New sedimentological and palynological data from the Yarkand-Fergana Basin (Kyrgyz Tian Shan): Insights on its Mesozoic paleogeographic and tectonic evolution

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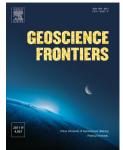
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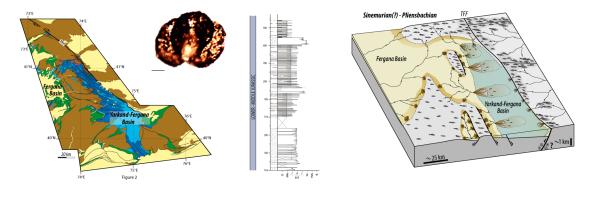
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# New sedimentological and palynological data from the Yarkand-Fergana Basin (Kyrgyz Tian Shan): Insights on its Mesozoic

## 3 paleogeographic and tectonic evolution

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13 Abstract

The Talas Fergana/Karatau Fault, is a major tectonic boundary separating the Kazakh-Turan 14 domain to the west from the Tian Shan domain to the east. During the Jurassic, movements 15 along the fault led to the opening of several basins. Still, the Mesozoic kinematics of the fault 16 and the geodynamic mechanism that led to the opening of these basins are largely 17 18 unconstrained. Located at its southwestern termination, the Yarkand-Fergana Basin is 19 certainly the best exposed and however still poorly understood. In this study, we provide new sedimentological description of the Jurassic series from the northern part of the Yarkand-20 21 Fergana Basin as well as new palynological data. Following a Middle-Late Triassic period 22 dominated by regional erosion, the onset of sedimentation in the Yarkand-Fergana Basin 23 occurred during the Sinemurian(?)-Pliensbachian. The basin opened as a half graben 24 controlled by the Talas Fergana/Karatau Fault and separated from the Fergana Basin by basement highs. Extension persisted during the late Pliensbachian-Middle Jurassic, leading to 25 a general widening of the Yarkand-Fergana Basin. Finally, Late Jurassic-Early Cretaceous 26 renewed tectonic activity in the area led to the inversion of the north Yarkand-Fergana Basin. 27

The Early to Middle Jurassic timing of development of the Yarkand-Fergana Basin suggests that the coeval movements along the Talas Fergana/Karatau Fault are not associated to the collision of the Qiangtang block along the southern margin of Eurasia. We favor the hypothesis of an opening controlled by transtension related to far field effects of back-arc extension along the Neo-Tethys subduction zone to the west.

33 Keywords: Central Asia; Talas Fergana/Karatau Fault; Extension; Jurassic

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### 35 **1. Introduction**

Extending NW-SE from eastern Kazakhstan to western China (Fig. 1), the Talas 36 37 Fergana/Karatau Fault is a key structural feature in Central Asia. The fault belongs to a series 38 of parallel strike-slip lineaments that develop north and, for some of them across the Western Tian Shan range (Fig. 1). It is widely accepted that the Talas Fergana/Karatau Fault 39 40 underwent multiple phases of deformation from the Neoproterozoic to the Cenozoic, 41 especially during the Permian transpressive deformation and the late Cenozoic, ongoing 42 orogeny (Ognev, 1939; Burtman, 1964; Allen et al., 2001; Alexeiev et al., 2009; Rolland et al., 2013). In between those two major events, during the Early-Middle Jurassic, a peculiar 43 44 period of transtensional tectonics affected this structure, resulting in the formation of the 45 South Turgay, Leontiev and Yarkand-Fergana basins (e.g. Ognev, 1946; Sobel, 1999; Allen et al., 2001; Alexeiev et al., 2017; Schnyder et al., 2017). It has been recently demonstrated that 46 47 during the Jurassic, the Talas Fergana/Karatau Fault was separating a generally 48 transpressional domain to the east from a largely extensional domain to the west (Morin et al., 2018). Nonetheless, the Mesozoic kinematics of the fault and the geodynamic mechanism that 49 50 led to the opening of these basins are still poorly constrained due to the lack of detailed field 51 data. Among the three basins, the Yarkand-Fergana is certainly the best exposed and

52 preserved, the South Turgay Basin being extensively covered by Cenozoic deposits while the 53 Leontiev Basin has been strongly deformed by strike-slip movement along the Talas 54 Fergana/Karatau Fault (e.g. Allen et al., 2001). Located in the south-western termination of 55 the Talas Fergana/Karatau Fault, the Yarkand-Fergana Basin contains up to 5 km of Jurassic 56 sediments in its southern part (Osmonbetov et al., 1982; Sobel, 1999). Russian geologists 57 have provided a number of stratigraphic and structural information on the basin and its relation to the Talas-Fergana fault (e.g. Ognev, 1946; Brik, 1953; Sinitsyn, 1960; Genkina, 58 59 1977; Biske, 1982). However, its sedimentary evolution, its timing of opening and its Mesozoic kinematics remain poorly understood. 60

In this study, we report new sedimentological descriptions of the Jurassic series from the northern part of the Yarkand-Fergana Basin as well as new palynological data which we use to provide age constrains on those sediments. We use those data to decipher the Late Triassic to Late Jurassic–Early Cretaceous paleogeographic and tectonic evolution of the basin. The data are then discussed in terms of geodynamic implications for the kinematics of Central Asia during the Jurassic period.

### 67 2. Geological setting

### 68 2.1. Paleozoic to Cenozoic evolution of the Talas Fergana/Karatau Fault

The Talas Fergana/Karatau Fault is a major NW–SE oriented strike-slip structure extending for more than 2000 km from Kazakhstan to western China which has undergone multiple phases and styles of deformation during its evolution (Ognev, 1939; Sobel, 1999; Allen et al., 2001; Rolland et al., 2013; Alexeiev et al., 2009, 2017) (Fig. 1). In the Talas and Fergana ranges, the Talas-Fergana/Karatau strike-slip system initiated no earlier than the middle Permian as indicated by its crosscutting relations with Early to Middle Permian structural elements (Biske, 1982; Burtman et al., 1996; Bazhenov et al., 1999; Alexeiev et al.,

76 2017). In this area, the strike-slip motion is well-expressed and can reach up to 200 km of cumulated Paleozoic to Quaternary right-lateral displacement (Burtman, 1964). To the north, 77 in the Talas and Karatau ranges, the late Paleozoic phase of deformation reactivated an older 78 Paleozoic major structural system that corresponded to the boundary between the Karatau-79 80 Talas and Middle Tian Shan terranes (Nikolaev, 1933). This first-stage of deformation has 81 been constrained by geochronological data from late Permian to Middle Triassic (Konopelko 82 et al., 2013; Rolland et al., 2013; Loury et al., 2016, 2018a,b; Jourdon et al., 2017). It has been 83 suggested that the deformation induced a maximum offset of 70 km (Alexeiev et al., 2017). 84 The second stage of strike slip deformation, very likely related to the Early-Middle Jurassic opening of the South Turgay, Leotniev and Yarkand-Fergana basins, affected the Talas 85 86 Fergana/Karatau Fault (Sobel, 1999; Moseley and Tsimmer, 2000; Allen et al., 2001; Shi et 87 al., 2016; Alexeiev et al., 2017) although strike-slip motion has been refuted in an early study 88 by Sinitsyn (1960). In the Kyrgyz region, a Late Triassic-Early Jurassic period of brittle reactivation of the fault has been identified by Ar-Ar dating at  $195 \pm 3$  Ma (Rolland et al., 89 90 2013). Based on a kinematic analysis of the Jurassic grabens of the southern Turgay Basin, 91 Alexeiev et al. (2017) estimated the Jurassic right lateral maximum offset along the Talas 92 Fergana/Karatau Fault to be up to tens of kilometers. Finally numerous observations, 93 including seismicity point out to a third stage of strike-slip deformation along this major 94 structure from Oligocene to present (e.g. Burtman et al., 1996; Alexeiev et al., 2017; Bande et al., 2017). In the Fergana Range, the Late Cenozoic strike slip motion is expressed by well-95 96 developed paleoseismic deformations such as fault scarps as well as displacements of the 97 relief forms (Korjenkov et al., 2012). Moreover, several studies (e.g. Burtman, 2012; Korzhenkov et al., 2014; Feld et al., 2015; Tibaldi et al., 2015) pointed out that the TFF is still 98 99 active, with an average slip rate estimated at ~9-14 mm/a based on radiocarbon and cosmogenic nuclides dating of terraces displaced by the fault (Burtman et al., 1996; Trifonov 100

101 et al., 2015; Rizza et al., 2019). However, in the Kyrgyz Tian Shan region, a significant part 102 of the Cenozoic strike-slip displacement along the Talas Fergana/Karatau Fault is 103 accommodated by two thrust belts (Fig. 1): (1) one that juxtaposes the Chatkal Ridge against 104 the northern part of the Fergana Basin and (2) the other juxtaposing the Kokshaal Ridge 105 against the western Tarim (Burtman et al., 1987; Bazhenov et al., 1993). The total amplitude 106 of strike-slip motion during the Cenozoic is estimated at no less than 60 km, as attested by the 107 displacement of Cretaceous facies zones in the northern parts of the Fergana and Naryn basins 108 (Verzilin, 1968; Burtman et al., 1996).

### 109 2.2. Basins associated with the Talas Fergana/Karatau Fault

### 110 2.2.1. The South Turgay Basin

111 Located on the northern termination of the Talas Fergana/Karatau Fault, the South 112 Turgay Basin (Fig. 1) is characterized by a series of N to NW oriented grabens and half-113 grabens, separated by basement highs and filled by fluvio-lacustrine sedimentary rocks 114 (Moseley and Tsimmer, 2000; Allen et al., 2001; Shi et al., 2016; Alexeiev et al., 2017). Opening of this basin is believed to have begun during the Early Jurassic in response to 115 116 renewed dextral activity along the Talas Fergana/Karatau Fault but biostratigraphic data 117 available to support that hypothesis are limited due to the extensive Cenozoic cover that 118 prevent access to the Mesozoic series (Moseley and Tsimmer, 2000; Allen et al., 2001; 119 Alexeiev et al., 2017). The general evolution of this basin can be summarized as follows:

(1) An Early–Middle Jurassic rifting phase during which sedimentation consisted of
coarse-grained fan delta sediments deposited along the graben margins and passing, in the
central part of the basin, towards finer lacustrine deposits (Moseley and Tsimmer, 2000; Shi
et al., 2016; Alexeiev et al., 2017).

