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Modelling secondary microseismic noise by normal mode summation

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SUMMARY
Secondary microseisms recorded by seismic stations are generated in the ocean by the interaction of ocean gravity waves. We present here the theory for modelling secondary microseismic noise by normal mode summation. We show that the noise sources can be modelled by vertical forces and how to derive them from a realistic ocean wave model. We then show how to compute bathymetry excitation effect in a realistic earth model by using normal modes and a comparison with Longuet–Higgins approach. The strongest excitation areas in the oceans depends on the bathymetry and period and are different for each seismic mode. Seismic noise is then modelled by normal mode summation considering varying bathometry. We derive an attenuation model that enables to fit well the vertical component spectra whatever the station location. We show that the fundamental mode of Rayleigh waves is the dominant signal in seismic noise. There is a discrepancy between real and synthetic spectra on the horizontal components that enables to estimate the amount of Love waves for which a different source mechanism is needed. Finally, we investigate noise generated in all the oceans around Africa and show that most of noise recorded in Algeria (TAM station) is generated in the Northern Atlantic and that there is a seasonal variability of the contribution of each ocean and sea.

Key words: Surface waves and free oscillations; Seismic attenuation; Theoretical seismology; Wave propagation.

1 INTRODUCTION
Microseisms are the continuous oscillation of the ground recorded everywhere in the world independently from earthquake activity in the period band between 4 and 20 s (e.g. Webb 1998; Stutzmann et al. 2000; Berger et al. 2004). This seismic background noise results from the non-linear interaction between the atmosphere, the ocean and the solid Earth. The source is the atmospheric wind which forces the ocean gravity waves generation (Miche 1944; Longuet-Higgins 1950; Hasselmann 1963). Seismic noise spectra display two main peaks at 14 and 7 s, which denote what are called respectively primary and secondary microseisms. The primary microseismic peak is the smaller amplitude hump with periods between 10 and 20 s. It is generated near the coast when ocean waves reach shallow water and interact with shallow seafloor (Hasselmann 1963). Both Rayleigh and Love waves are present in significant quantities (Nishida et al. 2008; Fukao et al. 2010). The secondary microseismic peak is the strongest noise peak with periods between 4 and 10 s. It is generated by the interaction of ocean gravity waves having similar periods and travelling in opposite directions. When two ocean waves meet each other, at first-order approximation, the resulting displacement decays exponentially with depth. Longuet-Higgins (1950) showed that the microseisms are generated by pressure fluctuations which can be computed considering the second-order term of the ocean wave interaction. Secondary microseisms are dominantly Rayleigh waves. Longuet–Higgins computed the excitation of Rayleigh waves at the ocean bottom as a function of frequency, bathymetry and $S$-wave velocity in the crust. Hasselmann (1963) extended the theory to a random ocean wavefield and showed that the matching between ocean waves and seismic waves takes place only when the interaction occurs between two ocean waves with nearly opposite directions and nearly similar frequencies.

The sea state that generates seismic noise can be classified in three classes (Longuet-Higgins 1950; Ardhuin et al. 2011, 2012). The first class occurs when a storm has a wide angular distribution, with ocean waves coming from many different azimuths. The first class dominates at frequencies from 0.5 to 2 Hz due to the wide angular distribution of the short waves generated by a constant and steady wind, and it may still be significant at somewhat lower frequencies. In that case, the interacting waves are within the storm.
Modelling seismic noise by normal mode summation

2 THEORY

2.1 Deriving force field from the ocean wave model

Seismic noise is generated by the interaction of ocean waves of similar frequencies and nearly opposite directions. Hasselmann (1963) showed how to compute the corresponding pressure field. We use it to derive the analytical expression of the equivalent vertical force field at the ocean surface. The spectral density of the equivalent pressure at the surface of the ocean can be written (Ardhuin et al. 2011; Stutzmann et al. 2012),

\[ F_p(K \simeq 0, f_2 = 2 f) = \rho_w g^2 f_2 E(f) \times \int_0^\pi M(f, \theta) M(f, \theta + \pi) d\theta \]  

where \( \rho_w \) is the water density, \( g \) is the gravity acceleration, \( f_2 \) is the pressure field frequency and \( K \) is the sum of the wavenumbers of the two opposite ocean waves. \( E(f) \) is the surface elevation variance of two wave trains and \( M(f, \theta) \) is the non-dimensional ocean wave energy distribution as a function of ocean wave frequency \( f \) and azimuth \( \theta \). The unit of the surface elevation variance \( E(f) \) is \( m^2/Hz \), whereas the spectral density \( F_p \) is in \( m^2/Hz \). The integral in eq. (1) depends only on the azimuthal distribution of the ocean wave energy. To simplify the notation we call this non-dimensional integral \( I(f) \).

