIT was realized aboard the Falcon-20 research aircraft operated by DLR (Deutsches Zentrum für Luft- und Raumfahrt) within the frame of the SHIVA (Stratospheric Ozone: Halogen Impacts in a Varying Atmosphere) European project in November-December 2011 over Malaysia. The convective outflows from two large convective systems near Borneo Island (6.0° N–115.5° E and 5.5° N–118.5° E) were sampled above 11 km in altitude on 19 November and 9 December, respectively. Correlated enhancements in CO and CH₄ vmr were detected when the aircraft crossed the outflow anvil of both systems. These enhancements were interpreted as the fingerprint of transport from the boundary layer up through the convective system and then horizontal advection in the outflow. Using these observations, the fraction of boundary layer air contained in fresh convective outflow was calculated to range between 22 and 31 %, showing the variability of the mixing taking place during convective transport.

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1 Introduction

Infrared (IR) absorption spectroscopy is among the fastest on-line measurement methods of atmospheric trace gases. Using lasers leads to ultra-high spectral resolution ($< 10^{-3} \text{ cm}^{-1}$), and therefore larger sensitivity and selectivity than lower resolution IR method such as Fourier Transform IR (FTIR) spectroscopy. A tunable laser spectrometer essentially consists of one or several laser sources, an optical cell where the trace gas is sampled, a photodetector and the electronic system controlling the laser(s) and the data acquisition. This leads to a very compact instrument with high frequency (in a few seconds or less) and accurate measurements. In principle the laser is tuned over a spectral micro-domain ($< 1 \text{ cm}^{-1}$) to match wavenumbers $\tilde{\nu}_0$ of the ro-vibrational lines of the molecules in question. In order to perform ultra-high resolution spectroscopy, the pressure of the analysed air is reduced to $\sim 10\text{--}50 \text{ hPa}$ in a closed cell. The Beer–Lambert law relationship, Eq. (1), provides quantitative results relevant to atmospheric studies, i.e. volume mixing ratios (vmr; unitless), based on spectroscopic molecular parameters and measured quantities:

$$\text{vmr} = \frac{-10^6 k_B T \ln(I(\tilde{\nu})/I^\circ(\tilde{\nu}))}{\rho L S g(\tilde{\nu} - \tilde{\nu}_0)} \quad (1)$$

where k_B is the Boltzmann constant (units of JK^{-1}), T is the temperature (K), $I(\tilde{\nu})/I^\circ(\tilde{\nu})$ is the transmission at a particular wavenumber $\tilde{\nu}$, ρ is the total pressure (Pa) of the cell containing the sampled molecules, L is the optical path length (cm), S is the line intensity (cm molecule^{-1}) of the molecule depending on the partition functions, the temperature and the lower-state energy E'' of the transition, and $g(\tilde{\nu} - \tilde{\nu}_0)$ is the normalized line profile (cm) at $\tilde{\nu}$ around the wavenumber of the transition line $\tilde{\nu}_0$, most frequently assumed to be a Voigt one in the HITRAN spectroscopic database (Rothman et al., 2013). The Voigt profile is the convolution of the Doppler (Gaussian) profile (with T and molecular mass dependencies) and of the collisional (Lorentzian) profile depending on the pressure ρ , the air-broadened half-width γ_{air} , and the temperature T with

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the temperature-dependent coefficient n_{air} (Fried and Richter, 2006). Absorption measurements are thus considered absolute and do not require a calibration if accuracy is expected to be about that of the spectroscopic database. An overview of this technique was given by Fried and Richter (2006). In addition, Fried et al. (2008) and McQuaid et al. (2013) recently reviewed the implementation of this technique in aircraft.

Until the 2000s, Tunable Diode Laser Absorption Spectroscopy (TDLAS) using lead-salt diode lasers were widely used in the main part of the mid-infrared (MIR) domain (3–12 μm). In this domain, molecules are active for the fundamental transitions, which leads to the strongest absorptions and therefore detection capabilities. TDLAS has been successfully applied to airborne measurements even recently, for instance for CH_4 , N_2O , CO , HCHO and H_2O and isotopes (see, e.g., Wienhold et al., 1998; Scott et al., 1999; Kormann et al., 2002; Bartlett et al., 2003; de Reus et al., 2003; Wert et al., 2003; Viciani et al., 2008). However tunable lead-salt laser diodes are at present surpassed by a new type of lasers – the Quantum Cascade Lasers (QCLs) – that has advantageous properties (Curl et al., 2010). QCLs present better reproducibility for the wavelength emission domain and the optical output power, possess pure single resonant mode, operate at a single frequency using a distributed feedback (DFB) structure, and have a wider range of wavenumber tunability (a few cm^{-1}), which could potentially lead to more species to detect. They also possess much higher optical output power (> 1 mW), which is useful for long optical path operation. In addition QCLs are now operated near room temperature (RT) and so, small Peltier thermoelectric coolers can replace large N_2 dewars. QCLs have thus been applied to airborne measurements of trace gases in pulsed mode, for instance for HCHO , HCOOH , CH_4 , CO , CO_2 , N_2O and H_2O (Herndon et al., 2007; Lopez et al., 2008; Kort et al., 2011; Wecht et al., 2012; Santoni et al., 2014). QCLs can also be operated in continuous wave (CW) mode instead of pulsed mode, resulting in negligible linewidths ($\text{HWHM} < 2 \times 10^{-4} \text{ cm}^{-1}$; McManus et al., 2006; Guimbaud et al., 2011) with respect to the molecular absorption line, and thus leading to measurements of higher accuracy and sensitivity. However, as far as we know, only Yacovitch et al. (2014) have published work on the use of CW QCLs in

