# Highlights

- Updated versions of GEISA 2015 and HITRAN 2016 line lists are validated using terrestrial solar occultation spectra from ACE-FTS.
- Spectroscopic parameters for  $CO_2$  and  $H_2O$  are improved in both line lists.
- The primary difference we observe between the two line lists comes from  $O_3$  absorption features near 3850 cm<sup>-1</sup> and from several CH4 absorption lines in the regions 2800-3200 cm<sup>-1</sup> and 4000-4300 cm<sup>-1</sup>.

# Validation of the HITRAN 2016 and GEISA 2015 line lists using ACE-FTS solar occultation observations

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#### Abstract

The ExoMars Trace Gas Orbiter (TGO) began its nominal science phase at Mars in April 2018, following releases of editions to two major spectroscopic line lists: GEISA 2015 (Gestion et Etude des Informations Spectroscopiques Atmosphériques: Management and Study of Atmospheric Spectroscopic Information), and HITRAN 2016 (High Resolution Transmission). This work evaluates both line lists over the spectral region between 2325–4350 cm<sup>-1</sup> using terrestrial solar occultation observations made by the Atmospheric Chemistry Experiment Fourier Transform Spectrometer (ACE-FTS). This spectral region is targeted on Mars by two complementary solar occultation instruments on TGO that will monitor temperature and pressure, aerosols,

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the abundance of CO<sub>2</sub>, CO, H<sub>2</sub>O, HDO, CH<sub>4</sub>, and other undetected trace gases. Major updates to GEISA 2015 and HITRAN 2016, with respect to previous editions, have been focused on CO<sub>2</sub> absorption features in support of Earth-observing missions to monitor greenhouse. Since  $CO_2$  is the dominant absorber on Mars, making up 96.5% of the atmosphere, validating the updated line lists is critically important before their deployment for ExoMars. We report that updated CO<sub>2</sub> parameters make significant improvements to spectral fits made when using both line lists. Several updates to H<sub>2</sub>O lines in both line lists also show improvement. The primary difference we observe between the two line lists comes from  $O_3$  absorption features near  $3850\,\mathrm{cm}^{-1}$ and from several  $CH_4$  absorption lines in the regions  $2800-3200\,\mathrm{cm}^{-1}$  and 4000-4300 cm<sup>-1</sup>. Because of these differences, we find that using HITRAN 2016 tends to result in better spectral fits, especially below  $30 \, km$ , than using GEISA 2015 in this spectral region. Differences are strongly reduced with increasing altitude (> 40 km) as pressure and gas abundance falls off. It was also discovered that several new errors in both new editions of GEISA and HITRAN were introduced since the HITRAN 2012.

Keywords: ACE-FTS, HITRAN, GEISA, ExoMars, line-list

### 1 1. Introduction

- New editions of two major spectroscopic line lists have been recently re-
- leased: Gestion et Etude des Informations Spectroscopiques Atmosphériques:
- 4 Management and Study of Atmospheric Spectroscopic Information (GEISA)
- 5 in 2015 (Jacquinet-Husson et al., 2016); and High Resolution Transmission
- 6 (HITRAN) in 2016 (Gordon et al., 2017). Here, we present a comparison

of spectral fits to solar occultation measurements of the Earth's atmosphere made by the Canadian Space Agency's (CSA's) Atmospheric Chemistry Exeriment (ACE) Fourier transform spectrometer (FTS) using both line lists. This work was motivated by the arrival of the European Space Agency (ESA) and Roscosmos' ExoMars Trace Gas Orbiter (TGO) at Mars in October 2016. The TGO carries two infrared remote sensing instrument suites, the Atmo-12 spheric Chemistry Suite (ACS) (Korablev et al., 2018) and the Nadir and 13 Occultation for Mars Discovery (NOMAD) (Vandaele et al., 2018). Both 14 instrument suites carry channels dedicated to making solar occultation observations at Mars in the infrared wavenumber range of 2325–4350 cm<sup>-1</sup>. 16 The controversial observation of methane (CH<sub>4</sub>) in the Martian atmo-17 sphere (Formisano et al., 2004; Krasnopolsky et al., 2004; Mumma et al., 18 2009; Webster et al., 2015, 2018; Giuranna et al., 2019) is one of the key motivations of the ExoMars mission (Zurek et al., 2011; Vandaele et al., 2015; 20 Korablev et al., 2018). NOMAD and ACS will search for CH<sub>4</sub> by making 21 solar occultation observations of its  $\nu_2$  vibration-rotation band centred near 22 3000 cm<sup>-1</sup>, which hosts the strongest absorption features available to both 23 instruments. A key benefit of searching for CH<sub>4</sub> in this region is that it is relatively clear of interfering absorption lines from CO<sub>2</sub>. There is a recently-25 observed, weak vibration-rotation band of carbon dioxide  $(CO_2)$  that overlaps 26 CH<sub>4</sub> in this region (Bertaux et al., 2008; Villanueva et al., 2008) and one of our specific objectives was to evaluate its spectroscopic parameters in GEISA 2015 and HITRAN 2016. ACS and NOMAD will also make detailed measurements of water vapour 30

 $(H_2O)$ , having the capability of distinguishing isotopologues. The ratio of

HDO to H<sub>2</sub>O has been used as a critical indicator of the Martian climate in the ancient past (e.g., Encrenaz et al., 2018; Krasnopolsky, 2015). The relative abundance of HDO on Mars is enriched relative to Earth, which supports mechanisms for hydrogen escape from the atmosphere, which are preferential towards the lighter isotope (Clarke et al., 2017). ACS and NOMAD will be able measure the vertical structure of H<sub>2</sub>O isotopologues, and monitor their ratios seasonally, spatially, and vertically. In support of the study of Earth's contemporary climate, and the carbon cycle, with the Orbiting Carbon Observatory (OCO-2) (Crisp et al., 2004, 2017), the Greenhouse gases Observing SATellite (GOSAT) (Yokota et al.,

2009), and the Total Carbon Column Observing Network (TCCON) (Wunch et al., 2011), a large effort has been undertaken to refine and reduce the uncertainty of the spectroscopic parameters of CO<sub>2</sub>. While the surface pressure of Mars is only between 550–720 Pa, roughly 0.5–0.7% of Earth's, the volume mixing ratio of CO<sub>2</sub> is 0.965, or 2400 times that on Earth (400 ppmv). Therefore, CO<sub>2</sub> absorption lines in Mars solar occultation spectra will be deeper and broader than for spectra recorded at Earth, and small changes in the spectroscopic parameters may have a large impact on trace gas retrievals

Our goal was to evaluate the new CO<sub>2</sub>, H<sub>2</sub>O, and CH<sub>4</sub> parameters by looking at whether their impact when fitting terrestrial spectra was positive or negative. We have performed spectral fitting for 125 sets of ACE-FTS occultation spectra (resolution of 0.02 cm<sup>-1</sup>) over 50 spectral windows covering the spectral range of 2430–4450 cm<sup>-1</sup> using the HITRAN 2012, HITRAN 2016, and GEISA 2015 line lists.

made at Mars.

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HITRAN 2016 and GEISA 2015 are compilations of data sources, many 57 of which are shared. One of our most important results is that the updated CO<sub>2</sub> parameters for some of the stronger vibration-rotation bands, especially those centred at 3550 cm<sup>-1</sup> result in strongly improved spectral fits when 60 using either HITRAN 2016 or GEISA 2015. However, we have also found that there are large differences in the spectroscopic parameters of ozone  $(O_3)$ , CH<sub>4</sub>, and H<sub>2</sub>O between the two data sets, and that using HITRAN 2016 leads 63 to improved spectral fits compared to GEISA 2015. This result is significant since methane is one of the strongest absorbers in the Earth's atmosphere, and is one of the most variable gases. Methane is of key importance for TGO, as ACS and NOMAD both aspire to make its irregular and controversial detection in the Martian atmosphere definitive. However, at this time no methane features have been observed at Mars by TGO instruments (Korablev et al., 2019). 70

While individual contributions to the line lists are validated, they are often done in laboratory settings, observing controlled gas samples, rather than with observations of an atmosphere (e.g., Jacquinet-Husson et al., 2016; Gordon et al., 2017, and references therein). Bailey (2009) previously made a direct comparison between H<sub>2</sub>O transitions in older versions of GEISA and HITRAN, and qualitatively showed their differences using modelled spectra for Venus at high temperatures and above 4000 cm<sup>-1</sup>. A comprehensive validation of the GEISA line list was done using TCCON and the Infrared Atmospheric Sounding Interferometer (IASI) (Clerbaux et al., 2009) by Armante et al. (2016). They describe a technique used to determine whether spectroscopic parameters should be used to update the GEISA database based

on comparisons of computed spectra to observations. They specifically show H<sub>2</sub>O and HDO in the ExoMars region of interest and highlight improvements since GEISA 2011. They also compare  $\mathrm{CH_4}$  lines above 6000  $\mathrm{cm^{-1}}$  to HI-TRAN 2012 and note an improvement to the residuals. This method was used in the compilation of GEISA 2015 (Jacquinet-Husson et al., 2016). A comprehensive validation of the HITRAN 2012 line list was undertaken by Toon et al. (2016) using the MkIV balloon-borne FTS (Toon, 1991). They 88 divided the spectral region between 670–5620 cm<sup>-1</sup> into fitting windows and quantitatively evaluated the best-fit residuals across the spectral range and with altitude for several versions of HITRAN released since 2000. They noted specific errors in the data base, where improvements were made, and where previous versions performed better. Their work was influential on the compilation of the latest version of HITRAN evaluated here (Gordon et al., 2017). Updates to Toon et al. (2016) are included in Toon (2019) and include evaluation of HITRAN 2016 and the TCCON internal line list, validation with laboratory spectra, and a specific analysis of CO<sub>2</sub> features. This work follows Toon et al. (2016) by using a similar quantitative evaluation technique and covering part of the same spectral region.