For seismic wavenumbers \( K = (K_x, K_y) \) of magnitude much smaller than a typical wavenumber \( k \) of the ocean wave, the pressure power spectrum \( F_p(K, f_2) \) is approximately independent of \( K \). Thus, in the limit \( K \ll k \), the surface pressure field for a frequency interval \( df_2 \) and over an area \( dA = R^2 \sin(\phi') d\phi' \) where \( R \) is the radius of the Earth, \( \phi' \) is the colatitude and \( \phi' \) is the longitude — has the same power spectrum as the one caused by a single localized force with a root mean square value

\[ F_{\text{rms}}(f_2, dA, d_f_2) = 2\pi \sqrt{F_p(K \simeq 0, f_2) dA d_f_2} \]  

as given by Hasselmann (1963, after eq. 1.17). This equality of the two spectra, the one given by eq. (1) and the one associated to a point force in the middle of a square having length side \( L \) and area \( dA = L^2 \) can be seen by taking a finite pressure distribution over a small square of area \( 4a^2 \), that is, \( p(x, y) = p_0 \) for \( |x| < a \) and \( |y| < a \) and \( p(x, t) = 0 \) otherwise. If the small square has length side \( a < L/2 \), the single-sided power spectrum evaluated over the full square is

\[ F_{p,a,L}(K, f_2) = 2p_0^2 \sin^2(K_x a) \sin^2(K_y a) \frac{4a^2}{2\pi L} \]  

with a value \( 2p_0^2(4a^2/(2\pi L))^2 \) for \( K \simeq 0 \). Thus, the same spectral density as eq. (1) at \( K \simeq 0 \) is obtained by setting \( p_0 = 2\pi L/(4a^2) \). Since the force is \( 4a^2 \rho_0 \), it is independent of \( a \) and we find eq. (2), which only applies to finite values of \( K \) in the limit when \( a \) goes to zero, which corresponds to a point force. The same is true for any spatial distribution, for example, taking a Gaussian pressure distribution instead of a constant.

Similarly in the time dimension, the random wave-induced pressure is equivalent to a temporal variation of the force \( F \) that will have the same frequency power spectrum as given by eq. (1). In practice, our model is formulated in time domain. We obtain a time-series of the force that has the required power spectrum by summing different frequencies for which we specify an amplitude and a phase. The phase is drawn randomly between 0 and \( 2\pi \) and the amplitude is normalized to be

\[ F(f_2) = \sqrt{2} F_{\text{rms}}(f_2, dA, d_f_2) \]  

so that the variance of the force is indeed \( F_{\text{rms}}^2(f_2, dA, d_f_2), \) with a power spectrum that will thus equal the wave-induced pressure power spectrum given by eq. (1).

To compute the force amplitude we need the spectral density of the equivalent pressure (eq. 1) just below the ocean surface everywhere in the ocean. We use the ocean wave model of Ardhuin et al. (2011). The global ocean wave model has a constant resolution.
of 0.5° both in latitude and in longitude. At each gridpoint, the ocean state is described by 24 azimuths and 16 frequencies spaced exponentially between 0.04 Hz ($T = 24.4$ s) and 0.17 Hz ($T = 5.8$ s). One key point of this model is that it is the only model to date which takes into account coastal reflection of ocean waves. We compute the spectral density with and without coastal reflections for performing a linear combination of the two resulting models to obtain the equivalent pressure maps corresponding to a given coastal reflection coefficient. We use in our modelling the empirical reflection coefficients determined by Stutzmann et al. (2012) which are different for different regions.

For a given area, we convert the equivalent pressure maps into vertical forces located just below the ocean surface. The discretization in point sources corresponds to dividing a storm area into squares and considering a force concentrated in a point at the centre of each square. All the oceans are discretized on a grid with a step of 50 km, as shown in Fig. 1. We choose this grid step as a good compromise between solution accuracy and calculation time. We tested that a thinner step does not produce any further constructive interference, meaning that the solution has already reached the convergence. At each gridpoint, the source corresponds to a vertical force $F_{rms}(f, dA, df)$ with a random phase for each frequency step. These sources are then used for computing synthetic seismograms by normal mode summation.

2.2 Normal mode computation

Following Gilbert (1970) and Gilbert & Dziewonski (1975) we calculate the impulse response of a point source and write the seismic displacement in a spherically symmetric, non-rotating, elastic and isotropic (SNREI) earth model as sum of normal modes

$$s(x, t) = \sum_k a_k(t)u_k(r),$$

where $r$ is the radial coordinate, $u_k(r)$ is a normalized eigenfunction of the earth model and $a_k(t)$ is the excitation function of mode $k$. $k$ encapsulates the notations $(q, n, l, m)$, where $q$ can only take two values, one for spheroidal and the other one for toroidal modes, $n$ is the radial order, $l$ is the angular order and $m$ the azimuthal one. Because of the spherical symmetry of the reference model, eigenfunctions do not depend on the azimuthal order $m$. We consider an instantaneous point force $f(r, t) = f(r)g(t)$, with

$$g(t) = \delta(t)$$

and

$$f(r) = F\delta(r - r_0),$$

where $r_0$ is the source position. In this case, eq. (5) can be written as

$$s(x, t) = \sum_k \int_{-\infty}^{t} \sin \omega_k t \frac{\partial}{\partial o_k} \left[ \int_{\mathbb{R}^3} u_k^*(r) \cdot f(r) \, dV \right],$$

where $u_k^*(r)$ is the complex conjugate of $u_k(r)$.

