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Gaseous carbonyls in China’s atmosphere: tempo-spatial distributions, sources, photochemical formation, and impact on air quality

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Abstract

Carbonyls are an important class of oxygenated volatile organic compounds that play a crucial role in tropospheric chemistry as intermediates in the formation of ozone (O₃) and secondary organic aerosols. Over the last two decades, China’s severe air pollution has led atmospheric chemists to devote substantial efforts to investigate the contribution of carbonyl compounds to the observed phenomena. This study reviews the major findings with regard to the gas-phase atmospheric chemistry of carbonyls in China, including their chemical compositions, temporal and spatial distributions, source apportionments, photochemical formation mechanisms, and impact on tropospheric oxidative capacity, air quality, and human health. Extremely high levels of carbonyls have frequently been observed in China’s most rapidly developing regions, such as the North China Plain, the Yangtze River Delta, and the Pearl River Delta, but observational data from other regions are relatively scarce. Primary emissions and photochemical formation are major sources of carbonyls. Alkenes, aromatics, and isoprene have been identified as major precursors on a national scale. In addition, an increasing number of studies has focused on the effects of carbonyls on O₃ formation, radical chemistry, the formation of secondary organic aerosols, and human health. The photolysis of oxygenated volatile organic compounds was recognized as a dominant pathway to ROx production, which further influences O₃ formation, mainly via HO₂+NO or RO₂+NO. Dicarbonyls (such as glyoxal and methylglyoxal) make important contributions to secondary organic aerosol formation via irreversible uptake by aqueous particles. Indoor and outdoor carbonyls often pose a significant threat to human health. This review also includes recommendations from the perspective of emissions, observations, photochemical formation mechanisms, and the effects of carbonyls to guide future research and provide scientific support for the formulation of mitigation policies to address photochemical air pollution.

Key words: Carbonyls; Human health; Ozone; Photochemical formation; Secondary organic aerosol
1. Introduction

Carbonyls are important members of the family of oxygenated volatile organic compounds (OVOCs), which have a significant influence on the formation of ozone (O₃) and secondary organic aerosol (SOA) and hence play key roles in tropospheric chemistry (Calvert et al., 2011; Mellouki et al., 2015; Shen et al., 2013; and references therein). In recent decades, photochemical air pollution, characterized by high concentrations of O₃ and other secondary contaminants, has become a major environmental concern in China due to its rapid urbanization and industrialization (Xue et al., 2014; Wang et al., 2017b; and references therein). Due to the significance of carbonyls in O₃ formation, investigation of their pollution characteristics, sources, relationships with precursors, and impact on air quality is essential to better understand and control photochemical pollution.

Extensive studies have been conducted in the United States and Europe to investigate the pollution characteristics and formation mechanisms of photochemical smog since the mid-20th century. In contrast, only in the last three decades has China realized the severity of its photochemical air pollution, and finding solutions to photochemical pollution problems has become a top priority. Most studies have focused on the characteristics and formation mechanisms of surface O₃ because it is regarded as the principal constituent of photochemical smog (Wang et al., 2017b; and references therein). In the lower troposphere, O₃ is formed mainly via photochemical reactions that involve volatile organic compounds (VOCs) and nitrogen oxides (NOx) (Atkinson, 2000; Atkinson and Arey, 2003). Among these precursors, the pollution characteristics of hydrocarbons have rapidly attracted considerable attention because of their complexity and our poor understanding of them. More and more studies have focused on the sources and photochemistry of VOCs (e.g., Guo et al., 2006; Guo et al., 2004b; Xue et al., 2013). In particular, carbonyls have been dissected from VOCs as a research hotspot due to their great reactivity and important contributions to the formation of O₃ and aerosols.

Despite its late start, China has made some promising progress in gaseous carbonyls research. In particular, in the last 20 years, several field observations have been carried out across China, and more than 25 carbonyl species have been monitored. Basic information on major carbonyls is provided in Table 1. The collected data show that various aspects of carbonyls have been investigated. Analyses of the temporal and spatial distributions of carbonyls have been widely performed (Cheng et al., 2014; Dai et al., 2012; Guo et al., 2016; Ho et al., 2014a; Ho et al., 2015; Ho et al., 2002; Lui et al., 2017a; Lui et al., 2017c; Pang and Lee, 2010; Pang and Mu, 2006), but few studies have focused on the sources or photochemical mechanisms of carbonyls, or their influence on atmospheric chemistry, air quality, and human health (Ling et al., 2017; Liu et al., 2009; Wang et al., 2017a; Xue et al., 2016; Yang et al., 2018), so these aspects are relatively poorly understood. In addition, most studies have focused on the characteristics of gaseous carbonyls; observational data on high–molecular weight carbonyls are relatively scarce. High–molecular weight carbonyls are semivolatile and largely partitioned into the particle phase, and their gas-phase concentrations are generally very low. This review article focuses on the most abundant gas-phase carbonyl compounds. Considering recent advances and the underlined needs, a critical review of the atmospheric chemistry of gaseous carbonyls in China’s prevailing conditions is timely.
In this review, we summarize the main findings of studies of gaseous carbonyl compounds in China and provide suggestions for future research. Section 2 briefly reviews the atmospheric chemistry of carbonyls, Section 3 summarizes field studies on and techniques for measuring carbonyls across China, and Section 4 presents the chemical compositions and tempo-spatial variations of carbonyls. Section 5 presents the source apportionment results and contributions of various sources to ambient carbonyls in various regions, and Section 6 summarizes the photochemical formation mechanisms of several major carbonyl species based on numerical modeling studies. Section 7 reviews assessments of the effects of carbonyls on O3 formation, atmospheric oxidizing capacity, SOA formation, and human health, and Section 8 summarizes the current findings and gives recommendations for future studies. Overall, this review aims to comprehensively summarize the current understanding of atmospheric carbonyls pollution in China, which should help to enlighten future research and aid in the formulation of control strategies to address regional air pollution.

2. Brief review of atmospheric chemistry of carbonyls

Carbonyls are organic molecules that contain at least a carbonyl group (C=O), and mainly include aldehydes and ketones. The chemical fates of carbonyls in the atmosphere are determined in large part by their structures and physical properties. For a given carbon number, carbonyls usually have lower vapor pressure than the corresponding parent alkanes, indicating the more polar nature of carbonyls. In addition, most carbonyls have low Henry’s law constants, below 10^3 M atm^{-1}, so their physical removal (including wet and dry deposition) is not important (Calvert et al., 2011; Mellouki et al., 2015). Notable exceptions, including glyoxal (GLY) and methylglyoxal (MGly), are extremely water-soluble and can easily hydrate and polymerize even at low humidity, hence contributing to SOA formation (Shen et al., 2013; and references therein). Owing to their distinctive structures and physical properties, carbonyls play an active role in tropospheric chemistry.