(2) A period of tectonic inversion at the end of the Middle Jurassic as indicated by the
presence of an angular unconformity between Middle and Upper Jurassic sedimentary rocks
locally reaching 20° (Moseley and Tsimmer, 2000; Alexeiev et al., 2017).

127 (3) A Late Jurassic post-rift phase dominated by thermal subsidence and represented
128 by alluvial to lacustrine sediments containing extensive coal beds (Moseley and Tsimmer,
129 2000; Shi et al., 2016).

Finally, nearly horizontal sequences of Cretaceous and Cenozoic sedimentary rocks
unconformably overly older rocks and consist of marine and continental deposits (Alexeiev et
al., 2017).

### 133 2.2.2. The Leontiev Basin

The Leontiev Basin (Fig. 1) is an elongate basin containing Jurassic continental 134 135 sediments and located within the central part of the Talas Fergana/Karatau Fault (Allen et al., 2001; Alexeiev et al., 2017; Schnyder et al., 2017). This basin is generally interpreted either 136 137 as a pull-apart basin (Sobel, 1999) or as a dextral transtensional structure developing in a 138 right-stepping jog in the fault system (Allen et al., 2001; Alexeiev et al., 2017). Its Jurassic 139 sedimentary succession unconformably overlies Paleozoic basement rocks and first consists 140 of undetermined Jurassic, pre-Toarcian conglomerates alternating with sandstones and 141 siltsones (Buvalkin et al., 1991; Schnyder et al., 2017). In the Leontiev Basin, the Lower-142 Middle Jurassic deposits are tilted and are locally unconformably overlain by Upper Jurassic 143 strata suggesting Middle-Late Jurassic tectonic deformation and tilting (Allen et al., 2001; 144 Alexeiev et al., 2017).

### 145 2.2.3. The Yarkand Fergana Basin

146The Yarkand Fergana Basin is located on the south-western termination of the Talas-147Fergana/Karatau fault (Figs. 1 and 2) and contains up to 5 km of Jurassic sediments in the

close vicinity of the fault and decreasing away from it (Osmonbetov et al., 1982; Sobel, 1999; 148 149 Allen et al., 2001; Alexeiev et al., 2017; De Pelsmaeker et al., 2018). Most published 150 information about the sedimentary succession and tectonic evolution of the Kyrgyz part of the 151 Yarkand-Fergana Basin have been published in Russian (e.g. Ognev, 1946; Brick, 1953; 152 Belgovskiy et al., 1958; Genkina, 1977). A few studies have also been done in the Chinese 153 part of the basin. In this area, some Lower Jurassic series rest unconformably on Paleozoic 154 basement rocks and consist of alluvial fan, fluvial and lacustrine or swamp deposits (Sobel, 155 1999). The lower Middle Jurassic series consist, in the close vicinity of the fault of fluvial 156 conglomerates whereas the western part of the basin was dominated by lacustrine and swamp 157 deposits (Sobel, 1999). The upper Middle Jurassic strata correspond to shallow lacustrine, fluvial and floodplain deposits (Sobel, 1999). Finally, the Upper Jurassic-Lower Cretaceous 158 transition consists of up to 400 m-thick conglomeratic fluvial channel systems followed 159 upward by Lower Cretaceous fluvial red beds deposits (Sobel, 1999). Based on these 160 observations and on fault geometric relations, it has been proposed that the Yarkand Fergana 161 162 Basin either formed as a pull-apart basin (Sobel, 1999) or as a dextral transtensional structure 163 at a right-stepping jog in the fault system (Allen et al., 2001; Alexeiev et al., 2017). However, 164 its timing of opening is not well constrained due to a lack of available biostratigraphic data.

165 **2.3. The Fergana Basin** 

The Fergana Basin is situated to the west of the Yarkand-Fergan Basin (Fig. 2) which contains in its thickest part, around 10 km of Permian to Quaternary sediments. Following the late Paleozoic building of the ancestral Tian Shan, post-orogenic Upper Permian to Lower Triassic alluvial to lacustrine sediments were deposited (Clarke, 1984; Moisan et al., 2011) although the Lower Triassic series are largely unconformably resting on Paleozoic basement, suggesting ongoing tectonic movements during the Late Permian (Osmonbetov et al., 1982). The basin was then subsequently inverted, this deformation leading to a Middle–Late Triassic

erosional event (Clarke, 1984; Bande et al., 2015). Renewed subsidence started from the Early
Jurassic and led to the accumulation of alluvial to lacustrine deposits during the Jurassic and
Early Cretaceous (Clarke, 1984; Jolivet et al., 2017a; De Pelsmaeker et al., 2018).

### 176 **3. Stratigraphic and tectonic framework of the Yarkand-Fergana Basin**

### 177 **3.1. General stratigraphy and remaining uncertainties**

178 The Yarkand-Fergana Basin is an elongated NW-SE orientated basin mostly filled by 179 Jurassic clastic sediments and subdivided into three to five lithostratigraphic units depending 180 on both the various available geological maps that diverge (Belgosvskiy et al., 1958; Luik and Zapolnov, 1960; Osmonbetov, 1980) and on the location in the basin (Figs 3 and 4). In the 181 northern part of the basin, the Tuyuk and Chaartash (also called Uaartashskaya Fm.) 182 183 formations (fms) are attributed to the Lower Jurassic and are considered to be the equivalent 184 of the Shalitashi and Kansu fms found in the southern part of the basin (Fig. 3). In the 185 northern part of the Yarkand-Fergana Basin, the Lower Jurassic series are followed by an 186 undifferentiated Middle Jurassic succession. In the southern part of the basin, this unit is 187 subdivided into the Yangye and Targa fms (Fig. 3). Finally, both the Koshbulak (north) and 188 Kuzigongsu (south) fms are attributed to the Upper Jurassic (Fig. 3).

However, this general stratigraphic framework is not well constrained with stratigraphic ages varying from one geological map to another (Fig. 4A, B). These differences mostly concern the presence, or lack of presence, of Triassic/Lower Jurassic sediments along the western margin and in the central part of the basin but also on the occurrence, or not, of Upper Jurassic sediments in its northwestern part (Fig. 4A, B). Moreover, due to facies similarities, these different series are difficult to discriminate on the field and no available biostratigraphic data are available in this area.

Similarly, in the Fergana, South Turgay and Leontiev basins, Jurassic deposits are
subdivided into several lithostratigraphic units which differ from basins to basins and are not
well constrained (Fig. 3).

### 199 **3.2. General structure and tectonic framework**

Based on geological maps (Fig. 4), the general tectonic structure of the north Yarkand-Fergana Basin is characterized by a series of faults trending parallel to and oblique to the Talas Fergana fault and by NW–SE orientated folds (Figs. 2 and 4). However, more tectonic complexities are visible in this area giving us details about the general structural pattern of the basin and clues about its evolution.

### 205 3.2.1. Bayman-Bet and Kara Alma areas

The Bayman-Bet area is located in the northwestern part of the Yarkand-Fergana Basin (Fig. 2 for location; Table 1 for GPS coordinates). In this region, an angular unconformity is observed (Fig. 5) between rather flat-lying Jurassic deposits and strongly deformed Paleozoic rocks (Osmonbetov, 1980). The base of these Jurassic deposits present fan-shaped geometries with thickening of the series toward the East (Fig. 5).

The Kara-Alma area is located in the north-west Yarkand-Fergana Basin, in the Sarik valley (Fig. 2; Table 1 for GPS coordinates). In this region, Jurassic deposits rest unconformably on strongly deformed Paleozoic rocks (Fig. 6A). The base of the series is affected by several NW–SE oriented normal faults accommodating a total offset estimated at  $\approx 100$  m (Fig. 6B).

### 216 **3.2.2. Chitty and Pychan areas**

The Chitty area is located in the southern part of the Yassy valley (Figs. 2 and 4). In this region, Jurassic deposits are more deformed than in the Bayman-Bet and Kara-Alma

areas. Numerous NW–SE oriented folds are visible in the field locally accommodated by E–
W, south verging faults (Fig. 7A–C).

221 To the east, in the Pychan area, located along the Talas-Fergana/Karatau fault (Figs. 2 and 4), Jurassic sediments are also deformed and affected by thrust faults (Fig. 7D). In this 222 223 location, geological maps are disagreeing (Fig. 4). Indeed, on the 1/500,000 scale map 224 (Osmonbetov, 1980), the contact between Lower Jurassic and Paleozoic basement rocks is 225 marked by a fault, while on the 1/200,000 scale map no fault contact is reported (Fig. 4B). 226 The stratigraphy also varies depending on geological maps with the presence/or not of a 227 Triassic/Lower Jurassic series. Our observations indicate, at least in the Pychan area, an absence of fault contact between the Jurassic strata and basement rocks with Jurassic 228 sediments onlapping onto the Paleozoic carbonate units (Fig. 7E, F). Moreover, no 229 lithological variation has been observed on the field between the supposed Triassic/Lower 230 231 Jurassic series and the Lower Jurassic Chaartash Fm. It is therefore difficult to attest of the 232 presence/or not of these two distinct units in this region without any biostratigraphic 233 constrains.

### **4. Sedimentological analyses and interpretations**

In this study, we present new sedimentological data from the Jurassic sedimentary units of both the Yarkand-Fergana and Fergana basins (Figs. 2 and 4C; Table 1 for GPS coordinates of the different sections). Detailed sedimentological sections (1/1000), including facies and trace fossil analyses, were performed with the objective of reconstructing the evolution of the depositional environments through time. A description of the main sediment facies assemblages and their interpretation in terms of depositional environments is given in Table 2.

In the absence of available biostratigraphic or chemiostratigraphic data, we first relied on geological maps (Luik and Zapolnov, 1960; Osmonbetov, 1980) to establish the first-order

244 age intervals in the sediment sequences at the scale of the basin. To fully establish, and better 245 constrain those ages, we then conducted biostratigraphical analyses from sporopollen 246 assemblages on 22 samples collected along the Kara Alma, West Chitty and East Chitty 247 sedimentary sections.

