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Fabrice Chane-Ming, Damien Vignelles, Fabrice Jegou, Gwenaël Berthet, Jean-Baptiste Renard, et al.. Gravity-wave effects on tracer gases and stratospheric aerosol concentrations during the 2013 ChArMEx campaign. Atmospheric Chemistry and Physics, 2016, 16 (12), pp.8023-8042. 10.5194/acp-16-8023-2016 . insu-01398535

**HAL Id: insu-01398535**

**<https://insu.hal.science/insu-01398535>**

Submitted on 25 Nov 2016

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# Gravity-wave effects on tracer gases and stratospheric aerosol concentrations during the 2013 ChArMEx campaign

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Received: 29 October 2015 – Published in Atmos. Chem. Phys. Discuss.: 3 February 2016

Revised: 24 May 2016 – Accepted: 3 June 2016 – Published: 1 July 2016

**Abstract.** Coupled balloon-borne observations of Light Optical Aerosol Counter (LOAC), M10 meteorological global positioning system (GPS) sondes, ozonesondes, and GPS radio occultation data, are examined to identify gravity-wave (GW)-induced fluctuations on tracer gases and on the vertical distribution of stratospheric aerosol concentrations during the 2013 ChArMEx (Chemistry-Aerosol Mediterranean Experiment) campaign. Observations reveal signatures of GWs with short vertical wavelengths less than 4 km in dynamical parameters and tracer constituents, which are also correlated with the presence of thin layers of strong local enhancements of aerosol concentrations in the upper troposphere and the lower stratosphere. In particular, this is evident from a case study above Ile du Levant (43.02° N, 6.46° E) on 26–29 July 2013. Observations show a strong activity of dominant mesoscale inertia GWs with horizontal and vertical wavelengths of 370–510 km and 2–3 km respectively, and periods of 10–13 h propagating southward at altitudes of 13–20 km during 27–28 July. The European Centre for Medium-Range Weather Forecasts (ECMWF) analyses also show evidence of mesoscale inertia GWs with similar horizontal characteristics above the eastern part of France. Ray-tracing experiments indicate the jet-front system as the main source of observed GWs. Using a simplified linear GW theory, synthetic vertical profiles of dynamical parameters with

large stratospheric vertical wind maximum oscillations of  $\pm 40 \text{ mms}^{-1}$  are produced for the dominant mesoscale GW observed at heights of 13–20 km. Parcel advection method reveals signatures of GWs in the ozone mixing ratio and the tropospheric-specific humidity. Simulated vertical wind perturbations of the dominant GWs and small-scale perturbations of aerosol concentration (aerosol size of 0.2–0.7  $\mu\text{m}$ ) are revealed to be in phase in the lower stratosphere. Present results support the importance of vertical wind perturbations in the GW–aerosol relationship. Observed mesoscale GWs induce a strong modulation of the amplitude of tracer gases and the stratospheric aerosol background.

## 1 Introduction

Gravity waves (GWs) affect the dynamics of the middle atmosphere by momentum transport and momentum deposition, and mixing above the upper troposphere (UT), as well as chemistry and consequently have an impact on the global circulation and climate (Fritts and Alexander, 2003; Geller et al., 2013; Ern et al., 2014). Several previous studies reveal atmospheric waves to be important sources of stratospheric tracer variability. Analytical wave–tracer interaction models help to identify the nature of waves and to quantify ampli-

tudes and effects of waves in the middle atmosphere from observations (Eckermann et al., 1998; Chane Ming et al., 2000; Xu et al., 2000). They are usually used to quantify perturbations induced by GWs on tracer constituents. Small-scale structures were misrepresented by past numerical models and therefore considered as meteorological noise. Thanks to recent progress in computer technologies, current operational numerical weather prediction models have sufficient spatial and temporal resolution to resolve the portion of the GW wave spectrum with horizontal wavelengths of 100–1000 km (Shutts and Vosper, 2011). However, global climate models as well as numerical weather prediction models still need a set of GW parameterisations with a large number of tunable parameters for a realistic representation of the middle atmosphere (Preusse et al., 2014). By comparison with observations, it has been shown that the resolved GWs are usually under represented (Schroeder et al., 2009). Thus, measuring constituent response using analytical wave–tracer interaction models remains an inexpensive alternative way to derive correct constituent backgrounds and wave-related quantities.

Aerosols play an important role in meteorology, in radiative processes, and in atmospheric chemistry in the free atmosphere (Hobbs, 1993). Stratospheric aerosols are important for a number of processes related to the chemical and radiation balance of the atmosphere and consequently they impact on climate and its variability (Deshler, 2008). Even during volcanically quiescent periods (Hamill et al., 1997; SPARC Report No. 4, 2006) when background stratospheric aerosol loading is low, aerosols may have reduced global warming effects (Solomon et al., 2011). During the process of formation and growth of atmospheric aerosols, the aerosol dynamics and atmospheric chemistry are also coupled with large- and small-scale meteorological dynamics. In the troposphere, for example, vertical wind shear can play a dominant role in aerosol–cloud interactions especially in regulating aerosol effects on the strength of isolated deep convective clouds (Fan et al., 2009). In the stratosphere, Hommel et al. (2015) described how tropical stratospheric aerosol is affected by the quasi-biennial oscillation. Vaughan et al. (1987) reported dynamical influences on the short-term variability of stratospheric aerosols from vertical profiles of aerosol backscatter ratio obtained by a high vertical resolution lidar (30 m) and 20 min integration time at Aberystwyth (52.4° N, 4.1° W). Their observations reveal wave-like structures, which could be attributed to stratospheric GWs. Tropical waves with periods shorter than a day could also explain some variability in aerosol distribution observed in Indonesia (Matsumura et al., 2001). From a model study, Carslaw et al. (1999) concluded that mountain-induced mesoscale temperature perturbations may be an important source of nitric acid trihydrate particles in the Arctic. Bacmeister et al. (1999) analysed the impact of mesoscale temperature perturbations and heating–cooling rates due to a spectrum of stratospheric GWs on the growth and evaporation of stratospheric nitric acid trihydrate particles. Their microphysical

trajectory-box model reveals significant scatter in aerosol volume around the thermodynamic equilibrium values as a result of temperature perturbations. Using a Lagrangian-based sectional box model, Nilsson et al. (2000) showed that the temperature amplitude of short-period waves is the most important wave characteristic controlling the mean nucleation rate and net particle number concentration of 0.5 nm–1 µm size classes. They also suggest that GWs could enhance the aerosol nucleation rate up to 5 orders of magnitude if the temperature perturbation due to the wave is as strong as 5 K. In addition turbulent mixing and GWs are likely to promote the production of new aerosols (Zahn et al., 2000; SPARC Report No. 4, 2006). Thus, previous studies highlight lack of information on GW–aerosol interactions with measurements, especially to improve aerosol modelling.

This study analyses GW-induced small-scale variability on high vertical resolution measurements of tracer gases and stratospheric aerosols during the volcanically quiescent period of the 2013 Chemistry–Aerosol Mediterranean Experiment (ChArMEx) campaign. Methodology and several complementary analyses based on observations and modelling are described to detect and characterise GWs as well as GW sources. The method is fully illustrated based on a case study on 27 July 2013. Effects of GWs on tracer gases such as ozone and water vapour as well as GW–stratospheric aerosol relationship are investigated.

The paper is structured as follows. A description of the ChArMEx campaign and the data are presented in Sect. 2, and methodologies and analyses in Sect. 3. Section 4 describes the synoptic situation on 27 July 2013. Results of the case study on 27 July 2013 are discussed in Sect. 5. Conclusions are drawn in Sect. 6.

## 2 ChArMEx campaign and data description

The ChArMEx campaign (<http://charmex.lscse.ipsl.fr>) is part of the international and regional multidisciplinary initiative Mediterranean Integrated STudies at Regional And Local Scales (MISTRALS). It aims to improve the characterisation of short-lived ( $\leq 1$  month) particulate and gaseous tropospheric trace species responsible for atmospheric pollution over the Mediterranean Basin, and evaluating its impacts on the present and future state of the atmospheric environment. The campaign was carried out during the Northern Hemisphere summer from 10 June to 10 August 2013. In addition to ground-based observations, airborne operations were organised during two special observation periods (SOP1 and SOP2). The SOP1 from 12 June to 5 July was dedicated primarily to the study of interactions between aerosols and radiation balance and the second one from 23 July to 9 August to the study of chemical processes and more particularly to the formation of secondary aerosols.

The Light Optical Aerosol Counter (LOAC) is a light and compact optical counter, which has been designed

to perform measurements of aerosols from the ground to the middle stratosphere (up to 37 km heights) carried by all types of balloons in various atmospheric conditions (Renard et al., 2016). During balloon ascent, it provides information on the concentration and size distribution of aerosols on 19 size classes from  $0.2\text{ }\mu\text{m}$  to  $\sim 50\text{ }\mu\text{m}$  in diameter every 10 s ( $\approx 50\text{ m}$  heights), as well as the main nature of particles (carbonaceous aerosol, mineral, droplets of water, or sulfuric acid). The technique is based on the observation of the scattered light by particles at two angles (Lurton et al., 2014). The instrument can count up to  $\sim 3000\text{ particles cm}^{-3}$  smaller than  $1\text{ }\mu\text{m}$ , about  $20\text{ particles cm}^{-3}$  for particles larger than  $1\text{ }\mu\text{m}$  in dry conditions, and up to  $200\text{ particles cm}^{-3}$  in fog/cloud conditions. For the LOAC integration time of 10 s, the counting uncertainty at  $1\sigma$  is about  $\pm 15\%$  for concentrations greater than  $10^{-1}\text{ particles cm}^{-3}$ . Renard et al. (2015, 2016) provided a detailed description of the instrument as well as information on calibration and validation of measurements with cross-comparisons with several instruments during several campaigns.

Electrochemical concentration cell (ECC) ozonesondes developed by Komhyr (1969) are the most commonly used worldwide for tropospheric and stratospheric ozone soundings (Smit et al., 2007; Smit, 2013). The measurement principle is based on the electric current of a few  $\mu\text{A}$  generated through the cell as consequence of oxidation of iodide ions by ozone contained in ambient air bubbling in a potassium iodide electrochemical solution. The typical response time to an ozone concentration step change is 20–30 s, so that the practical vertical resolution for a balloon ascending at  $5\text{ ms}^{-1}$  is around 100–150 m, even though ozone data are provided every second. The ozone measurement accuracy is within 5 % in the stratosphere but is worse in the troposphere, around 10 %, owing to lower ambient ozone concentrations.