Figure 1 illustrates the major atmospheric chemical processes of carbonyls, including their sources and sinks. The sources of carbonyl species in the troposphere are classified as primary or secondary. Carbonyls can be emitted directly from sources such as vehicular emissions, biomass burning, industrial activities, and biogenic emissions (Guenther et al. 2012; Ho et al., 2007; Ma et al., 2016; Mason et al., 2001; Zhang et al., 2016). Secondary carbonyls are formed via the photochemical oxidation of VOCs, mainly via RO+O2 reactions (Yang et al., 2018). Specifically, in the presence of sunlight, the OH-initiated degradation of VOCs leads to the production of alkyl peroxy radicals (RO2), which then rapidly oxidize NO into NO2 and form RO radicals (Atkinson and Arey, 2003; Seco et al., 2007). Carbonyls can then be formed by reactions of RO+O2. From the perspective of sources, OVOCs are important intermediate products that are formed during radical recycling circles. OVOCs also play significant roles in the radical-initiation processes because the photolysis of OVOCs presents a significant primary source of radicals in the atmosphere (Xue et al., 2016).

The most important loss pathways of carbonyls are photolysis and reactions with OH radicals. As mentioned above, the photolysis of carbonyls has been shown to make an important contribution to the primary production of HO2 and RO2 radicals (Jia et al., 2018; Li et al., 2018; Liu et al., 2012a; Xue et al., 2016; Xue et al., 2013). These radicals then participate in the NOx
chemical cycle, oxidizing NO to NO$_2$ and thus affecting O$_3$ formation (Wang et al., 2018). The oxidation of carbonyls by OH forms an acyl peroxy radical (generally for aldehydes) or an alkyl peroxy radical (mainly for ketones), both of which then undergo radical recycling and termination as RO$_2$. The heterogeneous loss of aqueous particles, which commonly occurs for dicarbonyls such as GLY and MGLY, also serves as an important sink of carbonyls (Fu et al., 2008; Hu et al., 2017; Li et al., 2013a). When these two dicarbonyls are in contact with aqueous particles and cloud droplets, SOA is rapidly produced. Another loss pathway of carbonyls is reaction with O$_3$/NO$_3$, which is an important fate of unsaturated carbonyls. Studies have shown that the dominant sources and sinks may vary between regions and carbonyl species.

3. Field observations over China

Field studies of carbonyls began relatively late in China. Tables S1-S4 list the field observations collected from articles published between 2000 and 2018. Although these references may not be exhaustive, we believe that they are sufficiently comprehensive to reflect the situation of carbonyls pollution in China. The measurement campaigns have been concentrated in the rapidly developing regions of the North China Plain (NCP), the Yangtze River Delta (YRD), and the Pearl River Delta (PRD). Extremely high levels of carbonyls, indicating severe carbonyls pollution, have been frequently observed (Figures 2a-d) due to the thriving industry and high population density. In contrast, limited efforts have been devoted to slow-developing areas.

In the late 1990s, measurement campaigns were conducted in urban Qingdao, a coastal city on the NCP. Tan et al. (2002) analyzed the seasonal variations in 11 aldehydes and ketones, explored their interrelationships, and qualitatively identified their sources. The increasing number of field studies conducted across China over the next 20 years identified more than 25 carbonyl species. Moreover, the number of field studies has drastically increased in the NCP and PRD regions since 2005, especially in 2008 for the purpose of controlling air pollution and preparing to host the Beijing Olympic Games. Note that these field observations were not limited to urban areas; they were conducted in various environments, including suburban, rural, mountain, and forest sites, to investigate the spatial distribution of carbonyls across China. Carbonyls levels were generally higher in urban sites (Cheng et al., 2014; Lui et al., 2017c; Yuan et al., 2012a). In addition, some field observations were conducted to examine the influence of various meteorological factors (e.g., haze, a lack of haze, dust storms, wind, and wet precipitation) on carbonyls levels (Duan et al., 2012; Pang et al., 2009a; Zhang et al., 2014). Other aspects, such as emission factors, have also been investigated. For example, to measure the on-road vehicle emissions of carbonyls, Ho et al. (2007) and Zhang et al. (2016) carried out measurements in tunnels in Hong Kong and Guangzhou, respectively. However, such investigations are relatively scarce in China.

Tables S1-S4 also show the measurement methods and analysis techniques used in each observational campaign. The various techniques used to measure and analyze carbonyls can be classified into two categories: online and offline. Offline methods were especially widely adopted during the measurement campaigns conducted before 2006. For example, many studies used silica cartridges coated with 2,4-dinitrophenylhydrazine (DNPH) to collect air samples for high-performance liquid chromatography (HPLC) analysis (Lü et al., 2010; Pang and Mu,
Although the DNPH-HPLC method has been widely used, its limitations include poor sensitivity and a strong dependence on the relative humidity (Pang et al., 2011). Some alternative offline approaches have been also developed. Altemose et al. (2015) used dansylhydrazine to derivatize carbonyls followed by HPLC analysis. Several other derivatization agents such as O-(2,3,4,5,6-pentafluorobenzyl)-hydroxylamine and pentafluorophenyl hydrazine have also been used to absorb carbonyls, followed by analysis with thermal desorption–gas chromatography–mass spectrometry (Ho and Yu, 2002, 2004; Pang et al., 2011). Szuleiko and Kim (2015) summarized commonly used derivatization agents and analytical methods for carbonyls analysis and indicated that DNPH-HPLC remains the most common choice among the offline methods mentioned above. Since 2006, differential optical absorption spectroscopy has been used to measure carbonyls (Kawamura et al., 2013; Ling et al., 2017; Nan et al., 2017), and Kawamura et al. (2013) compared the performances of multi-axis differential optical absorption spectroscopy and filter sampling–gas chromatography measurements. In addition, Shao et al. (2009) used gas chromatography–flame ionization detection–mass spectrometry (MS) to achieve the continuous monitoring of carbonyls in China at a high time resolution. Online methods such as proton transfer reaction–mass spectrometry (PTR-MS; including PTR-QMS, PTR-IT-MS, PTR-TOF-MS, PTR-TOF-CIMS, and PTR-ID-CIMS) were then successively applied (Kanaya et al., 2009; Ma et al., 2016; Yuan et al., 2017; Yuan et al., 2016). Detailed information on these online methods has been published elsewhere (Chi et al., 2007; Cui et al., 2016; Ho and Yu, 2004; Inomata et al., 2008; Wang et al., 2014b).