2.2 Data retrieval

The data retrieval is based on Beer–Lambert law, Eq. (1). As previously explained in detail (Guimbaud et al., 2011; Moreau et al., 2005), it consists in fitting the numerical second derivative of the experimental transmission $I(\tilde{\nu})/I^\circ(\tilde{\nu})$ to that of the simulated transmission, $\exp[-L \cdot S \cdot g(\tilde{\nu} - \tilde{\nu}_0) \cdot C]$, at each wavenumber $\tilde{\nu}$ of the spectral micro-window by adjusting the molecular concentration C (molecules cm^{-3}). The selected micro-windows were usually $2179.70\text{--}2179.85 \text{ cm}^{-1}$, $1249.56\text{--}1249.73 \text{ cm}^{-1}$, and $2064.30\text{--}2064.55 \text{ cm}^{-1}$ for CO , CH_4 and N_2O , and CO_2 , respectively. A linear least-squares algorithm minimizes the residual, i.e. the difference between the experimental and simulated signal. The volume mixing ratio vmr is subsequently deduced from C by knowing the total pressure p and the temperature T of the optical cell. The second derivative method has the advantage of not needing the accurate reconstruction of the 100 % transmission baseline (the continuous component of the signal) where the molecules absorb, and of reducing the optical interference fringes. Both methods give similar results in the present case for which the maximum optical absorptions ($1 - I(\tilde{\nu}_0)/I^\circ(\tilde{\nu}_0)$) are significant, typically 4 % for ~ 100 ppbv CO , 4 % for 1.8 ppmv CH_4 , 1 % for 325 ppbv N_2O and 7 % for 400 ppmv CO_2 . The second derivative method is especially more effective in the case of low absorption (Moreau et al., 2005). Figures 4 A, B, C show examples of spectra for CO , CH_4 , N_2O recorded during one of the two case studies, on the 9 December 2011, and for CO_2 during a transfer flight to Malaysia, on the 8 November 2011. Statistical errors represented by the root mean square noise at 1σ confidence level on the fitting retrievals are indicated in Fig. 4. They are typical of the measurements during this campaign, which were on average 1 % for CO , 1 % for CH_4 , 3 % for N_2O and 1 % for CO_2 .

Due to a failure of the air conditioning system of the aircraft from the first flight during the campaign (16 November), the QCL corresponding to CO_2 measurement could not be maintained at -20.5°C and broke down. Consequently the whole campaign

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arate data. Similar performances are expected for non-sticky molecules such as CH₄, N₂O and CO₂ since only the line intensity and the QCL differ with respect to CO channel, implying no impact on the linearity, contrary to the optical path and the detection system, which are identical.

Lower limits of detection have been experimentally determined for each gas. It is reached when the optical absorption signal is equal to the root mean square noise (1σ) measured on the spectrum residual. At this point the relative error on the fit is considered to be 100 % (signal-to-noise ratio = 1) and prevails on every other uncertainty contribution. Limits of detection are 0.6 ppbv for CO, 9.0 ppbv for CH₄ and 5.3 ppbv for N₂O, as shown in Fig. 6. Fits are generalized hyperbolas describing the linear progression of uncertainties with respect to vmr for low values where the noise on the spectra is predominant. The error stagnations at higher concentrations illustrate the fact that the fitting errors prevail on other sources of uncertainty. Increases of relative errors (vertical scatter visible in Fig. 6) were occasionally observed, due to the regular automatic optical realignments. At the other end of the range, considering a spectrum can still be accurately fitted until the optical absorption at the maximum of the transition line $\tilde{\nu}_0$ reaches 90 % (i.e. 10 % transmission), one can calculate upper limits of detection for CO: 4.5 ppmv, CH₄: 110 ppmv, and N₂O: 60 ppmv, using simulations taking into line intensities and the experimental conditions. Dynamic measuring ranges of about four decades are thus deduced.