Nineteen LOAC sondes and fifteen ECC ozonesondes were launched using meteorological balloons during ChArMEx in summer 2013, from Minorca Island ( $39.99^\circ\text{ N}$ ,  $4.25^\circ\text{ E}$ ) in Spain during SOP1 and from Ile du Levant ( $43.02^\circ\text{ N}$ ,  $6.46^\circ\text{ E}$ ) in France during SOP2. Both LOAC aerosol and ECC ozone sensors were integrated with Meteo Modem Company global positioning system (GPS) M10 radiosondes (RSs). Temperature, horizontal wind speed, and wind direction are sampled every 1 s ( $\approx 5\text{-m}$  heights) and have a resolution of 0.1 K,  $0.15\text{ ms}^{-1}$ , and  $0.1^\circ$  respectively. The capacitor-type humidity sensor of M10 GPS sondes produces humidity measurements with resolution and total instrumental error of 1 and  $\pm 5\%$  respectively. Global positioning system radio occultation (GPS–RO) measurements are obtained from CDAAC (COSMIC Data Analysis and Archive Center). About 120 vertical temperature profiles at altitudes from 2 to 40 km at longitudes of  $20^\circ\text{ W}$ – $10^\circ\text{ E}$  and latitudes of  $30$ – $55^\circ\text{ N}$  are available between 26 and 29 July 2013.

Measurements have a high accuracy for temperatures  $< 1\text{ K}$  at heights between 5 and 25 km and vertical resolution varying from about 0.5 km in the lower stratosphere (LS) to 1.4 km at 40 km heights in the middle atmosphere (Kursinski et al., 1997; Kuleshov et al., 2016). Because GW fluctuations in both wet and dry temperature profiles might be biased by the effect of water vapour at heights below 10 km, the study focuses on heights above 10 km. For a lower limit of 1.4 km vertical wavelength ( $\lambda_v$ ) in the upper troposphere and the lower stratosphere (UTLS), the corresponding horizontal line-of-sight resolution, i.e. the lower limit of horizontal wavelength ( $\lambda_h$ ) of an observed GW, is about 270 km (Kursinski et al. 1997; Hindley et al., 2015). If the horizontal line-of-sight and the horizontal wave vector are not aligned, GWs with shorter horizontal wavelength can be captured. Depending on the influence of the geometric wave parameters and the measurement geometry on a homogeneous spectrum of GWs in the range 100–1000 km horizontal and 1–10 km vertical wavelengths, more than 80 % of GW total variance of the spectrum can be derived (Lange and Jacob, 2003). Previous climatological studies of GWs in the stratosphere have been derived from GPS–RO data (Tsuda et al., 2000; Liou et al., 2006). In particular, Chane Ming et al. (2014) used GPS–RO data to complement RS observations and model the characterisation of mesoscale GWs produced by a tropical meteorological event: the tropical cyclone Ivan over the south-west Indian Ocean.

### 3 Methodology and analyses

#### 3.1 Pre-processing and noise reduction

Vertical profiles of temperature, horizontal wind speed, and ozone concentration are first re-sampled with a 5 m vertical resolution applying a cubic spline interpolation. Profiles of LOAC aerosol concentration are interpolated at every 50 m using a nearest neighbour interpolation. Then, vertical profiles are filtered using a discrete wavelet transform (DWT) also called multiresolution analysis. Multiresolution is well adapted for the analysis and the decomposition of multiscale wave-like structures in geophysical signals (Kumar and Foufoula-Georgiou, 1997; Domingues et al., 2005). Conventional filters often alter amplitudes and/or phase of the original signal when filtering out high-frequency structures. Implementing finite impulse response filters with properties, such as perfect flatness in the passband, very fast decay at the frequency cut-off, and linear phase response, is difficult and expensive. In this study, the wavelet decomposition consists of the fast application of an orthogonal filter bank; i.e. a set of iterations such that the remaining signal (the approximation) becomes coarser and coarser after each iteration. Then the signal can be perfectly reconstructed by adding all details and the approximation of the signal at the  $n$ th order of the iteration (refer to Eq. 1 in Chane Ming et



al., 2000a). The smoothness or the number of vanishing moments of the wavelet are important in theoretical and practical studies (Pollicott and Weiss, 2008) because it ensures fast decay and limits the wavelet to capture high-order polynomial behaviour in a noisy signal. But more wavelet values are needed to capture most of the energy of the signal when the smoothness of the wavelet increases; i.e. the support of the wavelet is less compact (Walker, 2008). Compact support orthogonal bases with high orders of smoothness, such as the eighth-order Daubechies wavelet, ensures energy conservation and a very good localisation both in space and frequency for the analysis of GWs in the lower and middle atmosphere. Applications of the pyramid algorithm and the eighth-order Daubechies wavelet coefficients are described in Chane Ming et al. (1999, 2000a). In this way, fine structures with  $\lambda_v < 0.32$  km are efficiently removed from re-sampled profiles.

Perturbation profiles are then extracted from filtered data using a numerical Butterworth infinite impulse response high-pass filter with a specific vertical wavelength cut-off between 5 and 7 km for temperature, horizontal wind, and ozone concentrations. Background temperature and horizontal wind profiles result from the difference between the original and perturbation profiles. A high-order Butterworth filter is commonly used to simulate an ideal filter with maximally flat and linear phase responses in the passband. A fourth-order Butterworth filter provides a good compromise between the cost of implementation and the frequency decay ( $-80$  dB decade $^{-1}$ ). Because of the high variability of aerosol concentration with altitude, the DWT is used to extract perturbations of aerosol concentration with a vertical wavelength cut-off of 6.4 km.

Figure 1 shows examples of 50 m interpolated continuous vertical distributions of aerosol concentrations with diameters of 0.2–50  $\mu\text{m}$  in the troposphere and the stratosphere up to an altitude of 35 km. Different shapes of vertical distribution are observed. In particular, Fig. 1c visualises a stratospheric aerosol layer with high values of aerosol concentration in which thin layers of strong local enhancements are embedded. Severe storms with numerous lightning strikes occurred in France for cases in Fig. 1a, c, and d. The examination of the whole aerosol data set indicates a day-to-day variability of aerosols in the UTLS. Other cases reveal that aerosol content was low in the UTLS during the 2013 ChArMEx.

### 3.2 Detection of GW structures

Cases, for which aerosol layers are visualised in the UTLS, are analysed by the continuous wavelet transform (CWT) to detect GW signatures (Chane Ming et al., 2000a). The continuous wavelet transform with Morlet complex-valued mother wavelet is applied to perturbation profiles of temperature, horizontal wind speed, ozone and concentration of aerosols to produce altitude–wavelength representations of

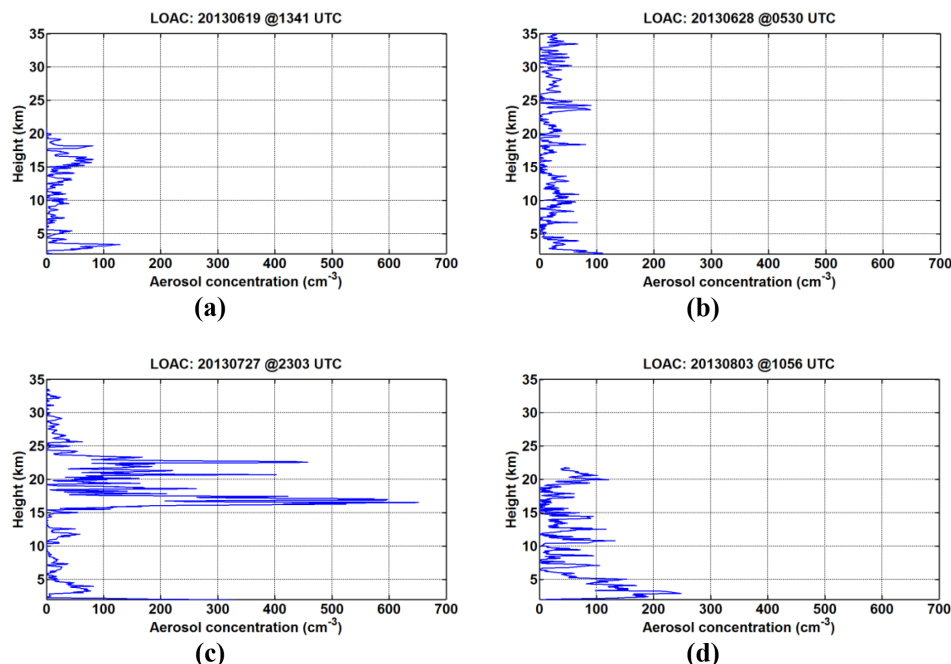
the modulus of CWT coefficients, also called scalograms. For vertical profiles in Fig. 1, scalograms capture similar signatures of wave-like structures with vertical wavelengths between 1 and 4 km in dynamical parameters and tracer constituents of ozone and aerosols in the UTLS, which are explained by the presence of GWs. The case on 27 June 2013 is described in Sect. 4.

The European Center for Medium-Range Weather Forecasts (ECMWF) model is an operational numerical weather prediction model, which can explicitly resolve stratospheric GWs with horizontal and vertical wavelengths  $> 200$  km and  $> 2$  km respectively (Shutts and Vosper, 2011; Chane Ming et al., 2014; Preusse et al., 2014). Distributions of vertical velocity and horizontal wind divergence produce snapshots of GW structures. A one-dimensional (1-D) fast Fourier transform (FFT) in latitude is applied on 6-hourly ECMWF operational analyses with  $1.125^\circ \times 1.125^\circ$  resolution at 50 hPa (about 21 km) in the LS to produce spectral densities of dominant GWs as a function of latitudes and horizontal wavelengths.

### 3.3 Characterisation of GWs

Conventional methods detailed in Chane Ming et al. (2010), such as the hodograph analysis, the SPARC Gravity Wave Initiative Radiosonde Data, and Stokes' parameter methods (Eckermann, 1996; Vincent et al., 1997), are applied to RS vertical perturbation profiles to provide characteristics of GWs (energy densities, momentum fluxes, and spectral parameters). The hodograph analysis computes the intrinsic frequency from the elliptical axis ratio, which is determined from variances of horizontal wind perturbations as a function of altitude. Combined conventional methods (CCM) based on the SPARC and Stokes' parameter methods ensure good estimation of GW spectral characteristics. The wavenumber, the direction of horizontal propagation, and the phase speed of horizontal propagation are derived from the SPARC method and the intrinsic frequency is obtained from the Stokes' parameter method.