The Green’s functions are obtained from the previous equation setting $F = 1$. Then, during the normal mode summation we compute the spatial convolution with the force $f(r)$. We show the analytical expression of the synthetic seismogram computation considering a single forces in Appendix A. The formulation in the appendix can be used to compute synthetic seismograms for forces in any direction. Here we only consider vertical forces.

In practice, summation over $k$ cannot be computed numerically without truncations. Synthetic seismograms are computed only up to a given frequency, which enables to define a maximum angular order $l_{max}$ up to which the sum over $l$ has to be calculated. In that case, the temporal part of eq. (8) is rewritten for allowing sum truncation as in Capdeville (2005). The total displacement is the sum of the synthetic displacement generated by each point source.
The temporal part of each source (eq. 6) is a random signal having variance equal to 1.

The 3-D heterogeneities in the Earth generate focusing and defocusing effects of seismic waves. These 3-D effects cannot be accounted for with the normal mode summation method used on this paper. Although these effects have an important influence on waveforms (e.g. Tape et al. 2009, 2010; Fichtner et al. 2012), considering seismic energy (in dB) with a rough accuracy as it is done here, we assume these effects to be small and the error made, because of this approximation, does not affect our conclusions.

3 NOISE SOURCES EXCITATION

Longuet-Higgins (1950, 1952) first showed that microseismic energy depends on the ocean wave state and on the bathymetry. Bathymetry produces an excitation effect which is frequency dependent. The excitation factor has been calculated analytically by Longuet-Higgins (1950) considering a flat two layers medium as a function of

$$x = \omega h / \beta,$$

where \( \omega = 2\pi f \) is the angular frequency of the ocean pressure field at the source, \( h \) is the ocean depth and \( \beta \) is the S-wave velocity. This particular combination of frequency and depth in eq. (9) is a common way for describing a standing wave system and looking for its nodes. Longuet-Higgins coefficients have been used to analyse the effect of the bathymetry in all previous studies (e.g. Kedar et al. 2008; Ardhuin et al. 2011; Hillers et al. 2012; Stutzmann et al. 2012). Here we compute the effect of bathymetry using normal modes and a more realistic spherically symmetric earth model with varying bathymetry. Comparing Longuet-Higgins’ eq. (183) (p. 28, Longuet-Higgins 1950) and the synthetic seismogram computation of Appendix A in case of a vertical force, we obtain the expression of the excitation coefficient computed by normal modes,

$$c_n = \frac{1}{\omega} \int_0^R \rho(r) U_l^2(r) r^2 dr = 1,$$

where \( \omega \) is the angular frequency of the seismic noise field and \( U_l \) is the scalar eigenfunction for a mode with indices \( (n, l) \). \( r \) and \( r \) are related, respectively, to the receiver and source positions. The division by \( \omega \) produces the alignment of all ocean depth curves and enables to plot them as a unique shape. The eigenfunctions are normalized considering \( \int_0^R \rho(r) U_l^2(r) r^2 dr = 1 \), where \( \rho(r) \) is the density and \( R \) the radius of the Earth.

We compute the excitation coefficients using two different models. The first one is the model used by Longuet-Higgins (1950, p. 29), hereafter called two layers model, and the second model is PREM (Dziewonski & Anderson 1981). We vary the ocean depth from 1 to 10 km, in discrete steps by kilometre, to simulate the bathymetry, reproducing intermediate depths by interpolation. We compute eigenfunctions from 3 to 12 s and we calculate \( c_n \) by using eq. (10) for each depth and frequency. Fig. 2(a) shows the coefficients \( c_n \) as function of \( \omega h / \beta \) for the two layers model and Fig. 2(b) shows the coefficients for PREM. Our normalization is different from that of Longuet–Higgins, but we see on Fig. 2(a) that the shape of the curves is the same. Moreover, the abscissa of the resonant peaks is similar between our computation and Longuet–Higgins’ results, meaning that we reproduce the maximum excitation peaks for the same combinations of frequency and ocean depth. Differences in shape between Longuet–Higgins and our excitation coefficients are due to the fact that he considered a flat earth model whereas we perform the computation in a spherical earth model.

Each curve in Figs 2(a) and (b) is related to a given spheroidal mode. The first coefficient \( (n = 0) \) represents the excitation of the fundamental mode of Rayleigh waves, whereas the others coefficients \( (n = 1, n = 2 \) and so on) are related to the overtones. Our choice of colour scale enables for the first time to understand which modes are excited at any ocean depth. The fundamental mode becomes more and more important for thin ocean layers, whereas for deep oceans, the overtones contribute more to the seismic noise signal.