Sensitivity is considered to be the lowest vmr variation the instrument is able to detect reliably over a noisy background. As it depends on the duration for which the variation observation is carried out, the Allan deviation σ_A constitutes a relevant figure of merit. This deviation is calculated for non-varying sampled gas concentration. Depending on the degree of certainty required to qualify a variation in vmr as real, one can chose as criteria 1 to 3 σ_A . Figure 7 illustrates the Allan deviations for CO, CH₄, N₂O and CO₂ until 365 s obtained in laboratory. As expected, the experimental decays are significant, but smaller than the ideal white noise slopes. The minima of these σ_A Allan deviations are all reached around 100 s, with values of about 0.13 ppbv (2%) for CO,

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ber 2011 are presented. The flight on the 19 November began from Miri at 07:50 UTC (15:50 local time), flew North along the coast towards Kota Kinabalu, and ended at Miri at 10:10 UTC (18:10 local time). The flight on the 9 December took place between Tawau and Miri over Borneo between 08:15 UTC (16:15 local time) and 10:50 UTC (18:50 local time). The CO vmr decreased with increasing altitude from 120–150 ppbv near the ground (> 1 km) to 70–80 ppbv in the free troposphere (8–10 km).

3.2 In-situ measurements of CO and CH₄ for the study of transport by deep convection

3.2.1 Meteorological situations of the flights

One of the objectives of the SHIVA field campaign was to study the pathways of halogen VSLs emitted from the oceans in the boundary layer to the upper troposphere and then to the lower stratosphere, and to quantify these transport processes. The main mechanism leading to a rapid transport of air from the lower troposphere to the upper troposphere in the tropics is deep convection. This is why the SHIVA field campaign took place during the early winter monsoon season. During the campaign, several Falcon aircraft flights were conducted around 11–13 km in altitude, in order to sample the upper troposphere in the vicinity of deep tropical convection. To highlight the application of the SPIRIT measurements for characterising the air composition in the upper troposphere, we studied the two flight measurements around 12 km altitude within the outflow of deep convective systems, as presented above (Sect. 3.1). Figures 9 and 10 depict the flight tracks over-plotted, respectively, on the brightness temperatures from the 11 μm channel IR108 on board the Japanese geostationary multifunctional transport satellite MTSAT-2 and the corresponding cloud height estimation based on Hamada and Nishi (2010) http://database.rish.kyoto-u.ac.jp/arch/ctop/index_e.html. Brightness temperature represents thermal radiative intensity emitted by the cloud top and is used for the detection of convective activity (Iwasaki et al., 2010); this temperature decreases with increasing cloud height.

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peaks in CO and CH₄ vmr (during a few minutes) correspond to the part of the tracks performed within the convective outflow, and alternating with lower vmr when the aircraft exits the cloud. The onboard webcam images showing the inside and the outside of the cloud were also synchronised with these variations. CO and CH₄ have emissions sources mainly in the boundary layer and the left panels show much higher vmr in this layer for these two species. The peaks observed in the upper troposphere are indicative of transport of polluted air from the boundary layer to the free troposphere. This suggests a convective transport of CO and CH₄ from the lower troposphere to the upper troposphere. In Fig. 12, the CO vmr in the upper troposphere (8–13 km) without influence of convection has mean values [CO]_{UT} = 75 ± 2 (1σ) ppbv and 70 ± 2 (1σ) ppbv for the flights on 19 November and 9 December, respectively, with the reported uncertainties representing the standard deviation (1σ) on the mean. Sudden increases above these backgrounds of between 7 and 28 % of the mean [CO]_{UT} can be observed that directly correlate to the flight path into clouds. This kind of increase (5–20 ppbv) has been also observed in studies by Bechara et al. (2010) and Borbon et al. (2012). It is important to notice that several flights during the SHIVA campaign experienced cloud presence without any significant correlation with CO enhancement (e.g. the first flight of the day of 19 November and the two flights on 7 December) because these were likely not fresh convective clouds.

3.3 Fraction of planetary boundary layer (PBL) air detected in the UT

In order to determine the air fraction f coming from the boundary layer and transported by convective transport, the tracer's vmr $[X]$ is used (Bertram et al., 2007) in Eq. (2):

$$[X]_{\text{UTconv}} = f[X]_{\text{surface}} + (1 - f) \cdot [X]_{\text{UT}} \quad (2)$$

where $[X]_{\text{UTconv}}$ represents the tracer's vmr in the convective outflow. This area is defined as the cloud area where CO vmr is larger than 78 ppbv. With this definition, the mean [CO]_{UTconv} are 81 ± 1 (1σ) and 83 ± 3 (1σ) ppbv for the flights on 19 November and 9 December, respectively. $[X]_{\text{UT}}$ has been defined and given just above (Sect. 3.2.2) for

the 19 November flight in Hamer et al. (2013) allow a calculation of f ranging from 14 to 29 % (this uncertainty comes from whether the upper or lower boundary layer CHBr₃ vmr are used). The upper part of the range is consistent with the values of calculated here for the same flight. However, this kind of calculation is closely dependent on the tracers' lifetime and the presence of sources, which are more variable for CHBr₃ than CO and CH₄.