248 **4.1. The Yarkand-Fergana Basin** 

### 249 4.1.1. Bayman-Bet section

The Bayman–Bet section (Figs. 2 and 5A; Table 1 for GPS coordinates) is limited to a ~30 m-thick outcrop of Jurassic deposits (Osmonbetov, 1980). However, the stratigraphic age of this outcrop is not well constrained with ages varying from the Lower Jurassic to the Middle-Upper Jurassic depending on geological maps (Fig. 4). The deposits consist of greyish siltstones alternating with fine to medium-grained sandstone beds locally moderately bioturbated and containing numerous plants debris. Those sediments are interpreted to be lacustrine deposits (LE2, Table 2) (Fig. 9).

257 Further east, and stratigraphically below the outcrop previously described, Lower-Middle Jurassic series unconformably resting on Paleozoic basement rocks are 258 259 discontinuously exposed on a forested slope (Fig. 4B). Due to poor exposure, no precise 260 sedimentological analysis has been conducted on these strata. The lower deposits consist of 261 stacked coarse-grained sandstone beds showing faint planar cross-bedding possibly 262 representing lacustrine delta deposits (LD, Table 2). This first sequence is overlain by 263 alternating siltstone and sandstone layers, apparently very similar to the lacustrine facies 264 previously described.

- 265 4.1.2. Kara Alma section
- 266 <u>- Sedimentology:</u>

The Kara-Alma section (Figs. 2 and 10A; Table 1 for GPS coordinates) was logged along a river incision scarp and covers ~230 m of strata attributed to the Triassic (?) and Lower Jurassic Tuyuk and Chaartash fms (Luik and Zapolnov, 1960).

At the base of the section, a ~10 m-thick succession consisting of clast-supported 270 271 conglomerates with sub-angular to sub-rounded pebbles to boulders is interpreted as either 272 alluvial fan or delta fan deposits (AF/DF, Table 2) rests unconformably on the Paleozoic 273 basement (Fig. 10A). The next ~50 m consist of stacked medium grained sandstones 274 alternating with siltstones containing numerous plant fragments and m-thick coal beds 275 interpreted as lacustrine delta deposits (LD, Table 2) (Fig. 10A). These deposits are directly 276 followed by a ~170 m-thick unit consisting of dark-grey, organic-rich siltstones and coal beds, 277 interbedded with medium-grained sandstone beds interpreted as lacustrine deposits (LE1, 278 LE2, Table 2) (Fig. 10A).

### 279 <u>- Biostratigraphy:</u>

Seven samples have been collected along the Kara Alma section in order to constrain the age of the deposits (Table S1; Fig. 10A for samples location). Palynomorph recovery was variably low to high and the pollen and spores are generally poorly to very poorly preserved, tending to be degraded and of dark colour (Fig. 11). However, there is enough variability in the preservation and sufficient numbers of speciemens to allow for some specific identifications (See supplementary data for more details).

Samples YF-18-01 to YF-18-06 have assemblages which include *Apiculatisporites ovalis* (high abundance in sample YF-18-01), together with common *Apiculatisporites* spp., *Baculatisporites* spp., *Cyathidites* spp., *Osmundacidites* spp. and bisaccate pollen. A. ovalis is typical of the Early to Middle Jurassic, whilst additional *Callialasporites turbatus* (sample YF-18-04) and *Cerebropollenites thiergartii* (samples YF-18-02 and YF-18-04) provide evidence for a late-Early to Middle Jurassic age, not older than latest Pliensbachian. The

assemblage recorded from sample YF-18-07 is characterized by abundant bisaccate pollen
(including *Alisporites* spp.), together with abundant *Cyathidites* spp. and *Lycopodiumsporites autroclavatidites*, and additional *Callialasporites turbatus* and *Quadraeculina anellaeformis*.
This overall association of taxa suggests an age within the late-Early to Middle Jurassic, not
older than latest Pliensbachian.

### 297 4.1.3. Kara Tuybe section

The Kara Tuybe section (Fig. 10B) is again located in the Sarik valley, SW of the Kara Alma section (Fig. 2; Table 1 for GPS coordinates). The sedimentological section was recorded along a scarp and covers Lower-Middle Jurassic or only Middle Jurassic deposits depending on the considered geological maps (Luik and Zapolnov, 1960; Osmonbetov, 1980) (Fig. 4). The exposure is locally interrupted by large patches of vegetation.

303 The base of the ~220 m logged section rests unconformably on Paleozoic basement rocks and consists of a ~10 m-thick pebbly conglomerates interpreted either as alluvial or 304 305 delta fan deposits (AF/DF, Table 2). The next ~5 m consist of an alternation of gravelly 306 sandstone beds with erosional basal boundaries and normally - graded gravelly to coarse-307 grained sandstones interpreted as lacustrine delta deposits (LD, Table 2) (Fig. 10B). Good 308 exposures are then lacking over a ~80 m gap in which only a few fine-grained sandstone 309 deposits have been observed. The rest of the section consists mainly of pebbly conglomerates 310 containing lenticular sandstone beds and of stacked beds of fine to gravelly sandstones 311 showing 3D megaripples and occasional erosional basal boundaries. These sediments are interpreted as lacustrine delta deposits (LD, Table 2) (Fig. 10B). 312

### 313 4.1.4. West Chitty section

314 <u>Sedimentology:</u> The West Chitty section (Fig. 12A) is located in the southern part of the 315 Yassy valley (Fig. 2; Table 1 for GPS coordinates). This section was logged along two

distinct cliffs separated by a few hundred meters across a small river and covering Lower
Jurassic to Middle Jurassic deposits based on the geological map (Osmonbetov, 1980; Luik
and Zapolnov, 1960).

319 In this area, the 1/500,000 geological map (Osmonbetov, 1980, 1982) indicates a Late 320 Triassic-Lower Jurassic age for the Tuyuk Fm that rests unconformably on the Paleozoic 321 basement, although this base is not visible in the investigated sections. The Late Triassic age 322 for the base of the series has been challenged by Genkina (1977) based on paleobotanic data. 323 The lower part of the first section consists of a ~210 m-thick succession of heterolithic facies 324 deposits composed of medium-grained sandstones, locally bioturbated, alternating with fine-325 grained sandstone beds (Fig. 12A). This succession is interpreted as deposited in a lacustrine 326 environment dominated by turbiditic sand deposits (LE1, Table 2).

327 Following a tectonically deformed area consisting mainly of a fault propagated fold 328 developing on a south-directed thrust, the first ~140 m of the second section consists of an alternation of organic-rich siltstones and fine-grained sandstones, locally bioturbated and 329 330 showing occasional oscillatory ripples (Fig. 12A). The next ~260 m consists of pluri-m thick 331 thin-bedded heterolithic facies deposits composed of organic-rich siltstones and fine-grained 332 sandstones containing numerous plant-fragments alternating with m- to pluri-m thick medium-grained sandstone beds (Fig. 12A). Altogether, these facies associations are 333 334 interpreted as lacustrine deposits (LE2, Table 2). The next ~ 40 m-thick units consist of 335 stacked gravelly to coarse-grained sandstone beds interpreted as lacustrine delta deposits (LD, 336 Table 2) (Fig. 12A). Finally, the top 80 m are dominated by organic-rich siltstone deposits interpreted as deposited in a more distal lacustrine environment (LE3, Table 2). 337

338 <u>*Biostratigraphy:*</u> Five samples were collected from the West Chitty section and analyzed in 339 order to constrain the stratigraphic age (Table S2; Fig. 12A for samples locations). In these 340 samples, palynomorph recovery was variably low to high with pollen and spores generally poorly to very poorly preserved, tending to be degraded (Fig. 11) (See supplementary data formore details).

343 The assemblages recorded from samples Db-1 to Db-3 are of low abundance, and mainly comprise low numbers of Cyathidites spp., bisaccate pollen, and unidentifiable trilete 344 345 spores and miospores. Occasional specimens of Apiculatisporites ovalis suggest an age not 346 older than Early Jurassic (Table S2). Sample Db-4 yielded a high abundance assemblage 347 which is dominated by trilete spores, mainly abundant Cyathidites spp., with additional 348 Concavisporites spp., Concavissimisporites spp. and Granulatisporites spp., and a large 349 proportion of unidentifiable trilete spores and miospores. Rare specimens of Apiculatisporites 350 ovalis. Cerebropollenites mesozoicus, Cerebropollenites thiergartii, Eucommidites 351 granulosus, Nevesisporites vallatus, Quadraeculina anellaeformis and Echinitosporites sp. A (Bujak and Williams, 1977) suggest an Early Jurassic age, within the Pliensbachian-352 353 Sinemurian (Table S2). However, these assemblages are not well constrained. In addition possible reworking of Triassic assemblages has been identified within samples Db-2, Db-3 354 355 and Db-4 with the presence of Aratrisporites spp. (sample Db-4), with rare Lunatisporites sp. 356 (sample Db-3) and a (?)striate bisaccate pollen (sample Db-2). This last result seems in 357 agreement with the Early Jurassic age proposed by Genkina (1977) for the base of the series 358 although indicating that some Triassic deposits did occur in that area that were reworked by 359 the Lower Jurassic sediments.

### 360 4.1.5. East Chitty section

361 <u>Sedimentology</u>: The East Chitty section (Fig. 12B) is located ~ 5 km eastward of the
 362 previously described West Chitty section (Fig. 2; Table. 1 for GPS coordinates). This section
 363 was recorded along a cliff covering ~ 470 m of the Lower Jurassic Chaartash Fm (Luik and
 364 Zapolnov, 1960).

