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## Solar flares at submillimeter wavelengths

Säm Krucker · C.G. Giménez de Castro · H.S. Hudson · G. Trottet ·  
T.S. Bastian · A.S. Hales · J. Kašparová · K.-L. Klein · M. Kretzschmar ·  
T. Lüthi · A. Mackinnon · S. Pohjolainen · S.M. White

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**Abstract** We discuss the implications of the first systematic observations of solar flares at submillimeter wavelengths, defined here as observing wavelengths shorter than 3 mm (frequencies higher than 0.1 THz). The events observed thus far show that this wave band requires a new understanding of high-energy processes in solar flares. Several events, including observations from two different observatories, show

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S. Krucker (✉)

Institute of 4D Technologies, School of Engineering, University of Applied Sciences and Arts  
Northwestern Switzerland, 5210 Windisch, Switzerland  
e-mail: [krucker@ssl.berkeley.edu](mailto:krucker@ssl.berkeley.edu)

C.G. Giménez de Castro  
CRAAM, Universidade Presbiteriana Mackenzie, São Paulo, SP 01302-907, Brazil

S. Krucker · H.S. Hudson  
Space Sciences Laboratory, University of California, Berkeley, CA 94720-7450, USA

G. Trottet · K.-L. Klein  
Observatoire de Paris, LESIA, CNRS-UMR 8109, Meudon, France

T.S. Bastian · A.S. Hales  
National Radio Astronomy Observatory, Charlottesville, VA 22903, USA

J. Kašparová  
Astronomical Institute of the Academy of Sciences of the Czech Republic, 25165 Ondřejov, Czech  
Republic

M. Kretzschmar  
LPC2E, UMR 6115 CNRS et Université d'Orléans, Orléans Cedex 2, France

T. Lüthi  
Leica Geosystem AG, Hexagon Metrology, Moenchmattweg 5, 5035 Unterentfelden, Switzerland

A. Mackinnon  
DACE/Department of Physics and Astronomy, University of Glasgow, Glasgow, UK

during the impulsive phase of the flare a spectral component with a positive (increasing) slope at the highest observable frequencies (up to 405 GHz). To emphasize the increasing spectra and the possibility that these events could be even more prominent in the THz range, we term this spectral feature a “THz component”. Here we review the data and methods, and critically assess the observational evidence for such distinct component(s). This evidence is convincing. We also review the several proposed explanations for these feature(s), which have been reported in three distinct flare phases. These data contain important clues to flare development and particle acceleration as a whole, but many of the theoretical issues remain open. We generally have lacked systematic observations in the millimeter-wave to far-infrared range that are needed to complete our picture of these events, and encourage observations with new facilities.

**Keywords** Sun: flares · Sun: radio observations

## 1 Introduction

During a solar flare the Sun can become very bright at microwave frequencies, with fluxes at the Earth exceeding  $10^5$  SFU<sup>1</sup> in the 1–100 GHz band. The emission mechanism for such a microwave burst is well explained by incoherent gyrosynchrotron emission from electrons with a power-law energy distribution moving through magnetic fields of 100–1000 G above active regions (e.g. Bastian et al. 1998). Typically these electrons radiate at moderate harmonics of the electron cyclotron frequency  $\nu_c \approx 2.8 B$  MHz, where  $B$  is the field strength in G, with fluxes declining above a spectral peak in the harmonic range 5–10. Generally the higher frequencies reflect the presence of higher-energy electrons, with the peak emission frequency scaling as  $\gamma^2$  in the relativistic limit. As one moves to higher frequencies above the microwave range, the falling flux of gyrosynchrotron radiation and other flare emissions must compete with the rising flux of the solar disk, which increases with frequency at roughly the Rayleigh–Jeans rate ( $f_\nu \propto \nu^2$ ). Accordingly, the gyrosynchrotron emission from flares becomes harder to detect at the higher frequencies.

The striking discovery that motivates the present review lies in the spectrum observed above 100 GHz, where some events appear to exhibit an unexpected upturn towards the THz domain (Kaufmann et al. 2004). The events having mm-wave spectra with positive slopes (the *THz events*), may have both impulsive and gradual components, but the positive-slope spectra have been well observed thus far in only a handful of the most energetic events (Table 1).

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<sup>1</sup>One solar flux unit (SFU) =  $10^{-19}$  ergs cm<sup>-2</sup> s<sup>-1</sup> Hz<sup>-1</sup> =  $10^{-22}$  W m<sup>-2</sup> Hz<sup>-1</sup>.

S. Pohjolainen  
Department of Physics and Astronomy, Tuorla Observatory, University of Turku, 21500 Piikkiö,  
Finland

S.M. White  
Space Vehicles Directorate, AFRL, Kirtland AFB, Albuquerque, NM 87117, USA

We discuss observations of the “normal” gyrosynchrotron spectrum for reference in Sect. 3.1, and the THz events in Sect. 3.2. Section 3.3 addresses the credibility of these “THz event” observations directly. Surprisingly diverse theoretical ideas for the THz events have already appeared—our discussion in Sect. 4 lists ten distinct mechanisms (see Table 2). The basic free–free and gyrosynchrotron emission mechanisms are forced to extreme parameter ranges by the THz events, and several more exotic and less-well-defined ideas have appeared. This review systematically covers instrumentation, observations, and interpretation. A reader uninterested in full details can get an idea of the observations from Table 1, Fig. 7, and Sect. 3.3, and of the interpretations from Table 2.

## 2 Instrumentation

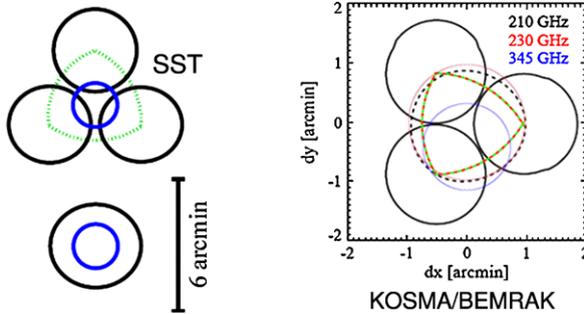
### 2.1 Background

Early observations showed that solar flares could produce powerful mm-wave radio bursts. In spite of various observational difficulties (small dishes, poor atmospheric conditions, simple radiometers etc.), there were several successful results. The flare SOL1969-03-27T13:26<sup>2</sup> was detected both by Croom (1970) at 71 GHz, with a flux density of  $4.8 \times 10^3$  SFU, and by Cogdell (1972) at 95 GHz at a comparable flux density. The SOL1991-06-04 and SOL1991-06-06 events produced 80 GHz fluxes of  $1.4 \times 10^5$  and  $1.6 \times 10^5$  SFU, respectively (in both of these events the 80 GHz flux was higher than the 35 GHz flux, so the peak in the radio spectrum appeared to be above 35 GHz; Ramaty et al. 1994).

In these cases the usual microwave event seemed to extend to unusually high frequencies, with no indication that the mm-wave emission might be distinct from the common cm wave burst. The events reported by Akabane et al. (1973) and Kaufmann et al. (1985) were different. In these cases the flux density was significantly higher at 73 GHz and 90 GHz, respectively, than at lower frequencies. Akabane et al. interpreted their event as thermal in nature, suggesting a source “close to the H $\alpha$  region.” The multiple peaks of the Kaufmann et al. event, which was not even detectable at 7 GHz, suggested a non-thermal origin for the high-frequency component. The flux density profile at 90 GHz resembled the count rate time profile of hard X-rays in the 24–108 keV range, while the microwaves at lower frequencies did not. This is unusual, since burst profiles at cm wavelengths often resemble the hard X-ray profiles (e.g. Kosugi et al. 1988). The brightest peaks at 90 GHz occurred in the early phase of the event, and were hardly discernible at 30 GHz. This event hence seems to be the first, and possibly still the only, example of a solar burst whose microwave emission is restricted to mm (and possibly shorter) waves. The peculiarities of this event and its relationship with the hard X-rays inspired a wide variety of interpretations, including thermal and non-thermal gyrosynchrotron radiation and synchrotron/inverse Compton processes (McClements and Brown 1986; De Jager et al. 1987; Klein 1987).

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<sup>2</sup>We adopt the IAU target identification scheme here: any solar event can be specified as SOLyyyy-mm-dd:Thh:mm:ss in time, and position coordinates can also be added for disambiguation if needed (Leibacher et al. 2010).