4 Conclusions

A three-channel laser absorption spectrometer has been set up for airborne measurements of trace gases. This consists of the coupling of three Quantum Cascade Lasers (CW-DFB-QCLs) with a single Robert multipass optical cell. CO, CH₄, N₂O and CO₂ have been measured simultaneously with high time resolution. The instrument achieved 1.6 s retrieval fitting precision of 1, 1 and 3 %, and volume mixing ratio sensitivities of 0.4, 6 and 2.4 ppbv for CO, CH₄ and N₂O, respectively (all at 1 σ confidence level). Estimated accuracies without calibration are about 6 %, limited mainly by the accuracy of the molecular spectroscopy database. This error is not large enough to affect the present application where the main interest was devoted to the study of local variation due to transport and chemistry.

The instrument was successfully employed during a large aircraft campaign over Malaysia during November and December 2011. Measurements of CO and CH₄ in the upper troposphere show increases directly correlated with the presence of convective outflow. These measurements during a convective event clearly indicate transport of boundary layer air into the upper troposphere. During this study, CO proved to be a particularly good tracer of this phenomenon and lead to the quantification of the fraction of air originating from ground level. Calculations suggest that air in the convective outflow contains between 20 and 30 % boundary layer air in accordance with the literature.

Since then, SPIRIT has been successfully employed in other projects about greenhouse gases (CH₄, N₂O, CO₂) and pollutants (CO, O₃) over the Mediterranean within

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Table 1. Spectral domains and condition emissions of QCLs.

Molecule	Spectral domain (cm ⁻¹)	Current + ramp (mA)	<i>T</i> (°C) of the QCL
CO	2179.6–2179.9	590+20	–12.5
CH ₄ , N ₂ O	1249.4–1249.9	490+23	+16.8
CO ₂	2064.2–2064.7	565+50	–20.5

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Table 2. Performance characteristics for CO, CH₄ and N₂O volume mixing ratio measurements (vmr). Sensitivities and relative accuracies are given for vmr close to typical atmospheric levels (100 ppbv CO, 1.8 ppmv CH₄ and 325 ppbv N₂O).

Mole- cule	1.6 s fitting precision in flight	Lower limit of detection	Sensitivity: $1\sigma_A$ Allan deviation (ppbv) at three different averaging times			Estimated accuracies without calibration	Calculated accuracies after calibration
			1.6 s	10 s	100 s		
CO	1 ppbv (1%)	0.6 ppbv	0.4	0.22	0.13	5.7%	0.9 ppbv (0.9%)
CH ₄	18 ppbv (1%)	9.0 ppbv	6.0	4.0	1.3	5.7%	8.6 ppbv (0.5%)
N ₂ O	10 ppbv (3%)	5.3 ppbv	2.4	2.0	0.8	6.4%	3.8 ppbv (1.2%)

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Table 3. Mean mixing ratios for the boundary layer ($[X]_{\text{surface}}$), upper troposphere ($[X]_{\text{UT}}$) and convective air masses ($[X]_{\text{UTconv}}$) for CO and CH₄ measured by the SPIRIT instrument during the flight on the 19 November and 9 December 2011. These vmr are used in the calculation of the fraction f of air coming from the boundary layer detected in the convective air mass. The mean fraction f found is compared with other convective case studies.

			$[X]_{\text{surface}}$ (ppbv)	$[X]_{\text{UT}}$ (ppbv)	$[X]_{\text{UTconv}}$ (ppbv)	Fraction f^1	Comment
This study	19 Nov	CO	101	75	81	0.23 ± 0.04	Borneo region
		CH ₄	1901	1871	1879	0.27 ± 0.50	
	9 Dec	CO	130	70	83	0.22 ± 0.05	(6° N–117° E)
		CH ₄	1860	1808	1824	0.31 ± 0.24	
		Mean				0.26 ± 0.05	
Cohan et al. (1999)						0.36–0.68	South Pacific (60° S–10° N)
Ray et al. (2004)						0.20–0.45	Mexico gulf (20° N)
Bertram et al. (2007)						0.17 ± 0.08	East USA and Canada
Bechara et al. (2010)						0.40 ± 0.15	West Africa

¹ Uncertainties are 1σ on the mean.

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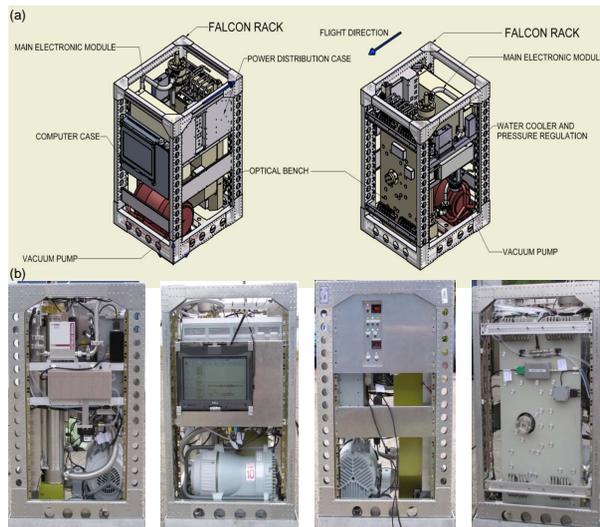


Figure 1. (a) Sketch of the SPIRIT instrument and (b) photographs of its four faces integrated in the Falcon-20 rack.