The base of the logged section consists of a ~ 50 m-thick alternation of siltstone and 365 fine-grained sandstones interpreted as lacustrine deposits (LE2, Table 2). Following a ~ 20 m-366 367 thick gap in observation, the next 360 m of the section consist mainly of siltstones and fine to 368 medium-grained sandstones containing numerous coal beds (Fig. 11B) interpreted as 369 deposited in variable lacustrine environments (LE1 - LE2, Table 2). The next ~ 20 m-thick 370 consist of coarse-grained sandstones containing plant fragments and showing sigmoidal 371 bedding (Fig. 12B), and are interpreted as lacustrine delta deposits (LD, Table 2). Finally, the 372 top of the section is dominated by organic-rich siltstone deposits locally associated to coal 373 beds typical of a lacustrine environment (LE2, Table 2). This last sedimentary succession extends above the section for several 10s of meters but was no logged in details. 374

*Biostratigraphy:* In the East Chitty section, 10 biostratigraphic samples were analyzed in
order to constrain the covered stratigraphic interval (Table S3; Fig. 12B for samples location).
In these samples, palynomorph recovery was variably low to high with pollen and spores
generally poorly to very poorly preserved (Fig. 11) (See supplementary data for more details).

379 The assemblages are dominated by bisaccate pollen (including Alisporites spp.), 380 together with the spore Cyathidites spp., associated with generally common Apiculatisporites 381 spp., Baculatisporites spp. and Osmundacidites spp., and a large proportion of unidentifiable 382 trilete spores and miospores (Table. S3). Rare specimens of Callialasporites turbatus 383 recorded from samples D-8, D-36, D-40 and D-52, together with Callialasporites dampieri 384 recorded from sample D-36, provides positive evidence for an age not older than latest 385 Pliensbachian. Moreover, additional rare Cerebropollenites thiergartii (sample D-60), Concentrisporites sp. (samples D-8, D-16 and D-56), Quadraeculina anellaeformis (samples 386 387 D-40 and D-76), and Nevesisporites vallatus (samples D-16, D-20 and D-36), and rare to 388 common Apiculatisporites ovalis (samples D-8, D-16, D-36, D-60 and D-76) provide 389 supporting evidence for an age within the late Early–Middle Jurassic (Table S3).

### 390 4.1.6. Pychan section

The Pychan section (Fig. 13) is located along the Talas-Fergana fault on the eastern margin of the basin, nearby the Pychan River (Fig. 2; Table 1 for GPS coordinates). Due to its extremely remote and very high altitude position that section was not logged in details except for characteristic features.

The Lower Jurassic strata (Osmonbetov, 1980) consist of heterolithic facies composed of organic-rich siltstones and fine-grained sandstones, alternating with pluri-m-thick, normally graded, gravelly to fine-grained sandstones presenting convex-up geometries. The whole section is interpreted as deposited in lacustrine environments including fan/lobe systems (LE2, Table 2) (Fig. 13). In addition, the general geometry of these deposits indicates sedimentary input coming from the west and onlapping toward the east on Paleozoic basement rocks (Fig. 13).

### 402 **4.1.7. Terek section**

The Terek section (Fig. 14) is located nearby the city of Terek, in the southern reach of the Kyrgyz Yarkand-Fergana Basin (Fig. 2; Table 1 for GPS coordinates) and covers up to 1400 m of Jurassic strata (Belgovskiy et al., 1958).

406 The first  $\sim 25$  m-thick of the section either corresponds to alluvial fan or delta fan 407 (AF/DF, Table 2). It is followed by an  $\sim$  75 m-thick succession interpreted as lacustrine delta 408 evolving toward a 320 m-thick unit corresponding to lacustrine environment dominated by 409 turbiditic sand deposits (LE1, Table 2). The next ~ 130 m are interpreted as lacustrine delta 410 deposits (LD, Table 2) and are followed by a ~ 700 m-thick series evolving toward more 411 distal lacustrine environments (LE2-LE3, Table 2). Finally, this fine-grained unit is followed 412 by sandstones and conglomerates corresponding to alluvial fan systems (AF, Table 2) (Fig. 413 14).

### 414 **4.2. The Fergana Basin**

### 415 **4.2.1. Yassy section**

The Yassy section (Fig. 15) is located in the east Fergana Basin along the Yassy river
(Fig. 2) and presents ~220 m of deposits ranging from Middle Jurassic to Late Jurassic–Early
Cretaceous based on geological maps (Luik and Zapolnov, 1960; Osmonbetov, 1980).

419 In this area, the Middle Jurassic sediments rest unconformably on Paleozoic basement rocks. The first ~ 60 m consists of yellowish, stacked medium to coarse-grained sandstones 420 421 interpreted as lacustrine delta deposit (LD, Table 2) (Fig. 15). It is followed by a ~220 m thick 422 unit of massive, reddish siltstone deposits alternating with fine to medium-grained sandstones, often bioturbated, interpreted as distal lacustrine environment deposits (LE3, Table 2) (Fig. 423 424 15). The transition between the Jurassic and the Cretaceous (Osmonbetov, 1980) is sharp, 425 with depositional environments switching from distal lake to alluvial fan systems characterized by matrix to clast-supported conglomerates (Fig. 15). No angular unconformity 426 427 is observed between the conglomerates and the underlying deposits. Pebbles are mainly 428 composed of Paleozoic basement rocks (including cherts and metamorphic carbonates) with a 429 few fragments of probably Mesozoic sandstones. This is consistent with the observations of 430 Poyarkova (1969) in the eastern Fergana Basin and that of De Pelsmaeker et al. (2018) in the 431 Tash Komyr section (NE-Fergana Basin).

### 432 **5. Tectono-stratigraphic evolution of the north Yarkand-Fergana region**

433 **5.1. Middle–Late Triassic** 

In both the north Fergana and Yarkand-Fergana basins, the Middle–Late Triassic period is generally associated to a hiatus in sedimentation (Clarke, 1988; Bande et al., 2017b) as well as by exhumation within the Kyrgyz Tian Shan (De Grave et al., 2011, 2013; Glorie et al., 2011). Altogether, these data suggest that this region was under erosion during the

438 Middle-Late Triassic. However, the presence, in the Bayman-Bet area (see Table 1 for 439 location), of Lower Jurassic deposits resting unconformably above a relatively flat Paleozoic 440 surface imply that no high relief existed in this region at that time. Middle-Late Triassic 441 tectonic activity has been reported along the Talas Fergana/Karatau and more specifically in 442 the Karatau Range where the time of deformation was constrained from late Permian to 443 Triassic (Konopelko et al., 2013). In the Fergana region, Late Triassic brittle reactivation of 444 the Talas Fault has also been described (Rolland et al., 2013). However, the impact of this 445 Triassic tectonic activity on relief building or on the potential development of sedimentary 446 basins in the Yarkand-Fergana region is unknown. In the Yarkand-Fergana Basin, Triassic 447 flora has been reworked within Lower Jurassic sediments (Tables S2, S3), supporting the idea that Triassic strata are not preserved (Genkina, 1977), while restricted areas of continental 448 449 sedimentation have been reported in the southwestern part of the Fergana Basin (Moisan et 450 al., 2011). Based on all these observations, we propose that the north Yarkand-Fergana region 451 was mainly dominated by low-reliefs with only restricted areas of sedimentation and limited 452 tectonic activity occurring along the Talas Fergana fault (Fig. 16A).

### 453 **5. 2. Early Jurassic: Sinemurian(?)–Pliansbachian**

454 The Early Jurassic corresponds to the onset of sedimentation within the North East 455 Fergana and northern Yarkand-Fergana basins (Fig. 16B). In the latter, sedimentation 456 consisted mainly on alluvial fan/fan delta and lacustrine delta deposits passing eastward 457 toward more distal lacustrine environments dominated by turbiditic and fan/lobe systems. 458 Along the western border of the Yarkand-Fergana Basin, the existence of several basement 459 highs is attested by the presence of angular unconformities between Lower Jurassic alluvial 460 fan/delta fan systems and the Paleozoic basement rocks (e.g. in Terek or in Kara-Alma regions). The exposed tectonic structures within the north Yarkand-Fergana region are 461 462 complex, including folds and NW-SE trending faults systems (Fig. 7). In this area, these faults

are associated with changes in thickness and in facies of the Lower Jurassic sequences
suggesting that first-order fault zones controlled sedimentation during this period (Tseyler et
al., 1982). In the south Yarkand-Fergana Basin, Lower-Middle Jurassic sediment thickness
decreases away from the Talas-Fergana Fault (Sobel, 1999) suggesting half-graben geometry
(Sinitsyn, 1960) (Fig. 16B).

468 The Early Jurassic corresponds to a period of renewed transtensive tectonic activity 469 along the Talas Fergana/Karatau Fault which led to the opening of the Yarkand-Fergana, 470 Leontiev and South Turgay basins (Sobel et al., 1999; Moseley and Tsimmer, 2000; Allen et 471 al., 2001; Shi et al., 2016; Alexeiev et al., 2017; Schnyder et al., 2017). However, the precise 472 timing of opening of these basins is not clearly known. In the South Turgay Basin, no 473 biostratigraphic ages are available while in the Leontiev Basin, Lower Jurassic sediments 474 have a pre-Toarcian (Pliansbachian) to Toarcian age (Schnyder et al., 2017). Within the 475 Yarkand-Fergana Basin, we have identified the oldest palynomorph assemblages as Early Jurassic (Sinemurian?) to Pliensbachian in age (Table S2). Altogether these data seems to 476 477 support a Sinemurian to Pliensbachian age of opening of the Yarkand-Fergana and Leontiev basins (and probably of the Turgay Basin) but further studies are needed to confirm this 478 479 hypothesis.

Meanwhile, to the west, in the Fergana Basin, renewed sedimentation also occurred during the Early Jurassic leading to the accumulation of 90–400 m-thick alluvial to lacustrine deposits (Osmonbetov et al., 1982; Clarke, 1984; De Pelsmaeker et al., 2018). In this basin, lateral thickness variations are minor, suggesting a different style of formation (Bande et al., 2017b) compared to the Yarkand-Fergana Basin (Fig. 16B).

Finally, this period is also characterized by tectonic reactivation of Paleozoic structures and exhumation within the Kyrgyz Tian Shan identified by low-temperature thermochronology (Sobel et al., 2006; De Grave et al., 2007, 2011; Nachtergaele et al., 2018).

However, this tectonic activity only led to localized relief building in the Tian Shan region (Morin et al., 2018 and references within). Lower Jurassic sedimentation also occurred within restricted areas of the Kyrgyz Tian Shan (De Pelsmaeker et al., 2018) and it is not clear if the Talas-Fergana/Karatau fault acted as a barrier or if the Yarkand-Fergana Basin expended further east. Although we investigated only one location along the Talas Fergana Fault, we did not find any evidence of westward directed sediment flux as could be expected should the fault form a major scarp.