At a given altitude, the horizontal wavelength can be deduced from adjacent vertical profiles of temperature close in time and space in order to observe the same GW packet. If the time variation in the phase difference is neglected (refer to Eq. 5 in Wang and Alexander, 2010), the phase shift divided by the distance provides the horizontal wavenumber projected along the line connecting the two profiles. Ern et al. (2004) introduced this method to estimate a horizontal wavelength of GWs and global absolute values of vertical flux of horizontal momentum at 25 km altitude from adjacent temperature profiles from Cryogenic Infrared Spectrometers and Telescopes for the Atmosphere (CRISTA). The method is adapted to pairs and triads of RO temperature profiles using the S-transform and CWT in the altitude range of 17.5–22.5 km with temporal windows of 4 and 2 h (Wang and Alexander, 2010; Faber et al., 2013). To better



**Figure 1.** 50 m interpolated vertical profiles of aerosol concentration ( $\text{cm}^{-3}$ ) with diameters 0.2–50  $\mu\text{m}$  above Minorca Island (39.99° N, 4.25° E) (a–b) on 19 June at 13:41 UTC and 28 June at 05:30 UTC respectively, and above Ile du Levant (43.02° N, 6.46° E) (c–d) on 27 July at 23:03 UTC and 3 August at 10:56 UTC during the 2013 ChArMEx campaign.

constrain estimated horizontal wavelengths and momentum fluxes, Schmidt et al. (2016) used temporal and spatial windows of 15 min and 250 km

### 3.4 Simulated GWs

The GW parameters are used to initialise a model based on the simplified linear wave polarisation relations for vertically propagating internal waves in a rotating fluid (Gill, 1982; Chane Ming et al., 2002) to produce synthetic vertical profiles of temperature and horizontal winds with GW signatures. Input parameters are vertical wavelength, intrinsic frequency, direction of horizontal propagation, localisation, altitude range, amplitude of temperature perturbation, and mean profiles of temperature and horizontal winds. The horizontal wavelength is derived from the GW–dispersion relationship. A Gaussian-shaped sinusoidal pulse is first computed at the observed altitude range from temperature perturbations. Based on the simplified linear wave polarisation relations, vertical wind perturbations are derived from temperature perturbations. Then the horizontal wind perturbations result from the vertical wind perturbations when the rotated frame of reference is aligned along the horizontal wavenumber. The direction of horizontal wave propagation is finally used to rotate the frame of reference to obtain observed horizontal wind perturbations. The synthetic profiles result from the sum of perturbations and observed background profiles.

### 3.5 Ray tracing

The gravity wave regional or global ray tracer (GROGRAT) is a 4-D ray-tracing model of the propagation and amplitude evolution of non-hydrostatic GWs in a rotating, stratified, compressible slowly varying background described by numerically gridded fields of temperature, wind, and pressure or geopotential height in the lower and middle atmosphere. It is based on the dispersion and refraction of the ray paths and includes parameterisations of turbulent and radiative wave damping and of wave–amplitude saturation. A full description of the model can be found in Marks and Eckermann (1995) and Eckermann and Marks (1997).

The validity of the Wentzel–Kramers–Brillouin (WKB) condition based on the slow variation of the vertical wavenumber with height ensures an approximate solution of the GW ray-tracing equations. Previous studies have shown that GROGRAT is an efficient tool to identify GW sources (Guest et al., 2000, 2002; Pramitha et al., 2015) and to simulate GW-background interactions such as GW effects, wave filtering, space and time variability of GW activity and characteristics (Wei and Zhang, 2015). For example, GROGRAT simulations help to interpret global GW observed morphology derived from satellite observations (Preusse et al., 2009). In this study, the model GROGRAT is run with observed GW spectral characteristics in a regional background of 6-hourly operational ECMWF analyses of temperature, horizontal wind, and geopotential height with resolutions of

25 pressure levels (1000–1 hPa) and  $1.125^\circ \times 1.125^\circ$  in the horizontal plane.

### 3.6 Parcel advection method

The parcel advection method is based on an analytical formula for the linear response of minor constituents to non-dissipating hydrostatic internal GW motions when photochemical response of the constituent can be negligible, especially for long-lived tracers. The method was used for GW-induced perturbations in ozone, water vapour, and other constituents such as nitrogen dioxide and sodium (Randel et al., 1990; Teitelbaum et al., 1994). When the photochemical response of the constituent is negligible, in the linear simplified case, normalised ozone mixing ratio perturbations as a function of altitude are linked to normalised potential temperature perturbations by a coefficient  $R(z)$ , which is the ratio of vertical gradients of ozone and of the potential temperature background. Chane Ming et al. (2000b) illustrated the method to produce a climatology of laminae with vertical wavelengths  $< 5$  km induced by GWs and horizontal advection in the UTLS near the southern subtropical barrier. The method also revealed the presence of GWs on vertical ozone profiles collected at Macquarie Island ( $54^\circ$  S,  $159^\circ$  E) during the Airborne Southern Hemisphere Ozone Experiment/Measurements for Assessing the Effects of Stratospheric Aircraft (ASHOE/MAESA) observation programme in 1994 (Chane Ming et al., 2003). Eckermann et al. (1998) formalised the method to derive analytical formulas for the response of vertical constituent profiles of arbitrary shape to adiabatic GWs. In particular, they showed that the parcel method becomes inaccurate for non-hydrostatic GWs and if the wave-induced photochemical response produces a significant diabatic feedback on the GW for shorter-lived constituents.

## 4 Synoptic situation on 27 July 2013

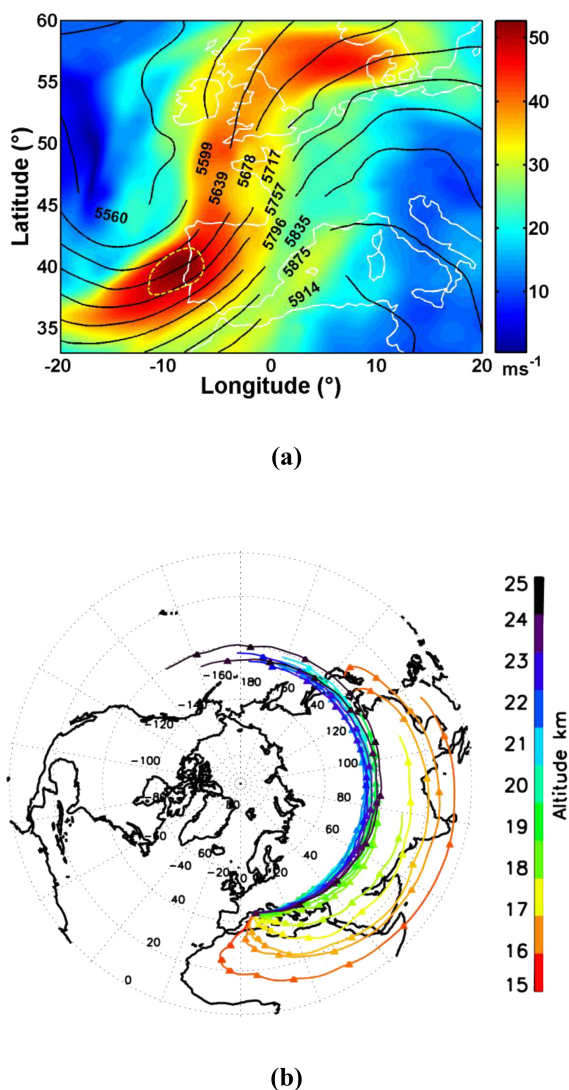
A heat wave accompanied by severe thunderstorms affected the whole of France during July 2013, especially during the second part of the month from 15 to 28 July with local maximum temperatures  $> 35^\circ\text{C}$  on 25–27 July. Météo-France ranked it the third hottest July since 1900. As for thunderstorm activity, a total of 527 496 lightning strikes were registered. The most severe stormy episode of the year occurred on 27 July 2013 when both synoptic observations and forecasts indicated the presence of a meteorological pattern similar to an upper level jet streak. A jet streak is characterised by localised wind maxima along the axis of a jet stream and often referred to as a jet-front system because it is generally found in association with frontal zones in the UT (Cunningham and Keyser, 2003). Right entrance and left exit regions of jet streaks (with right and left set by the axis of the jet core and defined facing downstream) are preferred

areas of storm development characterised by front systems (Lin, 2007). Climatologies at latitudes of North America reveal that jet streaks are intrinsically linked to tornado and severe storm reports (Rose et al., 2004; Clark et al., 2009). From a climatological survey of such synoptic patterns, Uccellini and Koch (1987) showed the existence of mesoscale GW disturbances, which can have a large influence on the weather conditions. For operational forecasting and detection of such GWs, Koch and O’Handley (1997) proposed a conceptual model with location of GW occurrence in a diffluent region bounded by the 300 hPa ridge axis to the north-east and the 300 hPa inflection axis to the south-west. The southern boundary of the wave region is defined by the location of a surface warm front. Numerical mesoscale studies also support exit regions of jet-front systems at the cold side of surface front as a favourable area of GW generation (O’Sullivan and Dunkerton, 1995; Zhang, 2004). Recently Plougonven and Zhang (2014) presented a review of current knowledge and understanding of GWs near jets and fronts from observations, theory, and modelling including jet streaks.

On 27 July 2013, mean wind speed was about  $150\text{ km h}^{-1}$  near the tropopause above the western part of France. A sequence of 15 min georeferenced infrared GMS-3 satellite images reveals increasing convective activity associated with the jet-front system on 27 July from 03:00 UTC. Very warm, moist, and unstable air coming from the south triggered a strong mesoscale storm with a bow structure along the north-west coast of France propagating north-eastward in the afternoon of 27 July.

Figure 2a depicts the meteorological situation on 27 July at 12:00 UTC derived from operational ECMWF analyses, which represents a typical jet streak. Uccellini and Koch (1987) and Koch and O’Handley (1997) produced similar charts to depict the synoptic environment of jet streak typical occurrences of mesoscale GWs at the exit region of the upper-level jet streak. Isohypsers on a 500 hPa meteorological chart visualise the synoptic pattern with a strong minimum of geopotential heights located at  $45^\circ$  N latitude and  $15^\circ$  W longitude, which strengthens the jet wind field. Maximum wind speeds at 300 hPa visualise the jet core over the west of Portugal. In addition wind speeds  $> 50\text{ ms}^{-1}$  from 12:00 UTC indicate presence of a significant jet streak. The height–longitude cross-section of potential vorticity at  $43^\circ$  N (not shown) demonstrates the presence of a tropopause fold ( $6^\circ$  W) located west of the jet ( $4^\circ$  W). This observation is supported by the cross-section of ozone mass mixing ratio visualizing a stratospheric intrusion of ozone in the middle troposphere at longitudes between  $6$  and  $2^\circ$  W. Turbulent mixing processes within the tropopause fold are of first-order importance as a mechanism for stratospheric–tropospheric exchange (Shapiro, 1980). The jet streak dissipated on the afternoon of 28 July.