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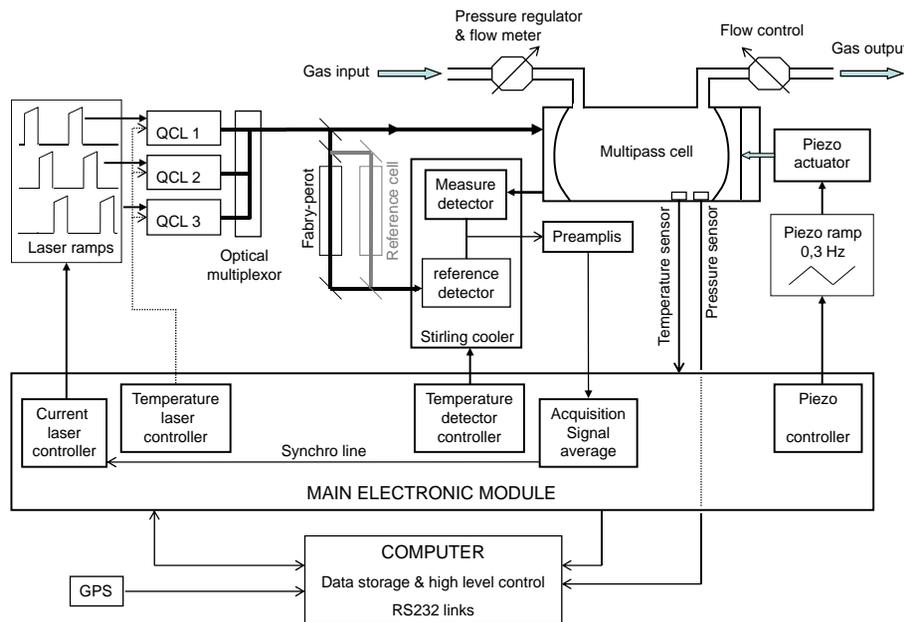


Figure 2. Layout of the functioning principle of the SPIRIT instrument.

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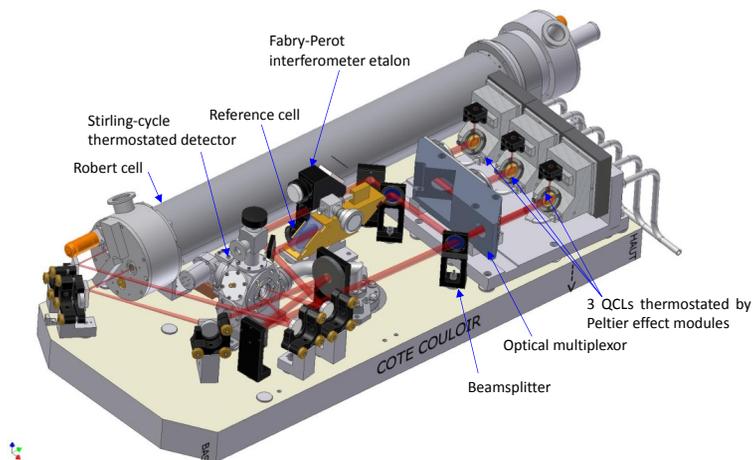


Figure 3. Three-dimensional representation of the optical bench with red rays symbolizing the laser beam paths.

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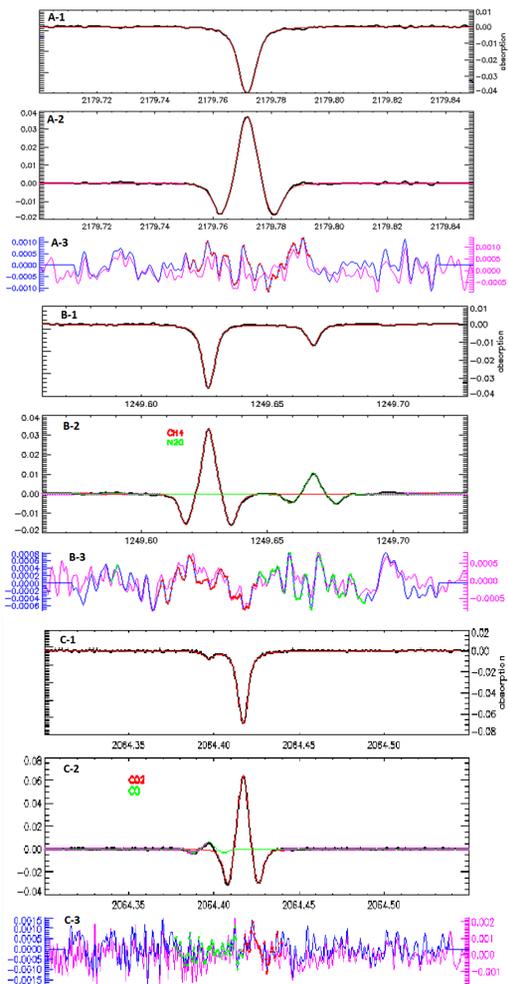