The curves for PREM (Fig. 2b) have a similar shape with respect to the two layers model ones, with some exceptions. First of all, the different ocean depth curves do not align with each other. This is due to the complexity of the stratified PREM model with respect to a simple ocean layer above an half-space. The first maximum of each curve has an amplitude comparable to the two layers case. Moreover, the amplitude of the first five modes are similar to the two layers case, but this is not the case for the higher overtones. In normal mode language, high-order overtones are related to body waves, meaning that their summation corresponds to the body wave packet. The sum of all modes, computed for PREM earth model, enables to compute the entire synthetic seismogram, including Rayleigh wave fundamental, higher modes and body waves.
To determine the regions that excite most the surface waves, Fig. 3 shows the excitation coefficients (eq. 10) of the fundamental mode of Rayleigh waves for the two layers model (top row) and the PREM (second row), respectively. These maps are computed for two fixed periods, 6 s (left column) and 10 s (right column) using the same colour scale for a given period. These maps can be compared to those presented by Stutzmann et al. (2012) using Longuet–Higgins coefficients. Similarly to their results, we observe that, for a period of 6 s, the most excited regions are in the vicinity of the ridges or at a few hundred kilometres from the coast. Instead, for a period of 10 s, the highest excited area covers most of the oceans, also further away with respect to the ridges. The differences in amplitude between our maps and those by Stutzmann et al. (2012) are due to a different normalization.
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Figure 4. (a) Excitation coefficients due to the bathymetry computed in PREM model as a function of $\omega h/\beta$. $\omega$ is the angular frequency, $h$ the ocean depth and $\beta = 2800 \text{ m s}^{-1}$ is the $S$-wave velocity in the crust. We compute them by using eq. (10) and considering eigenfunctions of the oceanic model multiplied by eigenfunctions of the continental model corresponding to the same frequency. In grey, it is shown the case of Fig. 3 with both the eigenfunctions computed in the oceanic model. (b) Maps of the excitation coefficients at 6 and 10 s of period considering oceanic and continental model for computing the eigenfunctions. The comparison between the excitation coefficient calculated in the two layers model and in PREM (Fig. 3, first two rows) underlines the fact that the excitation depends not only on bathymetry but also on the seismic structure below the seafloor. The maxima are at the same locations for the two models but the amplitudes are in general smaller for PREM. The difference between the excitation coefficients calculated in the two layers model and in PREM model (Fig. 3, third row) shows that, for a period of 6 s, the noise sources are more excited in the two layers model with respect to PREM everywhere and particularly on both sides of the ridges. For a period of 10 s, sources in most of the ocean area are also overexcited in the two layers case, except for regions around ridges where sources are underexcited.

The normal mode theory used here assumes a spherically symmetric earth model between source and receiver. When this model has a water layer (like PREM model), the station depth is set at the ocean bottom. Therefore, 3-D Earth feature effects on wave propagation, such as ocean–continent transition, can not be accounted for. To estimate this error and check if it does not significantly affect our conclusions, we compute the excitation coefficients using eq. (10) with eigenfunctions of the oceanic model multiplied by eigenfunctions of the continental model corresponding to the same frequency.

The excitation coefficients of the Rayleigh waves fundamental mode (yellow curve) are broader and higher (Tanimoto 2012) than in our previous modelling (in grey for comparison). We also observe that the overtones (green and blue lines) have much smaller amplitude.

Because we model the amplitude of noise spectra in $d\delta$, the difference of the excitation coefficients of the fundamental mode between the two cases is not so strong and it does not affect our final results.

For comparing the spatial sources distribution, Fig. 4(b) shows two maps of the excitation coefficients at 6 and 10 s of period for the fundamental mode computed by using a model without ocean
for one of the eigenfunctions and with ocean for the other. Making a comparison with the second line maps in Fig. 3, we observe the same location of maxima amplitude for 6 s and a different location for 10 s of period.

However, the use of different models for the source and receiver eigenfunction—respectively with and without ocean layer—produces, when we perform normal mode summation, an error linked with the different discretization of the angular order domain. This error increases for short period, where the normal modes catalogue becomes dense.

4 SEISMIC NOISE MODELLING

4.1 Group velocity and attenuation

There is no global attenuation model that is accurate in the period band 3–15 s. Therefore, we started with the QL6 model (Durek and Ekström 1996), valid for long periods (150 < T < 300 s), and we modified it in the upper 100 km by decreasing Q. In this way we find out an apparent attenuation model that enables a good fit between data amplitude and synthetic spectra. For depths below 100 km, Ql, and Qk remain the same as QL6. Decreasing Q, we maintain the ratio between Ql, and Qk with respect to QL6. Fig. 5 shows Ql, in QL6 (in black) and in our model (in red) for crustal and mantle depths, shallower than 100 km. We note a difference of about 90 per cent between these two models. We calibrate our apparent attenuation model for station SSB and we observe that it is valid also to reproduce data of other stations located in other environments.