### 2. HITRAN 2016

The HITRAN (high-resolution transmission molecular absorption) database was first compiled for the Air Force Geophysics Laboratory (AFGL) by McClatchey et al. (1973) and major updated editions have been released on a four year cycle since 1992 (Rothman et al., 1992). The 2016 version of the HITRAN database (Gordon et al., 2017) describes changes made since the 2012

edition (Rothman et al., 2013). Among the most significant additions to the 106 database have been the inclusion of spectroscopic parameters for collision-107 induced broadening from non-nitrogen based atmospheres and for non-Voigt 108 line profiles (Wilzewski et al., 2016). The need for line broadening param-109 eters in atmospheres primarily composed of gases other than  $N_2$  has been motivated by extra-terrestrial spectroscopic applications, e.g., Mars, which 111 is 96% CO<sub>2</sub>. HITRAN 2016 includes a sparse set of broadening parameters 112 for atmospheres composed of H<sub>2</sub>, He, or CO<sub>2</sub> for a subset of gases that in-113 cludes CO, OCS, SO<sub>2</sub>, NH<sub>3</sub>, HF and HCl, which are all sought at Mars by the ExoMars TGO. For very high-resolution applications, HITRAN 2016 also 115 includes parameters for the speed-dependent Voigt, Galatry, and Hartman-116 Tran line shapes. These are again only available for a subset of wavenumbers 117 and only for H<sub>2</sub>O, CO, H<sub>2</sub>, O<sub>2</sub>, N<sub>2</sub>O, HF and HCl. Because of the complexity of the newly included parameters, newly-developed online tools are now used 119 to create user-defined database versions. The 2016 version also expands the 120 list of available molecules with the addition of  $C_2N_2$  and  $COCl_2$ . While the 121 number of additional lines, and the number of lines for which more accurate 122 measurements have been made, is vast, we will primarily focus on key species 123 relevant to Earth and Mars:  $CO_2$ ,  $H_2O$  and  $CH_4$ .

For CO<sub>2</sub>, Gordon et al. (2017) identifies the imperative for high-accuracy spectroscopic parameters driven by GOSAT, OCO-2, TCCON, and others, and identifies validated improvements between the 2008 and 2012 versions of the HITRAN database (Toon et al., 2016). The 2012 version of the database was largely built on theoretical fits of the effective Hamiltonian or effective dipole moments, compiled as the CDSD-296 database (Tashkun et al., 2015),

supplemented by higher-accuracy experimental measurements made by Toth et al. (2007, 2008a,b). The line intensity calculations in CDSD-296 have 132 high uncertainties ( $\sim 20\%$ ) and two new sets of theoretical computation have 133 been produced: the Ames list (Huang et al., 2014) and the UCL-IAO list 134 (Zak et al., 2016). These have been extensively validated experimentally, and Gordon et al. (2017) refers to 14 such studies that show that the UCL-136 IAO list tends to be more accurate, and that the uncertainties for the 2016 137 version of HITRAN can be pushed down to the order of 0.5%. However, the 138 majority of the experimental work focuses on important CO<sub>2</sub> bands for Earth observation (e.g., for OCO-2) that lie outside the range of high-resolution 140 solar occultation experiments on TGO, above 5000 cm<sup>-1</sup> (near 1.6 and 2 μm). 141 Only laboratory measurements presented in Lyulin et al. (2012) and Durry 142 et al. (2010) cover the 2300–4400 cm<sup>-1</sup> range, and the latter only does so near  $3730 \text{ cm}^{-1}$ . The 2016 HITRAN line list for  $CO_2$  between 2300–4400 cm<sup>-1</sup> is a combination of CDSD-296 theoretical calculations (Tashkun et al., 2015), 145 UCL-IAO or Ames theoretical calculations where better or newly available 146 (Huang et al., 2014; Zak et al., 2016), and laboratory measurements where 147 available and with low enough uncertainty (e.g., Toth et al., 2008b; Durry 148 et al., 2010; Lyulin et al., 2012). For H<sub>2</sub>O, the HITRAN 2012 line list was made up of ab initio calculations 150 that comprised the BT2 line list (Barber et al., 2006), with updates, where available, from calculations using a more accurate method (Lodi et al., 2011; 152 Lodi and Tennyson, 2012). Newer calculations using the methodology of Lodi and Tennyson (2012) have been made as part of an effort by the International 154

Union of Pure and Applied Chemistry (IUPAC) task group (Tennyson et al.,

2009, and references therein). The new calculations have been validated experimentally by Birk et al. (2017) and the results have been used to update both the IUPAC database and the HITRAN line list. Extensive laboratory measurements have also been made for the German Aerospace Agency (DLR) in the spectral range between 1850–4000 cm<sup>-1</sup> by Loos et al. (2017a,b). When available, these replace the calculated line strengths of IUPAC and Lodi and Tennyson (2012).

The CH<sub>4</sub> data in HITRAN 2012 was comprised of the data set described 163 in Brown et al. (2013), which was a combination of theoretical calculations 164 and experimental measurements. This data set replaced over 70% of the 165 HITRAN 2008 line list for CH<sub>4</sub> (Rothman et al., 2009). However, analysis 166 of high-resolution solar occultation measurements in the Earth's atmosphere 167 made by the MkIV interferometer (Toon, 1991) determined that there were still several errors and omissions in the HITRAN 2012 CH<sub>4</sub> data (Toon et al., 169 2016), especially in the spectral region of the  $\nu_2$  transition critical to Exo-170 Mars, near 3000 cm<sup>-1</sup>. Errors that were identified were replaced by either 171 the HITRAN 2008 values, or computations made by Tyuterev et al. (2013). 172 Several laboratory studies have been recently undertaken, but the results 173 have not yet been incorporated into the HITRAN line list, but an update to the 2016 edition is expected in the interim (Gordon et al., 2017). 175

### 176 3. GEISA 2015

The GEISA line list was first compiled in the early 1970s at the Laboratoire de Météorologie Dynamique (LMD) to support their radiative transfer investigations (Chédin et al., 1982). Key motivations for the compilation

were to include new gases important for planetary atmospheric applications, and to co-develop software tools to easily use the database. One distin-181 guishing feature is to treat certain isotopologues with distinct symmetries as 182 independent species (such as HDO for H<sub>2</sub>O and CH<sub>3</sub>D for CH<sub>4</sub>) (Jacquinet-183 Husson et al., 2016). Comparing the available gases in current versions of GEISA and HITRAN,  $GeH_4$ ,  $C_3H_8$ ,  $C_3H_4$ , and  $C_6H_6$  are unique to GEISA, 185 while HOBr, O, H<sub>2</sub>, and CS are unique to HITRAN. There are also several 186 minor isotopologues of trace gases unique to both. Updates to GEISA are 187 made after evaluating the relevance of new data, the efficiency of including it, and after undergoing a validation process as described in Armante et al. 189 (2016).190

For CO<sub>2</sub>, the GEISA 2011 database was replaced by the CDSD-296 database (Tashkun et al., 2015). CDSD-296 is also the primary source of CO<sub>2</sub> parameters in the 2016 edition of HITRAN. GEISA also contains three isotopologues not contained in CDSD-296 from laboratory measurements by Jacquemart et al. (2012); Lyulin et al. (2012) (and others at at higher wavenumbers than 4400 cm<sup>-1</sup>).