Figure 4. (a) (1): Example of experimental (black line) and simulated (red line) transmission spectrum for CO ($\tilde{\nu}_0 = 2179.772 \text{ cm}^{-1}$) recorded on 9 December 2011 (9.677 h UTC) at 12 262 m altitude, 5.45° N-118.92° E. (2): Experimental (black line) and simulated (red line) second derivatives of this transmission signal. (3): Associated residuals of the direct transmission (pink line) and of the second derivative (blue line), leading to 1σ statistical error of 1.3% on the fit for this latter. This retrieved volume mixing ratio (vmr) is 89.7 ± 1.3 ppbv (1σ). The cell was at 32.04 hPa pressure and 31.7°C temperature, with an optical path of 134.22 m. **(b)** Same as **(a)** but for CH₄ (red simulated profile centred at $\tilde{\nu}_0 = 1249.627 \text{ cm}^{-1}$) and N₂O (green profile at $\tilde{\nu}_0 = 1249.668 \text{ cm}^{-1}$). This retrieval led to vmr of 1830 ± 18 ppbv for CH₄ ($1\sigma = 1.0\%$) and 320.4 ± 10.3 ppbv for N₂O ($1\sigma = 3.2\%$). **(c)** Same as **(a)** but for CO₂ (red simulated profile centred at $\tilde{\nu}_0 = 2064.417 \text{ cm}^{-1}$) on the 8 November 2011 (during a transfer flight to Malaysia). This retrieval led to a vmr of 390.2 ± 2.8 ppmv ($1\sigma = 0.7\%$). The small line at $\tilde{\nu}_0 = 2064.397 \text{ cm}^{-1}$ is due to CO absorption and is taken into account for the retrieval, with CO vmr in good agreement (< 10%) with that deduced on channel A.

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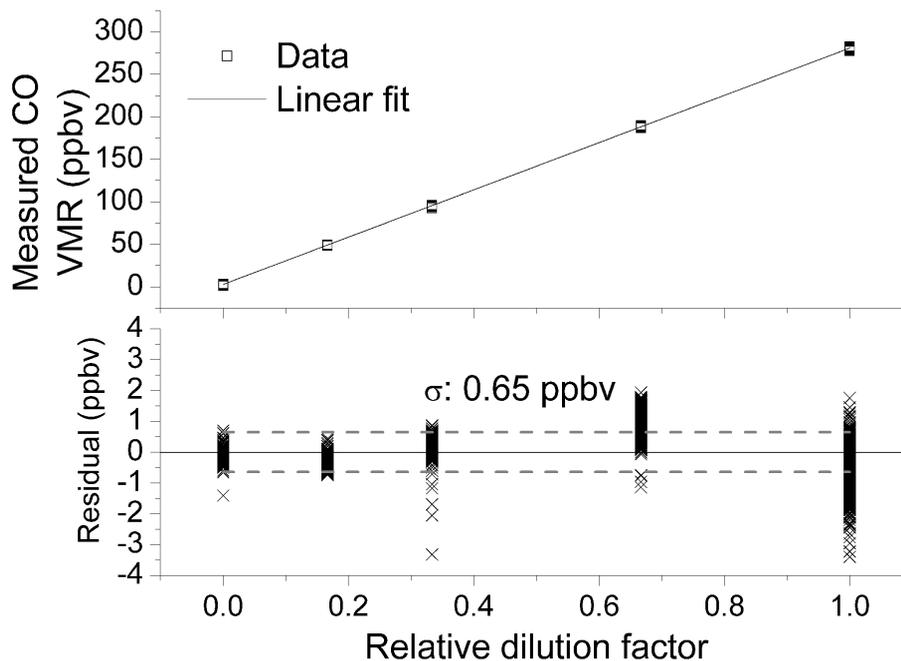


Figure 5. Illustration of linearity of the measurements for CO. Residuals come from subtracting linear fit to data points. Residuals spread around linear fit with one standard deviation $1\sigma = 0.65 \text{ ppbv}$ for 3500 separate data.