### 495 **5.3. Late Pliensbachian–Middle Jurassic**

496 In the northern Yarkand-Fergana Basin, late Pliensbachian to Middle Jurassic 497 sedimentary rocks are characteristic of more distal depositional environments compared to 498 those previously described (Fig. 16C). Indeed, sedimentation consisted mainly of lacustrine 499 delta, lacustrine and distal lacustrine deposits often associated with extensive coal layers (this 500 study; De Pelsmaeker et al., 2018). Some basement highs could still have been present but 501 generally, this period corresponded to a widening of the Yarkand-Fergana Basin (Fig. 16C). 502 Late Early-Middle Jurassic motion along the Talas-Fergana fault still occurred leading to 503 continuous opening and sedimentation within the South Turgay, Leontiev and Yarkand 504 Fergana basins (Sobel, 1999; Moseley and Tsimmer, 2000; Allen et al., 2001; Shi et al., 2016; 505 Alexeiev et al., 2017; Schnyder et al., 2017).

To the west, in the Fergana Basin, Middle Jurassic sedimentation consisted of 100 to 300 m-thick alluvial to lacustrine deposits often associated to coal beds (Clarke, 1988; De Pelsmaeker et al., 2018).

509 Finally, in the Kyrgyz Tian Shan, this period was characterized by slow erosion 510 leading to progressive exhumation of the basement areas (Morin et al., 2018 for a synthesis). 511 Meanwhile, no sedimentation occurred within the Kyrgyz Tian Shan during this period as 512 attested by the presence of a Middle Jurassic to Eocene hiatus (VNIGNI et al., 1992).

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### 513 **5.4. Late Jurassic–Early Cretaceous:**

514 In the north Yarkand-Fergana Basin, no Upper Jurassic-Early Cretaceous sediment 515 has been observed while along the eastern Fergana Basin margin, sedimentation consisted 516 mainly of alluvial fan and alluvial plain deposits (Fig. 16D). Although in the Yassi River 517 section presented in this study, the Late Jurassic-Early Cretaceous conglomerates mainly 518 contain Paleozoic rock fragments, provenance studies on similar series from the NE Fergana 519 Basin (Tash Komyr section) indicate potential recycling of older Jurassic sediments and a 520 smaller drainage area compared to the Early–Middle Jurassic paleogeography (De Pelsmaeker et al., 2018). Poyarkova (1969) noted that in the eastern Fergana Basin, the Early Cretaceous 521 522 series, mainly containing pebbles derived from Paleozoic basement rocks, rested without 523 angular unconformity on the Jurassic deposits. However, such an unconformity has been 524 observed in the southern Fergana Basin (Gabril'yan and Babayev, 1960). These various 525 observation may indicate that, like in the Chinese Tian Shan, angular unconformities could develop locally (Jolivet et al., 2017). The preponderance in the Late Jurassic-Early 526 527 Cretaceous conglomerates of Paleozoic basement-derived clasts, associated to minor 528 Mesozoic sandstone fragments suggest an inversion of the Yarkand-Fergana Basin during that 529 period. Tectonic inversion and deformation have also been described in the South Turgay 530 (Yin et al., 2012) and Leontiev basins (Allen et al., 2001) indicating that transpression 531 occurred along the Talas-Fergana/Karatau fault during this period. This is attested by low-532 temperature thermochronology which identifies a Late Jurassic-Early Cretaceous cooling 533 event in the Kyrgyz Tian Shan Range suggesting localized relief building (De Grave et al., 534 2007, 2011, 2013; Glorie and De Grave., 2016; Nachtergaele et al., 2018).

### 535 6. Geodynamic implications and remaining uncertainties

536 The Early–Middle Jurassic corresponds to a peculiar period in the tectonic history of 537 the Talas Fergana/Karatau Fault. Indeed, despite having undergone several phases of pure or 538 transpresionnal dextral strike slip motion during its Paleozoic to Cenozoic evolution (Ognev, 539 1939; Sobel, 1999; Allen et al., 2001; Alexeiev et al., 2009, 2017; Rolland et al., 2013), only 540 its Jurassic activity led to the opening of several associated sedimentary basins (i.e. South 541 Turgay, Leontiev and Yarkand-Fergana basins). However, the driving mechanism leading to 542 these transient transtensional kinematics is still unclear. Some studies proposed that Early-543 Middle Jurassic strike-slip activity was driven by a regional compressional setting induced by 544 the Qiangtang collision (Sobel, 1999). However, the Early-late Early Jurassic timing of 545 opening of the Yarkand-Fergana Basin does not seem to be in agreement with this hypothesis. 546 The final stage of the Qiangtang collision is dated to the Late Triassic–Early Jurassic, with the 547 emplacement, in Eastern Tibet of late-orogenic to post-orogenic granites around 200 Ma 548 (Zhang et al., 2006; Roger et al., 2010). If associated to the Qiangtang collision, the strike-slip 549 motion along the Talas Fergana/Karatau Fault would thus be contemporaneous of the very 550 final collision phase. Furthermore, the subsequent Jurassic to Early Cenozoic period was marked, in Tibet, by an absence of vertical tectonic movements (Roger et al., 2011; Jolivet, 551 552 2017), except for the late Early Cretaceous Lhasa collision in southern Tibet (Kapp et al., 553 2005, 2007). This again contradicts the Pliensbachian–Middle Jurassic ongoing transtension 554 in the Yarkand-Fergana Basin being related to tectonic events within the Tibet region. Other 555 studies proposed that this Early-Middle Jurassic strike-slip activity could have occurred in a 556 regional extensional setting (Alexeiev et al., 2017; Morin et al., 2018). Indeed, as indicated 557 above, no major collisional event has been identified along the Eurasian margin during this period while simultaneous widespread extension associated to back-arc opening along the 558 559 northern Neo-Tethys subduction zone affected the Caspian/Turan domain to the west

(Zonenshain and Pichon, 1986; Nikishin et al., 1998; Thomas et al., 1999; Brunet et al., 2003, 560 2017; Robert et al., 2014; Mordvintsev et al., 2017; Rolland et al., 2020). It is for example 561 562 largely accepted that the South Caspian Basin initiated as a back-arc structure during the Early(?) to Middle Jurassic (Brunet et al., 2003 and references therein). Magmatism 563 564 associated to the subduction has been identified in the Transcaucasus (with a first peak 565 activity during bajocian – Bathonian) and Pontide regions, west of the South Caspian Basin (Yilmaz et al., 1997; Nikishin et al., 2001; Brunet et al., 2003). As illustrated on Figure 17, 566 567 this extension reactivated mainly NW-SE orientated Paleozoic structures as normal faults. In 568 turn, these normal faults induced localized subsidence leading to the emplacement of 569 elongated NW-SE depocenters in the Amu-Darya and Kopet Dagh basins (e.g. Robert et al., 2014; Brunet et al., 2017; Mordvintsev et al., 2017). The evolution and geometry of these 570 571 basins are similar to those observed in the northern Yarkand-Fergana Basin: a half-graben 572 controlled by a NW-SE oriented tectonic structure corresponding to the Talas-Fergana/Karatau fault. However, further work is needed to support this hypothesis and to 573 574 better constrain the evolution of the whole Yarkand-Fergana Basin. Following that second 575 model, the Early-Middle Jurassic Talas Fergana/Karatau Fault would thus represent the easternmost reach of the extensional deformation-field controlled by the Neo-Tethys 576 577 subduction (Fig. 17; Morin et al., 2018).

578 Several questions still remain. For example, if following the extension model, how is 579 the stress transmitted for more than 1000 km away from the subduction zone? Again, the 580 exact timing of the Late Jurassic–Early Cretaceous inversion of the Yarkand-Fergana Basin is 581 poorly constrained and the associated driving mechanism remains unknown. Low-magnitude 582 compression occurred throughout many ranges in Central Asia without consensus on the 583 geodynamic setting (Jolivet, 2017 and references therein). These questions are largely linked 584 to the difficulty in dating the deposits (mainly conglomerates) associated to this event 585 (Hendrix et al., 1992; Eberth et al., 2001; Wang et al., 2013; Jolivet et al., 2017; Morin et al., 586 2018). Only one mafic sill intruded in the dated at  $144 \pm 8$  Ma (U-Pb on apatite) Hodzhiabad 587 Fm. in the Tash Komyr section (NE Fergana Basin) provide a minimum age for these 588 deposits.

### 589 **7. Conclusions**

- 590 The sedimentological, palynological and structural data presented in this study provide591 new constrains on the tectono-stratigraphic evolution of the Yarkand-Fergana Basin.
- 592 (1) During the Middle–Late Triassic, the north Yarkand-Fergana and north-east593 Fergana basins are dominated by erosion.
- (2) The Early Jurassic Sinemurian(?)–Pliensbachian marks the onset of sedimentation, at least in the northern Yarkand-Fergana Basin. At that time, renewed activity along the Talas-Fergana/Karatau fault led to the opening of the Yarkand-Fergana Basin as a halfgraben. However, this timing does not favor the idea of a tectonic reactivation induced by the Qiangtang collision and favor the hypothesis of Neo-Tethys subduction related extension affecting the entire Caspian-Turan domain to the west.
- 600 (3) Continuous opening of the Yarkand-Fergana Basin occurred during the late Early –
   601 Middle Jurassic probably related to ongoing subduction-related extension along the northern
   602 Neo-Tethys margin.
- 603 (4) Finally, the Late Jurassic–Early Cretaceous corresponds to a period of renewed
  604 tectonic activity in the area leading to the inversion of the north Yarkand-Fergana Basin.
- Further work on the Yarkand-Fergana Basin is needed to better constrain the geodynamic mechanism and stress-field that led to its opening during the Early–late Early Jurassic as well as those that led to Late Jurassic–Early Cretaceous inversion.