Extensive updates to  $H_2O$  were made empirically for GEISA 2015 by a consortium of eight laboratories, nearly tripling the number of available lines since the 2011 edition. In the spectral region of interest to ExoMars ( $\sim$ 2300–4400 cm-1), these measurements were made by the Laboratoire Inter-Universitaire des Systèmes Atmosphériques (LISA), the Institute of Atmospheric Optics (IAO), and University College, London (Jacquinet-Husson et al., 2016). Updates to  $H_2^{16}O$  come from (Coudert et al., 2014), and updates for  $H_2^{17}O$  and  $H_2^{18}O$  come from Lodi et al. (2011); Lodi and Tennyson (2012) and the IUPAC efforts, which is the same source as for HITRAN 2016. These were supplemented or updated by measurements made by Coudert and Chelin (2016). GEISA 2015 also newly includes lines for two isotopologues of  $D_2O$  not included in HITRAN.

Updates to CH<sub>4</sub> in this spectral range mainly come from the work of
Niederer et al. (2013); Nikitin et al. (2013) which use the same methodology
as Brown et al. (2013) (HITRAN 2012). The validation work of Armante
et al. (2016) showed some imprecision in the new parameters, resulting in
some CH<sub>4</sub> lines from GEISA 2011 being retained.

## 4. Methodology

In this study, we break the wavenumber range of the ExoMars solar occul-215 tation spectrometers up into discrete fitting windows and analyze terrestrial 216 solar occultation spectra recorded by ACE-FTS using the Jet Propulsion Laboratory Gas Fitting (GFIT or GGG) software suite. 125 occultations 218 were analyzed. During an occultation, a series of observations of the sun are made while the limb of the atmosphere lies between the solar disk and 220 the instrument. For each window, residuals were computed for each altitude level. The means of the residuals were taken at levels of equal pressure, and 222 the root-mean-square (RMS) and standard deviation  $(\sigma)$  were computed for 223 each fitting window. The means of the residuals were taken, rather than 224 computing the residuals of mean spectra, due to variations in line depths be-225 tween occultations, especially for CH<sub>4</sub> lines. In general, the lower the results 226 RMS of the mean residuals is, the more accurate the spectroscopic parame-227 ters used in the fitting are. This methodology is very similar to that used by

Toon et al. (2016) who analyzed MkIV spectra.

ACE-FTS is a compact, double-pass interferometer with a spectral res-230 olution of 0.02 cm<sup>-1</sup> and a spectral range of 750–4400 cm<sup>-1</sup>. It has been 231 operating continuously in low-Earth orbit since 2003. The 125 ACE-FTS 232 occultations analyzed were recorded between 2004 and 2012 and are unrestricted in longitude and season. Most observations are at high latitudes 234 due to the ACE orbit (650 km with an inclination of 74°). A sequence of 235 measurements is made with an observation every 1-6.5 km, and on aver-236 age every 4 km. Two detectors provide an simultaneous spectral range of  $750-4400 \text{ cm}^{-1}$  (Bernath et al., 2005). 238 Spectral fitting in this study was done with GGG, which is also being used to analyze solar occultation observations made by ACS on ExoMars.

239 240 GGG is developed from early Occultation Display Spectra (ODS) used by the ATMOS FTS that flew on the space shuttle (Norton and Rinsland, 1991; 242 Irion et al., 2002). It is a robust software suite adaptable for solar occultations 243 (Toon, 1991; Toon et al., 2016; Olsen et al., 2015), ground based observations 244 (Wunch et al., 2011), or laboratory measurements. For each altitude and 245 each fitting window, GGG computes a spectrum from a set of parameters 246 that include the calculated optical path, vertical profiles of pressure and temperature, and a priori gas volume mixing ratio (VMR) vertical profiles. 248 GGG then performs non-linear least squares fitting to adjust VMR scaling factors (as well as other optional parameters such as continuum level and 250 frequency shift) to obtain a best fit. Retrieved VMR vertical profiles can be obtained by inverting the matrix of VMR scale factors for each target gas at 252 each altitude, with the matrix of slant paths (Sen et al., 1996; Wunch et al.,

254 2011).

A priori temperature, pressure, and specific humidity vertical profiles were derived from National Centers for Environmental Prediction (NCEP) reanalysis data (Kalnay et al., 1996) up to 40 km. The US standard atmosphere was used above 40 km.

For this work, we consider the fitting residuals for each window and focus on three pressure levels where we take the means of the residuals: 0.052, 0.0029, and 0.00023 atm, corresponding to 20,40 and 60 km respectively. For each occultation, fitting was performed at all altitudes and in all spectral windows. The closest altitude level to the predetermined pressure levels was identified. The residuals were computed for each window and the RMS and standard deviation were computed from the residual as:

RMS<sup>2</sup> = 
$$\sum x_i^2 / N$$
,  

$$\sigma^2 = \sum (x_i - \bar{x})^2 / (N - 1),$$
(1)

where N is the number of spectral points,  $x_i$  is the residual value at the  $i^{th}$  spectral point, and  $\bar{x}$  is the mean of the residual. In general, for this application  $\bar{x} \sim 0$  and  $RMS^2 \sim \sigma^2$ . For strong deviations between the computed and observed spectra,  $\sigma^2$  will reflect the magnitude of deviations from the mean of the residuals, while the RMS will reflect the deviation from zero, which is the expected outcome for a good fit. Therefore, the presented results will use the RMS values.

The fitting windows used are given in Tables 1 and 2, and panel a in Figure 1 shows their distribution over a sample of ACE-FTS solar occultation

transmission spectrum. Panels b-e in Figure 1 illustrate the locations and

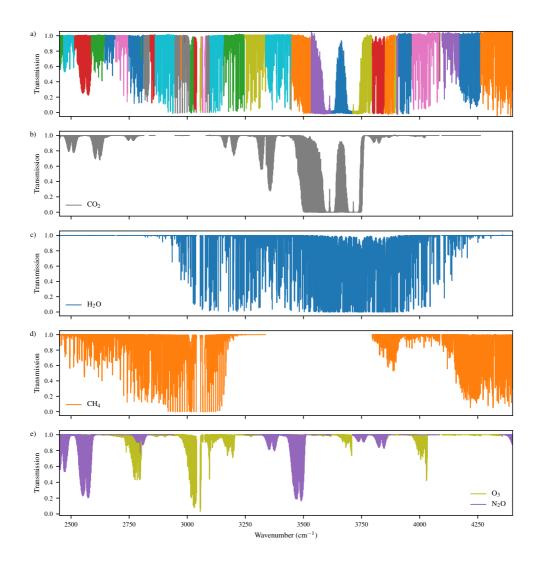


Figure 1: A look at ACE-FTS observations in the ExoMars spectral region of interest: a) mean ACE-FTS spectra at the 0.052 atm pressure level (near 20 km), the colours indicate different fitting windows; b) contributions from  $CO_2$ ; c) contributions from  $H_2O$ ; d) contributions from  $CH_4$ ; e) contributions from  $O_3$  and  $O_2O$ .

<sup>269</sup> magnitudes of absorption features due to major gas species in the Earth's

atmosphere:  $CO_2$ ,  $H_2O$ ,  $CH_4$ ,  $O_3$ , and  $N_2O$ .

#### 271 5. Results

We begin with an overview of the differences between the HITRAN 2016 272 and GEISA 2015 line lists in the ExoMars spectral region of interest, 2325– 273 4350 cm<sup>-1</sup>. Figure 2 shows mean ACE-FTS spectra averaged at three different pressure levels corresponding to approximately 20, 40, and 60 km tan-275 gent altitudes. Each primary panel, for each pressure level, shows the mean ACE-FTS spectra, averaged over 125 occultations, for each fitting window 277 (Figure 2 does not show continuous ACE-FTS spectra). Shown over top of 278 the mean ACE-FTS spectra, is the mean of the calculated best fits for each 279 window when using the HITRAN 2016 line list (as an example). It is im-280 portant to note that the noise level of the ACE-FTS spectra is not constant 281 with wavenumber (Boone et al., 2005), and the noise can be seen increasing 282 towards higher wavenumbers in Figure 2, even after averaging the ACE-FTS 283 spectra. 284 The secondary panels in Figure 2 show the mean residuals for each fitting 285

The secondary panels in Figure 2 show the mean residuals for each fitting with window at each pressure level. Shown are mean residuals when fitting with HITRAN 2012, GEISA 2015, and HITRAN 2016. HITRAN 2012 tends to have the largest numbers of errors and both newer line lists improve upon it. The most significant errors are in the CO<sub>2</sub> band centred at 3508 cm<sup>-1</sup>, which were corrected in the 2016 edition (and not present in GEISA 2015). Difficulty in fitting strong CO<sub>2</sub> lines in the region between 3500–3600 cm<sup>-1</sup> persists when using all three line lists, but this is also due to a strong increase in detector noise in that region, visible in the mean spectra, especially at the

Table 1: List of spectral fitting windows used to evaluate the HITRAN 2016 and GEISA 2015 line lists with ACE-FTS solar occultation observations of the Earth's atmosphere. Given for each fitting window are: centre wavenumber, window width, and gases fit in the window.