Although offline methods have been widely used, they have some disadvantages. One disadvantage is that the length of the derivatization time makes it difficult to characterize the continuous evolution process of carbonyls. The application of online methods has achieved continuous online measurements of carbonyls in the atmosphere at the trace level. Nevertheless, some carbonyl species still cannot be detected with these novel methods (Chen et al., 2014; Kawamura et al., 2013; Nan et al. 2017; Wang et al., 2014b). For example, PTR-MS can only detect species with a proton affinity greater than that of water and cannot distinguish isomeric/isobaric compounds (Blake et al., 2009; Yuan et al., 2017). Formaldehyde and acetaldehyde cannot be detected with online gas chromatography–MS because they do not produce unique ions in the MS (Pang et al., 2011). Further developments in measurement techniques for carbonyls are required to provide high-quality data and cover more species in future studies.

It is noteworthy to mention that most of these field observations were carried out by the research community and that carbonyls have not been listed as a target by the national air quality monitoring network. Very recently, Chinese government agencies have begun to attach greater importance to the measurement of carbonyls after realizing their significance in ozone pollution. In 2017, the Ministry of Ecology and Environment of the People’s Republic of China issued a series of regulations to promote the development of OVOC measurement, including both manual and automatic monitoring (http://www.mee.gov.cn/). For example, some air quality monitoring stations in key regions and/or cities have been asked to perform routine measurements of 13 major carbonyls (see Table 1) with the same method (i.e., DNPH-HPLC and gas chromatography–flame ionization detection–MS), so a picture of nationwide carbonyls levels across China seems around the corner.
4. Chemical compositions and tempo-spatial distributions

Historical data show that formaldehyde (HCHO), acetaldehyde (CH₃CHO), and acetone (CH₃COCH₃) are the three most abundant carbonyl species in field studies, but the most abundant species vary between sites. The contributions of these three species to the total observed carbonyl compounds generally exceed 70% (Guo et al., 2014a; Guo et al., 2016; Yang et al., 2017). In addition, the mixing ratios of two dicarbonyl compounds (i.e., GLY and MGLY) that are crucial players in the formation of O₃ and SOA have also shown high concentration levels in China (Ho et al., 2014a; Rao et al., 2016).

Figures 2a-d show the spatial distributions of major carbonyl species over China. Carbonyls pollution is obviously much more severe in the NCP, YRD, and PRD regions than in other areas. Of these three severely polluted regions, the PRD has a slightly better carbonyls pollution situation. Within a given region, higher levels of carbonyls were usually observed at urban sites than at suburban and rural sites. Megacities such as Beijing, Shanghai, Guangzhou, and Hong Kong have severe carbonyls pollution. High levels of HCHO (exceeding 20 ppbv), CH₃CHO (exceeding 10 ppbv), and CH₃COCH₃ (exceeding 6 ppbv) have been frequently observed in Beijing (Altemose et al., 2015; Duan et al., 2008; He et al., 2016; Pang and Mu, 2006). Observational data for carbonyls other than the top three carbonyl species are relatively limited because they have high reactivities and low concentration levels. Nevertheless, observational data for propionaldehyde (C₃H₅CHO), butyraldehyde (C₄H₇CHO), GLY, and MGLY have also been collected. The spatial distributions of these four carbonyl species differ slightly from those of the three abundant species. In addition to the NCP, YRD, and PRD regions, Taiwan also showed elevated levels of C₃H₅CHO (>1 ppbv) and C₃H₇CHO (>2 ppbv) (Huang et al., 2008; Lü et al., 2010; Lü et al., 2009; Shi et al., 2011; Wang et al., 2010a). Figures 3a-b show that GLY and MGLY in limited studies were found to exhibit high levels in Beijing and Xi’an (>0.3 ppbv and >1 ppbv for GLY and MGLY, respectively) (Ho et al., 2014a; Rao et al., 2016). GLY generally has a greater concentration than MGLY due to its longer lifespan (2.9 vs. 1.6 h) (Fu et al., 2008). Dai et al. (2012) reported similar data in which the GLY concentrations were higher than those of MGLY in Xi’an in winter. However, at the same site, MGLY exhibited higher levels than GLY during summer, which may be attributed to seasonal variations in the sources of dicarbonyls.

The status of carbonyls pollution in China is less optimal than that of other countries. For example, levels of major carbonyl species (HCHO+CH₃CHO+CH₃COCH₃) exceeding 20 ppbv have frequently been observed in Beijing (e.g., Duan et al., 2012; Duan et al., 2008; He et al., 2016; Pang and Mu., 2006; Rao et al., 2016; Yang et al., 2018), on par with those of Los Angeles (19.2 ppbv in 2008-2010) (Warnke et al., 2012) and Campeche, Mexico (37.1 ppbv in the summer of 2004) (Cerón et al., 2007), which have experienced the world’s most serious photochemical pollution.

Four year-round observation campaigns are carefully selected to elucidate the seasonal variations in carbonyls in various regions (Huang et al., 2008; Lü et al., 2010; Lui et al., 2017c; Pang and Mu, 2006). These four measurements were conducted in urban areas of Beijing (from November 2004 to October 2005), Shanghai (from January to October 2007), Guangzhou (from January to November 2005), and Hong Kong (from January to December 2013),
respective. These studies were chosen to obtain a comprehensive understanding of the severe carbonyls pollution characteristics in typical fast-developing regions of China. Although a time gap separates these four observation campaigns, we believe that it should have little effect on the seasonal variations of carbonyls. Figure 4 shows that HCHO and CH$_3$CHO were significantly more abundant in summer than in winter in Beijing, Shanghai, and Guangzhou. This difference can be explained by the stronger photochemical activities in summer and was confirmed with $^{13}$C isotope evidence by Guo and Chen (2013). In Hong Kong, both HCHO and CH$_3$CHO exhibited their maximum levels in autumn, and similar levels were seen in summer and winter. The reason for this distinct seasonal pattern is that Hong Kong is frequently influenced by clean marine air masses under prevailing southerly or southeasterly winds in summer, which alleviates carbonyls pollution to some degree. Autumn is the most photochemically polluted season in Hong Kong due to the regional transport of continental air masses from mainland China via the winter monsoon and the sunny weather at low latitudes (Wang et al., 2009b). Another exception is the seasonal pattern in Xiamen, where higher levels of both HCHO and CH$_3$CHO were found in winter than in summer, which may be attributed to the stronger sea-land breeze circulation during summer (Ho et al., 2015).