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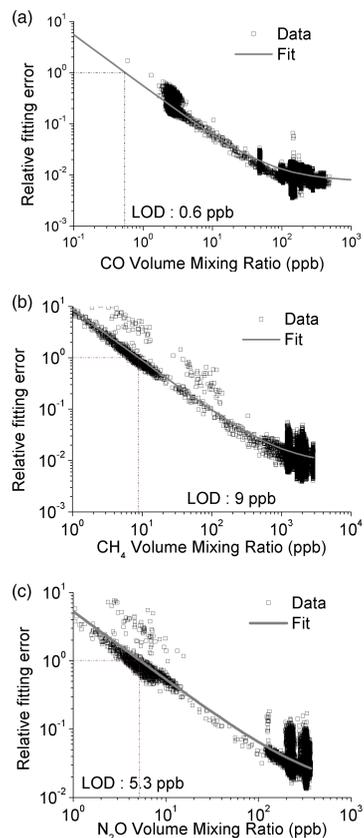


Figure 6. Relative errors on line fittings leading to the total volume mixing ratios (vmr) of CO (a), CH₄ (b) and N₂O (c).

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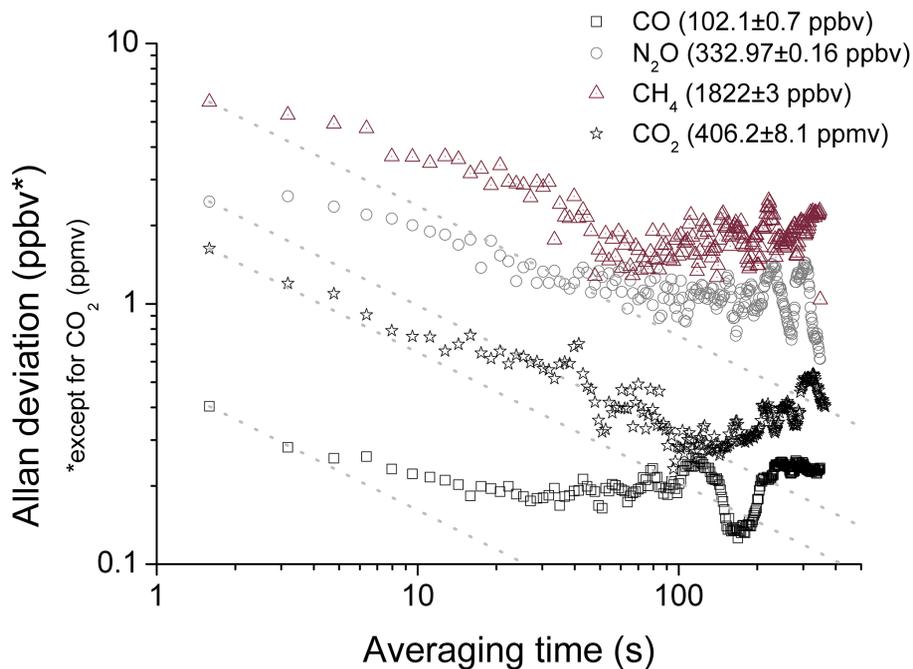


Figure 7. Allan deviation plots showing long term precision and drift behaviour. The expected Allan deviation for an ideal white noise is given as a comparison (dashed lines).

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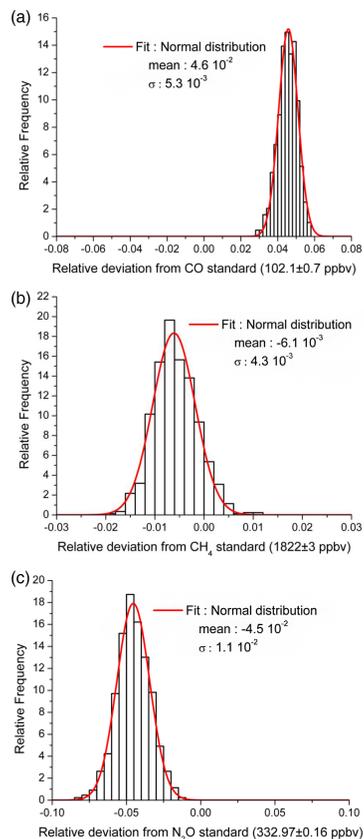


Figure 8. Histograms illustrating measurement dispersion for CO (a), CH₄ (b) and N₂O (c) relatively to standard gas concentrations. The mean values represent the measurement bias and σ the standard deviation for the fitted distribution assumed to be normal (Gaussian). Relative frequencies are normalized to 100 %.

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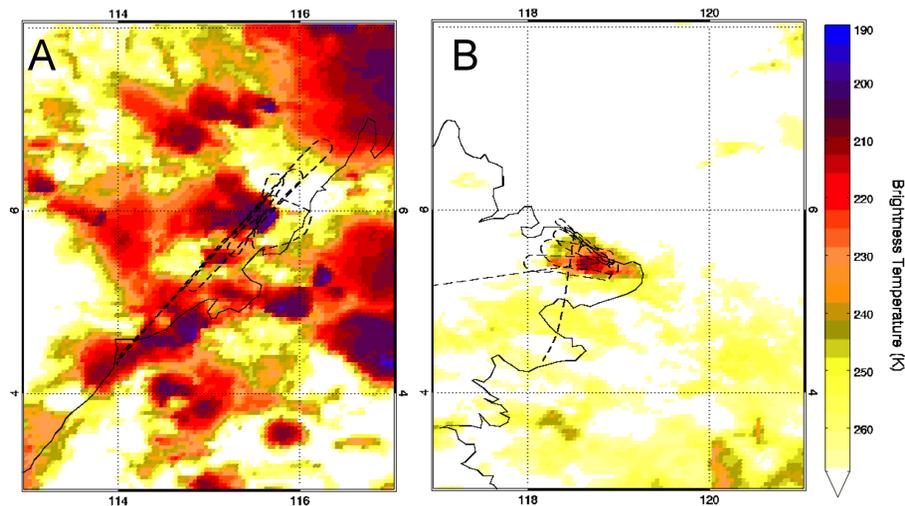