Backward air mass trajectories are calculated with the FLExible TRAjectories (FLEXTRA) 5.0 code, a kinetic trajectory calculation code (Stohl et al., 1995, 2005). Trajec-



**Figure 2.** (a) Latitude–longitude distribution of wind speed ( $\text{ms}^{-1}$ ) at 300 hPa on 27 July at 12:00 UTC (colour scale: red relatively high values, blue relatively low values). Wind speed exceeds  $> 50 \text{ ms}^{-1}$  above west of Portugal (yellow dashed line), solid lines indicate geopotential height (m) at 500 hPa derived from ECMWF operational analyses on 27 July at 12:00 UTC, (b) Backward air mass trajectories using the FLEXTRA model starting at every 1 km height from levels of 15–25 km above Ile du Levant during 10 days from 27 July at 23:00 UTC, interval between two triangles corresponds to a duration of 24 h. Colour indicates altitude (km).

tories were initialised with global 3-hourly ECMWF wind fields with  $1^\circ \times 1^\circ$  horizontal resolution during 10 days from 27 July at 23:00 UTC at every 1 km height between 15 km to 25 km above Ile du Levant (Fig. 2b). Trajectories reveal two different eastern air masses in the LS originated from tropical UT and mid-latitude LS. The vertical profile of aerosol concentration at Ile du Levant shows evidence of two layers of a large amount of aerosols with diameters between 0.2 and  $0.7 \mu\text{m}$  at altitudes of 15–20 and 20–25 km and a mini-

mum at 20 km where the zonal wind reverses (Fig. 3b). During a volcanically quiescent period, previous studies mention the presence of a stratospheric sulfate aerosol layer also called the Junge layer with a quasi-steady state relative maximum in particle number concentration ( $r > 0.1 \mu\text{m}$ ) around 20 km in the mid-latitudes (Junge et al., 1961). Recent observations also reveal the presence of carbonaceous material in stratospheric aerosol (Murphy et al., 2007). In this study, the “speciation index” retrieved from the 12 and  $60^\circ$  channel LOAC measurements suggests that the nature of stratospheric aerosols with diameters  $< 1 \mu\text{m}$  is mainly carbonaceous in the LS on 27 July above Ile du Levant (Renard et al., 2016). Low aerosol content is observed in the LOAC profile of aerosol concentration on 28 July at 15:24 UTC when the jet streak dissipates after 12:00 UTC. Thus, dynamical processes might be involved in elaborating the local stratospheric aerosol background.

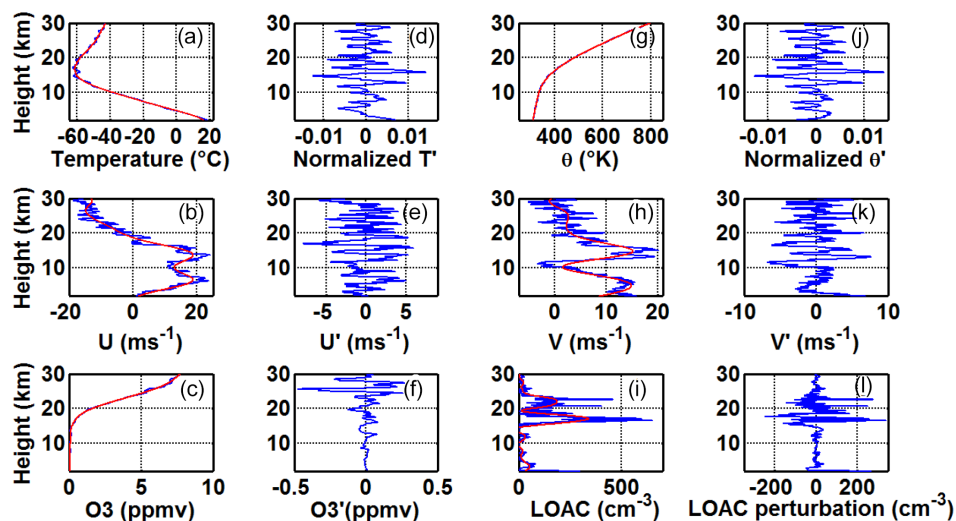
## 5 Results

The methodology and the analyses described in Sect. 3 are now applied to a case study on 27 July 2013.

### 5.1 Detection of GWs

Figure 3 visualises filtered resampled vertical profiles of temperature, potential temperature, horizontal winds, and ozone from radiosondes and the vertical profile of LOAC aerosol concentrations with diameters of  $0.2\text{--}0.7 \mu\text{m}$  on 27 July at 23:03 UTC above Ile du Levant.

In our study, GW analyses are applied on the sum over the size classes between 0.2 and  $0.7 \mu\text{m}$  of continuous vertical profiles of aerosol concentrations to derive a mean continuous vertical profile of aerosol concentrations. Because of the synoptic meteorological event, a sheared tropospheric jet is observed at 10 km height with maximum wind velocities  $< 20 \text{ ms}^{-1}$  at altitudes of 6 and 14 km, whereas larger amplitudes ( $\approx 40 \text{ ms}^{-1}$ ) are observed at longitudes  $< 2.5^\circ \text{ W}$  at heights of about 12.5 km. Perturbation profiles are obtained from subtraction between original and background profiles (Chane Ming et al., 2010). They show evidence of wave-like structures with short vertical wavelengths of 2–3 km in temperature and horizontal wind at heights of 13–20 km. Maximum temperature and wind perturbations are about  $3^\circ\text{C}$  and  $5\text{--}7.6 \text{ ms}^{-1}$  respectively, near the tropopause located at a height of 15 km. Large variations in ozone and aerosol perturbations are also observed at altitudes of 15–20 km. Thus the CWT is applied on vertical profiles of perturbations to localise wave-like structures in altitude–wavelength representations at heights below 23 km (refer to Sect. 3.2). The scalograms of the dynamical variables (temperature, wind) reveal a spectral signature with 2.6 km vertical wavelength at heights 10–20 km (Fig. 4a, b, c). For ozone and aerosols, similar peaks are found above 15 km (Fig. 4d, e). Such a



**Figure 3.** Filtered vertical profiles of temperature ( $^{\circ}\text{C}$ ), potential temperature ( $^{\circ}\text{K}$ ), zonal and meridional winds ( $\text{ms}^{-1}$ ), ozone, LOAC aerosol concentration ( $\text{cm}^{-3}$ ) of  $0.2\text{--}0.7\text{ }\mu\text{m}$  size classes (**a, b, c, g, h, i**) and perturbations (**d, e, f, j, k, l**) on 27 July at 23:03 UTC above Ile du Levant ( $43.02^{\circ}\text{N}$ ,  $6.46^{\circ}\text{E}$ ). Solid blue (red) line visualises filtered (background) profile. The prime symbol ( $'$ ) means perturbations.

pattern is also observed on CWTs of each vertical aerosol concentration profile of the five smallest size classes. Analyzing normalised ozone perturbation profiles would enhance the ozone peak at 13 km because of low level tropospheric ozone background whereas the use of ozone perturbation profiles focuses on wave-like structures observed at 15–23 km heights. The cross-sections of potential vorticity and ozone mixing ratio at  $43^{\circ}\text{N}$  indicate that the peak at 13 km heights results from a local stratospheric intrusion at longitudes of  $7\text{--}12^{\circ}\text{W}$ . The longitude–latitude maps at 250–300 hPa support the presence of a filament structure of potential vorticity and ozone mass mixing ratio during the jet-streak event (not shown). These observations also suggest that aerosols observed on the LOAC profile at the same altitude are of stratospheric origin on 27 July at 12:00 UTC (Plumb et al., 1994).

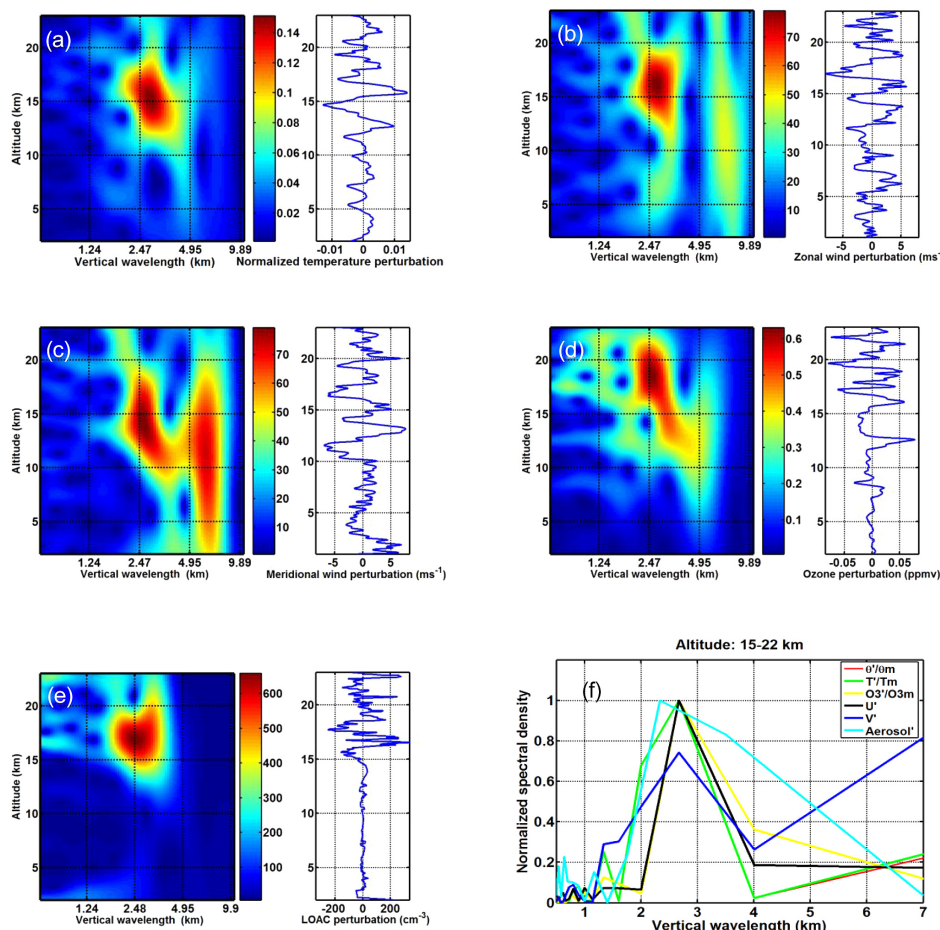
The Morlet CWT local maxima also called “skeleton” (Chane Ming et al., 2000a) provide a continuous distribution of dominant wave-like structures with the vertical wavelength of 2–3 km at heights between 3 and 25 km with maxima at heights of 13–18 km in zonal wind perturbations (not shown). The mean vertical wavelength is estimated at about 2.5 km at altitudes of 3–7 km. The amplitudes as well as the mean vertical wavelength decrease rapidly at altitudes above 19 km likely because waves are filtered near the critical level where intensity of zonal wind approaches zero. The FFT also supports the presence of wave-like structures with vertical wavelengths of 2–3.5 km at heights of 13–23 km in all analysed parameters (Fig. 4f). The DWT decomposition reveals that RS horizontal wind perturbations ( $u'$  and  $v'$ ) with dominant vertical wavelengths of 2–3 km are in quadrature at heights of 14–26 km in agreement with the linear GW–polarisation relationship. The hodograph of wind perturba-

tions visualises elliptical structures with characteristics of GWs with vertical wavelength of about 2 km at heights of 14–24 km. The sign change at heights of 9–12 km with anti-cyclonic (cyclonic) rotation of the ellipse above 12 km (below 9 km) indicates an upward (downward) flux of GW energy. This suggests that GW sources are localised at heights between 9 and 12 km.