The diurnal patterns in carbonyls levels have been also examined. Liu et al. (2015) reported the diurnal patterns of several carbonyl species measured at PKU in urban Beijing in July 2008. Levels of HCHO, CH$_3$CHO, and CH$_3$COCH$_3$ peaked at noon after a daytime buildup, following the trend of O$_3$, thus indicating the significance of secondary production during the day. These carbonyl species then reached another peak in early evening, which may be attributed to primary vehicle emissions. At Mount Tai, Yang et al. (2017) observed an evening peak in carbonyls that could be ascribed to regional transport. In addition, diurnal variations in carbonyls in some studies are not clear-cut (Cheng et al., 2014; Guo et al., 2014a; Guo et al., 2016; Huang et al., 2008; Lü et al., 2010; Rao et al., 2016; Yuan et al., 2012b). In general, the mixing ratios of carbonyls are related to many factors, including their sources (both primary emissions and secondary formation), sinks, regional transport, and meteorological conditions. Seasonal variations and diurnal patterns roughly reflect the influences of these factors on the ambient carbonyls levels.

5. Source apportionment

The sources of carbonyls are rather complex. Several methods have been used to diagnose or quantify the major sources of ambient carbonyls, and detailed information on these methods can be found in the Supplementary Materials. Here, we focus on the major findings of the source apportionment studies in a few regions.

5.1 North China Plain

Many studies have attempted to identify the principal sources of carbonyls and quantify their contributions to ambient carbonyls levels in the NCP. Although various methods have been used, source apportionment results have consistently demonstrated the dominance of the secondary formation of carbonyls in summer. For example, Pang and Mu (2006) conducted an intensive measurement campaign from November 2004 to October 2005 in urban Beijing and found a strong correlation between carbonyls and O$_3$ in summer. In addition, the calculated C$_1$/C$_2$ ratios exhibited the highest average values (e.g., 1.94) in summer, which suggests the
importance of photo-oxidation of biogenic hydrocarbons. Later, during the summer of 2014, Yang et al. (2017) used the multilinear regression method and found that secondary formation made the largest contribution to the carbonyls level (except CH$_3$COCH$_3$) at Mount Tai, a regional site in the central NCP. Liu et al. (2009) used the photochemical age-based parameterization method and found that nearly half of the CH$_3$CHO, C$_2$H$_5$CHO, and C$_3$H$_7$CHO in urban Beijing were attributed to secondary anthropogenic sources, whereas the measured alcohols (CH$_3$OH and C$_2$H$_5$OH) and ketones (CH$_3$COCH$_3$ and CH$_3$COCH$_2$H$_3$ [methyl ethyl ketone]) were mainly from primary sources. Secondary formation usually made a larger contribution to reactive aldehydes than to inert alcohols and ketones. This conclusion was also confirmed by Yuan et al. (2012b), who also reported that biogenic VOCs accounted for a large part (36%) of HCHO formation at PKU in urban Beijing. In contrast, Chen et al. (2014) identified a much lower contribution of biogenic sources to HCHO at the same site based on the results of a positive matrix factorization model. The cause of this discrepancy may be that the biogenic sources identified by the positive matrix factorization model represented relatively fresh emissions, whereas secondary formation from biogenic sources was also considered by Yuan et al. (2012b).

In comparison, carbonyls were reported to come mainly from primary anthropogenic emissions in winter, when the photochemistry is relatively weak (Ho et al., 2015; Pang and Mu, 2006). In winter 2004, Pang and Mu (2006) found a significant correlation between carbonyls and CO, an anthropogenic emission tracer, over urban Beijing, thus suggesting the importance of anthropogenic emissions (especially vehicular emissions) to carbonyls levels. Furthermore, the results of principal component analysis showed that gasoline and diesel engine exhausts are the principal components of vehicle emissions, which make an important contribution to ambient carbonyls (Rao et al., 2016). However, Chen et al. (2014) found that secondary formation dominated carbonyls sources not only in summer (with a contribution of 46.0%), but also in winter (with a contribution of 51.2%). In addition, coal burning for heat during winter was identified as an important component of primary anthropogenic emissions (Chen et al., 2014).

5.2 Yangtze River Delta

Source apportionment studies in the YRD region are relatively limited compared to those in the NCP and PRD regions. In the YRD region, primary emissions were identified as important sources of carbonyls in spring and autumn. Huang et al. (2009) reported that the ambient carbonyls in urban Shanghai in April 2008 were dominated by vehicle emissions during the day and industrial emissions at night. The importance of industrial emissions was also confirmed by Ma et al. (2016), who used a multilinear regression approach to show that industrial activities made the largest contribution (59%) to HCHO in April 2015 in an industrial zone of Zhejiang province. In contrast, Wang et al. (2015) used the same method and found that industrial emissions made a relatively smaller contribution (19%) to HCHO at a rural site in Nanjing, Jiangsu province; CH$_3$CHO and CH$_3$COCH$_3$ dominated (>50%). These results prove the variability of carbonyls sources in various parts of the YRD region. One point in common is that the contribution of photochemical formation to carbonyls was relatively low in both studies due to weaker photochemical reactions during spring and autumn in the YRD
region.