Centre $\tilde{\nu}$	Width	Gases fit
$(cm^{-1})$	$(\mathrm{cm}^{-1})$	
2455.5	25.9	$CO_2$ , $CH_4$ , $H_2O$ , $N_2O$ , $O_3$
2491.5	47.9	$\mathrm{CO}_2,\mathrm{CH}_4,\mathrm{H}_2\mathrm{O},\mathrm{N}_2\mathrm{O},\mathrm{O}_3$
2551.55	71.35	$H_2O, CO_2, O_3, N_2O, CH_4$
2615.74	56.2	$\mathrm{CO}_2,\mathrm{CH}_4,\mathrm{H}_2\mathrm{O},\mathrm{N}_2\mathrm{O},\mathrm{O}_3,\mathrm{HCl},\mathrm{C}_2\mathrm{H}_6$
2666.6	44.76	$\mathrm{H}_{2}\mathrm{O},\mathrm{CO}_{2},\mathrm{O}_{3},\mathrm{N}_{2}\mathrm{O},\mathrm{CH}_{4},\mathrm{HCl},\mathrm{C}_{2}\mathrm{H}_{6}$
2689.8	0.62	$HDO,CO_2,O_3,N_2O,CH_4$
2692.76	0.55	$HDO,CO_2,O_3,N_2O,CH_4$
2708.17	0.54	$HDO,CO_2,O_3,N_2O,CH_4$
2722.25	52.0	$\mathrm{CO}_2,\mathrm{CH}_4,\mathrm{C}_2\mathrm{H}_6,\mathrm{H}_2\mathrm{O},\mathrm{N}_2\mathrm{O},\mathrm{O}_3,\mathrm{HCl},\mathrm{HDO}$
2780.74	65.15	$\mathrm{CO}_2,\mathrm{CH}_4,\mathrm{C}_2\mathrm{H}_6,\mathrm{H}_2\mathrm{O},\mathrm{N}_2\mathrm{O},\mathrm{O}_3,\mathrm{HCl},\mathrm{HDO}$
2801.6	0.49	$HDO,CO_2,O_3,N_2O,CH_4$
2825.0	22.95	$\mathrm{H}_2\mathrm{O},\mathrm{O}_3,\mathrm{N}_2\mathrm{O},\mathrm{CH}_4,\mathrm{HDO},\mathrm{HCl}$
2849.15	24.55	$\label{eq:hdot} \text{HDO, CO}_2, \text{H}_2\text{O}, \text{CH}_4, \text{C}_2\text{H}_6, \text{O}_3, \text{N}_2\text{O}, \text{HCl}$
2904.43	85.10	$\mathrm{H}_2\mathrm{O},\mathrm{O}_3,\mathrm{N}_2\mathrm{O},\mathrm{CH}_4,\mathrm{HDO},\mathrm{HCl},\mathrm{NO}_2,\mathrm{OCS}$
2973.65	1.21	$C_2H_6$ , $CO_2$ , $H_2O$ , $CH_4$ , $O_3$
2978.2	62.0	$\mathrm{CO}_2,\mathrm{CH}_4,\mathrm{C}_2\mathrm{H}_6,\mathrm{C}_2\mathrm{H}_4,\mathrm{H}_2\mathrm{O},\mathrm{O}_3,\mathrm{HCl},\mathrm{HDO}$
2983.49	0.78	$C_2H_6$ , $CO_2$ , $O_3$ , $CH_4$
2986.74	0.58	$C_2H_6$ , $CO_2$ , $O_3$
2989.98	0.80	$C_2H_6$ , $CO_2$ , $O_3$ , $CH_4$
2993.52	1.23	$C_2H_6, CO_2, O_3, CH_4, H_2O$
3022.13	18.14	$CH_4, H_2O, C_2H_{\bar{b}7}C_2H_4, O_3, HCl$
3035.08	9.2	$CH_4, H_2O, C_2H_6, C_2H_4, O_3$
3057.72	5.1	$CH_4$ , $H_2O$ , $O_3$
3065.86	3.35	$CH_4$ , $H_2O$ , $O_3$
3077.36	2.54	$CH_4, H_2O, O_3$

Table 2: List of spectral fitting windows used to evaluate the HITRAN 2016 and GEISA 2015 line lists with ACE-FTS solar occultation observations of the Earth's atmosphere. Given for each fitting window are: centre wavenumber, window width, and gases fit in the window.

Width	Gases fit
$(\mathrm{cm}^{-1})$	
17.5	$CH_4, H_2O, C_2H_6, C_2H_4, CO_2, O_3$
58.0	$CO_2$ , $CH_4$ , $C_2H_4$ , $H_2O$ , $O_3$ , $HDO$
90.0	$H_2O$ , $CO_2$ , $CH_4$ , $O_3$ , $HCN$
90.0	$\mathrm{H}_2\mathrm{O},\mathrm{CO}_2,\mathrm{CH}_4,\mathrm{O}_3,\mathrm{HCN},\mathrm{N}_2\mathrm{O}$
108.6	$\mathrm{CO}_2,\mathrm{H}_2\mathrm{O},\mathrm{C}_2\mathrm{H}_2,\mathrm{NH}_3,\mathrm{HCN},\mathrm{N}_2\mathrm{O},\mathrm{O}_3,\mathrm{HDO}$
86.0	$\mathrm{H}_2\mathrm{O},\mathrm{CO}_2,\mathrm{O}_3,\mathrm{N}_2\mathrm{O}$
86.0	$H_2O$ , $CO_2$ , $O_3$ , $N_2O$ , $HNO_3$ , $HDO$
86.0	$\mathrm{H}_2\mathrm{O},\mathrm{CO}_2,\mathrm{O}_3,\mathrm{N}_2\mathrm{O},\mathrm{HNO}_3,\mathrm{HDO}$
86.0	$\mathrm{H}_2\mathrm{O},\mathrm{CO}_2,\mathrm{O}_3,\mathrm{N}_2\mathrm{O},\mathrm{HF},\mathrm{HDO}$
7.46	$\mathrm{H}_2\mathrm{O},\mathrm{CO}_2,\mathrm{CH}_4,\mathrm{O}_3$
51.0	$\mathrm{CO}_2,\mathrm{H}_2\mathrm{O},\mathrm{N}_2\mathrm{O},\mathrm{O}_3,\mathrm{CH}_4,\mathrm{HF},\mathrm{HDO}$
42.0	$\mathrm{H}_{2}\mathrm{O},\mathrm{CO}_{2},\mathrm{O}_{3},\mathrm{N}_{2}\mathrm{O},\mathrm{CH}_{4},\mathrm{HF},\mathrm{HDO}$
10.18	$\mathrm{H}_2\mathrm{O},\mathrm{CO}_2,\mathrm{CH}_4,\mathrm{O}_3$
6.17	$H_2O$ , $CO_2$ , $CH_4$ , $O_3$
58.0	$\mathrm{CO}_2,\mathrm{H}_2\mathrm{O},\mathrm{N}_2\mathrm{O},\mathrm{O}_3,\mathrm{CH}_4,\mathrm{HF},\mathrm{HDO}$
2.0	$H_2O$ , $CO_2$ , $CH_4$ , $O_3$
114.0	$\mathrm{H}_2\mathrm{O},\mathrm{CO}_2,\mathrm{O}_3,\mathrm{N}_2\mathrm{O},\mathrm{CH}_4,\mathrm{HF},\mathrm{CO}$
3.4	$HDO, CO, H_2O, O_3, CH_4$
5.62	$HDO, CO, O_3, CH_4$
9.53	$\mathrm{HDO},\mathrm{CO},\mathrm{H}_2\mathrm{O},\mathrm{O}_3,\mathrm{CH}_4$
72.5	$CO, H_2O, CO_{2,1}$ $CH_4, O_3$
94.1	$CO, H_2O, CO_2, CH_4, O_3$
76.6	$CO, H_2O, CH_4, O_3$
75.4	$H_2O$ , $O_3$ , $CH_4$ , $N_2O$ , $CO$
42.6	$CO_2$ , $H_2O$ , $CH_4$
	(cm <sup>-1</sup> )  17.5  58.0  90.0  90.0  108.6  86.0  86.0  7.46  51.0  42.0  10.18  6.17  58.0  2.0  114.0  3.4  5.62  9.53  72.5  94.1  76.6  75.4

lowest pressure level.