The analyses of vertical velocities in pressure coordinates ( $\omega = dp/dt$ ) derived from ECMWF operational analyses indicate that the numerical weather prediction model captures some wave-like structures on 27 July 2013 at 18:00 UTC in the LS (Fig. 5a). Figure 5a depicts mesoscale structures located over the eastern part of France. Spectral density of vertical velocities is calculated for longitudes of  $10^{\circ}\text{W}\text{--}20^{\circ}\text{E}$  at latitudes between  $42$  and  $48^{\circ}\text{N}$ . In particular, the energy of mesoscale wave-like structures with horizontal wavelengths in the east–west direction ( $\lambda_x < 500\text{ km}$ ) is well localised at the latitude of  $46^{\circ}\text{N}$ , which corresponds to the latitude of the exit region of the jet streak. Figure 5b displays the energy distribution of dominant wave-like structures at latitudes of  $42\text{--}48^{\circ}\text{N}$  for horizontal wavelengths  $\lambda_x < 1200\text{ km}$ . In particular, it highlights a continuous spectrum of GWs with horizontal wavelengths  $\lambda_x$  of 400–800 km with a dominant mode of 400 km at latitudes of about  $46^{\circ}\text{N}$ . The spectral densities at latitudes of  $42\text{--}48$  and  $46^{\circ}\text{N}$  confirm that the mode of 400 km is dominant at  $46^{\circ}\text{N}$  within the wavelength range of 400–800 km (Fig. 5c). The vertical velocity data also reveal that the structure with amplitudes  $< 0.2\text{ Pas}^{-1}$  extends at longitudes (latitudes) between  $4^{\circ}\text{W}$  to  $10^{\circ}\text{E}$  ( $42$  to  $52^{\circ}\text{N}$ ).

The waves from this event can be identified in GPS-RO soundings as well. Figure 6a shows an overview of the GPS-RO soundings over western Europe for 26 to 29 July 2013. We selected profiles for longitudes of  $2.5\text{--}6^{\circ}\text{E}$  and latitudes





**Figure 4.** Morlet CWT of perturbations expressed as physical unit<sup>2</sup> km<sup>−1</sup> (left panels): (a) normalised potential temperature, (b, c) horizontal wind components  $u$  and  $v$  (ms<sup>−1</sup>), respectively, and (d) ozone concentration in units of parts per million by volume (ppmv) and (e) aerosol concentration (cm<sup>−3</sup>) of 0.2–0.7  $\mu$ m size classes. Perturbations are visualised on the right panels. Colour scale: red relatively high values, blue relatively low values. (f) Normalised fast Fourier transform of normalised potential temperature, normalised temperature, horizontal wind (ms<sup>−1</sup>) and ozone (ppmv) and aerosol concentration (cm<sup>−3</sup>) perturbations at heights of 15–22 km.

of 40–50° N for spectral analysis of the altitude range of 10–20 km. The results are shown in Fig. 6b. The individual spectra are labelled by the day and UTC of the measurement (e.g. 2605 for 26 July; 05:00 UTC) and also marked accordingly in Fig. 6a. As one can see from results for consecutive days, GWs are enhanced starting from 27 July, peak at 28 July and are still active on 29 July. Spectral density peaks are found for wavelengths 2–3.5 km, especially from 27 July at 06:00 UTC to 29 July in the morning with a maximum observed on 28 July early in the morning.

## 5.2 Spectral characteristics of GWs

The hodograph analysis and the CCM (refer to Sect. 3.3) are applied on RS temperature and wind perturbations on 27 July at 23:03 UTC above Ile du Levant to compute spectral characteristics such as vertical and horizontal wavelengths, intrinsic frequencies, periods, directions of horizontal wave propa-

gation, and wave energy densities (Table 1). Consistent spectral parameters are obtained by the two methods. In particular, the hodograph analysis reveals the presence of mesoscale inertia GWs with vertical and horizontal wavelengths of 2.6 and 440–510 km respectively, a period of about 12 h, propagating southward at heights of 13–20 km. Eastward dominant modes with similar vertical wavelength, a period of about 15 h and longer horizontal wavelengths ( $\approx 1300$  km) are observed at heights of 20–26 km. The horizontal phase speed of the mesoscale GW is estimated at about 6.8 ms<sup>−1</sup> (7.9 ms<sup>−1</sup>) at heights of 13–20 km (20–26 km). GWs with 1300 km horizontal wavelength have larger phase speed of about 24 ms<sup>−1</sup> at heights of 20–26 km. Consistent large values of total energy densities of about 16 Jkg<sup>−1</sup> and vertical flux of horizontal momentum of 0.05 m<sup>2</sup> s<sup>−2</sup> (vertical flux of zonal momentum  $u'w' \approx 0.045$  m<sup>2</sup> s<sup>−2</sup>) defined in Vincent et al. (1997) are calculated at heights of 13–20 km. Our computed value of vertical flux of horizontal momentum (about

**Table 1.** Characteristics of dominant GWs on 27 July at 23:03 UTC above Ile du Levant using a hodograph analysis and combined conventional methods (bold font) on observed and simulated (marked by \*) profiles. Values are derived from peaks of wave parameter distributions. Height: altitude range,  $E_t$ : total energy density,  $E_k$ : kinetic energy,  $E_p$ : potential energy,  $\Phi$ : direction of horizontal wave propagation (clockwise from north),  $\lambda_v$ ,  $\lambda_h$ : vertical and horizontal wavelengths,  $\omega/f$ : intrinsic frequency/inertial frequency,  $T$ : period. Parentheses denote standard deviation. Units are in brackets.

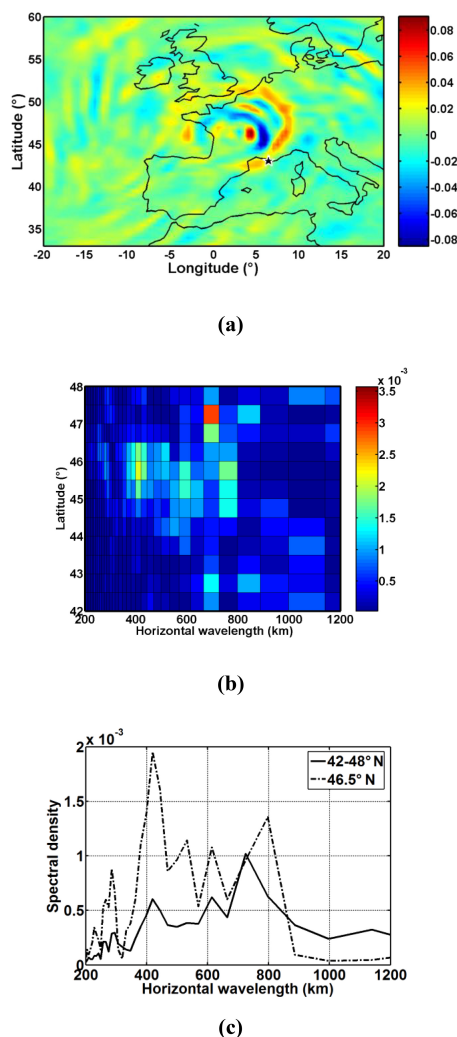
| Heights<br>[km] | $E_t$<br>[J kg <sup>-1</sup> ] | $E_k$<br>[J kg <sup>-1</sup> ] | $E_p$<br>[J kg <sup>-1</sup> ] | $\lambda_v$<br>[km] | $\lambda_h$<br>[km]              | $\omega/f$                          | $T$<br>[h]                            | $\Phi$<br>[°] |
|-----------------|--------------------------------|--------------------------------|--------------------------------|---------------------|----------------------------------|-------------------------------------|---------------------------------------|---------------|
| 3–7             | 11.3                           | 7.6                            | 3.7                            | 2.6                 | 161 (20)<br>204 (10)<br>451 (23) | 1.8 (0.1)<br>1.6 (0.1)<br>1.2 (0.1) | 9.6 (0.2)<br>11.1 (0.5)<br>14.6 (0.9) | 236 (12)      |
| 13–20           | 16.6                           | 12.3                           | 4.3                            | 2.6                 | 483.5 (108.7)                    | 1.5 (0.1)                           | 11.5 (1.16)                           | 220 (29)      |
| 20–23           | 5.4                            | 4.6                            | 0.8                            | 2                   | 850 (34)<br>1260 (241)           | 1.1 (0.1)                           | 16.4 (0.6)                            | 95 (16)       |
| 13–20*          | 15.2                           | 10.5                           | 4.68                           | 2.6                 | 486.5 (69.8)                     | 1.5 (0.1)                           | 11 (0.86)                             | 204 (2.5)     |
| <b>13–20</b>    | <b>15.7</b>                    | <b>11.3</b>                    | <b>4.4</b>                     | <b>2.6</b>          | <b>510</b>                       | <b>1.47</b>                         | <b>12</b>                             | <b>204</b>    |
| <b>20–26</b>    | <b>6.1</b>                     | <b>5.1</b>                     | <b>1</b>                       | <b>2</b>            | <b>440</b><br><b>1300</b>        | <b>1.12</b>                         | <b>15.6</b>                           | <b>112</b>    |
| <b>13–20*</b>   | <b>15.5</b>                    | <b>10.5</b>                    | <b>5.1</b>                     | <b>2.4</b>          | <b>370</b>                       | <b>1.68</b>                         | <b>11</b>                             | <b>205</b>    |

8 mPa) is well beyond values of 1 mPa and  $0.02 \text{ m}^2 \text{ s}^{-2}$  observed in the LS in summer midlatitudes respectively, by Ern and Preusse (2012) over Europe from High Resolution Dynamics Limb Sounder (HIRDLS) observations and Zhang et al. (2014) from radiosondes over North America. Thus the value of vertical flux of horizontal momentum supports our statement that the case on 27 July 2013 represents a stronger GW event.

The ratio between kinetic and potential energy of GWs provides a spectral index ( $p$ ) of about 2.6–2.9, which is larger than the values of  $p \sim 5/3$  in the middle atmosphere (Vincent et al., 1997). Hertzog et al. (2002) found values of  $p$  in the range 1.5–2.2 for high-frequency GWs from super-pressure balloon measurements in the stratosphere. However, they suggest that values greater than 5 could be caused by enhancements of the velocity spectrum near the inertial frequency. A large decrease of total energy densities above heights of 20 km indicates that most tropospheric GWs are not transmitted to higher stratospheric layers. The wave energy propagates upward from the troposphere with a fraction of upward energy  $F_{\text{up}} > 86\%$  (95 %) at heights of 13–20 km (20–26 km). In addition the hodograph analysis reveals the presence of mesoscale structures propagating south-westward with vertical and horizontal wavelengths of 2.6 and 161–451 km respectively, with periods of 10–15 h at heights of 3–7 km in the lower troposphere. The  $F_{\text{up}}$  value of about 60 % suggests that wave energy equally propagates upward and downward below the dominant GW tropospheric source. Finally, conventional methods provide good estimates of spectral GW parameters as can be seen from consistent values of the two methods and the standard deviation

computed for the hodograph analysis in particular for the observed dominant mesoscale wave-like structures at heights of 13–20 km. Similar results at heights of 13–20 km are also obtained by the CWT method described in Chane Ming et al. (2002, 2003).