5.3 Pearl River Delta

Severe photochemical smog episodes are frequently observed in the PRD region during autumn because of its distinct meteorological conditions. Intensive measurement campaigns were conducted from September to November 2010 at a mountain site (TMS) and an urban site (TW) in Hong Kong (Guo et al., 2013; Ling et al., 2016). The positive matrix factorization model results were similar at these two sites. Secondary formation (including in-situ photochemical production and the transport of secondary products) was identified as the major contributor to HCHO (>50%) and CH₃CHO (>45%). Meanwhile, secondary formation and vehicle emissions made the greatest contribution (>65%) to the ambient CH₃COCH₃ level. These findings indicate the importance of photochemical formation. In addition, carbonyls were more likely to be primary emissions in urban areas than in rural areas, as confirmed by Lui et al. (2017c). In addition to autumn, Ling et al. (2017) showed strong photochemical activities in summer, but the prevailing southerly and southeasterly winds from the oceans alleviated carbonyls pollution during summer, whereas the northeasterly winds in autumn aggravated the pollution. Wang et al. (2017a) investigated the source category contributions to HCHO in different seasons. They used the photochemical age-based parameterization method and found that the contributions of biogenic sources (including both primary and secondary biogenic sources) to HCHO were much higher during summer (41%) and autumn (39%) than during spring (26%) and winter (28%). In contrast, the contributions of primary anthropogenic emissions during spring (20%) and winter (18%) were double those during summer (9%) and autumn (9%).

Some studies also identified primary emissions as important sources of carbonyls (Feng et al., 2005; Guo et al., 2004a; Ho et al., 2002; Lü et al., 2009; Yuan et al., 2010), so the emission profiles of vehicular emissions and biomass burning were investigated in the PRD region. Two tunnel tests were successively conducted in Hong Kong and Guangzhou (Ho et al., 2007; Zhang et al., 2016). Ho et al. (2007) reported a range of 21.7 to 68.9 mg veh⁻¹ km⁻¹ of emission factors of a combination of 15 monocarboxyls. Furthermore, HCHO presented the highest emission factor throughout the sampling period, followed by CH₃CHO and CH₃COCH₃ (Ho et al., 2012). Higher emission factors of carbonyls were observed in summer than in winter, partly due to the higher temperature. Zhang et al. (2016) reported average emission factors of 1.18 and 0.52 mg veh⁻¹ km⁻¹ for GLY and MGLY, respectively. The higher emission factor of GLY usually accompanies a higher proportion of gasoline vehicles, whereas a higher proportion of diesel vehicles was responsible for the higher emission factors of monocarboxyls and MGLY. In addition, biomass burning was also found to exert a significant influence on ambient carbonyls in specific circumstances. Wang et al. (2016) suggested that biomass burning could serve as a primary source of OVOCs and promote the production of secondary OVOCs by emitting their precursors. Yuan et al. (2010) reported an enhancement of 10% to 18% of OVOCs during biomass burning plumes at a receptor site in the PRD region. Yuan et al. (2013) and Mo et al. (2016) both reported that OVOC emissions were significantly underestimated in the current emission inventory, possibly due to the poor understanding of the emission characteristics of nonvehicle primary sources. In addition to anthropogenic emissions, some studies have
reported biogenic emissions of carbonyls. In China, the total biogenic VOC emissions were reported to range from 35 to 50 Tg/y from 1981 to 2003, among which the contributions of OVOCs ranged from 30% to 40% (Li and Xie, 2014). Moreover, Guenther et al. (2012) identified CH$_3$COCH$_3$ (43.7 Tg/y), CH$_3$CHO (20.7 Tg/y), and HCHO (5.0 Tg/y) as the three most abundant primary biogenic carbonyl species on a global scale. However, great uncertainty remains in the evaluation of biogenic carbonyl emissions.

As discussed above, secondary formation generally dominated the carbonyls sources in China, but the importance of the contributions from primary emissions should not be overlooked. However, current knowledge of carbonyls sources remains far from sufficient to provide scientific support for carbonyls abatement strategies. First, the means to accurately characterize primary emissions (both anthropogenic and biogenic) is a major work underway and is urgently needed to aid in compiling the current emission inventories. Second, the photochemical formation mechanisms of some reactive carbonyl species remain poorly understood, so more relevant studies are needed.

6. Photochemical formation mechanisms

The source apportionment results elucidate the necessity to comprehensively understand the secondary formation mechanisms of carbonyls. The methods commonly used to investigate the photochemical formation mechanisms of carbonyls are based on chemical models. Investigations have included monocarbonyls, among others. Important dicarbonyls such as GLY and MGLY are also involved. Below we summarize the major findings regarding the photochemical formation mechanisms of monocarbonyls and dicarbonyls.

6.1 Monocarbonyls

In China, the photochemical formation mechanisms of monocarbonyls such as HCHO, CH$_3$CHO, CH$_3$COCH$_3$, and CH$_3$COC$_2$H$_5$ have been investigated with an observation-based box model coupled with chemical mechanisms. Previous studies showed that models could well reproduce the diurnal variations and concentrations of secondary products such as O$_3$, HCHO, and GLY (Li et al., 2014; Ling et al., 2017).

Li et al. (2014) and Ling et al. (2017) conducted field observations in the PRD region in July 2006 at a semirural site (BG) and an urban site (GPEMC), respectively, and further refined the secondary formation pathways of HCHO. Li et al. (2014) found that isoprene oxidation contributed most to the secondarily formed HCHO (43%), followed by oxidation of anthropogenic alkenes (29%), aromatics (15%), and alkanes (13%). In contrast, Ling et al. (2017) showed that alkenes (including isoprene) made an overwhelming contribution (77%) to the photochemical production of HCHO, followed by aromatics (20%) and alkanes (3%). Trans-2-butene was identified as the largest contributor (16%) of the individual VOC precursors, whereas isoprene made a smaller contribution (9.6%). The differences between the results of Li et al. (2014) and Ling et al. (2017) are likely the result of differences in the chemical compositions of first-generation VOC precursors between urban and rural areas. The photochemical formation mechanism of CH$_3$COCH$_3$ differs greatly from that of HCHO. Guo et al. (2013) used the observation-based box model to investigate the photochemical precursors of CH$_3$COCH$_3$ from September to November 2010 at a mountain site (TMS) and an urban site.
(TW) in Hong Kong. C3-C6 alkanes were found to be the largest contributor to the secondary formation of CH$_3$COCH$_3$ at both sites, making an average contribution of 28.7% at TMS and 54.5% at TW. This result is also consistent with that of Jacob et al. (2002), who confirmed the oxidation of C3-C5 isoalkanes as a dominant source of the in situ formation of CH$_3$COCH$_3$ in the northern hemisphere. The contributions of C2-C3 alkenes to secondarily formed CH$_3$COCH$_3$ were comparable at the two sites (20.0% at TMS and 16.1% at TW). Furthermore, the most important of all measured VOC precursors was identified to be i-butene at TMS and i-butane at TW, with the two compounds contributing 20% and 30%, respectively.