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## 872 Figures captions

Figure 1. General tectonic framework of the Tian Shan region and Jurassic deposits
associated to the Talas Fergana/Karatau Fault. Y-F B: Yarkand-Fergana Basin; TFF: Talas
Fergana/Karatau Fault; N.L.: Nikolaev Line; NTSF: North Tian Shan Fault; K: Kratau Range;
T: Talas Range; F: Fergana Range; Ksh: Kokshaal Range; Ch: Chatkal Range.

Figure 2. Simplified geological map of the Yarkand Fergana region. TFF: Talas Fergana
Fault; Ba: Bayman-Bet; Ch: Chitty; Ka: Kara-Alma; Kt: Kara Tuybe; Ku: Kuzigongsu; Py:
Pychan; Te: Terek; Ya: Yassy.

Figure 3. Synthesis of the chronostratigraphic charts available for the Jurassic to Early
Cretaceous series in the Yarkand-Fergana, Fergana, Leontiev and South Turgay basins.

**Figure 4.** Geological maps available for the north Yarkand-Fergana region, note the differences in interpretation between geological maps. A: 1/500,000 scale geological map (Osmonbetov, 1980); B: 1/200,000 scale geological map (Luik and Zapolnov, 1960); C: Zoom on the Kara-Alma, Kara-Tuybe, Chitty and Pychan areas and on the studied sections.

Figure 5. Uninterpreted and interpreted panorama picture of the Bayman-Bet area illustrating
the fan-shaped geometry observed within Jurassic deposits and the unconformable contact
with Paleozoic basement rocks.

889 **Figure 6.** Field picture illustrating the unconformable contact between Jurassic conglomerates

and Paleozoic rocks (A) and an example of normal fault observed in the Kara-Alma area (B).

**Figure 7.** Panorma pictures illustrating the general tectonic deformation observed in the Chitty valley (A, B and C) and in the Pychan area (D, E and F). E, F: Uninterpreted and interpreted panorama picture showing the geometric relation between Lower Jurassic sedimentary rocks and the Paleozoic basement in the Pychan region.

Figure 8. Pictures illustrating the various Jurassic sedimentary facies of the north YarkandFergana Basin. See Table 2 for the facies codes and descriptions. Pictures of facies
respectively from: A, Kara-Alma section; B and C, Yassy section; D, East Chitty area; E,
Terek section; F, West Chitty area; G: Bayman-Bet section; H, Pychan area; I and J, West
Chitty section; K, Terek section.

900 Figure 9. Outcrop picture and associated sedimentary log of the Bayman-Bet section.

Figure 10. Sedimentary log of the Kara Alma and the Kara Tuybe sections in the YarkandFergana Basin. Associated facies assemblages and their interpretation in term of depositional
environments as in Table 2.

Figure 11. Palynology plates of representative pollens and spores of the Kara Alma and
Chitty sections. The sample number and the England Finder reference are given for each
specimen. (1) Araucariacites australis (Kara Alma Section, Y-18-1, N64/2). (2)
Callialasporites dampieri (Chitty Section, D-36, U30). (3) Callialasporites turbatus (Chitty
Section, D-36, S43/2). (4) Callialasporites turbatus (Chitty Section, D-40, O56). (5)

909 Callialasporites turbatus (Chitty Section, D-40, V46/4). (6) Perinopollenites elatoides (Chitty 910 Section, D-36, P50/2). (7) Perinopollenites elatoides (Chitty Section, D-36, P49). (8) 911 Cerebropollenites mesozoicus (Chitty Section, D-40, L32/2). (9) Cerebropollenites 912 mesozoicus (Chitty Section, D-40, K50/2). (10) Cerebropollenites thiergartii (Chitty Section, 913 D-60, N2). (11) Cerebropollenites thiergartii (Chitty Section Part 1, Db-4, K48/2). (12) 914 Tsugaepollenites sp. (Chitty Section Part 1, Db-4, X46/4). (13) Classopollis sp. (Chitty 915 Section, D-16, V53/4). (14) Classopollis sp. (Chitty Section, D-16, Q32/2). (15) 916 Chasmatosporites sp. (Kara Alma Section, Y-18-4, L45/2). (16) Cycadopites granulatus (Kara 917 Alma Section, Y-18-4, N55/3). (17) Cycadopites minimus (Chitty Section Part 1, Db-4, 918 N40/3). (18) Cycadopites ovalis (Chitty Section Part 1, Db-4, O39). (19) Spheripollenites 919 psilatus (Kara Alma Section, Y-18-7, P59/1). (20) Quadraeculina sp. (Kara Alma Section, Y-920 18-7, M38). (21) Quadraeculina sp. (Chitty Section, D-76, N30/2). (22) Alisporites grandis 921 (Kara Alma Section, Y-18-5, O43). (23) Alisporites sp. (Chitty Section, D-40, N43/2). (24). 922 Pityosporites sp. (Chitty Section, D-40, P43). (25) Podocarpidites sp. (Chitty Section, D-40, 923 K50). (26) Abiespollenites sp. (Chitty Section, D-40, P50). (27) Poorly preserved Bisaccate 924 pollen (Chitty Section, D-8, F60/4). (28) Poorly preserved, reworked Lunatisporites sp. 925 (Chitty Section, D-8, T44). (29) Poorly preserved, reworked Lueckisporites sp. (Chitty 926 Section, D-8, L34). (30) Eucommidites granulosus (Chitty Section Part 1, Db-4, W51/3). (31) 927 Cyathidites sp. (Chitty Section, D-36, S53). (32) Cyathidites sp. (Kara Alma Section, Y-18-1, 928 H36/3). (33) Concavissimisporites sp. (Chitty Section Part 1, Db-4, D35/3). (34) 929 Gleicheniidites sp. (Chitty Section Part 1, Db-4, Q36/4). (35) Apiculatisporites ovalis (Kara 930 Alma Section, Y-18-1, K54/3). (36) Apiculatisporites ovalis (Chitty Section, D-8, R40/3). (37) Baculatisporites sp. (Chitty Section Part 1, Db-4, V35). (38) Osmundacidites wellmanii. 931 932 (Kara Alma Section, Y-18-1, C48). (39) Neoraistrickia sp. (Kara Alma Section, Y-18-1, S39). (40) Lycopodiumsporites austroclavatidites. (Kara Alma Section, Y-18-7, J52/2). (41) 933

Lycopodiacidites sp. (Chitty Section Part 1, Db-4, W50/2). (42) Cingulizonates sp. (Chitty 934 935 Section, D-16, R29/4). (43) Striatella sp. (Chitty Section, D-16, S50/4). (44) Striatella sp. 936 (Chitty Section, D-36, J59). (45) Nevesisporites vallatus (Chitty Section Part 1, Db-4, D51/4). 937 (46) Verrucosisporites sp. (Chitty Section Part 1, Db-4, F54/1). (47) Reworked Aratrisporites 938 sp. (Chitty Section Part 1, Db-4, S52/3). (48) Reworked Aratrisporites sp. (Chitty Section Part 939 1, Db-4, L40/1). (49) Reduviasporonites sp. (Kara Alma Section, Y-18-2, V49/2). (50) 940 Reduviasporonites sp. (Kara Alma Section, Y-18-2, H35). Figure 12. Sedimentary log of the West and East Chitty sections in the Yarkand-Fergana 941

Basin. Associated facies assemblages and their interpretation in term of depositional
environments as in Table 2.

944 Figure 13. (A) Field pictures presenting the sedimentary facies and the associated 945 sedimentary log observed in the Pychan region; (B) Panorama picture illustrating the 946 unconformable contact between Jurassic sedimentary rocks and the Paleozoic basement.

947 Figure 14. Sedimentary log of the Terek section in the Yarkand-Fergana Basin. Associated
948 facies assemblages and their interpretation in term of depositional environments as in Table 2.

Figure 15. Sedimentary log of the Yassy section in the Fergana Basin. Associated faciesassemblages and their interpretation in term of depositional environments as in Table 2.

Figure 16. Schematic 3D blocks illustrating the Middle Triassic–Early Cretaceous tectonostratigraphic evolution of the northern Yarkand-Fergana region.

Figure 17. (A) Late Early to Middle Jurassic general geodynamic and paleotopographic
framework of Asia (modified from Jolivet, 2017); EU, European Craton; CIB, Central Iran
Blocks; GCB; Great Caucasian Basin; SCB, South Caspian Basin; LH, Lhasa Block; QI,
Qiangtang Block; SG, Songpan-Garzê prism; Q, Qaidam Block; Mon, Mongolian Block; NC,

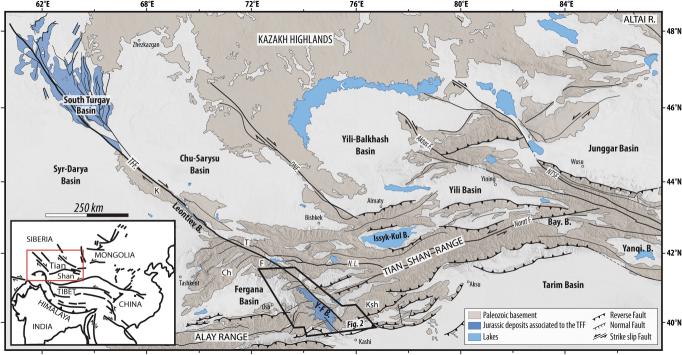
957 North China Block; SC, South China Block; IND, Indochina Block; SIB, Sibumasu Block; 958 WB, West Burma Block; TFF, Talas Fergana fault. (B) Focus on the structural and kinematic 959 pattern of the region extending from the Kyrgyz Tian Shan region to the Neo-Tethys 960 subduction zone in present-day Iran (modified from Morin et al., 2018). ST: South Turgay 961 Basin; LT: Leontiev Basin; YF: Yarkand Fergana Basin; TFF: Talas Fergana/Karatau fault; 1, 962 Paleotethys suture; 2, Turkestan suture. The white arrows indicate the general direction of 963 extension derived from the kinematic analysis of the major faults as reported by VNIGNI and 964 Beicip Franlab (1992), Thomas et al. (1999), Robert et al. (2014), Brunet et al. (2017). The 965 black arrows represent the inferred direction of slab-pull along the Neo Tethys subduction zone. The geometry of the latest is following Brunet et al. (2017). 966 Table 1 GPS coordinates of the analyzed sedimentary sections presented in this study. 967

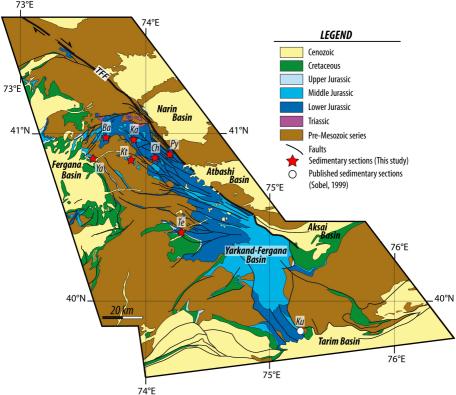
968 Table 2 Facies assemblage descriptions and their interpretations in terms of depositional969 environments.