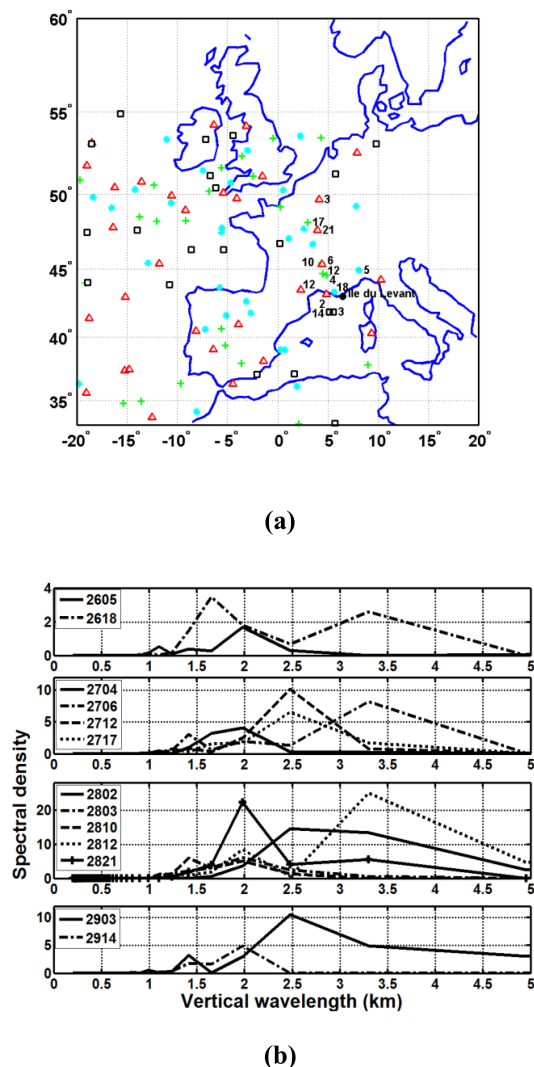
Figure 7a visualises temperature profiles from RS at 23:03 UTC (hereafter called RS2723) on 27 July above Ile du Levant and RO at 02:00 UTC (hereafter called RO2802) and 12:00 UTC (hereafter called RO2812) on 28 July. As observed on the RS2723 temperature profile, GPS RO temperature profiles also show evidence of small-scale perturbations in the troposphere and the LS. Scalograms of RO2802 and RO2812 temperature perturbations support the presence of dominant GW structures with vertical wavelengths of 2.5–3 km at heights of 10–18 km on 28 July (Fig. 7b, c). By assuming that the same GW packet is observed on RS2723 and RO2802 profiles in the LS, the phase shift ( $\Phi$ ) between perturbation profiles is calculated at the altitude of 17 km taking into account of the time variation (refer to Sect. 3.3) using a GW period of 12 h at heights of 15–20 km and a time difference of 1.8 h at the altitude of 17 km between RS and RO measurements. Using a distance of 179.14 km between temperature profiles, the phase shifts ( $\Phi$ ) of 1.67 radians provide a horizontal wavelength of 673.6 km. The estimated horizontal wavelength is larger than the “real” value by a factor of  $1/\cos\alpha$ , where  $\alpha$  is the angle between the connecting line of the two profiles and the real horizontal wave vector (Preusse et al., 2002). Thus, the “real” horizontal wavelength is ranged between 396 and 674 km ( $\Phi = 200 \pm 29^\circ$ ). The result is consistent with values of horizontal wavelengths derived from applying conventional methods on RS2723 pro-



**Figure 5.** (a) Vertical velocity ( $\text{Pa s}^{-1}$ ) at 50 hPa in the lower stratosphere derived from ECMWF analyses on 27 July 2013 at 18:00 UTC. The star symbol locates Ile du Levant. Colour scale: blue relatively negative high values, red relatively positive high values. (b) spectral density distribution ( $\text{Pa}^2 \text{s}^{-2} \text{km}^{-1}$ ) at latitudes ranged between 42 and 48° N. Colour scale: red relatively high values, blue relatively low values. (c) spectral density at the latitudes of 42–48 and 46° N.

files at heights of 13–20 km. Using Eq. (7) reported in Ern et al. (2004), the vertical flux of momentum is estimated at  $0.017\text{--}0.03 \text{ m}^2 \text{ s}^{-2}$  at heights of 10–20 km. The estimate is somewhat lower but compatible with the value of  $0.05 \text{ m}^2 \text{ s}^{-2}$  derived from the RS measurements. The characteristics of these GWs are consistent with previous observations of inertia GWs associated with a jet stream exit region (Thomas et al., 1999; Ravetta et al., 1999; Bertin et al., 2001).

Thus, both observations and ECMWF analyses suggest that the strong activity of GWs with vertical and horizontal wavelengths of 2–5 km and  $< 1200$  km with dominant mesoscale structures of 400 km horizontal wavelength is as-

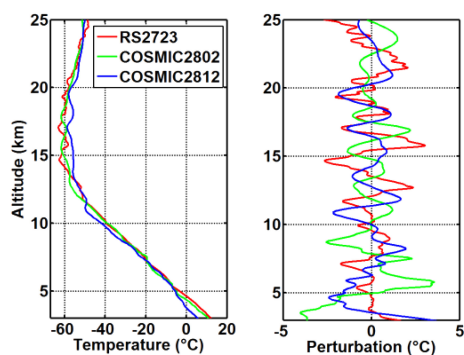


**Figure 6.** (a) Location of GPS-RO COSMIC data on 26, 27, 28, and 29 July 2013 marked with \*, +, triangle, square symbols respectively. The black dot locates Ile du Levant. Numbers near markers indicate hours (UTC) of profiles from which (b) spectral densities ( $^{\circ}\text{C}^2 \text{ km}^{-1}$ ) of GPS-RO temperature perturbations ( $^{\circ}\text{C}$ ) are calculated for modes with vertical wavelengths  $< 5$  km at altitudes of 10–20 km at heights of 10–20 km at longitudes of  $2.5\text{--}6^{\circ}$  E and latitudes of  $40\text{--}50^{\circ}$  N on 26, 27, 28, and 29 July 2013 (upper panel to lower panel).

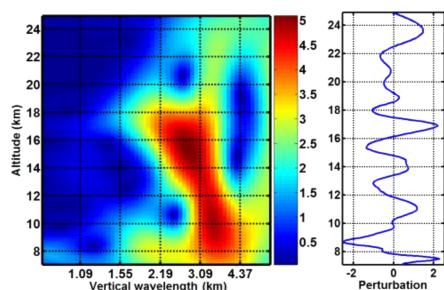
sociated with the jet-front system from 27 July afternoon to 28 July in the morning in the eastern part of France (refer to the following Sect. 5.1).

The observed characteristics at heights of 13–20 km are used in the next section to provide a simplified synthetic profiles of perturbations and to identify GW sources.

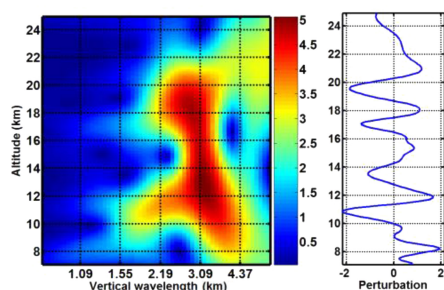




(a)



(b)

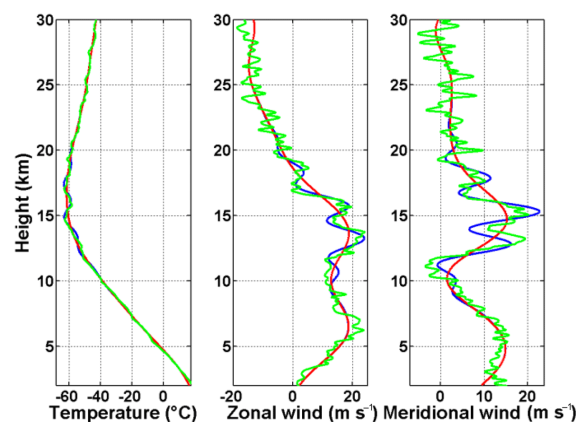


(c)

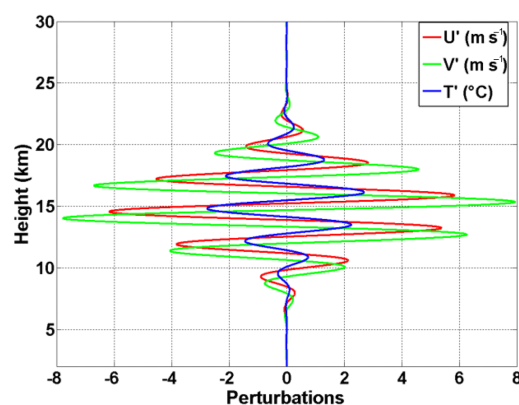
**Figure 7.** (a) Vertical profiles of temperature ( $^{\circ}\text{C}$ ) and perturbations ( $^{\circ}\text{C}$ ) on the left and right panels from RS and RO data on 27 July at 23:03, 28 July 2013 at 02:00 and 12:00 UTC respectively. Morlet CWT (left panel) of temperature perturbations (right panel) of GPS-RO data on 28 July 2013 at (b) 02:00 UTC and (c) 12:00 UTC. Colour scale: red relatively high values, blue relatively low values.

### 5.3 Simulated profiles and ray tracing

Mean values of spectral parameters observed at heights of 13–20 km (a vertical wavelength of 2.6 km, a period of 11.7 h, a direction of horizontal wave propagation clockwise from north of  $204^{\circ}$ ) with upward propagation of energy at heights of 12.8 km with a height range of 14 km are



(a)



(b)

**Figure 8.** (a) Observed (green solid line) and simulated temperature (blue solid line) in units of  $^{\circ}\text{C}$  (left panel) on 27 July at 23:03 UTC. The middle and right panels are similar to the left panel but for horizontal wind profiles ( $\text{ms}^{-1}$ ). Red solid lines indicate the observed mean profile. (b) Simulated perturbation profiles using GW simplified linear wave polarisation relations.  $U'$ ,  $V'$ , and  $T'$  are zonal wind ( $\text{ms}^{-1}$ ), meridional wind ( $\text{ms}^{-1}$ ), and temperature ( $^{\circ}\text{C}$ ) perturbations respectively.

used to produce simplified synthetic RS profiles (refer to Sect. 3.4) with signatures of dominant mesoscale GWs with horizontal wavelengths of  $499.9 \pm 45.7$  km. Filtered and simulated amplitudes, and phase relations of perturbations are in good agreement for the temperature and the horizontal wind (Fig. 8). The hodograph analysis and the CCM are applied on simulated profiles to retrieve spectral parameters (Table 1). The two methods provide good estimates of the input spectral parameters. These estimates are also in agreement with observed ones at heights of 13–20 km in terms of energy densities and the mean horizontal phase speed. The wave energy

propagates upward from the troposphere with a fraction of upward energy  $F_{\text{up}} > 93\%$  and a horizontal phase speed of  $6.44 \text{ ms}^{-1}$  at heights of 13–20 km. In conclusion, the simplified synthetic RS profiles are consistent with observed RS profiles at heights of 13–20 km for the case study on 27 July 2013. Thus, mean characteristics of observed mesoscale inertia GW structures agree well with simplified linear GW theory and are the most energetic structures observed in the RS profiles on 27 July at heights of 13–20 km. Thus, because of the shape of the mean wave-like structure observed at heights of 13–20 km, the Morlet complex-valued mother wavelet reveals to be well adapted to analyse such a structure. The simplified synthetic RS profiles are used in the next section to interpret the impact of GWs on stratospheric tracers and aerosols.