In the NCP region, the relationships between monocarbonyls and their precursors were also investigated using box models. As mentioned above, many observation campaigns were carried out in 2008 to improve air quality and prepare for the Beijing Olympic Games. During that period, two intensive measurements conducted from July to August 2008 were selected for comparison. These two measurements were carried out by Liu et al. (2015) in the PKU and by Yang et al. (2018) at the Chinese Research Academy of Environmental Sciences (both in urban areas). These two studies investigated the photochemical formation mechanisms of carbonyls from different perspectives. Yang et al. (2018) identified five major pathways responsible for the formation of secondary HCHO and CH$_3$CHO: OVOC photolysis, O$_3$+OVOCs, OH+OVOCs, radical propagation reactions, and RO+O$_2$ reactions. Of these, RO+O$_2$ reactions made the largest contribution to the in situ formation of HCHO (~85%) and CH$_3$CHO (~70%). One point that must be clarified is that the target carbonyls were excluded from the OVOC precursors mentioned above. As for the first-generation VOC precursors, the further refinement of the RO+O$_2$ formation routes suggested that anthropogenic alkenes played an important role in the production of RO (~30%). Liu et al. (2015) investigated the photochemical formation mechanisms of carbonyls from the perspective of precursors and showed that anthropogenic alkenes made the largest contribution to the photochemical formation of HCHO (54%), CH$_3$CHO (91%), and CH$_3$COCH$_3$ (60%), whereas alkanes were predominantly responsible for the in situ formation of CH$_3$COC$_2$H$_5$ (>95%). As for individual VOC precursors, the oxidation of isoprene made the largest contribution (30%) to secondary HCHO. In addition, the loss rates of HCHO were governed both by reactions with OH and by photolysis, whereas CH$_3$CHO losses were dominated by reactions with OH (Yang et al., 2018). Such studies of the detailed photochemical processes of carbonyls remain limited in other regions, and more efforts are needed.

6.2 Dicarbonyls

The photochemical formation mechanisms of GLY and MGLY have also been investigated (Chan Miller et al., 2016; Liu et al., 2012b; Yang et al., 2018). Fu et al. (2008) conducted a detailed simulation based on a global three-dimensional model (GEOS-Chem) and found that isoprene dominated the secondary formation of GLY and MGLY on a global scale, whereas acetylene and acetone were the second most important precursors for GLY and MGLY, respectively. However, the photochemical formation mechanisms of GLY and MGLY in China differ significantly from those on a global scale. Liu et al. (2012b) quantified the missing source of GLY in China by comparing the vertical column densities observed by SCIAMACHY and those simulated by a three-dimensional regional chemical transport model. Inverse modeling
was then applied to calculate the emission adjustment for each grid, and the results showed a substantial underestimation of aromatics emissions (by a factor of 4 to 10) in the VOC emission inventories over China, which well explained the missing source of GLY. Later, Chan Miller et al. (2016) identified the PRD region as a hotspot of GLY based on satellite observations from the Ozone Monitoring Instrument. In contrast with the results of Liu et al. (2012b), they found good agreement between the observed GLY enhancements and the current VOC emission inventories. The difference in the results was attributed to the combined effects of bias in the satellite data and underestimation of GLY production from aromatics oxidation. Based on MCM v3.2 (Master Chemical Mechanism, a nearly-explicit chemical mechanism), the aromatics emitted by industrial sources were determined as a dominant contributor to GLY formation in the PRD region (Chan Miller et al., 2016). Yang et al. (2018) investigated the photochemical formation mechanisms of GLY and MGLY in urban Beijing. RO+O2 and OH+OVOCs reactions were identified as the dominant formation pathways for both GLY and MGLY. Furthermore, aromatics made the largest contribution of all first-generation VOC precursors to the formation of GLY (>43%) and MGLY (39%). The dominant first-generation VOC precursors responsible for secondarily formed GLY and MGLY in Beijing are similar to those identified in the PRD region (i.e., aromatics).

Overall, some progress has been made in gaining a better understanding of the photochemical formation mechanisms of carbonyls in China. Nonetheless, most studies have focused on the identification of important precursors; the detailed photochemical processes of carbonyls have not been fully addressed. Moreover, most work has been concentrated in the NCP and PRD regions, with few studies considering other regions of China. More work is needed to further unravel the detailed formation mechanisms of carbonyls with a wider spatial coverage in China.

7. Effects of carbonyls on atmospheric chemistry, air quality, and human health

7.1 Atmospheric oxidative capacity and radicals

OVOCs play crucial roles in radical chemistry. First, they exert a significant influence on radical initiation reactions. As mentioned in Section 2, OVOC photolysis is acknowledged as a major primary source of ROx radicals. Xue et al. (2016) used box modeling analysis to quantify the radical budget of a prolonged severe photochemical smog episode in Hong Kong and found that OVOC photolysis (except for HCHO photolysis) made an overwhelming contribution (47%) to daytime primary ROx production. Moreover, the photolysis of HCHO was an important source of HO2. The dominance of OVOC photolysis to ROx+HO2 production was also found in many other areas, such as Beijing, Lanzhou, and Waliguan (see Table 2 and references therein). Despite this similarity, ROx+HO2 production from OVOC photolysis was much more efficient in polluted urban areas than in rural or remote areas.

OVOCs also play an important role in radical recycling cycles, which further influence the atmospheric oxidizing capacity. Generally, OH is the largest contributor to the atmospheric oxidizing capacity. Some studies have calculated the OH reactivity to track the contributions of various VOC groups to OH oxidation. Table 2 summarizes the related studies of OH
reactivity in China. In the PRD region, Lou et al. (2010) compared the measured and calculated
OH reactivity and found a discrepancy of a factor of ~2. Further examination suggested that
the missing reactivity was attributed to a lack of measurement data for OVOCs (mainly
aldehydes and ketones), suggesting the significant contributions of carbonyls to OH reactivity.
Yuan et al. (2012a) calculated that that OH reactivity was dominated by OVOCs. The
dominance of OVOCs to OH reactivity in the PRD region was also confirmed by Xue et al.
(2016) and Li et al. (2018) based on model simulations. In the NCP region, Yang et al. (2018)
and Fuchs et al. (2017) reported the dominant contributions of OVOCs to OH reactivity. Of all
OVOC species, HCHO and CH$_3$CHO were identified as the largest contributors to OH
reactivity (Duan et al., 2012; Fuchs et al., 2017; Shao et al., 2009; Yang et al., 2018). In addition,
OVOCs (especially carbonyls) usually dominated OH reactivity in rural areas, whereas alkenes
tended to dominate OH reactivity in urban areas. Overall, OVOCs not only behaved as a
predominant radical source via photolysis but also as a major contributor to the atmospheric
oxidizing capacity via OH-initiated degradation.