**Fig. 1** Beam patterns for SST (*left*) and KOSMA/BEMRAK (*right*). SST has six separate beams on the sky: the four larger beams (*black*) shown are at 212 GHz, with three beams three forming a triangle around the target region and one beam in a reference location  $6'$  away. The two smaller beams are at 405 GHz (*blue*), with a primary beam usually centered on the target location and a reference beam  $6'$  away at the reference location. The *dashed green line* indicates the region within which source location at 212 GHz can be carried out. At KOSMA, the standard 230 and 345 GHz SIS receivers are centered on the target region (345 GHz is offset slightly) while three 210 GHz beams in the BEMRAK receiver form a triangle pattern centered on the target location. The *dark dashed circle* indicates the fourth BEMRAK beam synthesized coherently from the three 210 GHz receivers

At wavelengths around a millimeter and shorter, interferometer observations are difficult and a single-dish approach is far more economical. However, a single-dish telescope with a single receiver has limited mapping ability and is subject to sky transparency fluctuations and noise. Chopping (e.g., Turon and Léna 1970; Hudson 1975) or multiple feeds (e.g., Herrmann et al. 1992) help with these problems and also permit rudimentary imaging or source centroiding. The multi-feed technique was adopted by both the 1.5 meter *Solar Submillimeter-wave Telescope* (SST) at El Leoncito, Argentina (2550 m altitude), and the 3-meter *Kölner Observatorium für Submillimeter und Millimeter Astronomie* (KOSMA) telescope at Gornergrat, Switzerland (3135 m altitude), so far the only telescopes used to detect flares in the 200–400 GHz submillimeter range. In the following sections we discuss the observing techniques utilized by these telescopes and the issue of flux calibration that is critical for understanding the spectra of solar flares at millimeter–submillimeter wavelengths.

## 2.2 The solar submillimeter-wave telescope

SST is a joint Brazil-Argentina project located at high altitude in El Leoncito National Park in Argentina, 100 km north of the city of Mendoza and in a mountain range to the east of, and in the rain shadow of, the high Andes. The 1.5-meter dish is a Cassegrain design inside a GoreTex radome, with the receiver package containing feeds for 212 and 405 GHz (8.5 GHz bandwidth) located at the Cassegrain focus.

The full-width-half-maximum (FWHM) beam size of the 1.5 m dish is about  $240''$  at 212 GHz and  $120''$  at 405 GHz. In order to locate the flare source within the field-of-view and thus to correct measured fluxes for the primary beam response of the telescope, SST has six separate feed horns: four operating at 212 GHz, and two at 405 GHz. The arrangement of the beams on the sky is shown in Fig. 1. Three of the

212 GHz beams are arranged around the observing target in a triangular pattern with spacing equal to the beam FWHM. The fourth 212 GHz beam is used as a reference source and is directed some  $6'$  away from the target location. A single 405 GHz beam is usually centered on the target location, while a 405 GHz reference beam is coincident with the 212 GHz reference beam. Under the assumption that the flare source is compact on the scale of the 212 GHz beam size, the antenna temperatures of the three 212 GHz beams, together with knowledge of the individual beam shapes, can be used to determine the location and flux of the flare source at 212 GHz as a function of time. The correction factor to be applied to the 405 GHz measurements to compensate for its offset from the center of the power pattern of the telescope beams is determined by assuming that the 405 GHz location is the same as the 212 GHz location.

### 2.3 KOSMA/BEMRAK

Unlike SST, the KOSMA telescope was not originally designed for solar work, but rather for submillimeter observations of galactic star-forming regions. Initial observations with KOSMA used the standard dual-channel single-beam cooled SIS receivers operating at 230 and 345 GHz simultaneously (Lüthi et al. 2004b). The 3 m dish has beam sizes of  $120''$  and  $90''$  at 230 and 345 GHz, respectively. However, it was realized that with a single beam it was difficult to identify the true source location, and thus correction for the distance of the source from the pointing center of the dish, necessary to take out the effect of the primary beam response on the apparent source flux, could not reliably be applied.

In order to carry out observations without this limitation, a multi-beam receiver package, the Bernese Multibeam Radiometer for KOSMA (BEMRAK), was constructed (Lüthi et al. 2004a). BEMRAK uses three uncooled 210 GHz Schottky mixers (1 GHz bandwidth), and it and the 230/345 GHz SIS receivers are used simultaneously by placing both at the same Nasmyth port and feeding both using a beam splitter. The arrangement of the beams on the sky is shown in Fig. 1. In addition, BEMRAK “synthesizes” a fourth beam from the other three, centered on the target region. This is achieved by using a single local oscillator to drive the down-conversion mixers in each of the three receivers, ensuring coherency between the signals. The three separate signals are then summed coherently to form the synthesized beam (with the system set up such that the amplitude gains and phase path lengths of the three signals are identical to within suitable limits). The half-power beam width (equivalent to FWHM) of KOSMA at 210 GHz is  $100''$ , while the synthesized fourth beam has an effective half-power beam width of  $125''$  (the broadening of the synthesized beam is due to small phase and amplitude differences between the signals in the three individual beams that affect their coherent sum). With four beams, the size of the source can be determined in addition to the corrected flux and location of the source.

### 2.4 Calibration

There are two main paths to calibration of the single-dish data, and these will be discussed briefly here. The final step is the correction for the offset of the flare source from the center of the power pattern of the primary beam of the antenna, discussed further in Sect. 2.4.3. This requires

- detection of the event in at least three beams;
- knowledge of the relative calibration of each of the receivers used to detect the source (at 212 GHz for SST and 210 GHz for BEMRAK); and
- knowledge of the shape of the primary beam response of the telescope-feed combination for each receiver.

The multi-beam method must determine four unknowns, namely position (latitude and longitude in heliographic coordinates), flux and equivalent source size of the emitting source. Thus, at least four independent observations are needed; these are provided by the temperatures observed by four different beams. This is discussed in detail by Giménez de Castro et al. (1999), who present an analytical method to solve the system assuming identical Gaussian beams. They also show that even in the case of only three independent observations (three beams), an upper bound limit for the source size may be provided. Later, Lüthi et al. (2004a) presented a computational method which takes into account actual beam shapes. If the source is known to be much smaller than the beam size, it can be considered point-like, and then only three unknowns are to be determined (Herrmann et al. 1992; Costa et al. 1995).

Very accurate measurement of the beam shapes for each beam is necessary for good determination of locations. Due to the fact that a source could be in any of the sidelobes of the single-dish primary beam pattern (i.e., the peaks in the response beyond the primary beam of the dish), unambiguous results are limited to source locations lying inside the triangle formed by the centers of the three beams. We stress that this method does not provide images: rather, since it has to rely on just three measured data, it is a forward-fitting procedure in which we assume a Gaussian-profile source and the fitted positions are the *centroids* of flux-averaged sources. The measurements do not contain enough information to determine whether more than one source is emitting simultaneously.

To calibrate the signals in individual beams, one can either proceed from engineering first principles (used for most SST results), or from assumptions about the quiet-Sun signals detected by the beams (used by Lüthi et al. 2004b; Silva et al. 2007).

#### 2.4.1 Engineering calibration

The engineering approach is as follows:

- The temperature response of the system, i.e. the conversion between total system temperature and power levels from the receiver measured by square-law detectors, is determined using ambient-temperature and “hot” loads (in the case of SST, at  $\sim 300$  K and 430 K, respectively) that fully illuminate the feeds. These two measurements yield both the gain of the system and, in principle, the intrinsic receiver temperature contribution,  $T_{\text{rec}}$ . The linearity of the response of the receiver is confirmed using occasional measurements of a third load (“cold” liquid nitrogen) and can also be checked using stepped attenuators.
- The total system temperature measured by SST at any time contains contributions from the receiver, the sky, the quiet Sun and any burst in progress (both absorbed by their path through the atmosphere). For each beam  $i$  the total system temperature can be represented as

$$T_i = T_{\text{rec},i} + T_{\text{sky}}(1 - e^{-\tau/\sin El}) + \eta_i T_{QS} e^{-\tau/\sin El} + \epsilon_i T_{\text{burst},i} e^{-\tau/\sin El}, \quad (1)$$

where  $T_{\text{rec},i}$  is the effective temperature of the receiver (typically 2000 K for the 212 GHz receivers at SST),  $T_{\text{sky}}$  is the temperature of the atmosphere in the layer where absorption at the observing frequency is strongest (typically 280 K),  $\tau$  measures the zenith extinction (the opacity of the sky in the zenith direction for the given observing frequency),  $El$  is the elevation of the Sun,  $T_{QS}$  is the quiet Sun temperature (of order 6000 K; measurements of 5900 and 5100 K at 212 and 405 GHz, respectively, were made by Silva et al. 2005),  $T_{\text{burst},i}$  is the temperature contribution of any burst (assumed to be sufficiently compact that most of the primary beam is still filled with the quiet Sun contribution) averaged over the beam area, and  $\epsilon_i$  is the aperture efficiency for a compact source that fits into the primary beam. This equation assumes that the aperture efficiency for the sky, which fills all the sidelobes of the antenna pattern, is effectively unity. If the beam points at the center of the solar disk then the quiet Sun fills most of the sidelobes of the beam and its contribution has an effective efficiency of order unity, whereas it will have a smaller effective efficiency if the beam targets the limb. To handle this, we include the factor  $\eta_i$  modifying the quiet Sun contribution for each beam: Melo et al. (2005) find that for a target near disk center  $\eta \approx 0.5$  at 212 GHz and 0.6 at 405 GHz, while for a target near the limb  $\eta$  could be 50 % smaller.