The GROGRAT model (refer to Sect. 3.5) is used to identify sources of observed dominant mesoscale GWs at heights of 13–20 km. Taking into account spreads of spectral parameters (refer to values of the two methods between parentheses in Table 1), spectral characteristics of the mesoscale inertia GW with horizontal wavelengths of 300–550 km (step of 20 km),  $\omega/f$  of 1.4–1.8 (step of 0.1) and horizontal propagation direction of  $200^\circ$  at heights of 19 km from 28 July at 00:00 UTC provide a discrete spectrum of 65 individual components to initialise the model. Backward ray trajectories are computed with the same parameterisations used in Guest et al. (2000). Almost all rays can be traced back to 12–17 h before release on 28 July at 00:00 UTC. Projected ray paths on the georeferenced infrared GMS-3 image at 12:00 UTC and the latitude–height cross section of zonal wind at longitude  $0^\circ$  at 12:00 UTC reveal that ray paths terminate at the location of the cold frontal zone and at about heights of 10 km in the jet core on 27 July at 12:00 UTC at  $2\text{--}0^\circ$  W longitudes and  $42.5\text{--}45^\circ$  N latitudes (Fig. 9a, b). Because rays pass over a convective region when the front system moves eastward, some GWs produced by convective sources might also be captured.

Figure 9c shows the evolution of the mean horizontal wavelength and the mean normalised intrinsic frequency as a function of altitude and mean time. The mean horizontal wavelength (mean period) decreases (increases) slowly from 440 km (10 h) at 17 km heights to 290 km (14 h) at 10 km heights. A given combination of wave parameters might preferentially be observed depending on the background wind field. A sensitivity test on the horizontal propagation direction varying between  $170$  and  $230^\circ$  (with a step of  $10^\circ$ ) produces similar results. The characteristics of GWs are consistent with numerical modelling studies of Zhang (2004) and Wang et al. (2009) on the generation of GWs in upper tropospheric jet-front systems. These studies reveal the production of mesoscale GWs lasting more than 24 h with very similar spectral characteristics ( $\lambda_h = 100\text{--}500$  km,  $\lambda_v = 2$  km,  $\omega/f \approx 1.5$ ) in the troposphere and LS.

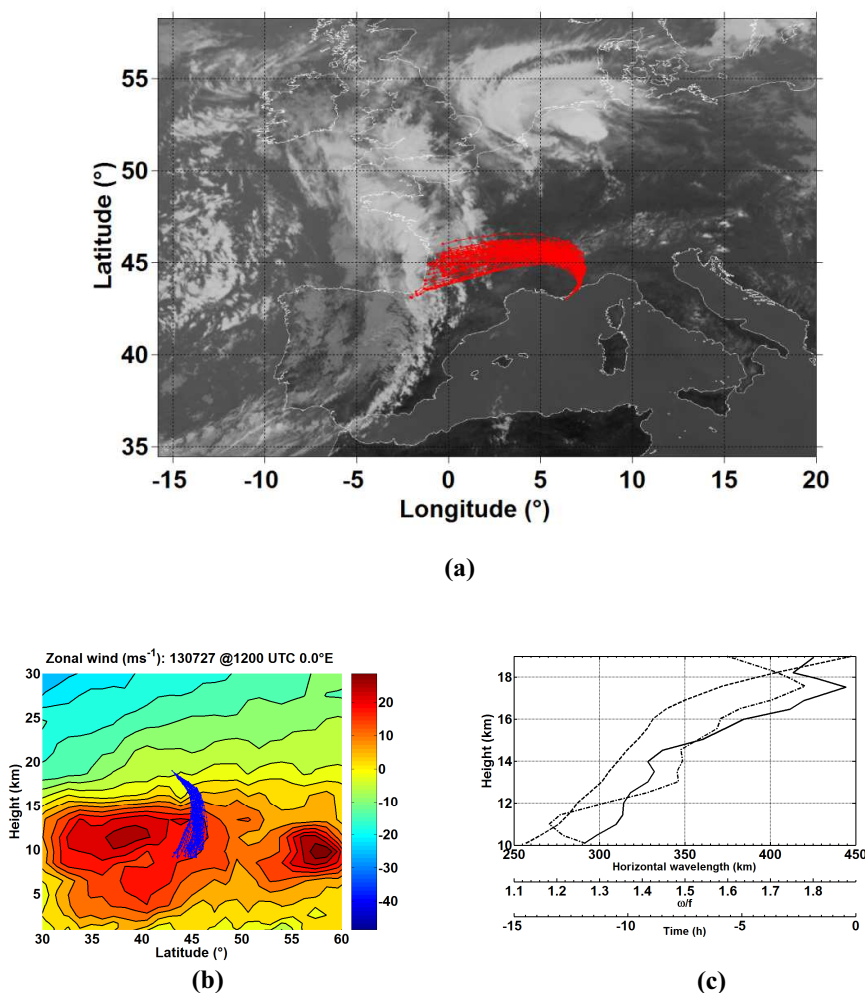
In conclusion, the GROGRAT simulation indicates that mesoscale GWs with a whole range of parameters around the

mean parameter could be excited by the front and propagate to the location of Ile du Levant at heights of 13–20 km.

#### 5.4 GW induced perturbation in tracers and aerosol concentration

To identify GW induced perturbation in tracers, the parcel advection method (refer to Sect. 3.6) is applied on profiles of ozone and potential temperature perturbations normalised by the background profiles on 27 July at 23:03 UTC (Fig. 10a). The normalised potential temperature perturbations are multiplied by smooth vertical profiles of coefficient  $R(z)$  (Fig. 10b) and superimposed on normalised ozone perturbations. The amplitudes of perturbations exhibit comparable values at heights of 4–7 and 14–24 km for perturbations with vertical wavelengths  $< 5$  km. This supports the presence of GW signatures. The parcel advection method is not valid at heights of 7–14 km because the vertical gradient of the ozone background is very small,  $R(z)$  strongly varies with altitude, and other tropospheric structures are present at 7.5 and 12.5 km (Chane Ming et al., 2000b). The parcel method also identifies GW signatures in temperature and ozone perturbation profiles on 28 July at 13:30 UTC (Fig. 10c and d). In comparison with Fig. 3, smaller values of normalised potential temperature perturbations are observed in the LS. It means that the intensity of stratospheric GWs is decreasing in the afternoon of 28 July. The Morlet CWTs of ozone and temperature perturbations depict similar monochromatic structures with vertical wavelength of about 1.5–3 km at heights of 10–15 km. For these two cases, GW signatures can be observed in the lower troposphere above 3 km. The parcel advection method is also valid for temperature and humidity mixing ratio. Figure 10e visualises wave-like structures on specific humidity profiles at heights above 3 km. The spectral densities of perturbations (Fig. 10f) reveal wave-like structures of 1.5–3 km vertical wavelengths. The amplitudes of temperature and specific humidity perturbations are  $\pi$  out of phase above 4 km height, which is in agreement with the parcel advection method with regards to signs of mean gradients. Thus, evidence of GW signatures in ozone and humidity in the lower troposphere supports that the dominant GW source is located in the jet core with an upward (downward) propagating energy above (below) the source. Thus, observations lead to the conclusion that mesoscale GWs observed on 27 July 2013 affected the variability of stratospheric ozone-tracer fields.

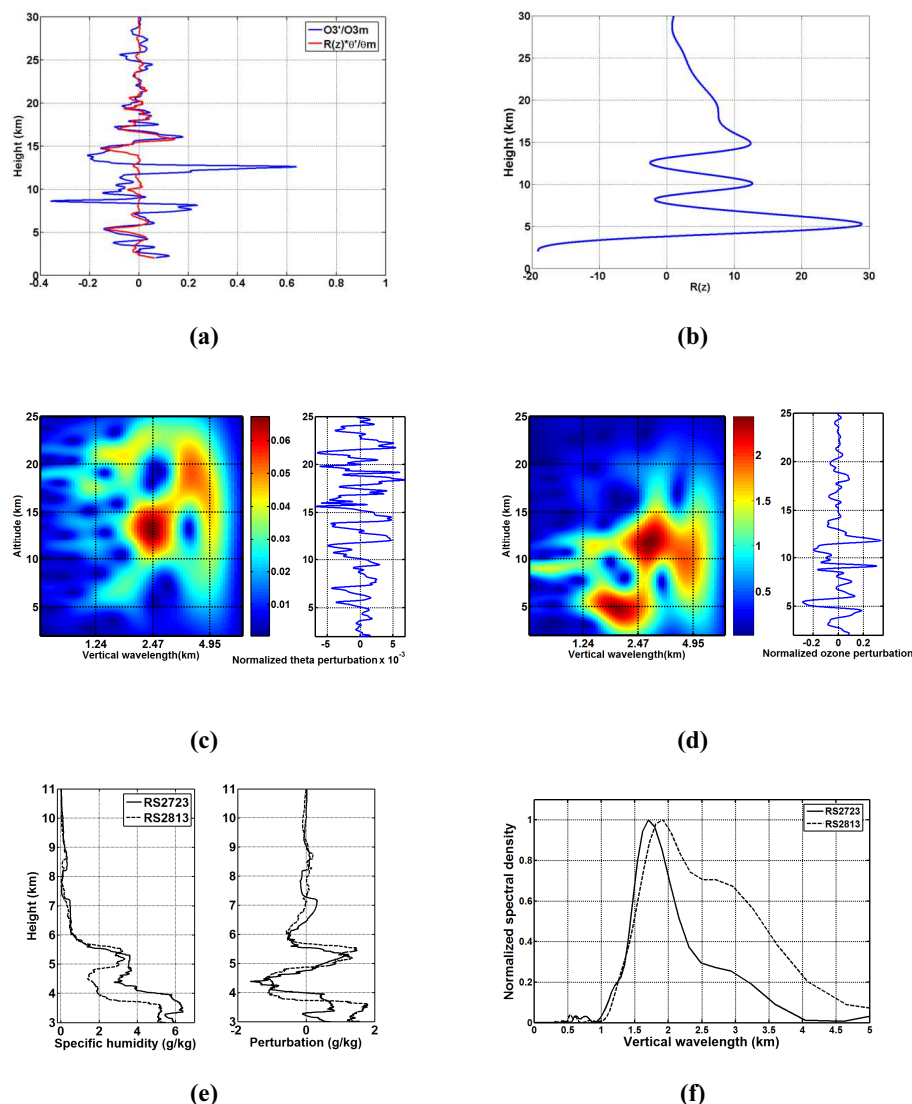
Effects of GWs on stratospheric aerosols are investigated in perturbations of aerosol concentrations and dynamical parameters in the LS. The DWT is applied to aerosol concentrations to retrieve perturbations of aerosol concentrations with vertical wavelengths of 1.6–3.2 km and the mean profile with vertical wavelengths  $> 3.2$  km (Fig. 11). Dominant wave-like structures with vertical wavelengths of 2–2.5 km are observed in the LS (15–20 km). The amplitudes of aerosol concentration perturbation strongly decrease at