In general, HITRAN 2016 performs better than GEISA 2015, and larger 295 mean residuals are seen in Figure 2. The largest differences are observed the 296 lowest pressure levels, while both line lists perform similarly at higher tan-297 gent altitudes. This suggests that the errors are related to either increased 298 pressure and pressure broadening at lower altitudes, or (and) that the mag-299 nitude of the errors are related to line depth, and that when the line depths 300 are small, the errors are less than the instrument noise. When  $CH_4$  or  $CO_2$ 301 lines are saturated, the residuals show the negative impact of untreated line 302 mixing, which was not implemented in this spectral region for this study, but 303 is available in GGG (Mendonca et al., 2016). Key areas that GEISA 2015 304 has difficulty with relative to HITRAN 2016 are between 2700–3200 cm<sup>-1</sup>, 305 when strong CH<sub>4</sub> lines absorb totally, between 4100–4300 cm<sup>-1</sup>, where errors are related to O<sub>3</sub> lines and also CH<sub>4</sub> lines (shown in the following section). 307 These are also errors near 3800 cm<sup>-1</sup> related to H<sub>2</sub>O. Fitting the strong CO<sub>2</sub> 308 lines between 3500-3700 cm<sup>-1</sup> is challenging for GGG using any line list, 309 as shown in Figure 2, especially the middle pressure level. The  $\nu_1$  band of HNO<sub>3</sub> is located in this region which is significant at 20 km. 311

At lower wavenumbers, where detector noise is lowest, there are systematic features observable in the mean residuals when using either line list.
Near 2550 cm<sup>-1</sup>, this is related to line widths in an N<sub>2</sub>O absorption band.
Near 2650 cm<sup>-1</sup>, there is an observed absorption band of HNO<sub>3</sub> that is not
included in either line list. Several HNO<sub>3</sub> bands are observable in ACE-FTS
spectra that are not contained in the HITRAN or GEISA line lists. Weaker
bands result in an apparent baseline curvature, while stronger bands show

a distinct vibration-rotation band structure in the residuals. Examples are shown in the following section.

Figure 3 shows the mean RMS for each fitting window, at each pressure 321 level. The RMS was computed for each ACE-FTS occultation in a given 322 window, and shown are the means of the RMS values, with the standard 323 deviation of the mean. At the highest pressure level (top panel, near 60 km), 324 all three line lists perform similarly. In the middle pressure level, near 40 km, 325 we observe strong increases in the mean RMS values, and their uncertainties, 326 where line depths extend beyond 50%, just above 3500 cm<sup>-1</sup> due to  $CO_2$ , 327 and near 3000 cm<sup>-1</sup> due to CH<sub>4</sub>. At the lowest pressure level, the RMS 328 uncertainties increase strongly for all windows, and the differences between 329 line lists become most apparent. At this level, we see deviations between 330 HITRAN 2012 and 2016, at the same locations observed in Figure 2. This analysis also supports the observations made from Figure 2 regarding GEISA 333 2015. Specific examples and windows are explored in the following section. 333

### 334 6. Specific examples

335 6.1.  $2440 - 2660 \text{ cm}^{-1}$ :  $N_2O$  and  $HNO_3$ 

The spectral region between  $2440 - 2660 \text{ cm}^{-1}$  was covered by four broad fitting windows centred at 2455.5, 2491.5, 2551.55, and  $2615.74 \text{ cm}^{-1}$ . This region is characterized primarily by the P and R branches of two vibration-rotation bands of  $N_2O$ , centred near 2462 and  $2562 \text{ cm}^{-1}$ , and two vibration-rotation bands of  $CO_2$ , centred near 2501 and  $2614 \text{ cm}^{-1}$ . For these bands, all three spectroscopic line lists perform similarly. In the  $2491.5 \text{ cm}^{-1}$  window, small, but visible, improvements are apparent where  $CO_2$  lines are present in

the two updated editions of the line lists when compared to HITRAN 2012.

Both the GEISA 2015 and HITRAN 2016 line lists perform almost equiv-344 alently in this region. However, there are apparent problems in the fitting, 345 and these problems persist in both data sets. At the lower pressure level, the 346 N<sub>2</sub>O fits produce large mean residuals whose shape is indicative of errors in line width. These errors do not persist at the higher pressure levels and are 348 likely due to errors in the broadening parameters in the line lists. The mean 349 ACE-FTS spectra and mean residuals for the 2551.55 cm<sup>-1</sup> window, which 350 are shown in Figure 4. The lines in this window are mainly due to N<sub>2</sub>O, with some  $CH_4$  lines throughout, and the edge of an R branch of  $CO_2$  on the left 352 side. 353

Figure 5 shows the same information as Figure 4, but for the  $2615.74~\mathrm{cm^{-1}}$ 354 window. Again, both the GEISA 2015 and HITRAN 2016 line lists perform equivalently, and, again, there are systematic differences between the obser-356 vations and the computed spectra. These differences are due to HNO<sub>3</sub> lines 357 not included in either line list. The broad curvature of the baseline in the 358 residuals is due to a weaker band, while the distinct peaks to the right side of Figure 4 are the P branch of a stronger HNO<sub>3</sub> vibration-rotation band. 360 There is a corresponding R branch in the adjacent 2666.6 cm<sup>-1</sup> window. The 361 feature of another weak  $HNO_3$  band is also present in the 2491.5 cm<sup>-1</sup> win-362 dow, and stronger missing lines are seen in the 2978.2 cm<sup>-1</sup> window, between  $2985-3010 \text{ cm}^{-1}$ , and in the  $3391.15 \text{ cm}^{-1}$  window, between  $3390-3415 \text{ cm}^{-1}$ . 364 That these are due to HNO<sub>3</sub> is verified by measurements made for the line list distributed by the Pacific Northwest National Laboratory (PNNL) (Sharpe 366 et al., 2004; Johnson et al., 2010). Supplementary HNO<sub>3</sub> line lists are used for ACE-FTS and TCCON retrievals.

369 6.2.  $2660 - 3440 \text{ cm}^{-1}$ :  $CH_4$ 

This wavenumber region is characterized by strong CH<sub>4</sub> absorption fea-370 tures, but also contains important bands of  $O_3$ ,  $N_2O$  and  $CO_2$ . This region 371 was covered by several narrow windows and 12 wide windows ranging in 372 width between 18–108 cm<sup>-1</sup>. The first window centred at 2722.25 cm<sup>-1</sup> fea-373 tures three strong CH<sub>4</sub> lines, and many associated weaker lines, and there are significant residual errors about each due to unaccounted line mixing. Toon 375 et al. (2016) pointed out a positional error in a  $CH_4$  line at 2742.3 cm<sup>-1</sup> 376 that was introduced into HITRAN 2012 after the 2008 version. This error 377 is present in GEISA 2015 and HITRAN 2016, and is shown in Figure 6a. 378 While positive changes have been made to the HITRAN 2016 line list, cor-379 recting the positional error, strong residual features remain, but these are 380 most likely due to unaccounted line mixing for such strong lines fit at a low 381 altitude. This window also features several weak O<sub>3</sub> lines that are well fit by both line lists, except a small region around 2705–2710 cm<sup>-1</sup> where fitting 383 with GEISA 2015 results in larger mean residuals than fitting with HITRAN 2016, on the order of 0.005. 385

The window centred at  $2780.74 \text{ cm}^{-1}$  contains weaker CH<sub>4</sub> lines and a large O<sub>3</sub> band. Both line lists perform similarly here, but there is what appears to be a single missing line in the GEISA 2015 list. This is an ozone line at  $2773.15 \text{ cm}^{-1}$  that is not missing, but has an error in line strength, shown in Figure 6b. Toon et al. (2016) pointed out an error in position in an O<sub>3</sub> resonance transition at  $2761.42 \text{ cm}^{-1}$  that is seen in HITRAN 2012 and GEISA 2015, but has been corrected in HITRAN 2016. However, there are

new  $O_3$  positional errors at 2763.86 and 2798.0 cm<sup>-1</sup> in the HITRAN 2016 line list that were not present in HITRAN 2012.

The fitting window centred at 2825.0 cm<sup>-1</sup> presents one of the largest dis-395 crepancies between HITRAN 2016 and GEISA 2015. The mean ACE-FTS 396 spectra and mean residuals when fitting with the three line lists are shown in 397 Figure 7 for the lowest pressure level. These discrepancies are characteristic 398 of the strong CH<sub>4</sub> lines throughout this region, and the systematic differenti-399 ation between fitting results using the two line lists is seen in Figure 3. There 400 is little difference between the two versions of HITRAN, and both result in 401 mean residual errors on the order of  $\pm 0.01$  about strong CH<sub>4</sub> lines. In sev-402 eral positions, however, when fitting with GEISA 2015, these residuals can 403 be twice as large. 404

Windows centred at 2849.15, 2904.43, 2978.2 cm, and 3126.65<sup>-1</sup> are similar to those preceding, predominantly featuring strong CH<sub>4</sub> lines, with line mixing errors apparent in the mean residuals when using either line list, but the largest residuals are found when using GEISA 2015. In the 2978.2 cm<sup>-1</sup> window, there are several saturated (at low altitude) CH<sub>4</sub> lines, and the beginning of a broad, strong band of O<sub>3</sub> lines, but also a set of HNO<sub>3</sub> lines that are missing in both line lists.