- The opacity of the sky is measured by doing a “sky dip”: receiver power is measured as the telescope is moved in elevation from pointing vertically up to almost pointing at the horizon. Elevation and its effect on apparent atmospheric opacity is the main cause of variation in the measured power, and the dependence on elevation can be fitted using a plane-parallel atmospheric model, in order to determine the zenith opacity. At SST, sky dips are done several times per day: it is found that the opacity generally varies slowly unless thunderstorms are present. Typical values for  $\tau$  are 0.3 at 212 GHz and 1.5 at 405 GHz (median values: Melo et al. 2005).
- Unfortunately, the aperture efficiencies of the telescope at the observing frequencies need to be known for this method of calibration because the burst contribution comes in through the telescope aperture and is thus modulated by the aperture efficiency, while the receiver temperature, the quiet-Sun contribution (per the assumption above) and the ambient and hot loads do not “see” the aperture. During the initial phase of operation the aperture efficiency of SST was low (less than 0.1) due to imperfections in the dish shape. The dish form was corrected over a number of years, starting in 2001 and continuing through 2006. Aperture efficiencies have small variations from beam to beam at the different frequencies, but typical values at present are 0.35 at 212 GHz and 0.20 at 405 GHz.

In effect, the quantities  $T_i$ ,  $T_{\text{rec},i}$ ,  $T_{\text{sky}}$ ,  $\tau/\sin El$  and  $\epsilon$  are all measured, so that the temperature contribution of a flare can be determined from

$$T_{\text{burst},i} = \frac{T_i - T_{\text{rec},i} - T_{\text{sky}}(1 - e^{-\tau/\sin El}) - \eta_i T_{QS} e^{-\tau/\sin El}}{\epsilon e^{-\tau/\sin El}}. \quad (2)$$

### 2.4.2 Quiet-sun calibration

Note from (1) that if the telescope has a cooled receiver, such as the KOSMA 230 GHz and 345 GHz receivers, then  $T_{\text{rec}} \ll T_{QS}$  and the total system temperature in the absence of a flare is dominated by the quiet Sun contribution, which we know to be of order 6000 K to within  $\pm 10\%$ , i.e., to much better precision than the absolute calibration obtained with the method described above. Even when  $T_{\text{rec}} \approx T_{QS}$ , a simple observation of the sky at the same elevation as the Sun allows one to subtract the receiver and sky contributions: from (1),

$$\begin{aligned} \text{Sky:} \quad & T_{0,i} = T_{\text{rec},i} + T_{\text{sky}}(1 - e^{-\tau/\sin El}) \\ \text{Quiet sun:} \quad & T_{1,i} = T_{0,i} + \eta_i T_{QS} e^{-\tau/\sin El} \\ \text{Burst:} \quad & T_{2,i} = T_{1,i} + \epsilon_i T_{\text{burst},i} e^{-\tau/\sin El} \end{aligned} \quad (3)$$

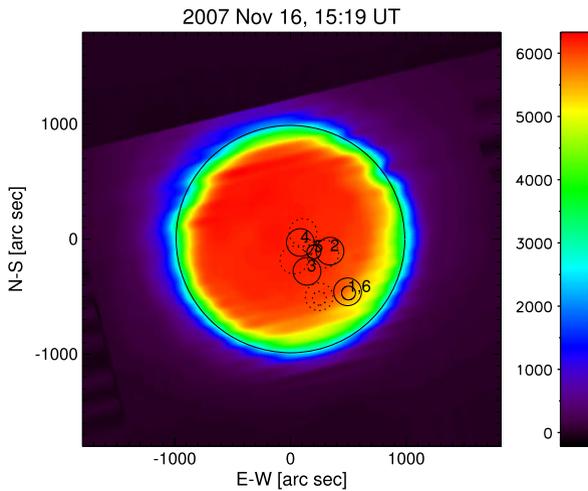
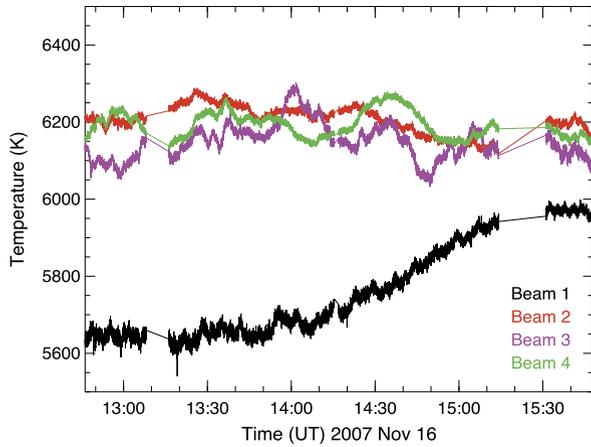
From these three measurements we can derive the burst contribution to the total system temperature as follows:

$$T_{\text{burst},i} = \frac{T_{2,i} - T_{1,i}}{T_{1,i} - T_{0,i}} \frac{\eta_i}{\epsilon_i} T_{QS}. \quad (4)$$

SST normally carries out a number of scans across the solar disk each day: the peak response in the scan in each beam can be used as the values  $T_{1,i}$  needed above, independent of the power levels when the beams are pointing at the active region target, and as long as conditions do not change greatly between disk scans the calibration parameters should be appropriate for the target region measurements. The advantage of this formulation is that the opacity of the sky no longer appears, and it involves ratios of known ( $T_{QS}$ ) or measured ( $T_{2,i}$ ,  $T_{1,i}$ ,  $T_{0,i}$ ) quantities. These measurements all see the same atmosphere and other effects, so the ratios remove these and other instrumental effects from the result. The method does, however, still involve the correction  $\eta_i/\epsilon_i$ , which has some uncertainty, particularly if  $\epsilon_i$  is small. A problem with this method, however, occurs when the target region is close to the solar limb and not all beams are uniformly illuminated by the quiet Sun. In effect,  $\eta_i$  is strongly position-dependent, and therefore poorly determined in such a case.

The approach described here is essentially the idea used in calibration by, e.g., Lüthi et al. (2004a) with KOSMA/BEMRAK and Silva et al. (2007) with SST. Lüthi et al. (2004a) estimate uncertainties of order 20% in the flux density of the SOL2003-10-28 flare (which was on the solar disk, not far from disk center) at 210 GHz. An example of SST data resulting from application of this calibration technique is shown in Fig. 2. The plot shows light curves of the four 212 GHz beams during an observation of an active region very close to disk center (see Fig. 3) on 2007 November 16. The data have been calibrated exactly as described above, with no additional corrections applied. The calibration data were determined using scans across the solar disk (Fig. 3), of which there were seven, roughly an hour apart and lasting about 20 seconds each. The disk-center temperature was taken to be 6200 K at 212 GHz. The longer data gaps visible in Fig. 2 correspond to times when both sky dip observations and disk scans were taken. The fitted opacities during the period shown were all in the range 0.08–0.09 at 212 GHz (compared to 0.4–0.5 at 405 GHz), while fitted

**Fig. 2** The solar contributions to each beam of SST on 2007 November 16 (during a non-flaring period; beam location see Fig. 3), derived using the “quiet-Sun” calibration technique. The four 212 GHz beams are shown (as labeled) at a sampling rate of order 40 ms. A known small-amplitude instrumental oscillation with a period of order 7 min has been removed from Beam 1. Note that the temperature increase of beam 1 is due to a change in pointing (see Fig. 3)