In Fig. 6, we show group velocity U and attenuation factor ql for the fundamental mode of Rayleigh waves calculated with normal modes for periods from 4 to 10 s (Takeuchi & Saito 1972) considering PREM and varying bathymetry. ql corresponds to the inverse of the complex part of the eigenfrequencies ωl. We observe in Fig. 6(a) that the attenuation of Rayleigh waves within the Earth increases with decreasing period, as already shown by Pierson & Moskowitz (1963), McCreery et al. (1993) and Webb (1998). We further show that ql is increasing with increasing water depth for the fundamental mode (n = 0). The range of ql values presented in Fig. 6 are consistent with those obtained by Stutzmann et al. (2012) and by Prieto et al. (2011) at a local scale.

In Fig. 6(b) we plot the fundamental mode group velocity as a function of period and water depth for PREM. For a water depth of 1 km, group velocity is about 2.5 km s⁻¹. For a water depth of 2 km, we observe a strong variation of group velocity between 1.1 km s⁻¹ for a period of 4 s and 2.4 km s⁻¹ for a period of 10 s. For larger water depth, group velocities are all between 1.2 to 1.4 km s⁻¹ in the entire period range. The varying group velocity as a function of the bathymetry and period is related to the link between the Rayleigh wave wavelength and the thickness of the water layer.

4.2 Vertical component of noise spectra

We compute synthetic noise power spectra using normal mode summation as described in Appendix A. A vertical force Fz is calculated for each gridpoint on the ocean surface. Synthetic spectra are computed using the ocean wave hindcasts of Ardhuin et al. (2011) and the coastal reflection coefficients determined by Stutzmann et al. (2012).

Fig. 7 displays real and synthetic spectra in dB with respect to the acceleration as function of period for 3 hr of observations at station SSB (France, Geoscope network). The synthetic spectrum is computed for the sum of the first 100 modes (crosses over a black thin line) and for each mode separately. The total synthetic spectrum (100 modes) reproduces well the real spectrum. The comparison between the different modes shows that the fundamental mode is the most energetic in the entire period band and that the synthetic spectrum amplitude decreases with increasing the overtone order. Moreover, the difference between them becomes smaller with increasing the overtone order: we observe a difference of about 40 dB at 8 s between the fundamental mode (yellow line) and the first overtone.
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4.3 Horizontal components of noise spectra

A vertical point force applied on a locally flat seafloor does not generate Love waves. Rayleigh waves instead show energy on the vertical and the two horizontal components of the receiver. As we consider here only vertical forces, we model only Rayleigh waves and body waves on the three components. Then, the discrepancy between real and synthetic spectra on the horizontal components can be used to estimate the amount of Love waves present in the noise. Fig. 9 shows noise spectra for the East (a) and North (b) components of the Algerian station TAM. Noise spectra are averaged over the year 2010. We observe a discrepancy between the synthetic and real spectra which is varying with frequency and is about 10 dB at 7 s of period.

Nishida et al. (2008) showed evidence of Love waves in seismic noise spectra also in the secondary microseismic period band. Saito (2010) and Fukao et al. (2010) developed a theory of Love-wave generation based on the fact that a vertical force applied on a bathymetric shape with a non-null gradient can be separated into a horizontal and a vertical component. The horizontal component then excites Love waves and the vertical generates Rayleigh waves.

We make the hypotheses that the discrepancy between real and synthetic spectra is dominantly due to the presence of Love waves in recorded signal. Nishida et al. (2008), in their Fig. 2(c), calculated the kinetic energy ratio between Rayleigh and Love. Considering only periods between 4 and 10 s, this ratio takes value between 0.5 and 0.7, meaning that Love waves have a kinetic energy varying between 50 and 70 per cent with respect to Rayleigh wave kinetic energy. Considering, for example, a period of 7 s, we can write a relation between the square velocities: $v_L^2 \sim 0.65 v_R^2$. Adding to the energy of Rayleigh waves (black line in Fig. 9) the energy of Love waves calculated from this ratio, we obtain analytically $PSE = -134.89$ dB for a period of 7 s, which is approximately the amplitude of the real spectra in Fig. 9 (blue dashed line). Doing this simple analytical computation, we find a proportion of Love waves energy in agreement with Nishida et al. (2008). Modelling Love waves is beyond the scope of this paper but it can easily be done with normal mode theory as shown in Appendix A, considering additional horizontal forces or the components factorization of vertical forces when they are applied on a bathymetry having a non-null gradient.

4.4 Seasonal variations

Fig. 10 shows background noise seasonal variations for seismic station TAM. Schimmel et al. (2011a,b) and Stutzmann et al. (2012) analysed noise polarization and concluded that this station records noise coming from all surrounding oceans: Atlantic, Indian and Mediterranean Sea. Here, we quantitatively investigate the contribution on noise spectrum amplitude of noise sources located in each ocean. We divide the Atlantic Ocean in two parts, at the Equator, to show their influence separately. We calculate average synthetic spectra for each month of year 2010. In Fig. 10 we show four cases: 2010 January, April, July and December. With blue dashed line we represent data and with crosses over a thin black line our synthetic spectra which is varying with frequency and is about 10 dB at 7 s of period.