The 3022.13 cm $^{-1}$  window contains a mixture of strong  $O_3$  and  $CH_4$  lines. There is an opaque region about 5 cm $^{-1}$  wide at the lower pressure level, which is the Q-branch of this  $CH_4$  band. This feature is critically important for ExoMars, as it is the strongest and broadest  $CH_4$  feature in the available wavenumber range, and where the ExoMars instruments will focus their search for a  $CH_4$  signature. Mean residuals on the right side of

the Q-branch (3018  $\rm cm^{-1}$ ) are nearly equivalent when using GEISA 2015 or 418 HITRAN 2016. On the left side (3015 cm<sup>-1</sup>), mean residuals when using 419 GEISA 2015 are larger than when using HITRAN 2016 by 0.01–0.02. Most 420 of the fitting differences in this region can be attributed to CH<sub>4</sub>, but there is 421 also a weaker HCl line at 3014.4 cm<sup>-1</sup> that contributes to these differences. 422 Note that the residuals for both line lists are significant ( $\sim 0.002$ ) even at 423 the highest pressure level (near 60 km), where line depths are much weaker 424 and only extend to 0.9. Of particular interest to ExoMars is the recently 425 observed, weak CO<sub>2</sub> band centred near 3000 cm<sup>-1</sup> that overlaps with these strong CH<sub>4</sub> features (Bertaux et al., 2008; Villanueva et al., 2008). This 427 band is not visible in terrestrial solar occultation observations, its lines are 428 too weak relative to the abundant absorption features of  $CH_4$  and  $O_3$ . 429

Figure 8 shows the mean spectra and mean residuals for fitting window 3089.75 cm<sup>-1</sup>. This region contains a set of three CH<sub>4</sub> lines near 3090 cm<sup>-1</sup> that are poorly fit with either line list. When using HITRAN 2012 and HITRAN 2016, the magnitude of the mean residuals is 0.04, which is on the order of the line mixing errors seen when fitting stronger lines. When using GEISA 2015, the mean residuals are 50% larger. Figure 8 also shows a pair of saturated CH<sub>4</sub> lines, and fitting errors characteristic of such lines.

The window centred at  $3126.65~\rm cm^{-1}$  has some of the strongest CH<sub>4</sub> lines, and, therefore, the largest residuals. GEISA 2015 and HITRAN 2016 perform similarly here. The window centred at  $3202.0~\rm cm^{-1}$  contains the right edge of CH<sub>4</sub>  $\nu_2$  band. At higher wavenumbers, H<sub>2</sub>O absorption becomes dominant. This window also features overlapping CO<sub>2</sub> and O<sub>3</sub> bands, the edge of the strong CH<sub>4</sub> band, and several water vapour lines. The  $3292.0~\rm cm^{-1}$  window

contains H<sub>2</sub>O and CO<sub>2</sub> lines, with an H<sub>2</sub>O line strength error at 3254.15 cm<sup>-1</sup> that has been reduced between HITRAN 2012 and HITRAN 2016, but is still significant in both HITRAN 2016 and GEISA 2015. This window contains several other H<sub>2</sub>O lines where significant improvements were made to both line lists since HITRAN 2012 (e.g., at 3273.4 and 3276.5 cm<sup>-1</sup>). The 3391.15 cm<sup>-1</sup> window is similar, but hosts another set of HNO<sub>3</sub> lines missing from both line lists. There is also an H<sub>2</sub>O line strength error at 3367.65 cm<sup>-1</sup> in both GEISA 2015 and HITRAN 2016 that was not significant in HITRAN 2012.

452 6.3. 
$$3440 - 3770 \text{ cm}^{-1}$$
:  $CO_2$ 

This region was covered by five wide windows centred at 3391.15, 3489.0, 3577.0, 3665.0, and 3753.0 cm<sup>-1</sup>. These windows cover the strongest CO<sub>2</sub> vibration-rotation bands observed by the ExoMars instruments. Throughout this region, there are only small differences between fits using GEISA 2015 and HITRAN 2016. However, there are significant improvements to several lines throughout since HITRAN 2012. Figure 9 shows the mean spectra and residuals for the window centred at 3489.0 cm<sup>-1</sup>, where the most dramatic improvement is seen. The spectroscopic parameters for an entire band have been updated, resulting in significant improvements to fitting.

462 6.4. 
$$3770 - 4080 \text{ cm}^{-1}$$
:  $H_2O$ 

This spectral region, covered by four broad fitting windows centred at 3822.5, 3869.14, 3936.15, and 4026.0 cm<sup>-1</sup>, features the strongest set of water vapour lines in the ExoMars region of interest. It is in this region where we again find that spectral fitting with the HITRAN 2016 results in smaller

residuals than when using GEISA 2015, as seen in Figure 3. Figure 10 shows the window centred at 3869.14 cm<sup>-1</sup>. The primary features are broad, 468 saturated H<sub>2</sub>O lines, but there are also many smaller CH<sub>4</sub> and N<sub>2</sub>O lines, 469 and many very weak lines from CO<sub>2</sub> and O<sub>3</sub>. The lines where fitting with 470 GEISA 2015 resulted in systematic residual errors are largely attributable 471 to weaker O<sub>3</sub> lines. Similar behaviour is seen in the window centred at 472  $3822.5 \text{ cm}^{-1}$ , where several O<sub>3</sub> lines are not contained in GEISA 2015. Note 473 the marked improvement in the strong H<sub>2</sub>O line between HITRAN 2012 and 474 the newer line lists near 3886 cm<sup>-1</sup>, as shown in Figure 6c, but clearly visible 475 in Figure 10. 476 The two windows at 3936.15 and 4026.0 cm<sup>-1</sup> show improvements over 477 the previous two windows when comparing GEISA 2015 and HITRAN 2016. 478 The  $3936.15 \text{ cm}^{-1}$  window contains a portion of an  $O_3$  P-branch. Significant 479 residuals when using GEISA 2015 are from widely spaced CH<sub>4</sub> lines (e.g., at 480  $3912.15, 3914.6, \text{ and } 3914.9 \text{ cm}^{-1}$ ), rather than  $O_3$ . Two of these features 481 near  $3914.6~\mathrm{cm^{-1}}$  are shown in Figure 6d. There is an  $\mathrm{H_2O}$  line strength 482 error in the HITRAN 2016 line list at 3925.15 cm<sup>-1</sup> that was not present 483 in HITRAN 2012, nor GEISA 2015. The 4026.0 cm $^{-1}$  window hosts an  $O_3$ 484

the right edge of the window at 4080.65 and 4082.8 cm<sup>-1</sup>.

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band, for which all line lists have difficulty accurately fitting over the region

of the Q-branch. The  $O_3$  band in this region is much better characterized by

GEISA 2015 than that covered by the 3822.5 and 3869.14 cm<sup>-1</sup> windows, but

there remain small discrepancies in some minor  $\mathrm{CH}_4$  lines, especially towards

490 6.5.  $4080 - 4420 \text{ cm}^{-1}$ :  $CH_4$ 

Above 4100 cm<sup>-1</sup>, the ACE-FTS noise increases, as can be seen in Fig-491 ure 2, and it becomes difficult to evaluate the line lists further. This region 492 is characterized by a decreasing density of H<sub>2</sub>O lines, increasingly stronger, 493 and more dense CH<sub>4</sub> absorption features, and a band of broadly spaced CO 494 lines. We evaluated five wide fitting windows in this region centred at 4132.1, 495  $4214.2, 4300.4, 4377.0, \text{ and } 4436.2 \text{ cm}^{-1}. \text{ At } 4115.65 \text{ cm}^{-1}, \text{ a CH}_4 \text{ line miss-}$ 496 ing in HITRAN 2012, as identified by Toon et al. (2016), was added (also 497 included in GEISA 2015). There are CH<sub>4</sub> line strength errors in the GEISA 2015 line list at  $4133.35 \text{ cm}^{-1}$  and  $4103.2 \text{ cm}^{-1}$  that result in mean residuals 499 on the order of 0.1 at lower pressure levels. The latter is also apparent in HITRAN 2016, despite not being present in HITRAN 2012. 501