**Fig. 3** A solar map obtained from raster scans on 2007 November 16, between 15:15 and 15:23 UT (one of the time gaps in Fig. 2) with Channel 1 (212 GHz). The map is calibrated as a temperature excess above the sky level (i.e.,  $T_{\text{sky}}$  is assumed to be zero), on the color scale shown at the right. The circles show the various beams at two different times: 13 UT (solid circles) and 15:40 UT (dotted circles). While the beams rotate relative to the solar disk, the position of the beams may change relative to the limb, varying  $\eta_i$  and, therefore, changing the observed temperature (cf. Fig. 2)

receiver temperatures varied from 1300 K on beam 2 to 2900 K on beam 3. The calibrated solar temperatures of three of the four beams are consistent with each other, and with the assumed disk-center temperature of 6200 K, to within 2 %, while the beam 1 that is pointed closer to the limb (see Fig. 3) gives a lower temperature by up to 10 %. No flares occurred in the period shown, so the variation seen in each beam is due to hardware drifts or changes in the atmospheric opacity: a 1 % change in opacity can produce a 60 K change. Several timescales can be seen in the data. Over short timescales (less than 1 minute) the noise level in the data (at the sampling

rate of 40 ms) is of order 4 K, corresponding to a flux of order 1 SFU. But over longer timescales the instrumental variations and atmosphere dominate, producing fluctuations an order of magnitude larger. Further, the levels in the beams need to be balanced before attempting source location fitting for any burst that occurs, and the effective flux limit for this application is somewhat higher than the single-beam noise levels. Later in the day, after the period shown, the atmospheric conditions worsen and fluctuations are more severe. When both calibration methods can be applied, they serve as a check on each other. In the case of Fig. 2, the engineering calibration yields levels very similar to the quiet-Sun calibration results for suitable aperture efficiencies, with essentially identical time variations.

### 2.4.3 Determination of the flux, location and source size

Once  $T_{\text{burst},i}$  is determined for every beam, the multi-beam method must be applied in order to allow for the offset between the actual burst location and the centroids of each of the beams and thus determine the total burst flux and its position. First, position and source size must be determined. Bearing in mind that the observed  $T_{\text{burst},i}$  in detector  $i$  is the convolution of the beam response  $B_i$  and the source flux distribution on the sky,  $S$ , we have

$$T_{\text{burst},i} = S(Az_s, El_s, \sigma_s) \otimes B_i, \quad (5)$$

and we have to invert Eq. (5) to find  $S$ . Here the function  $S(Az_s, El_s, \sigma_s)$  that represents the source is always assumed to be Gaussian, with a center at  $[Az_s, El_s]$  and with a Gaussian width  $\sigma_s$  (i.e.,  $S \propto \exp(-\phi^2/2\sigma_s^2)$  where  $\phi$  is the angular distance from the source center on the sky); and  $B_i$  are the set of functions that represent the response of each beam. When beams are considered Gaussians and of the same aperture efficiency  $\epsilon_i$ , an analytical and straightforward method can be used (Giménez de Castro et al. 1999). A different approach is the matrix representation of the beams that uses a numerical method to obtain  $S$  (Lüthi et al. 2004a). Once positions are determined, they can be used to determine the position-corrected temperature of the burst. For identical Gaussian beams this correction is carried out via

$$T_{\text{burst}} = T_{\text{burst},i} \times \exp\left(\frac{(Az_i - Az_s)^2 + (El_i - El_s)^2}{2\sigma_e^2}\right), \quad (6)$$

where  $Az_i$  and  $El_i$  are the azimuth and elevation of the projected positions on the sky of beam  $i$ ; and  $\sigma_e^2 = \sigma_{b,i}^2 + \sigma_s^2$  is the convolution of the size of the beam and the size of the source, with  $\sigma_{b,i}$  the Gaussian width of beam  $i$ . Four measurements and knowledge of each  $\sigma_{b,i}$  are needed to determine  $S$ ,  $Az$ ,  $El$  and  $\sigma_s$ ; when measurements from only three independent beams are available, we must assume that  $\sigma_s \ll \sigma_{b,i}$  and neglect the source size.

This is the end of the calibration process. We have now an atmospheric and position-corrected antenna temperature  $T_{\text{burst}}$  determined from the independent observations of the different beams, with which we can determine the flux by the standard relation

$$F = \frac{2k_B T_{\text{burst}} \nu^2}{c^2} \Omega_{\text{beam}}. \quad (7)$$

where  $\Omega_{\text{beam}}$  is the solid angle occupied by the beam (recall that  $T_{\text{burst}}$  is averaged over the beam area),  $k_B$  is the Boltzmann constant,  $\nu$  is the observing frequency and  $c$  is the speed of light. This procedure can give us the flux, location, and in some cases the size of the emitting source at each measured time, i.e., with the time resolution at which the data are acquired, normally better than 100 ms. However, in some events, only one or two beams observe the burst emissions and neither the flux nor position can be determined unambiguously.

### 3 Observations

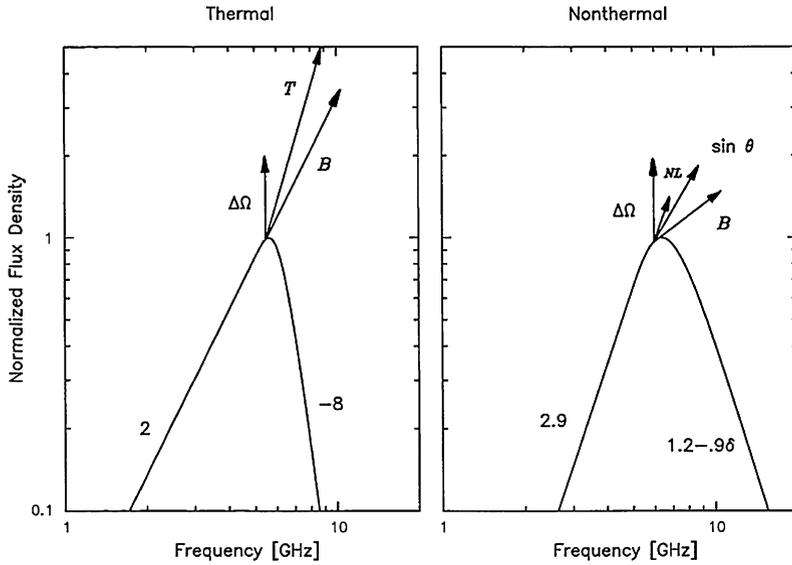
#### 3.1 Normal gyrosynchrotron events

By *normal* events we refer to flares where the mm-wave emission shows the falling high-frequency extension of the microwave spectrum, interpreted as the gyrosynchrotron emission produced by the relativistic tail of the non-thermal flare-accelerated electrons. Statistical results from fixed-frequency observations between 1 GHz and 35 GHz show peak frequencies during solar flares typically between 3 and 30 GHz (e.g. Guidice and Castelli 1975; Stähli et al. 1989; Correia et al. 1994; Nita et al. 2004). On this basis the gyrosynchrotron spectrum should decrease with frequency throughout the mm-wave range, possibly being overtaken by the flat-spectrum optically thin thermal emission of hot dense soft-X-ray-emitting plasma at some frequency. We return to this topic in detail in Sect. 4, but Fig. 4 (from Stähli et al. 1989) shows how the spectral peak and the shape of the gyrosynchrotron spectrum change with variations of the physical parameters of the source.

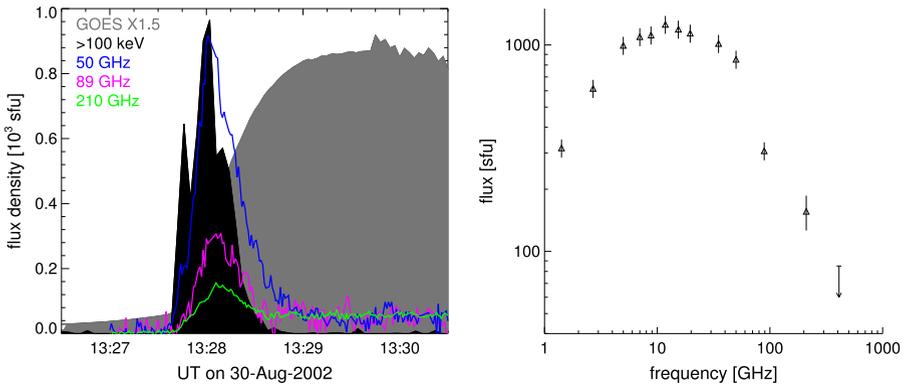
About half of the submillimeter events recorded thus far show the expected spectra decreasing with frequency (entry “No” in the “THz” column of Table 1). This suggests that these events simply show the ordinary high-frequency tail of the microwave gyrosynchrotron emission. The event with the best frequency coverage is shown in Fig. 5. For this X-class flare (SOL2002-08-30T13:28) only the 212 GHz observations detect the flare while the 405 GHz observations provide an upper limit. The high-frequency extension of the gyrosynchrotron emission indicates the presence of relativistic electrons. Combined radio-HXR studies (e.g., see White et al. 2011, and references therein) revealed that the electron spectrum inferred from cm–mm observations is harder than that inferred from the HXR measurements. This indicates that there is a break in the flare-accelerated electron spectrum around a few hundred keV with a harder/flatter electron spectrum at higher energies (e.g. Giménez de Castro et al. 2009). Evidence for such a break at photon energies in the 400–1000 keV range has been obtained for a few (different)  $\gamma$ -ray events (Trottet et al. 1998, 2000; Vilmer et al. 1999; Silva et al. 2007).