Figure 9. Brightness temperature from IR MTSAT-2 channel IR108 (10.3–11.3 μm), obtained at 09:00 UTC on 19 November 2011 **(a)** and 10:00 UTC on 9 December 2011 **(b)**. The flight tracks are represented in dashed lines.

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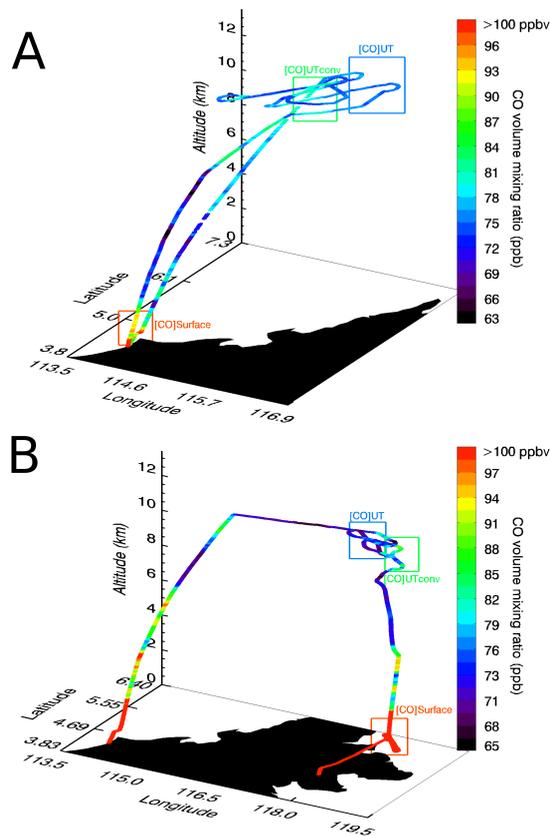


Figure 11. CO volume mixing ratio along the flight trajectories for the flights on 19 November 2011 (a) and on 9 December 2011 (b).

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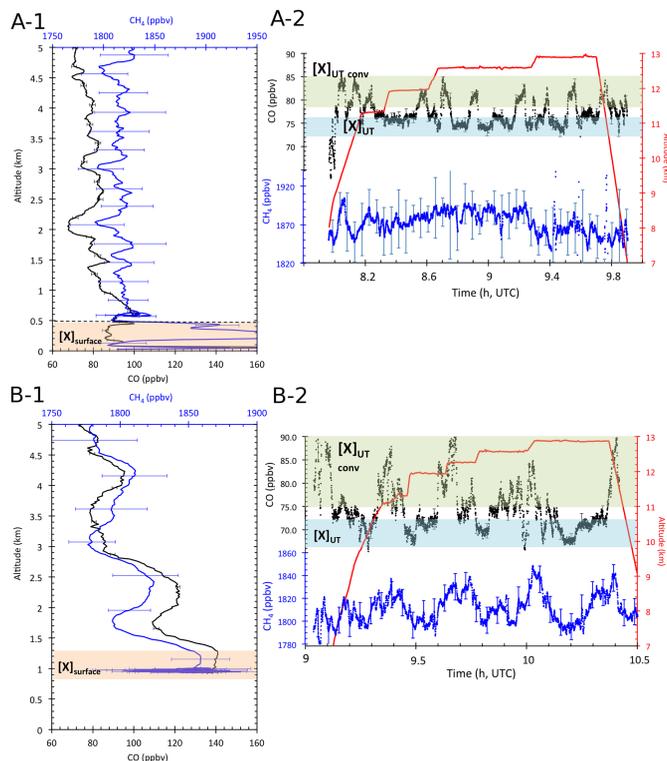


Figure 12. CO (in black) and CH₄ (in blue) volume mixing ratio vs. altitude (**a1**, **b1**) and vs. time (**a2**, **b2**) for the flights on 19 November 2011 (**a**) and on 9 December 2011 (**b**). $[X]_{\text{surface}}$, $[X]_{\text{UT}}$ and $[X]_{\text{UT conv}}$ represent the volume mixing ratios of CO or CH₄ in the boundary layer, in the upper troposphere and in the upper troposphere influenced by the convection, respectively. The vertical profiles of vmr on 19 November and on 9 December are measured during the take-off and the landing of the aircraft and below the convection.