As shown in Figure 3, the mean RMS values dramatically increase in this 502 spectral region for all evaluated line lists. At the lowest pressure level, fitting 503 with HITRAN 2016 results in the smallest residuals and the lowest mean RMS values. It must be noted that the magnitude of the difference between 505 results using HITRAN 2016 and GEISA 2015 is on the order of the difference 506 between changing pressure levels, and that is only  $\sim 1/5$  of the magnitude 507 of the mean RMS. Therefore, errors due to differences in the spectroscopic parameters are much, much smaller than the noise level of the instrument in 509 this region. 510

The window centred at  $4214.2 \text{ cm}^{-1}$  features the most dramatic difference between fitting using GEISA 2015 and fitting using HITRAN 2016. Mean residuals with magnitudes greater than 0.1 come from several CH<sub>4</sub> lines near  $4208, 4229, \text{ and } 4255 \text{ cm}^{-1}.$ 

#### 7. Conclusions

This study was motivated by the release of two new editions of spectro-516 scopic line lists, the 2015 version of GEISA and the 2016 version of HITRAN, and the launch and arrival of the ExoMars Trace Gas Orbiter as Mars, 518 equipped with two suits of spectroscopic instruments dedicated to characterizing the Martian atmosphere. The largest efforts made recently towards 520 updating infrared spectroscopic databases has been in support of terrestrial greenhouse gas observatories such as OCO-2, GOSAT, and TCCON. Since 522 the Martian atmosphere in composed of 96.5% CO<sub>2</sub>, these updates are very 523 significant for the ExoMars mission. Our objective was to validate the two 524 line lists in the range of 2325–4350 cm<sup>-1</sup> by examining spectral fitting results for terrestrial solar occultation observations made by ACE-FTS. 526

This work follows that of Toon et al. (2016) who compared different 527 versions of HITRAN, up to the 2012 release, in the spectral range of 670-528 5620 cm<sup>-1</sup> using the solar occultation measurements made by the MkIV FTS. 529 They identified several errors in HITRAN 2012, some persisting from previ-530 ous releases, and others newly introduced. The analysis in Toon et al. (2016) is expanded in Toon (2019), which includes a detailed analysis of HITRAN 532 2016 using laboratory and solar occultation spectra. Because spectroscopic parameters taken from previous HITRAN versions for some gases in some 534 spectral regions perform better, and because HITRAN may be incomplete in some spectral regions (e.q., HNO<sub>3</sub>), the TCCON and GGG development 536 teams maintain a custom line list, as do other spectroscopic analysis teams, such as ACE-FTS. 538

The 2016 edition of the HITRAN line list addressed several errors identi-

fied in the 2012 edition by Toon et al. (2016), such as the positional error in an  $O_3$  resonance transition at 2761.42 cm<sup>-1</sup> (still persists in GEISA 2015). We have observed, however, a few minor errors introduced into HITRAN 2016 since the 2012 edition, such as the  $H_2O$  line strength errors at 3367.65 cm<sup>-1</sup> (also in GEISA 2015) and 3925.15 cm<sup>-1</sup>.

For ExoMars, the gases of primary interest are CO<sub>2</sub>, H<sub>2</sub>O, CO, and CH<sub>4</sub>. 545 Changes to line position and strength have been made to CO<sub>2</sub> lines across 546 our spectral region. For terrestrial spectra, the strongest improvements seen 547 in fitting coincide with the strongest absorption features, and the greatest improvement is seen between 3470-3530 cm<sup>-1</sup> for both GEISA 2015 and 549 HITRAN 2016. In no region were increased residuals seen for CO<sub>2</sub> lines when using the updated line list editions when compared to HITRAN 2012. 551 In the terrestrial observations, we also observe significant improvements to a subset of H<sub>2</sub>O lines, especially at higher wavenumbers, such as near 3093.7 553 or 3885.5 cm<sup>-1</sup>. With the exception of individual CH<sub>4</sub> line errors, little difference is observed in the CH<sub>4</sub> or CO transitions in this spectral region 555 between HITRAN 2012 and 2016.

Of key interest to us was the  $CO_2$  vibration-rotation band centred at 2982 cm<sup>-1</sup> and partially overlapping the critically important  $CH_4 \nu_2$  band. When comparing synthetic spectra generated with HITRAN 2016 or GEISA 2015, significant differences in these lines are seen. This band is absent from GEISA 2015, but present in HITRAN 2012 and 2016. The lines included in HITRAN 2016 have significantly increased line strengths relative to HITRAN 2012. Unfortunately, in terrestrial observations, these lines are too weak relative to interfering species, especially  $CH_4$ , and noise. It is not observed

in the ACE-FTS spectra examined here above background noise levels, so residuals between spectral fits using HITRAN 2012 and 2016 have not been compared.

A critical difference between the application of spectroscopic calculations 568 for Earth and Mars is that the because the Martian atmosphere is predom-569 inantly CO<sub>2</sub> rather than N<sub>2</sub>, the collision-induced broadening parameters 570 computed for HITRAN and GEISA will not be applicable to the Martian at-571 mosphere. There is ongoing work to determine spectroscopic parameters for 572 a CO<sub>2</sub>-rich atmosphere: e.g., Gamache et al. (2016); Devi et al. (2017) for water vapour; and Li et al. (2015) for CO. However, this study does not attempt 574 to validate these parameters, nor does it evaluate the CO<sub>2</sub> self-broadening 575 parameters. 576

When comparing the 2015 version of the GEISA line list to the latest, 2016, release of HITRAN, we observe that lower RMS values are found for residuals from the majority of the spectral windows between  $2325-4350 \,\mathrm{cm}^{-1}$ used here. We find that these are primarily due to differences in line strength or position for strong  $O_3$  and  $CH_4$  lines. There are some minor errors in specific lines noted as well.

We were surprised to find a large number of O<sub>3</sub> lines missing from GEISA 2015 in the 3830–3870 cm<sup>-1</sup> region that were present in HITRAN 2012. The primary source of O<sub>3</sub> lines both HITRAN 2016 and GEISA 2015 is the Spectroscopy and Molecular Properties of Ozone (S&MPO) information system (Babikov et al., 2014) maintained by Reims University and the Institute of Atmospheric Optics (Tomsk). For GEISA 2015, new measurements of lines around this region were made at Reims University by Barbe

et al. (1997, 2012), but those lines precisely between  $3830-3870~\mathrm{cm}^{-1}$  are attributed to a private communication from Barbe (2011).  $O_3$  line parameters 591 in HITRAN 2016 are also included in the S&MPO database. In the region 592 3623-4229 cm<sup>-1</sup>, HITRAN also includes an updated hot band from Barbe 593 et al. (2013). 594 Our analysis fit the hydrogen halides HCl (between 2600–3050 cm<sup>-1</sup>) 595 and HF (between 3700–4100 cm<sup>-1</sup>). Parameters for these gases were not 596 updated in the 2011 or 2015 editions of GEISA, but new calculations were 597 implemented in the 2012 edition of HITRAN (Li et al., 2013). The result is differences in line position when performing spectral fitting with HITRAN 599 2016 or GEISA 2015. Mean differences are very small relative to the noise

of the ACE-FTS observations, less than 1%, with HITRAN 2016 performing

slightly better at these line locations (e.g.,  $2925.9 \text{ cm}^{-1}$  or  $2944.93 \text{ cm}^{-1}$  for

HCl, and  $3877.7 \text{ cm}^{-1} \text{ or } 3920.3 \text{ cm}^{-1} \text{ for HF}$ ).

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In conclusion, we have noted consistent improvement in both line lists since HITRAN 2012, have noted errors and deficiencies in both line lists where found, and we hope that the collaborations in charge of both line lists will find this analysis useful when compiling the next release. However, for the purposes of the ExoMars TGO ACS and NOMAD instruments, we recommend HITRAN 2016 for use. HITRAN 2016 shows marked improvement over HITRAN 2012 in CO<sub>2</sub> and H<sub>2</sub>O transitions (as does GEISA 2015). GEISA 2015 currently produces larger residuals for strong CH<sub>4</sub> lines that are critical for ExoMars, while an important CO<sub>2</sub> band centred at 2982 cm<sup>-1</sup> has not yet been introduced.