#### 3.2 THz events

Besides the events showing a “normal” gyrosynchrotron spectrum during the impulsive phase, there have been six flares that exhibit a second component with a spectrum increasing with frequency from mm to sub-mm wavelengths. The “normal” events



**Fig. 4** Parameter dependences of a simple gyrosynchrotron source (from Stähli et al. 1989). The arrows show the effect on peak frequency of factor-of-two changes in the indicated parameters: temperature  $T$ , magnetic intensity  $B$ , angle to field  $\theta$ , solid angle of source  $\Delta\Omega$ , and length scale  $NL$



**Fig. 5** *Left*: Radio flux densities at different frequencies (with the GOES SXR and RHESSI HXR flux given in gray and black, respectively); *Right*: radio spectrum during peak time. The smooth gray curve in the left represents the GOES soft X-ray channel

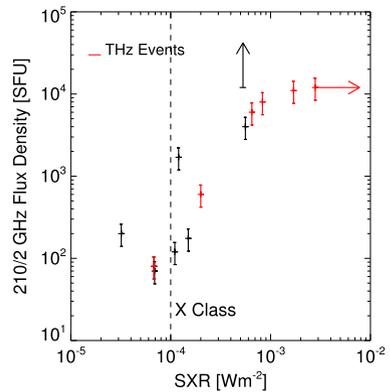
were associated with SXR flares ranging from M-class to X6, while the four events with the most distinct high-frequency components were large (X6 and higher), eruptive two-ribbon events (Fig. 6). Their time profiles are compared in Fig. 7 with hard and soft X-rays, and spectra from cm to sub-mm waves are plotted at selected times. Since the results are affected by the observational technique including atmospheric opacity fluctuations, we re-evaluated the calibration and made a new error analysis for

**Table 1** Summary of SST and KOSMA events giving the IAU event identifier, the Active Region number (AR), flare position, GOES class, presence of a THz component (THz), plus the zenith opacity of the sky at 210 GHz ( $\tau_{210}$ ) and 405 GHz ( $\tau_{405}$ ) at the time of the observation, and the resulting correction factor  $f$ . The KOSMA events are given in italic; the only event observed by both instruments is given in bold

IAU identifier	AR	Pos	GOES	THz	$\tau_{210}$ & $f_{210}$	$\tau_{405}$ & $f_{405}$
SOL2000-03-22T18:44 <sup>a</sup>	8910	N14W57	X1.1	No		
SOL2001-04-06T19:19 <sup>b</sup>	9415	S21E31	X5.6	?		
<i>SOL2001-04-12T10:28<sup>c</sup></i>	<i>9415</i>	<i>S21E31</i>	<i>X2.0</i>	<i>Yes</i>		
SOL2001-08-25T16:32 <sup>d</sup>	9591	S17E34	X5.3	No		
SOL2001-11-28T16:34 <sup>e</sup>	9715	N04E19	M6.9	No		
SOL2002-08-30T13:28 <sup>f</sup>	10095	N15E74	X1.5	No		
SOL2002-09-10T14:53 <sup>g</sup>	10105	S10E43	M3.2	No		
SOL2002-12-20T13:18 <sup>h</sup>	10226	S28W18	M6.8	Yes		
<b><i>SOL2003-10-27T12:31<sup>i</sup></i></b>	<b><i>10486</i></b>	<b><i>S17E25</i></b>	<b><i>M6.7</i></b>	<b><i>No</i></b>		
<i>SOL2003-10-28T11:10<sup>j</sup></i>	<i>10486</i>	<i>S16E08</i>	<i>X17.2</i>	<i>Yes</i>		
SOL2003-11-02T17:17 <sup>k</sup>	10486	S17W63	X8.3	Yes	0.72 & 3.4	2.3 & 39
SOL2003-11-04T19:44 <sup>l</sup>	10486	S19W83	>X28	Yes	0.21 & 1.4	1.4 & 4.7
SOL2004-10-30T11:44 <sup>m</sup>	10691	N13W18	X1.2	No		
SOL2006-12-06T18:44 <sup>n</sup>	10930	S06E63	X6.5	Yes	0.40 & 1.5	2.6 & 24

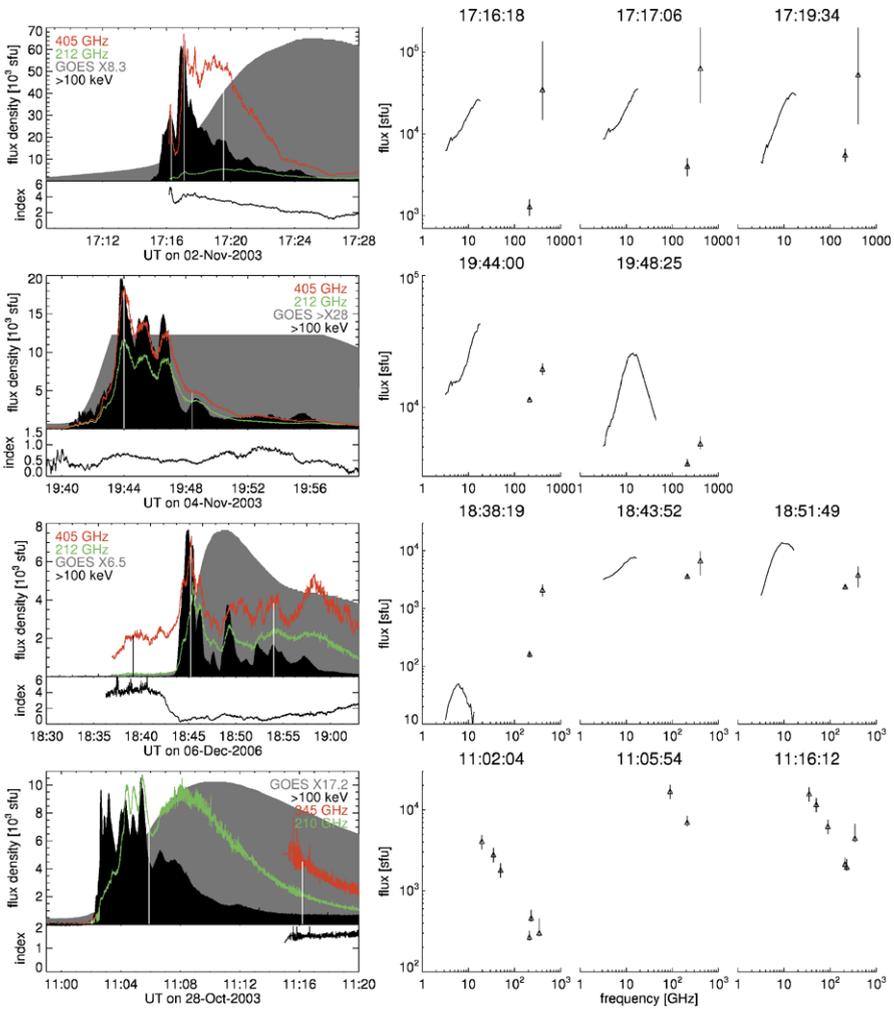
<sup>a</sup>Kaufmann et al. (2001), Trotter et al. (2002); <sup>b</sup>Kaufmann et al. (2002); <sup>c</sup>Lüthi et al. (2004b); <sup>d</sup>Raulin et al. (2003, 2004); <sup>e</sup>Cristiani et al. (2005, 2007b); <sup>f</sup>Giménez de Castro et al. (2009); <sup>g</sup>Cristiani et al. (2013); <sup>h</sup>Cristiani et al. (2008, 2009); <sup>i</sup>Trotter et al. (2011); <sup>j</sup>Lüthi et al. (2004a); <sup>k</sup>Silva et al. (2007); <sup>l</sup>Kaufmann et al. (2004, 2012); <sup>m</sup>Cristiani et al. (2007a); <sup>n</sup>Kaufmann et al. (2009)

**Fig. 6** Correlation of 210 GHz flux with GOES 1–8 Å. The uncertainties shown for the former are a uniform 30%; events with THz components are in red



the three SST events discussed below. In the following we cite the 1- $\sigma$  uncertainties derived from our re-analysis.