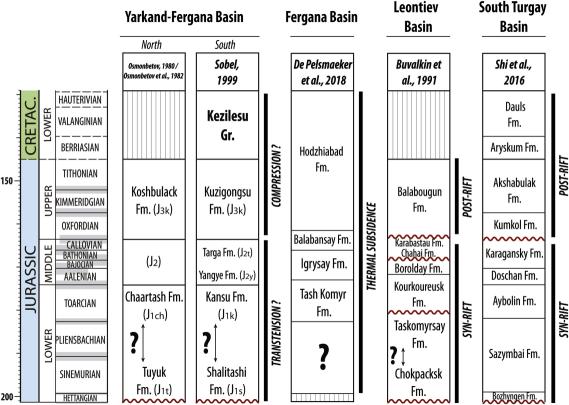
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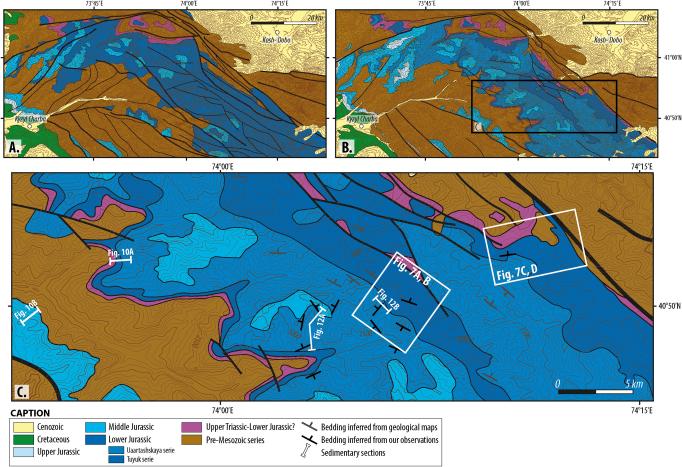
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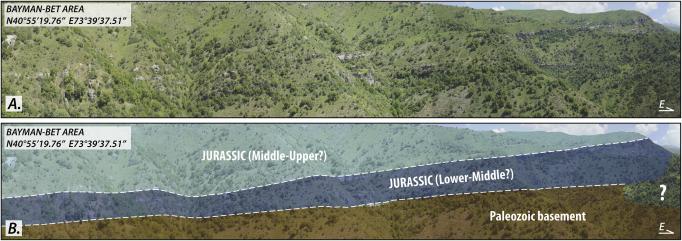
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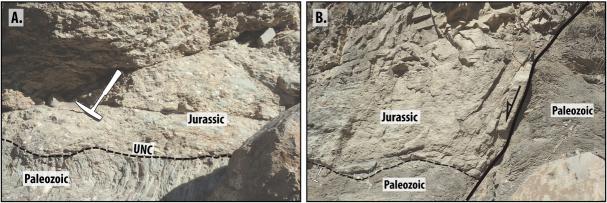