**7.2 O$_3$ formation**

The worsening O$_3$ pollution situation has caused wide public concern, and many studies
have investigated O$_3$-precursor relationships (e.g., Duan et al., 2008; Tan et al., 2018; Wang et
al., 2018; Xue et al., 2014; Xue et al., 2013). However, most studies have focused on
hydrocarbons; relatively limited attention has been paid to the contributions of OVOCs to O$_3$.
A close relationship has been assumed to exist between O$_3$ and OVOCs because high levels of
O$_3$ and OVOCs are often monitored concurrently during photochemical pollution episodes
(Wang et al., 2018; Yang et al., 2017). A clear understanding of the role played by carbonyls
during O$_3$ formation is essential for air pollution control.

Based on the PBM-MCM model, Wang et al. (2018) found that HCHO photolysis
dominated HO$_2$ formation and that HO$_2$ then further oxidizes NO to NO$_2$, facilitating the
formation of O$_3$. Some studies evaluated the O$_3$-precursor relationships based upon O$_3$
formation potential (OFP) calculations and model sensitivity tests. Yuan et al. (2012a)
calculated the OFP of various VOC groups measured in the PRD region and found that
aromatics made the largest contribution to the OFP at most urban sites, whereas carbonyls
usually dominated the OFP in rural areas. In contrast, Duan et al. (2008) found that carbonyls
dominated the OFP (40%) at an urban site in Beijing. This discrepancy reveals the different
formation regimes of O$_3$ in various regions of China. However, one common conclusion is that
of the carbonyl species, HCHO and CH$_3$CHO usually exhibited the highest OFP due to their
relatively high reactivity and abundance. Some studies were based on model-calculated relative
incremental reactivity. Yang et al. (2018) identified carbonyls as the most sensitive precursors
responsible for O$_3$ formation in Beijing. Wang et al. (2018) calculated the relative incremental
reactivity values for each VOC precursor to O$_3$ production over the South China Sea. They
showed that HCHO exhibited the highest relative incremental reactivity value on both episode
days and non-episode days (Here, the episode days refer to days with maximum hourly average
O$_3$ value exceeding 100 ppbv [China's Grade II Standard]). Cutting carbonyls emissions would
be an effective way to alleviate O$_3$ pollution in these regions.

The studies mentioned above have demonstrated that carbonyls make important
contributions to O₃ formation. These findings are useful for the formulation of effective ozone pollution control strategies. However, most of these studies have focused on the sensitivity of O₃ formation to the bulk of carbonyls, to which secondary formation contributed a large proportion. Control policies can only reduce primary emissions. Thus, more efforts are needed to better quantify the contributions of primary carbonyl species to O₃ formation in China.

### 7.3 SOA formation

Heterogeneous reactions are an important sink of carbonyls and an important source of SOA. As previously mentioned, GLY and MGLY usually exhibit high levels in China. These two small dicarbonyls have relatively high reactivity and water solubility (Shen et al., 2013; and references therein). They can easily hydrate and polymerize even at low humidity. This distinct feature makes GLY and MGLY important contributors to SOA formation. Fu et al. (2008) added the known sources and sinks of GLY and MGLY to the GEOS-Chem model and found that the yield of global SOA increased by 38%, thus indicating their significant contributions to SOA formation on a global scale.

Investigations of the relationships between carbonyls and SOA formation in China are relatively limited. Yuan et al. (2013) reported a discrepancy between measured and calculated SOA and attributed it partly to the lack of measurement data for GLY. Li et al. (2013a) improved the representation of the gas-phase and aqueous-phase chemistry of dicarboxyls (GLY and MGLY) in the community multiscale air quality (CMAQ) modeling system to simulate SOA levels over the PRD region and made comparisons with observations. The simulated average SOA concentration was in better agreement with the observed mean SOA concentration after the improvement. Furthermore, dicarboxyls were found to make a large contribution (~53%) to the simulated surface SOA formation, mainly via irreversible uptake by aqueous particles, whereas the contributions of dicarboxyls to SOA via gas-phase reactions can be negligible (Li et al., 2013a). This finding was consistent with that of Hu et al. (2017), who used the CMAQ model to study the tempo-spatial distribution of SOA in China and found that the reactive surface uptake of GLY and MGLY was an important formation pathway for SOA, with respective contributions of 14% to 25% and 23% to 28%.

Some laboratory experiments have also investigated the roles of monocarboxyls in SOA formation. The heterogeneous reactions of carboxyls can occur on various aerosol surfaces, including mineral particles, sea salt, and soot particles. Therefore, some laboratory studies have provided reliable uptake coefficients and identified the reaction products under various conditions (Shen et al., 2013; and references therein). Some studies have also attempted to quantify the contributions of some monocarboxyls to SOA formation. For example, assuming the partition efficiency of both oxalic and malonic acid to be 100%, the aqueous OH-oxidation of methacrolein and methyl vinyl ketone was reported to make maximum contributions of 8.8% and 23.8% to SOA formation, respectively, after a 7-h reaction (Zhang et al., 2010). However, the detailed formation mechanisms of SOA from carboxyls across China remain unclear and warrant further investigation.

### 7.4 Human health

The World Health Organization has classified several carbonyl species (e.g., HCHO and
CH3CHO) as toxic air pollutants and even human carcinogens. In the last two decades, the Chinese government has issued a series of standards to regulate indoor exposure to toxic carbonyl species, but few focused on outdoor carbonyls. Indoor carbonyls often exhibit high levels, and some even exceed the national standard (Huang et al., 2011; Lü et al., 2016; Lui et al., 2017b; Wang et al., 2007; Weng et al., 2009). Therefore, studies have been conducted to investigate the detrimental effects of both indoor and outdoor carbonyls on human health in China.