- **SOL2003-10-28T11:10**, > X17 (Lüthi et al. 2004a; Trotter et al. 2008): this flare occurred not far from disk center during KOSMA/BEMRAK observations. The HXR emission lasts for more than 20 minutes. The event began with multiple strong peaks over a four-minute interval, followed by a more gradual component



**Fig. 7** The four best “THz events” at time of writing: *left*: normalized linear-scale light curves, and at the bottom the variation of the spectral index inferred from the 405/212 GHz ratio; *right*: spectra. The *smooth gray curves* represent the GOES soft X-ray channel; the *black curves* are the HXR flux from RHESSI, except for the event SOL2003-10-28 where INTEGRAL data is shown. *Vertical lines on the light curves* designate the times of the individual spectra. For the well-observed event SOL2003-10-28 (*bottom panels*) the plotted frequencies are 19.6, 35, 50, 89.4, and 230 GHz, with the 89.4 GHz point appearing only in the second and third frames

and a slow decay. The 210 GHz emission included a slowly varying and a time-extended component ( $\sim 11:00$  UT to  $>12:00$  UT), and three peaks ( $\sim 11:03:50$ – $11:06$  UT) with typical half-power duration of about 20 s matching those seen in HXR. The HXR peaks at the beginning ( $\sim 11:00$ – $11:03:50$  UT) of the event have 210 GHz counterparts which can hardly be seen on the figure because they are faint (high frequency part of the decreasing gyrosynchrotron spectrum as shown by the radio spectrum at 11:02:4 UT) and thus show little contrast with the slowly

varying emission. The 210 GHz gradual component is similar in morphology to, but longer than, that observed in the case of the SOL2003-11-02 flare. Emission peaks at 210 GHz corresponding to HXR sub-peaks seen on top of the smooth HXR emission are also observed. Observations at 345 GHz are not available until later (11:16 UT) in the decay phase. Lüthi et al. (2004a) quote a “worst case” uncertainty of (+100, −30 %) at 345 GHz. Even for this case, the positive slope remains.

- **SOL2003-11-02T17:25, X8.3** (Silva et al. 2007): this X8 flare occurred just inside the south–west limb. SST data starting at the first peak in the hard X-rays show initially a very large ratio of 405 GHz flux to 212 GHz flux. The  $>100$  keV HXR emission has an overall duration of about 10 min, comprising two main peaks followed by a gradual decay with several superposed minor peaks. The submillimeter emission (405 GHz) matches the HXR emission well until the second main peak. While the HXR count rate drops rapidly afterwards, the 405 GHz emission maintains a high level, with variations that reflect to some extent the minor HXR peaks in the decay phase. The microwave spectra from cm to sub-mm waves show the two-component behavior throughout the event, with a “normal” gyrosynchrotron spectrum that peaks near or above 20 GHz, and a steeply rising THz component. The observation conditions for this event are not optimal. The effects of atmospheric opacity fluctuations are rather strong. A further problem was related to the beam position: some beams overlapped with the solar limb, which makes the measured signal sensitive to pointing fluctuations. Corrections were derived using a model of the individual beams. The only available calibration coefficients were determined two days later (November 4). As a result, the uncertainties are 7 % (212 GHz) and 35 % (405 GHz) in the flux densities. Nevertheless, these variations do not change the THz characteristics of the event.
- **SOL2003-11-04T19:53, > X17** (Kaufmann et al. 2004): this flare occurred very close to the west limb. The SST and  $>100$  keV time profiles match each other well: a gradual rise over a few minutes followed by three main peaks within 3 minutes is seen both in the submillimeter data and in the HXR time profile, followed by a decay lasting several minutes. The ratio of HXR to submillimeter emission seems not to vary throughout this event. The spectra show that while the 212 GHz flux density could be part of the decaying “normal” gyrosynchrotron spectrum, the 405 GHz emission is different, despite the similar evolution. Atmospheric opacity fluctuations contribute to a total uncertainty of 2.5 % and 7 % at 212 and 405 GHz, respectively. They were computed using the RMS temperature for the period between 17:50 and 19:00 UT. For fluxes during P1 of 12,000 sfu and 20,000 sfu, these atmospheric fluctuations cannot invert the decreasing microwave spectrum. Even for the weaker P4 pulse, with fluxes of 3,500 and 5,500 sfu at 212 and 405 GHz, the atmospheric fluctuations cannot create a positive slope in the spectrum. Hence, this event is one of the clearest examples of an increasing spectrum with frequency.
- **SOL2006-12-06T18:47, X6.5** (Kaufmann et al. 2009): this flare occurred just inside the southeast limb, and was interestingly late in the solar cycle. The  $>100$  keV HXR data show a very strong peak initially, followed by weaker secondary peaks over the next 20 minutes. The SST 212 GHz and 405 GHz time profiles comprise a pre-flare enhancement ( $\sim 18:35$ – $18:40$  UT), and then successive peaks that are

overall similar to those at HXR, although differences exist in detail. If the pre-flare emission were also present during the impulsive phase, it should be subtracted from the total spectra to get the spectrum of the impulsive phase source alone. We find that subtracting the pre-flare spectrum does not significantly change the spectral slope during the main peak of the event (18:43:52 UT), but the spectrum shown in Fig. 7 at 18:51:49 UT would change to have a decreasing slope. Even with the (arbitrary) selection of the background as the pre-flare enhancement, the main peak definitely has an increasing spectrum with frequency.

Although the atmospheric transmission conditions were better than for the 2-Nov-2003 event, strong atmospheric fluctuations occurred during the event. Opacity at 405 GHz was 7 times bigger than at 212 GHz, whereas the usual ratio is near 4.5. Opacity fluctuations were of the order of 20 %, as compared to a few percent in the other cases. This results in variations up to 8 % and 70 % in flux densities at 212 and 405 GHz. These fluctuations were not included in the original publication, from which the spectra in Fig. 7 are drawn. In the most extreme case the uncertainties of the opacities (see Eq. (2)) could introduce the THz upturn in the impulsive (18:43:52 UT) and post-impulsive (18:51:49 UT) phases of the event, but not in the pre-impulsive phase (18:38:19 UT).

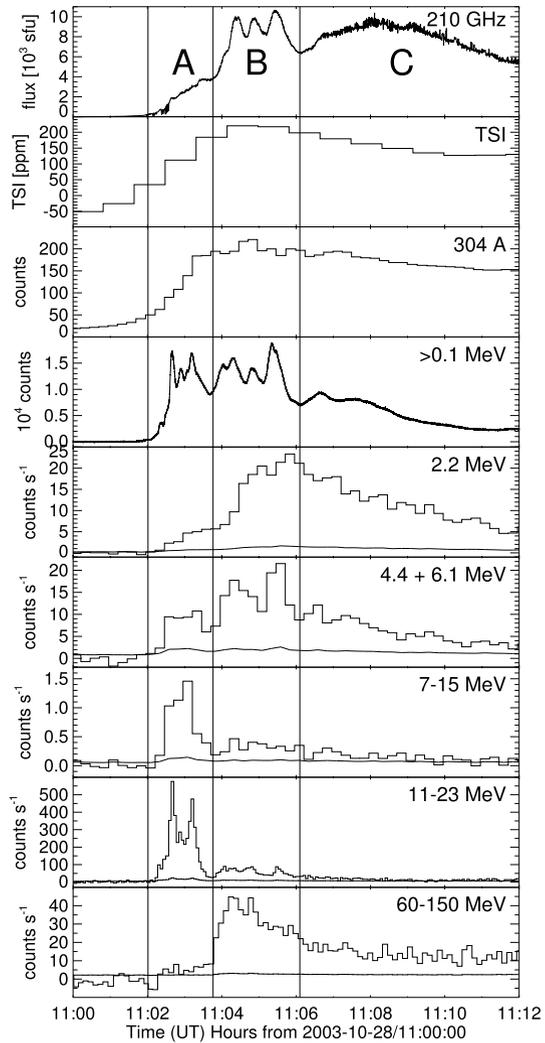
The uncertainties given in the SST papers refer to a 30 % uncertainty in flux density which was determined in the early days of the instrument. The actual values may be sometimes larger, but can also be smaller. We have re-analyzed the uncertainties for the major SST THz events and obtained the following overall (including opacity and beam imperfection effects) uncertainties: SOL2003-11-02 has 18 % and 51 %; SOL2003-11-04, 4 % and 21 %, and SOL2006-12-06 12 % and 100 % at 212 and 405 GHz, respectively.