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Figure 8. (a) Map with used seismic stations. (b) Vertical component noise spectra in $\text{dB}$ for SSB (France). (c) Vertical component noise spectra in $\text{dB}$ for TAM (Algeria). (d) Vertical component noise spectra in $\text{dB}$ for CAN (Australia). All seismic spectra are averaged over the year 2010. Data are shown in blue and the corresponding synthetic spectra in black. The period range of interest is between 4 and 10 s. Synthetic spectra are computed with the IOWAGA ocean wave model using the same attenuation profiles as function of ocean depth and frequency. Dashed black lines represent respectively the low-noise model (LNM) and the high-noise model (HNW) spectra (Peterson 1993).

Figure 9. Horizontal components of noise seismic spectra in $\text{dB}$ for station TAM. Panel (a) shows the East component and panel (b) the North component. The difference between theoretical and data spectra is ascribed to the unmodelled Love wave energy inherent to our computation where we use vertical point forces and a locally flat seafloor. Under this assumption, the estimated Rayleigh wave to Love wave energy ratio is consistent with Nishida et al. (2008). Dashed black lines represent respectively the low-noise model (LNM) and the high-noise model (HNW) spectra (Peterson 1993).
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Figure 10. Seasonal variations of vertical component of seismic noise spectra for station TAM. Shown are the real (blue dashed line) and synthetic (crosses over a thin black line) data spectra for (a) January, (b) April, (c) July and (d) December 2010. Coloured lines mark the contribution due to different portions of the ocean (map above). We observe that, for station TAM, the Northern Atlantic Ocean produces the main contribution in terms of noise source amplitude almost all the year. Interesting is the increasing noise level in December due to the Mediterranean Sea at short periods. Dashed black lines represent respectively the low-noise model (LNM) and the high-noise model (HNM) spectra (Peterson 1993).

Ocean and Indian Ocean, whereas at long periods the corresponding spectrum is 40 dB smaller.

In April 2010 (Fig. 10b), the spectrum corresponding to the Northern Atlantic Ocean activity dominates and fits well the observed average spectrum. The spectrum generated by the Mediterranean Sea sources has almost the same shape as in 2012 January with a significant contribution only for short periods. The spectrum generated by sources in the Indian Ocean is $\sim 10$ dB smaller than the real spectrum, and that generated by South Atlantic sources is $\sim 15$ dB smaller than the real spectrum.

During summer (Fig. 10c), we observe a different pattern. Northern Atlantic Ocean remains the strongest source area, but only for periods below 6 s. At longer period, the energy amount coming from the Southern Atlantic Ocean, the Indian Ocean and the North Atlantic similarly contribute to the total noise spectrum. This is due to the fact that during the boreal summer the strongest seismic noise sources are located on the southern hemisphere (e.g. Stutzmann et al. 2012). Southern hemisphere sources are stronger but they are further away that the sources in the North Atlantic Ocean and therefore, they all contribute to the total spectrum. Mediterranean Sea
contribution is small and the corresponding spectrum is between \( \sim 10 \text{ dB} \) and \( \sim 20 \text{ dB} \) below the real spectrum.

Finally, in December (Fig. 10d), the Northern Atlantic Ocean sources give again a dominant contribution to the spectra as expected during the northern hemisphere winter. Indian Ocean and Southern Atlantic Ocean contributions are again smaller with a bigger contribution of Indian Ocean of about \( 10 \text{ dB} \) for most frequencies. The interesting feature of this month is related to the Mediterranean Sea. The corresponding spectrum for period shorter than 8 s becomes \( 20 \text{ dB} \) larger than the other months and larger than the spectra corresponding to the Southern Atlantic Ocean and Indian Ocean by up to \( 10 \text{ dB} \). This is due to a strong storm activity in the Mediterranean sea. Fig. 11 shows, for a period of \( \sim 4 \text{ s} \), the corresponding source area that is located near Turkey and Egypt. We have plotted here the vertical force derived from the ocean wave model as average over 2012 December.

5 CONCLUSIONS

In this paper, we present the theory for modelling the secondary microseisms by normal mode summation. We show that the noise sources can be modelled by vertical forces and how to derive them from a realistic ocean wave model that takes into account coastal reflection. We discretize the oceans and we sum up the contribution of all sources. Bathymetry is an important parameter because it modulates the excitation of the seismic waves. We show how to compute bathymetry excitation effects in a realistic earth model using normal modes and we compare our results with Longuet-Higgins (1950) computation for a two layers flat model. We show that, compared to our more realistic case, the two layers model over predicts the fundamental mode excitation at 6 s of period and that at longer periods, the two layers model either underpredicts or underpredicts the excitation depending on the area. We also show that strongest excitation areas depend not only on the bathymetry and period, but also on the seismic mode.