Based on numerous experimental studies and historical data, Cogliano et al. (2005) confirmed the carcinogenicity (e.g., nasopharyngeal cancer, leukemia, and sinonasal cancer) of HCHO in humans and animals. The acute and chronic toxicity effects of HCHO apart from carcinogenicity were also investigated (Cogliano et al., 2005; Tang et al., 2009; and references therein). Such laboratory studies of other carbonyl species are very scarce in China, and more investigations are urgently needed. In addition, few studies have focused on personal exposure levels and risk assessments. For example, Ho et al. (2015) conducted field studies in nine Chinese cities and assessed the potential health risks of HCHO and CH3CHO using a simple risk-calculation model. High cumulative cancer risks were found in summer in all cities except for Xiamen, a coastal city in southeast China. Furthermore, the highest risk was observed at Chengdu in summer and Wuhan in winter. High exposure and health risks of HCHO and CH3CHO were also found in public places in Hangzhou and Shanghai (Feng et al., 2010; Weng et al., 2009). In addition, Ho et al. (2014b), Huang et al. (2011), and Lü et al. (2016) investigated the health risks of indoor carbonyls at a university in Xiamen, two residential kitchens in Hong Kong, and a library in Guangzhou, respectively. Each identified HCHO exposure as a valid occupational health and safety concern. Furthermore, Tang et al. (2009) elucidated the indoor and outdoor exposure levels and health effects of HCHO across China in detail. Overall, these limited results revealed the high risk of exposure to carbonyls in China. More studies of the health impact of carbonyls are needed, and essential measures to protect human health should be taken.

8. Summary and outlook

Significant progress has been achieved in the last 20 years in the study of ambient carbonyls in China’s atmosphere. A number of field observations have been conducted at various surface sites across China. The results have revealed the severity and tempo-spatial variations of carbonyls pollution in China. Photochemical formation was identified as the dominant source of ambient carbonyls, but primary emissions also made important contributions in specific circumstances. Alkenes, aromatics, and isoprene usually dominated the secondary formation of carbonyls. Carbonyls are acknowledged as important precursors of O3 and SOA. For O3, carbonyls usually dominate the OFP in rural areas, and for SOA, dicarbonyls such as GLY and MGLY make important contributions to SOA formation, mainly via irreversible uptake by aqueous particles. In addition, few studies have revealed the high exposure and health risk of both indoor and outdoor carbonyls in China.

Despite the abovementioned progress, more efforts are still needed to gain a thorough understanding of the role of carbonyls in tropospheric chemistry, O3 and SOA formation, and human health. The following directions are recommended for future study.
(1) The current field observations remain far from sufficient to comprehensively reflect the carbonyls pollution situation across China. Although high–molecular weight carbonyls play a significant role in aerosol formation, the observational data available are very scarce because they are largely partitioned into the particle phase and thus exhibit low gas-phase concentrations. More studies should be conducted to investigate the characteristics of high–molecular weight carbonyls. Furthermore, the vertical distribution profiles for gaseous carbonyls remain unclear. In addition, most ground observations have been concentrated in fast-developing regions, such as the NCP, YRD, and PRD. It is strongly suggested that more field studies be conducted on other overpopulated and fast-developing regions. This suggestion also applies to other more reactive carbonyl species that should receive more attention.

(2) The emission patterns of primary sources (especially the non-vehicle primary sources) remain poorly understood. The combined efforts of the research community and government agencies are needed to accurately characterize the primary emissions and develop a national species-specific carbonyls emission inventory in China.

(3) Most studies have focused on identifying dominant precursors; little attention has been paid to the detailed photochemical formation processes of carbonyls. To some extent, such an investigation is impeded by the accurate representation of chemical mechanisms of carbonyls in models, which is still considered a difficulty in current research. We recommend that more laboratory experiments be conducted to update the chemical mechanisms that can be incorporated into models to better identify dominant precursors and investigate the detailed photochemical formation mechanisms of carbonyls. It can also help to precisely quantify the contributions of isoprene and other important biogenic VOCs to carbonyls.

(4) Due to the limitations of observation techniques, few studies have focused on the effects of carbonyls on O$_3$ and SOA. Ways of better dissecting the contributions of primary and secondary carbonyls to O$_3$ and better understanding the formation mechanisms of SOA from carbonyls remain significant challenges that require further investigation. In addition, research and control policy making should attach equal importance to indoor and outdoor exposure to carbonyls.

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Figure 1. Major chemical processes of OVOCs in the atmosphere. The red and blue lines indicate the initiation and recycling pathways of radicals. The dotted lines indicate chemical processes in which OVOCs directly involved.

Figure 2a. Spatial distributions of HCHO levels (ppbv) in China. Urban, suburban, rural sites are marked as circles, triangles and squares, respectively. The observation data are taken from
Figure 2b. The same to Figure 2a but for CH$_3$CHO.
Figure 2c. The same to Figure 2a but for C$_2$H$_5$CHO.

Figure 2d. The same to Figure 2a but for C$_3$H$_7$CHO.
**Figure 3a.** Spatial distributions of GLY and MGLY levels (ppbv) in China in summer. The observation data are taken from Ho et al. (2014a), Ho et al. (2015), Kawamura et al. (2013), Lui et al. (2017a), and Rao et al. (2016).

**Figure 3b.** The same to Figure 3a but for data in winter.
Figure 4. Seasonal variations of HCHO and CH$_3$CHO in Beijing, Shanghai, Guangzhou, and Hong Kong, respectively. The observation data are taken from Huang et al. (2008), Lü et al. (2010), Lui et al. (2017c), and Pang and Mu (2006).
<table>
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<tr>
<th>Species</th>
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<th>Methods available</th>
<th>$K_{OH}$ ($\times 10^{-12}$ cm$^3$ molecule$^{-1}$ s$^{-1}$)</th>
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<td>Formaldehyde</td>
<td>HCHO</td>
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<td>Acetaldehyde</td>
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<td>$7.31 \times 10^6$</td>
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<td>-</td>
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<td>Butyraldehyde</td>
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<td>$1.98 \times 10^5$</td>
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<td>Pentanal</td>
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<td>m-Tolualdehyde</td>
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<td>Hexaldehyde</td>
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<td>o-Tolualdehyde</td>
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The kOH values were under conditions of 298 K and 1 bar; the kOH values and photolysis frequencies are taken from Calvert et al. (2011) and Mellouki et al. (2015).
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*a* CRAES: Chinese Research Academy of Environmental Sciences; PKU: Peking University; FDU: Fudan University;