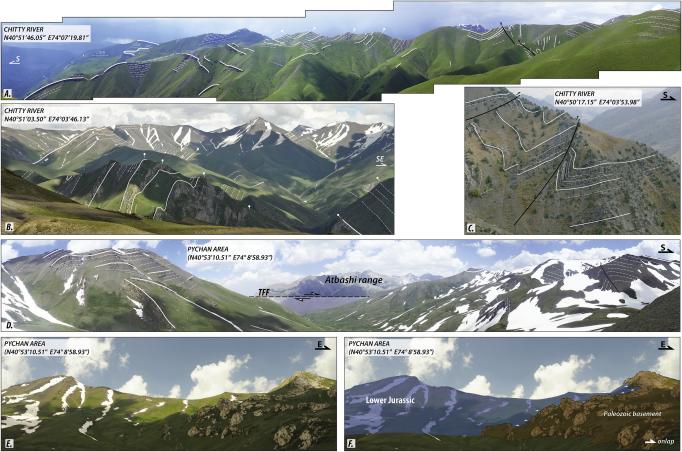


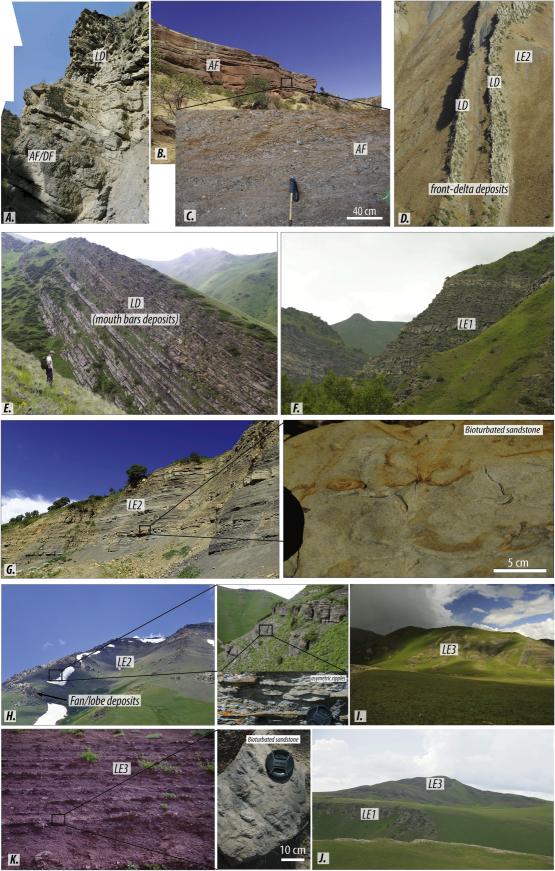


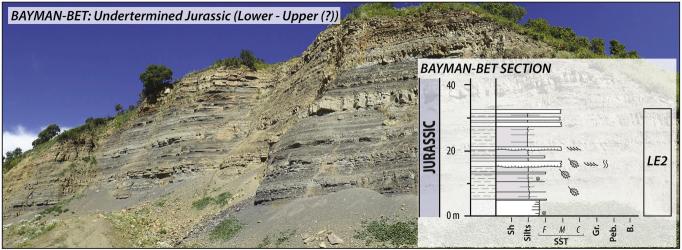




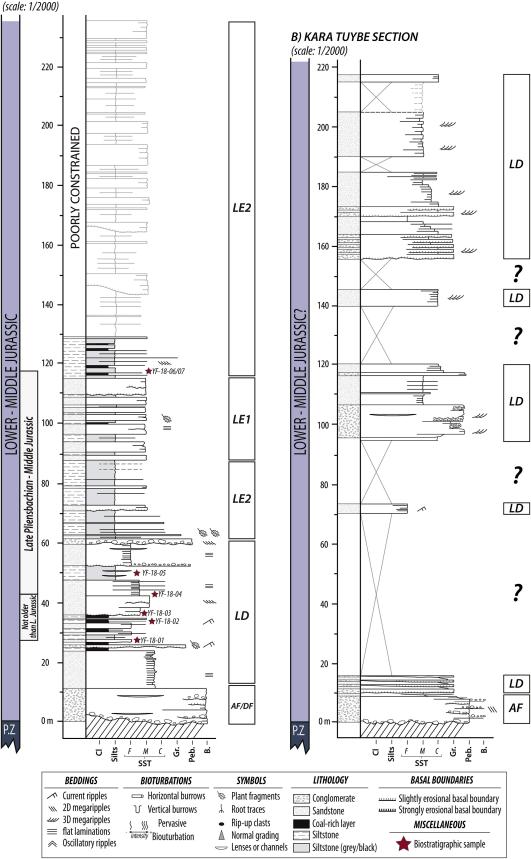




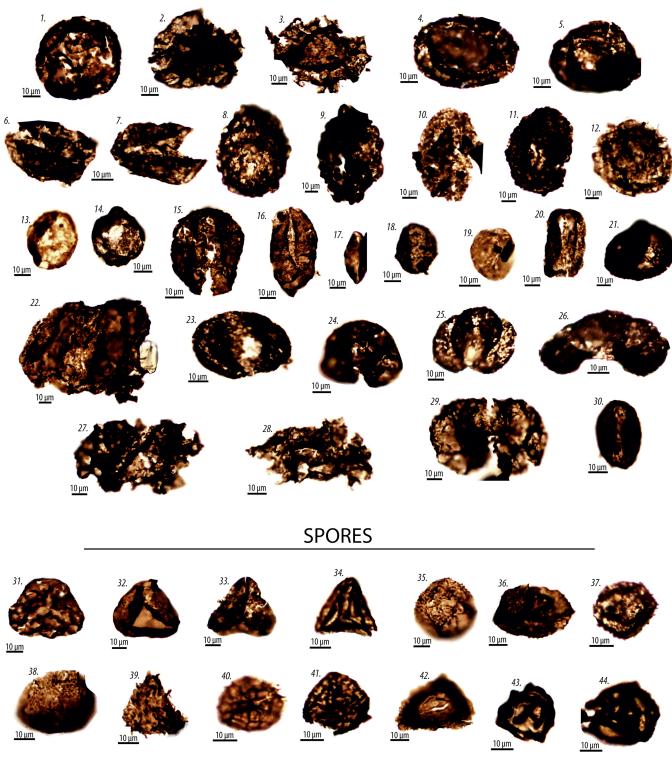


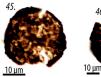


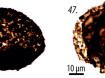
## A) KARA ALMA SECTION



## POLLENS









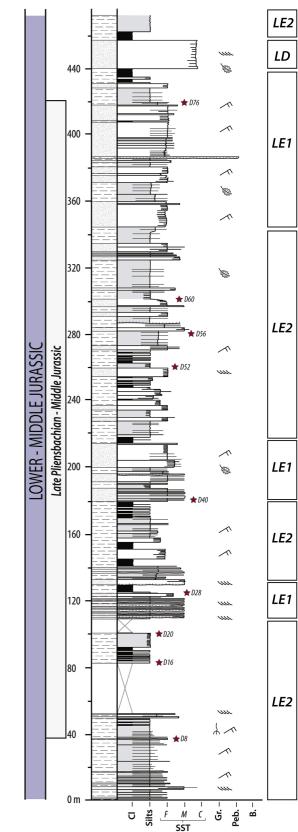


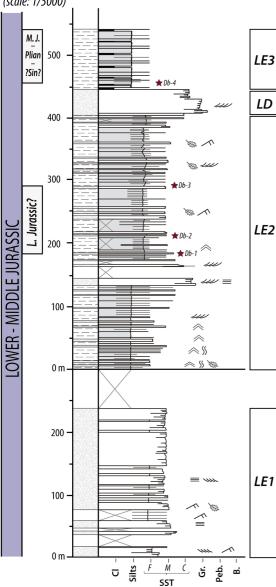


<u>10 µm</u>

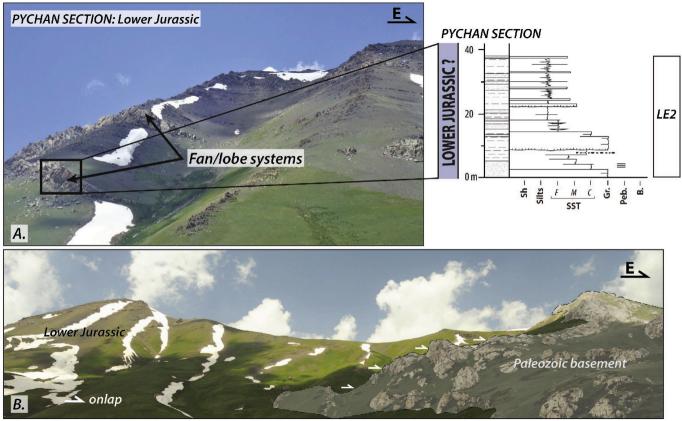
## **B) EAST CHITTY SECTION**

(scale: 1/2000)

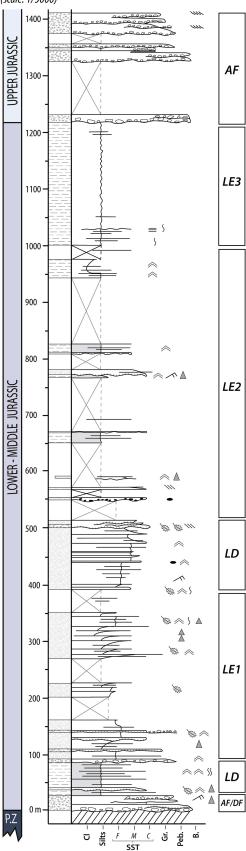




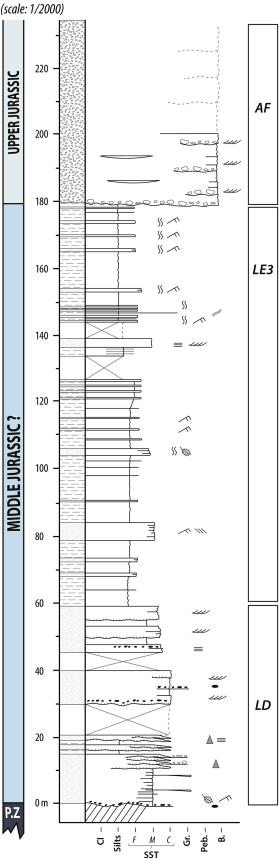
# A) WEST CHITTY SECTION (scale: 1/5000)

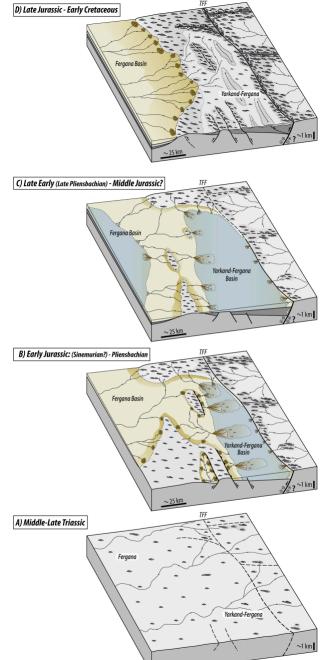


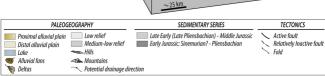
#### TEREK SECTION (scale: 1/5000)

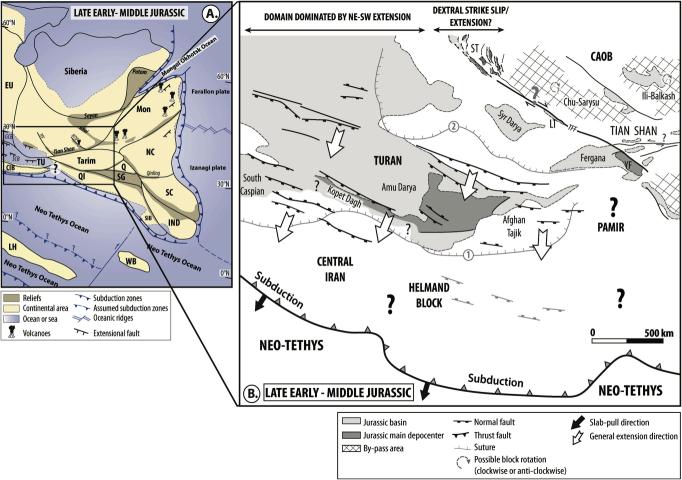


## YASSY RIVER SECTION









	Latitude (N)	Longitude (E)
Bayman-Bet	40°55'19.76"	73°39'37.51"
Kara Alma	40°53'13.70"	73°56'15.50"
Kara Tuybe	40°51'5.60"	73°53'19.30"
West Chitty	40°50'12.90"	74° 3'41.30"
East Chitty	40°51'13.11"	74° 5'46.83"
Pychan	40°53'10.51"	74° 8'58.93"
Yassy	40°49'55.05"	73°36'47.16"
Terek	40°24'0.78"	74°21'53.58"

Table 1 GPS coordinates of the analyzed sedimentary sections presented in this study.

Facies assemblages	Main sedimentary features	Inferred depositional environment
AF/DF (Fig. 8A, B, C)	Alternation of: - Pluri dm- to pluri m- thick clast-supported conglomerate of poorly to moderately-sorted subangular to subrounded pebbles to boulders alternating with cm to m-thick medium to coarse grained sandstones containing floating gravels. Conglomerates are massive with erosive or sharp basal boundaries and occasional pebble imbrications. Sandstone beds are tabular or lenticular and can be structurless or contain planar laminations and trough cross bedding with - m- to pluri m-thick matrix-supported conglomerate with subangular to subrounded pebbles to boulders, poorly-sorted. Conglomerates are generally massive	Alluvial fan or Delta fan environments characterized by hyperconcentrated flows, streamflow and debris flow deposits (Miall, 1978; Postma, 1990; Miall 1996; Svendsen et al., 2003).
<b>LD</b> (Fig. 8D, E)	<ul> <li>poorly-solied. Congromerates are generally massive and present sometimes faint horizontal laminations.</li> <li>m- to several m-thick heterolithic facies with dm- to m-thick fine-grained sandstone beds showing current and oscillatory ripples, alternating with dm- to m-thick siltstone beds, locally organic-rich. This heterolithic facies is interbedded with m-thick coarse-grained to pebbly sandstone showing occasional erosive basal boundaries, inverse and normal grading, and vertical burrows.</li> <li>dm- to pluri-m- thick stacked medium grained to gravelly sandstone beds containing flat-laminations, trough and planar cross-stratification, sigmoidal beddings.</li> </ul>	Lacustrine delta environment characterized by mouth bars and front delta deposits (Postma, 1990; Marshall, 2000; Bhattacharya, 2010).
LE1 (Fig. 8F)	<ul> <li>m- to several m-thick heterolithic facies composed of an alternation of:</li> <li>one dm to pluri-m-thick fine to coarse-grained sandstone with sharp, sometimes erosional basal boundaries showing current and oscillatory ripples, numerous plant fragments, occasional inverse and normal grading and bioturbations alternating with</li> <li>one cm to m-thick organic-rich siltstone with occasional coal beds.</li> </ul>	Lacustrine environment dominated by turbiditic sand deposits (Pollard et al., 1982; Hinds et al., 2004; Bhattacharya, 2010).

LE2 (Fig. 8G, H)	cm- to m- thick homolithic organic-rich siltstones with occasional coal beds alternating with pluri cm- to m- thick fine to medium grained sandstone beds with sharp basal boundaries showing current and rare wave ripples, occasional grading and bioturbation. Occasional m- to pluri-m-thick sandstone beds with sharp basal boundaries and generally presenting convex-up geometries. These beds are generally graded from gravels to fine-grained sandstone and show flat-laminations.	Lacustrine environment dominated by suspension fallout and fan/lobe systems (Pollard et al., 1982; Reynolds et al., 1998).
<b>LE3</b> (Fig. 8J, I)	Massive, horizontally laminated siltstones with cm to m-thick fine to medium grained sandstone beds. Occasional bioturbation	<b>Distal lacustrine</b> <b>environment</b> dominated by <b>suspension fallout and</b> <b>biological activity</b> (Pollard et al., 1982; Reynolds et al., 1998).

Table 2: Facies assemblage descriptions and their interpretations in terms of depositional environments.

First sedimentology and palynology data from the Jurassic Yarkand-Fergana Basin Sinemurian(?) – Pliensbachian onset of sedimentation in half graben setting Extension led to Middle Jurassic basin widening before Early Cretaceous inversion Jurassic movements along the Talas Fergana Fault is not associated to Qiangtang collision

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## **Declaration of interests**

The authors declare that they have no known competing financial interests or personal relationships that could have appeared to influence the work reported in this paper.

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