In summary, the results of our re-analysis were found consistent with those of the individual publications. To emphasize the increasing spectra and the possibility that these events could be even more prominent in the THz range, we call these flares *THz events*. The frequency coverage for these events is unfortunately rather poor, except for the decay phase of SOL2003-10-28, and for the last peak of SOL2003-11-04. The incomplete frequency sampling does not allow discrimination as to whether the 212 GHz contains a contribution from the tail of the microwave spectrum. From the ratio of the time profiles at the two frequencies available, power-law slopes between  $\sim 0.3$  and  $\sim 5$  are derived (without any attempt to determine and subtract the normal gyrosynchrotron component; Fig. 7). Since the “normal” gyrosynchrotron component is expected to show a monotonically decreasing spectrum with frequency in the mm-wave range, the derived power law indices are lower limits for the spectral indices of the new THz component. This suggests that the actual spectrum of the THz component may be rather steep, in particular for the event SOL2003-11-02 where the power law index from 212 to 405 GHz is  $> 3$ .

### 3.2.1 Correlations with other wavelengths

The THz-events generally reach their peak flux during the rise phase of the flare soft X-ray emission (i.e. during the impulsive phase of the flare), and a general

**Fig. 8** Multi-wavelength light curves for the event SOL2003-10-28T11:10. From top to bottom, the 210 GHz signal, total solar irradiance (TSI; Woods et al. 2006), He II 304 AA time profile (from SOHO/CELIAS), four light curves in the hard X-ray and  $\gamma$ -ray range between 0.1 and 15 MeV from INTEGRAL (Kiener et al. 2006), and 11–23 MeV and 60–150 MeV light curves (from SONG)



correlation with GOES class exists (Fig. 6). Intense submillimeter emission invariably accompanies hard X-ray emission. Individual peaks are mostly common to the two spectral ranges, and frequently show similar durations (e.g., the flare SOL2003-11-04 in Fig. 7). Minor peaks can also occur after the soft X-ray peak time (e.g., the flare SOL2006-12-06). The event SOL2003-10-28 additionally shows a longer-lasting smooth emission at the submillimeter wavelengths that decays rather slowly, continuing well after the soft X-ray peak, with an e-folding time of order 4 minutes (Trottet et al. 2008, and Fig. 8).

The event SOL2003-10-28 is one of the best-observed major flare events in history. Figure 8 shows its light curves at multiple wavelengths. These include the first-ever detection of a solar flare in the total solar irradiance (Woods et al. 2006). RHESSI obtained hard X-ray and  $\gamma$ -ray images, and the SONG spectrometer on CORONAS-F

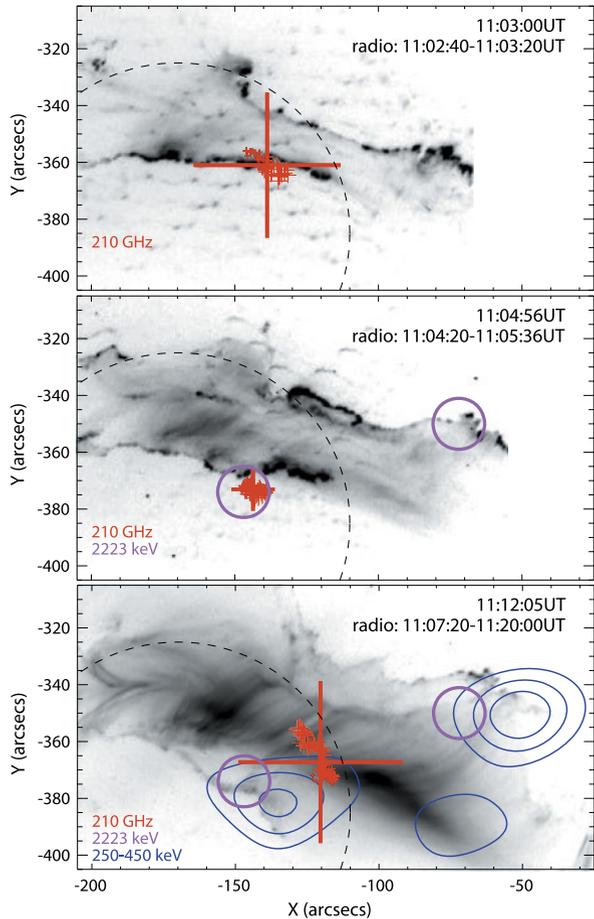
obtained excellent  $\gamma$ -ray data (Kuznetsov et al. 2006; Trotter et al. 2008). INTEGRAL obtained observations with unprecedented definition of the 4.4 and 6.1 MeV lines (Kiener et al. 2006). The figure displays clear differences among the various signatures, and there is a remarkable relation of characteristic features at 210 GHz with different hard X-ray and gamma-ray features:

- The hard X-ray emission ( $h\nu > 0.1$  MeV) has two phases (A, B) with strong modulation, followed by a smoothly evolving third phase (C).
- At higher energies phase A is much brighter than phase B in the 11–23 MeV range but phase A is not detected above 60 MeV whereas phase B is strong at the highest energies. This corresponds to a steepening of the continuum spectrum above  $\sim 20$  MeV in phase A.
- In phase B the line emission (e.g., the 4.4 and 6.1 MeV lines) and the line to bremsstrahlung ratio strongly increase compared to phase A. The sharp enhancement of the continuum emission above 60 MeV indicates that the high-energy gamma-ray emission in phase B is dominated by pion decay photons, i.e. that the primary accelerated particles are protons and alpha particles with energies above 200–300 MeV/nucleon (see also Sect. 4.3.3 below). It should be noted that pion production is still present in phase C and may continue after 11:12 UT, after which time the gamma-ray measurements were disturbed by the arrival of solar energetic particles.
- The 210 GHz emission evolves smoothly during intervals A and C, but its time evolution is similar to hard X-rays and gamma-rays in phase B. This is the time interval where most of the gamma-ray emission above  $\sim 1$  MeV is attributable to nuclear interactions of ions.

### 3.2.2 Size, positions, and motion of THz sources

The small dishes of the multi-beam detection systems provide poor diffraction-limited information on the spatial distribution of the emission (see Sect. 2.4.3). A large source size can result from a single large source, but two well-separated small sources can also mimic a large source. The unique case is when a compact source size is observed. This was the case during the three main peaks of the SOL2003-10-28 event (phase B in Fig. 8). The sources identified in the KOSMA/BEMRAK observations are predominantly compact ( $< 10''$ ) in this case. They are indicated by the red plus signs in the central panel of Fig. 9. Despite the large uncertainty in absolute position (in Lüthi et al. 2004a the uncertainties were conservatively estimated to  $< 60''$ ), the measured location suggests that the 210 GHz emission originates from the southern chromospheric flare ribbon seen in the TRACE UV image. The individual antenna beams did not cover the northern flare ribbon so that one cannot decide if another 210 GHz source existed there. We do not know for sure, however, that the 210 GHz source reveals the THz component, since the spectral shape at the time of peak emission is not known (Lüthi et al. 2004a). It is possible that the 210 GHz emission is the high-frequency tail of the normal gyrosynchrotron emission. Before and after the compact source is observed, an extended source ( $\sim 60''$ ) also appears (outlined by the large cross in the top and bottom panels of Fig. 9). For the analysis to generate a compact source during the peak time requires that the flux of the compact