Seismic noise is then modelled by normal mode summation considering varying bathymetry. We derive an attenuation model than enables to fit well the vertical component spectra whatever the station location. We select three stations in three different continents and we show the good agreement between data and synthetic spectra, both in amplitude and in shape, reproducing all the frequency content of the secondary microseismic noise peak. We show that the fundamental mode of Rayleigh wave is the dominant signal in seismic noise.

We present the first modelling of noise on the horizontal components. We consider noise sources as vertical forces and a vertical point force applied on a locally flat seafloor does not generate Love waves but they generate Rayleigh and body waves on the vertical and the two horizontal components. We use the discrepancy between the real and synthetic spectra of the horizontal components to estimate the amount of missing Love waves in our synthetic spectra. Our estimation is in agreement with Nishida et al. (2008). Modelling Love waves is beyond the scope of this paper but it will be done in the future with the normal mode theory of Appendix A, considering additional horizontal forces or the components factorization of vertical forces related to the topography of the bathymetry.

We quantify the influence of noise sources in the different oceans recorded by the station TAM in Algeria. We confirm that this station records noise sources from all surrounding oceans and we study separately the contributions of the different portions of the ocean. We show that the Northern Atlantic Ocean remains all the time the main noise sources area. Nevertheless, we show that the Mediterranean Sea also can contribute significantly to the short period noise in winter.

The modelling as presented here can be directly used to investigate in details the Rayleigh wave and body waves generation. The formalism presented in the Appendix can be used to investigate the generation of Love waves in relation with the topography of the ocean bottom. Finally, this formalism is well adapted for modelling the hum considering appropriate sources.

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REFERENCES


**APPENDIX A: NORMAL MODE SUMMATION THEORY USING A SINGLE FORCE**

In the following, we derive the elastic displacement field through normal mode summation for a spherical, symmetric, non-rotating, perfectly elastic and isotropic (SNREI) earth model. We express the elastic displacement field by normal modes summation. Because of the spherical symmetry, the eigenmodes are described by only three quantum numbers, n, l and q. n is the radial order, l is the angular order and q represents the type of mode, which can be spherical or toroidal. The eigenfunctions and the associated eigenfrequencies are indicated as \( \omega_n \) and \( u_q \), where \( k \) encapsulates all the quantum numbers. Following Gilbert (1970) and Aki & Richar (2002) the displacement calculated at a certain time \( t \) and at a fixed point \( x = (r \sin \theta \cos \phi, r \sin \theta \sin \phi, r \cos \theta) \) can be expressed as,

\[
s(r,t) = \sum_k \left( \int_{V_k} dV \right) \mathbf{u}_k(t) g(t)
\]

where \( g(t) \) is the inverse Laplace–Fourier transform of,

\[
g(t) = \int \frac{f(s)}{s^2 - \omega_k^2} ds
\]
which becomes \( g(t) = \frac{\sin(\alpha t)}{4} H(t - t_0) \) if we consider a point force in time \( f(t) = \delta(t - t_0) \).

Moreover, if we consider a point force also in space at source coordinates \( r_0 = (r_x, \theta_x, \phi_x) \), then the force can be written as,

\[
f(r) = F(\mathbf{r} - r_0) \tag{A3}
\]

Knowing the displacement \( A_1 \) and the source \( A_3 \), a general expression of a synthetic seismogram can be written introducing a so-called ‘instrumental vector’ \( \mathbf{v} \), which is a unitary displacement vector in the direction of motion (Woodhouse & Giriunis 1982),

\[
\mathbf{v} \cdot \mathbf{s}(\mathbf{r}, t) = \sum_i \left( \int_{V_\mathbf{E}} u_i^* \cdot f(r) \, dV \right) \mathbf{v} \cdot u_i \frac{\sin(\alpha i t)}{\alpha k} H(t) \tag{A4}
\]

\[
= \sum_i \mathbf{R}_i(r_x, \theta_x, \phi_x) \mathbf{S}_i(r_x, \theta_x, \phi_x) \exp(i \alpha i t) \tag{A5}
\]

where \( r_0 = (r_x, \theta_x, \phi_x) \) is the receiver position, \( \mathbf{R}_i(r_x, \theta_x, \phi_x) \) is the receiver term and \( \mathbf{S}_i(r_x, \theta_x, \phi_x) \) the source term.

### Table A1. Source and receiver coefficients using a point force source.

\[
\begin{array}{ccc}
N & S_{2N}(r_x) & R_{2N}(r_x) \\
\hline
\text{Spheroidal modes} & & \\
-1 & \gamma_1 \alpha_0^0(F_0 + i F_\phi) \psi_J & \gamma_1 \alpha_0^0(\psi_{1\psi} - i \psi_{1\phi}) \psi_J \\
0 & \gamma_1 \alpha_0^0(F_\psi \psi_u) & \gamma_1 \alpha_0^0(\psi_{1\psi} \psi_u) \\
+1 & \gamma_1 \alpha_0^0(-F_\phi + i F_\psi) \psi_J & \gamma_1 \alpha_0^0(-\psi_{1\psi} - i \psi_{1\phi}) \psi_J \\
\text{Toroidal modes} & & \\
-1 & \gamma_1 \alpha_0^0(-F_\phi + i F_\psi) \psi_J & \gamma_1 \alpha_0^0(-\psi_{1\psi} - i \psi_{1\phi}) \psi_J \\
0 & 0 & 0 \\
+1 & \gamma_1 \alpha_0^0(F_\phi + i F_\psi) \psi_J & \gamma_1 \alpha_0^0(\psi_{1\psi} + i \psi_{1\phi}) \psi_J \\
\end{array}
\]