**Figure 9.** Backward rays from Ile du Levant launched at 19 km height on 28 July at 00:00 UTC onto (a) georeferenced infrared GMS-3 image and (b) altitude–latitude cross section (colour scale: red relatively high values, blue relatively low values) of zonal wind ( $\text{ms}^{-1}$ ) at longitude of  $0.0^\circ$  E on 27 July at 12:00 UTC. (c) Evolution of horizontal wavelength in units of kilometres (solid line),  $\omega/f$  (dash-dot line) and time in units of hour (dashed line) as a function of altitude (km).

heights of 20 km likely because of partial transmission of GWs through the wind field and the presence of two layers of stratospheric aerosols with different origins. The superimposition of synthetic vertical perturbation aerosol upon concentration perturbation profiles of the mean dominant mesoscale GW (Fig. 8b) indicates that perturbations of aerosol concentration and simulated vertical wind are in phase at heights of 15–19 km (Fig. 11b). The phase relationships do not agree with the parcel advection method for which perturbations should be in quadrature (refer to Eq. 24 in Eckermann et al., 1998). Aerosols behave differently from tracer gases with production and growth processes in the stratosphere. This case study suggests a possible correlation between vertical transport and the distribution of aerosol concentration in the LS. In addition, large amplitudes of stratospheric vertical wind with maximum values of  $\pm 40 \text{ mms}^{-1}$  are induced by the mean mesoscale GW in comparison with monthly av-

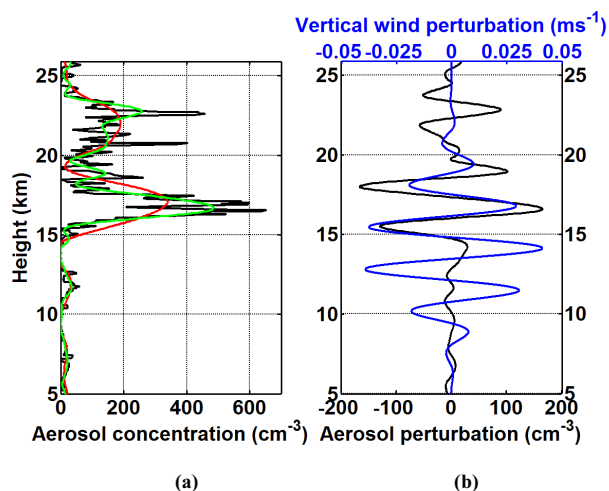
eraged amplitudes of stratospheric vertical wind of about  $\pm 5 \text{ mms}^{-1}$  with an annual averaged of  $\pm 1 \text{ mms}^{-1}$  (Gryazin and Beresnev, 2011). Recent theoretical studies reveal possible substantial effects of a background vertical motion on stratospheric aerosol distribution. Using a 4-D continuity equation for particles undergoing growth process, Li and Boer (2000) investigated the relative roles of condensation, particle fall velocity, vertical motion, and diffusion in determining the aerosol size distribution. Vertical motion could broaden the size distribution of the stratospheric background aerosol, which would tend to support a broader size distribution of the stratospheric background aerosol in the tropics. Gryazin and Beresnev (2011) suggested that the relative aerosol concentration is mostly controlled by the action of the vertical wind for particles with a size  $< 2.5 \mu\text{m}$ . Nilsson et al. (2000) proposed the use of the phase shift in perturbations of aerosol concentration and vertical wind to separate



**Figure 10.** (a) Normalised perturbations of the temperature and the ozone mixing ratio for the vertical wavelength bandwidth of 1.2–4.8 km using DWT. Temperature perturbation ( $^{\circ}\text{C}$ ) profile has been multiplied by the factor  $R(z)$  (b) defined in Sect. 3.6. (c) and (d) Morlet CWT (left panels) of normalised temperature and ozone concentration (ppmv) perturbations (right panels) on 28 July at 13:30 UTC (colour scale: red relatively high values, blue relatively low values). (e) Specific humidity ( $\text{g kg}^{-1}$ ) and perturbations on 27 July at 23:03 UTC and 28 July at 13:30 UTC at heights of 3–11 km on left and right panels respectively, and (f) corresponding normalised spectral density of specific humidity.

the influence of turbulent fluxes of aerosols from the effect of nucleation responding on waves or turbulence. Perturbations should be  $3\pi/4$  out of phase if nucleation dominates, whereas turbulence would cause perturbations to be in phase. With regard to GWs effects on cirrus clouds, the combination of a mountain wave and the jet-streak GW producing a wave-induced upward motion  $> 10 \text{ cm s}^{-1}$  can cause ice supersaturation and trigger the formation of a cirrus cloud in the UT (Spichtinger et al., 2005). A strong link has been established between GW-induced mesoscale variability in vertical velocities and climate forcing by cirrus (IPCC, 2007). Haag and Kärcher (2004) investigated the impact of aerosols and

GWs on cirrus clouds at northern mid-latitudes. They emphasise the importance to include small-scale temperature fluctuations caused by GWs for a good prediction of cloud physical properties in global models as well as to represent correctly changes in GW activity in a future climate. In the present study, strong modulation is also observed on amplitudes of stratospheric aerosol concentration background with size class of  $0.2\text{--}0.7 \mu\text{m}$  up to 60 % of the background amplitude with the vertical spectral characteristic of the observed stratospheric mesoscale GW.



**Figure 11.** Vertical profiles of (a) aerosol concentration ( $\text{cm}^{-3}$ ) and (b) aerosol (size class:  $0.2\text{--}50\text{ }\mu\text{m}$ ) perturbations with  $1.6\text{--}3.2\text{ km}$  vertical wavelengths and simulated vertical wind perturbations ( $\text{ms}^{-1}$ ) on 27 July at 23:03 UTC. On left panel, black (red) solid line corresponds to raw (background) profile ( $\text{cm}^{-3}$ ). The sum of the background profile and GW-induced perturbations is drawn as a green solid line.

## 6 Conclusions

In this study, high-resolution observations of the new balloon-borne LOAC coupled with RS and ozonesonde observations are shown to capture short-scale wave-like structures in the UT and LS during the 2013 ChArMEx. A methodology and different complementary tools based on observations and modelling are proposed to describe GWs and to evaluate their effects on tracer constituents and the vertical distribution of aerosol concentration. The methodology is illustrated on a case study on 27 July 2013 when mesoscale inertia GWs were identified during a jet-streak event. Observations reveal a strong activity of dominant mesoscale inertia GWs with horizontal and vertical wavelengths of  $370\text{--}510\text{ km}$  and  $2\text{--}3\text{ km}$  respectively, and periods of  $10\text{--}13\text{ h}$  propagating southward at altitudes of  $13\text{--}20\text{ km}$  and eastward above  $20\text{ km}$  during 27–28 July above Ile du Levant. Analyses from the European Centre for Medium-Range Weather Forecasts also show evidence of mesoscale wave-like patterns with consistent wave properties above the eastern part of France. In addition, ray-tracing experiments highlight the jet-front system as the main source of observed GWs. Such GWs induce strong local short-scale perturbations in the amplitudes of tracer constituents, such as ozone and tropospheric water vapour as well as the stratospheric aerosol background layer. Simplified synthetic profiles of temperature and wind perturbations are derived from the linear wave polarisation relations with signatures of dominant mesoscale GWs with a mean horizontal wavelength of about  $500\text{ km}$  at heights of  $13\text{--}20\text{ km}$ . The synthetic pro-

file of stratospheric vertical velocity perturbations produces a wave-induced upward motion with a maximum amplitude of  $40\text{ mms}^{-1}$  more than 10 times larger than usual amplitudes of stratospheric vertical wind. In addition, the profile of wave-induced upward motion reveals to be in phase with perturbations of stratospheric aerosol concentrations (size class of  $0.2\text{--}0.7\text{ }\mu\text{m}$ ). Besides the role of temperature perturbations especially for nucleation at high frequencies, present results also support the importance of GW spectral characteristics and GW-induced vertical wind perturbations in the vertical aerosol distribution as also observed in formation of ice supersaturation below the tropopause where cirrus clouds are observed (Spichtinger et al., 2005). In addition, results from our study lead to the conclusion that mesoscale GWs might affect significantly the vertical background distribution of stratospheric aerosol concentrations.

A strategy of highly regular balloon launches has been set up using the LOAC instrument in particular to investigate the short-term variations of the vertical profile of the tropospheric and stratospheric aerosols using weather balloons in the framework of VOLTAIRE (VOLatils-Terre, Atmosphère et Interactions-Ressources et Environnement) since December 2013. Balloon-borne LOACs are launched regularly (twice per month) at northern mid-latitudes and occasionally at other latitudes. In our future research, this analysis will be extended to a substantial data set to investigate the occurrence of GW effects on LOAC vertical profiles of stratospheric aerosol concentrations. These preliminary results do not exclude a possible threshold on GW amplitudes to trigger the GW–aerosol relation. In the future, mesoscale modelling coupled with an analytical stratospheric aerosol model will enable us to better describe the wave source mechanisms and to quantify the efficiency of such adiabatic reversible transient events to shape the stratospheric aerosol background.

## 7 Data availability

The ChArMEx data set is available at <http://mistrals.sedoo.fr/ChArMEx/>. Global positioning system radio occultation (GPS–RO) measurements are obtained from CDAAC (COSMIC Data Analysis and Archive Center): <http://cdaac-www.cosmic.ucar.edu>.

**Acknowledgements.** The LOAC project was funded by the French National Research Agency's ANR ECOTECH. The LOAC and the gondola were built by the Environnement-SA and Meteo Modem companies. The balloon flights of the ChArMEx campaign were funded and performed by the French Space Agency CNES. The ozone and LOAC sondes used in the campaign were funded with the support of CNES, ADEME, and INSU-CNRS in the framework of the MISTRALS Programme. This scientific work was financially supported by the French Labex VOLTAIRE (Laboratoire d'Excellence ANR-10-LABX-100-01) and the French project, StraDyVariUS ANR-13-BS06-0011-01. The satellite images were provided by Météo-France/Centre de Météorologie Spatiale.



Dr Stephen D. Eckermann provided the GROGRAT software. The model was run on the supercomputer of the University of La Réunion. The authors thank Brice Barret for leading the TOSCA-IASI project, which has supported the balloon soundings in Minorca and the staff from Laboratoire d'Aérodynamique (Toulouse) for associated operational activities. They also thank the editor and the anonymous reviewers for their helpful comments on our manuscript.

Edited by: W. Lahoz

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