# 8. Acknowledgements

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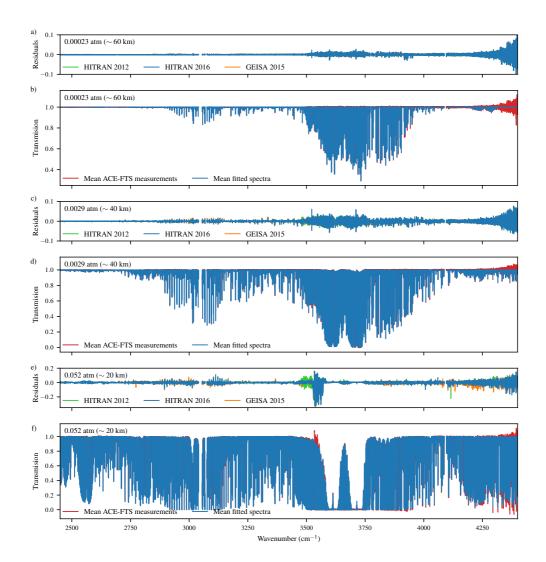


Figure 2: Mean ACE-FTS spectra, mean best-fit computed spectra, and mean residuals for each fitting window. Mean residuals are shown using HITRAN 2012 (green), HITRAN 2016 (blue) and GEISA 2015 (orange). Mean fitted spectra are shown only for when using HITRAN 2016. a) mean residuals from 0.00023 atm ( $\sim 60$  km), b) mean spectra and mean fits from 0.00023 atm, c) mean residuals from 0.0029 atm ( $\sim 40$  km), d) mean spectra and mean fits from 0.0029 atm, e) mean residuals from 0.052 atm ( $\sim 20$  km), f) mean spectra and mean fits from 0.052 atm.

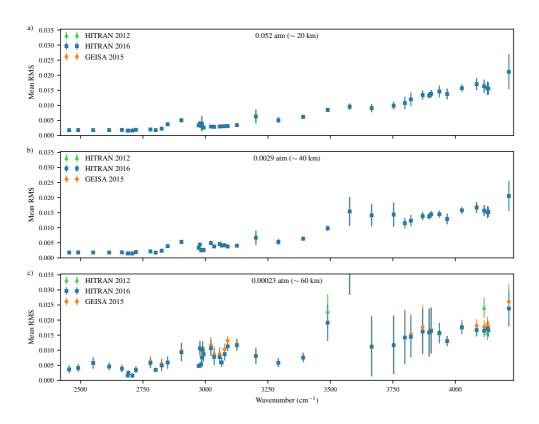


Figure 3: Mean RMS values computes for each fitting window when using each HITRAN 2012, HITRAN 2016, and GEISA 2015 at three pressure levels: a) 0.00023 atm ( $\sim$  60 km), b) 0.0029 atm ( $\sim$  40 km), c) 0.052 atm ( $\sim$  20 km).

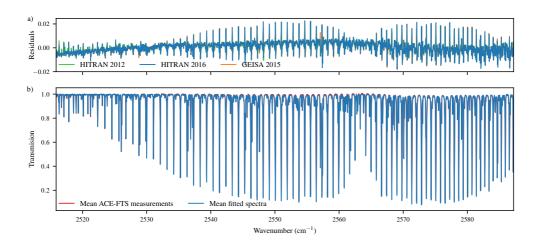


Figure 4: a) Mean residuals for the 2551.55 cm $^{-1}$  window at 0.052 atm ( $\sim$  20 km) with HITRAN 2012, HITRAN 2016, and GEISA 2015. b) Mean measured ACE-FTS spectrum and mean computed spectrum (for HITRAN 2016). The primary features in this window are N<sub>2</sub>O lines and the residuals correspond to the N<sub>2</sub>O lines.

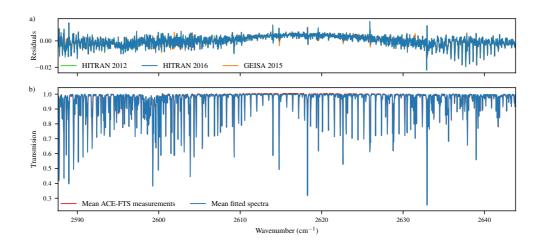


Figure 5: a) Mean residuals for the 2615.74 cm $^{-1}$  window at 0.052 atm ( $\sim$  20 km) with HITRAN 2012, HITRAN 2016, and GEISA 2015. b) Mean measured ACE-FTS spectrum and mean computed spectrum (for HITRAN 2016). The main features are from N<sub>2</sub>O towards the left edge, a CO<sub>2</sub> band across the entire window, and several strong CH<sub>4</sub> lines. The residuals are due to HNO<sub>3</sub>.

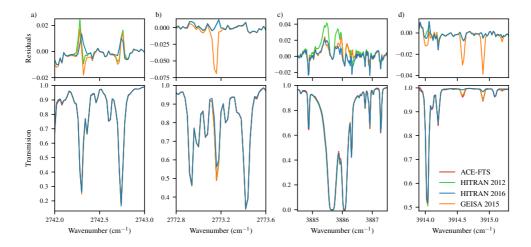


Figure 6: Zoom of the residuals and mean spectra (as in Figures 4 and 5) where errors are present, for the windows: a)  $2722.25 \text{ cm}^{-1}$ , b)  $2780.74 \text{ cm}^{-1}$ , c)  $3869.14 \text{ cm}^{-1}$ , d)  $3936.15 \text{ cm}^{-1}$ .

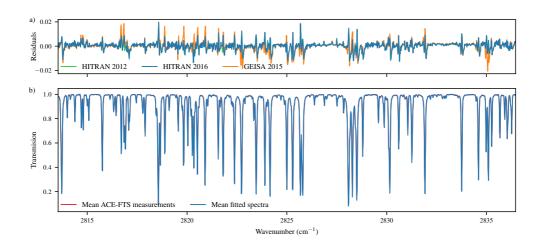


Figure 7: a) Mean residuals for the  $2825.0~\rm cm^{-1}$  window at  $0.052~\rm atm~(\sim 20~\rm km)$  with HITRAN 2012, HITRAN 2016, and GEISA 2015. b) Mean measured ACE-FTS spectrum and mean computed spectrum (for HITRAN 2016). Features are primarily CH<sub>4</sub> lines.

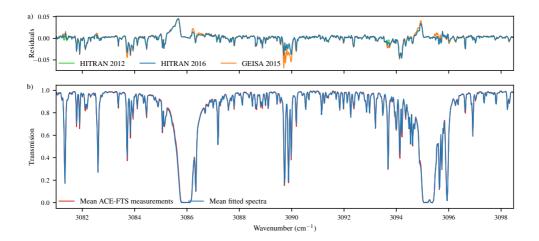


Figure 8: a) Mean residuals for the 3089.75 cm<sup>-1</sup> window at 0.052 atm ( $\sim$  20 km) with HITRAN 2012, HITRAN 2016, and GEISA 2015. b) Mean measured ACE-FTS spectrum and mean computed spectrum (for HITRAN 2016). Absorption are due to, in order of prominence, CH<sub>4</sub>, H<sub>2</sub>O, and O<sub>3</sub>. Large residuals near 3090 cm<sup>-1</sup> are from CH<sub>4</sub> lines.

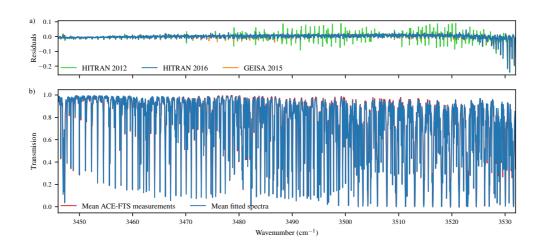


Figure 9: a) Mean residuals for the 3489.0 cm $^{-1}$  window at 0.052 atm ( $\sim$  20 km) with HITRAN 2012, HITRAN 2016, and GEISA 2015. b) Mean measured ACE-FTS spectrum and mean computed spectrum (for HITRAN 2016). The primary features are an N<sub>2</sub>O band on the left side, CO<sub>2</sub> bands on the right, and several H<sub>2</sub>O lines. The strong residuals are due to CO<sub>2</sub> when using the HITRAN 2012 line list.

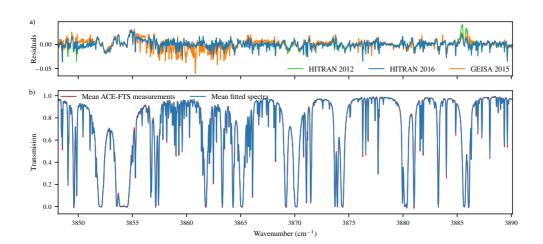


Figure 10: a) Mean residuals for the 3869.14 cm<sup>-1</sup> window at 0.052 atm ( $\sim$  20 km) with HITRAN 2012, HITRAN 2016, and GEISA 2015. b) Mean measured ACE-FTS spectrum and mean computed spectrum (for HITRAN 2016). The primary features are H<sub>2</sub>O lines. The strong residuals near 3860 cm<sup>-1</sup> are due to O<sub>3</sub> when using GEISA 2015. Those near 3886 cm<sup>-1</sup> are due to H<sub>2</sub>O and are shown in Figure 6c.