Comparing equations (A4) and (A5) we are able to define the source and the receiver term in case of a single force,

\[
S_i(r_x, \theta_x, \phi_x) = F \cdot u_i^*(r_0) \tag{A6}
\]

\[
R_i(r_x, \theta_x, \phi_x) = \mathbf{v} \cdot u_i(r_x) \tag{A7}
\]

For the analytical derivation, it is useful to expand a certain linear combination of spheroidal components of a tensor in terms of generalized spherical harmonics instead to expand the spheroidal components of a tensor directly in spherical harmonics (Phinney & Burridge 1973).

Then, a general vector can be transformed in canonical coordinates (Burridge 1973).

\[
\mathbf{C} = \begin{pmatrix}
1/\sqrt{2} & 0 & -1/\sqrt{2} \\
-i/\sqrt{2} & 0 & 0 \\
0 & 1 & 0
\end{pmatrix}
\]

The force system, the source and receiver terms can be written in canonical coordinates as well,

\[
F^-= \frac{1}{\sqrt{2}}(F_0 + i F_\phi)
\]

\[
F^0 = F_x
\]

\[
F^+ = \frac{1}{\sqrt{2}}(-F_0 + i F_\phi)
\]

\[
S_i(r_0) = F^+ u^+ + F^0 u^0 + F^- u^-
\]

\[
R_i(r_0) = -u^+ v^- + u^0 v^0 - u^- v^+
\]

Following the approach of Phinney & Burridge (1973), that is using the canonical coordinates, we are now able to rewrite the com-

![Figure A1](http://gji.oxfordjournals.org/ at INIST-CNRS on November 2, 2016)

**Figure A1.** Eigenfunctions of Rayleigh waves fundamental mode for a period of 6 and 10 s computed by using PREM model in which we set 10 different ocean depths. The horizontal lines represent the ocean seafloor of each model and their colours are linked with the colour of the respective eigenfunctions. We observe that the energy is not confined within the ocean layer.
ponents of the displacement $u$ introducing the generalized spherical harmonics $Y_{N_k}^k(\theta, \phi)$, with $N = (+, 0, -)$,

$$u^- = \gamma_l \omega_0^l (V_k - i W_k) Y_{N_k}^k$$

$$u^0 = \gamma U_k Y_{0_k}^0$$

$$u^+ = \gamma_l \omega_0^l (V_k + i W_k) Y_{N_k}^k,$$

where $\omega_0^l = \sqrt{\frac{\nu^l (\nu^l + 1)}{4\pi}}$ and $\gamma_l = \sqrt{\frac{2\nu^l + 1}{4\pi}}$ has been found out by applying the orthogonality relation of spherical harmonics (Phinney & Burridge 1973).

In Table A1, we summarize the source $S_{kN}$ and the receiver term $R_{kN}$, respectively defined by eqs (A9) and (A10), in canonical coordinates for a case of a general point force.

If we consider a purely vertical force, because of the definition of the force itself (A8) in canonical coordinates, the unique non-null component of the source term is

$$S_k(r_\perp, \Theta_\perp, \Phi_\perp) = \gamma_l^l F_v(r_\perp) U_k(r_\perp) Y_{\Theta_\perp}^\theta(\Theta_\perp, \Phi_\perp, \Phi_\perp). \tag{A11}$$

The analytical expression of the vertical component of the synthetic seismogram in case of a vertical force can be easily written from eq. (A5),

$$v \cdot s = u(r, \theta, \phi)$$

$$= \gamma_l^v F_v(r_\perp) v U_k(r_\perp) Y_{\Theta_\perp}^\theta(\Theta_\perp, \Phi_\perp, \Phi_\perp) \exp(i\omega_k t). \tag{A12}$$

Due to the vertical point force and the SNREI model only Rayleigh waves, $P$ and $SV$, are excited and recorded at any station.

In Fig. A1 we show the eigenfunctions $U$ of the Rayleigh waves fundamental mode as a function of depth for two periods, 6 and 10 s. We compute them by using PREM model in which we change the thickness of the ocean layer. Horizontal lines represent each ocean seafloor. We use the same colour for the eigenfunction and the model in which they are computed, identified by the colour of the ocean seafloor. We observe that the eigenfunctions of the fundamental mode of Rayleigh waves show a cusps at the seafloor. They are strongly sensitive to the ocean layer (e.g. Yao et al. 2011), but the amplitude is still significant below the water layer, meaning that the energy is not confined within the ocean.