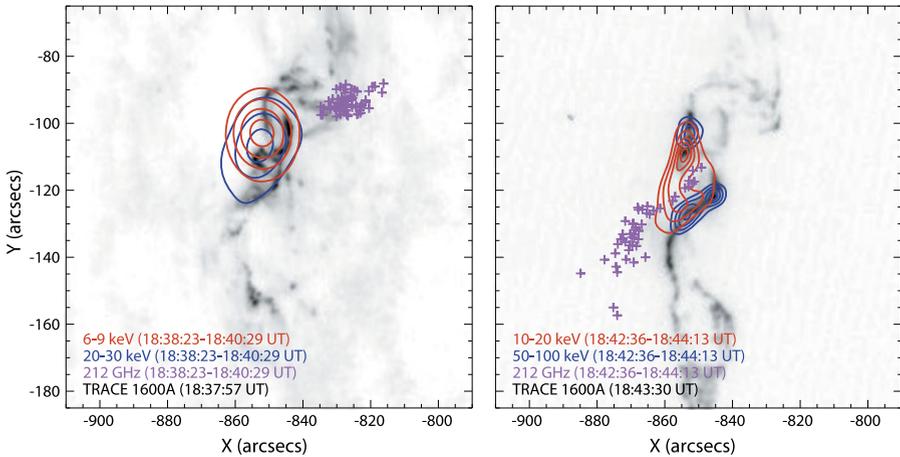
**Fig. 9** Imaging in radio, UV, HXR, and  $\gamma$ -rays during the three time intervals defined in Fig. 8 for SOL2003-10-28. For each interval, a TRACE image is shown (1600 Å for interval A and B; 195 Å for interval C; times are given in the top right corner of each image) with 210 GHz centroid positions overplotted as red crosses (cadence is 10 seconds, increasing symbol size represents time). The average position and source size of the radio emission during each time interval is represented by a thick red cross. The *dashed circle* gives a rough size of the field-of-view of the radio imaging; radio sources outside this circle are not represented reliably by the derived source position and size. The *purple circles* in the bottom two images give the flare-averaged 2.2 MeV footpoint point location (from Hurford et al. 2006). The *circle size* represent 1-sigma uncertainty in the source location. The bottom image additionally shows RHESSI 250–450 keV imaging with 23 arcsec FWHM resolution integrated over the decay phase



source must dominate that of the extended source during that time interval. This is rather puzzling, as the time profile suggests that the extended source is present at all times.

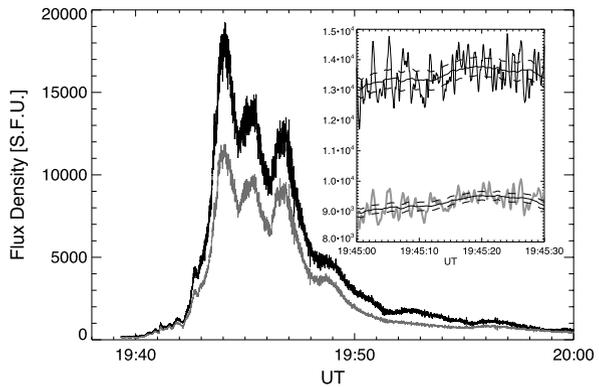
It is noteworthy that the 210 GHz source is co-spatial with 2.2 MeV emission produced by interacting ions (the sources are indicated by the two circles in the middle and bottom panels of Fig. 9). This finding suggests again, as with the comparison of the timing with the nuclear gamma-ray features, a close connection between the high-frequency emission and nuclear processes during the flare. It is tempting, though far from being demonstrated by the observations, to consider nuclear interactions as a possible origin of the THz component.

The only direct measurement of a flare source size available to date was produced by scanning a single beam antenna over the flare area (Lüthi et al. 2004b). This observation was during the decay phase of SOL2001-04-12T10:28 (1 hour after the impulsive phase) when the emission mechanism is thermal f-f emission. The size at 345 GHz was larger ( $70 \pm 6''$ ) than that at 210 GHz ( $42 \pm 20''$ ) possibly indicating two different emission regions at different temperatures (see also Trotter et al. 2011).



**Fig. 10** Time-resolved imaging of SOL2006-12-06T18:47 during (*left*) the rise phase of the event, and (*right*) the main impulsive peak. The underlying *gray-scale* image is a 1600 Å UV continuum image from TRACE, *red contours* show the location of predominantly thermal soft X-rays, blue contours show higher-energy non-thermal hard X-rays, and the *purple crosses* show sequential measurements of the location of the 212 GHz source from SST data, as described in Sect. 2.4.3

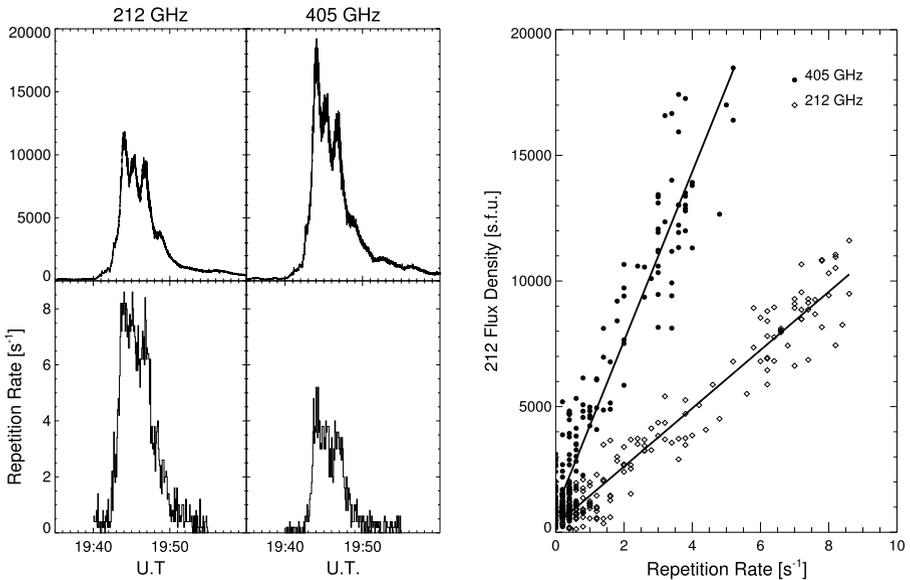
**Fig. 11** Time profiles at 405 GHz (*black*) and 212 GHz (*gray*) of the SOL2003-11-04 event observed by SST. The inset shows a 30-s zoom, with a 1-s running mean flux (*smooth curves*) and corresponding  $\pm 3\sigma$  levels (*dashed*)



The source locations in all four THz events show systematic motion, but the motions are not understood and there is no clear correlation with source locations seen at other wavelengths. Kaufmann et al. (2009) report a significant displacement in position of 30'' from the initial phase of the SOL2006-12-06 event (before 18:40 UT) to the main peak (Fig. 10). During the impulsive phase, the THz source moves roughly parallel to the flare ribbons (Fig. 10, right). However, the limited imaging information of a multi-beam system and the relative (5–10'') and absolute (up to 30'') positional uncertainties could mask possible correlations.

### 3.2.3 Fast time variations

During many mm–sub-mm events, including the THz events, rapid pulsations (at 1 s period or faster) are observed superimposed on a more gradual flux-density variation



**Fig. 12** *Left:* Top panels show the flux densities at 212 and 405 GHz for SOL2003-11-04, *bottom panels* show the repetition rate of the fast pulsations observed at these frequencies. *Right:* the linear relation is obtained when we plot the mean flux density vs. the repetition rate (Kaufmann et al. 2009)

(see the examples in Fig. 11). We term the slow background emission component the “bulk” variation here. These rapid oscillations appear as variations around the mean value of the signal, with temporal scales ranging from a few tens of ms to 1 s. Similar pulsations have sometimes been detected at cm and mm wavelengths since the 1980s (e.g. Gaizauskas and Tapping 1980; Kaufmann et al. 1980; Raulin et al. 1998). Since the operation of the SST, similar pulses have been observed at sub-mm (Kaufmann et al., 2001, 2002, 2004, 2009; Makhmutov et al. 2003; Raulin et al. 2003; Silva et al. 2007). We note that the direct comparison of THz and microwave/mm-wave pulse structures has been difficult due to the typical flux saturation at microwaves during powerful events.

Raulin et al. (2003) analyzed the characteristics of these rapid pulsations at 212 and 405 GHz during a normal gyrosynchrotron event observed by SST (SOL2001-08-25). Both the direct-detection method and a wavelet-decomposition analysis showed that the time series contained a variety of scales: several-minute time variations during the whole main event, variations of a few seconds up to 10 s during the impulsive phase, and superimposed subsecond fast pulses. The positions of the fast pulses, estimated from the temperature excesses recorded with different channels of the SST, were found to be spread within the whole active region, which supports the idea that the primary energy release region is composed of multiple, discrete, and compact sites, highly variable in time (Raulin et al. 2000). Our knowledge of pulse locations will benefit from future spatially resolved observations with new, high-sensitivity, submillimeter instruments (see Sect. 5).

The number of pulses per unit time (the so-called “repetition rate”  $rr$ ) varies with time, with a maximum value observed thus far of  $8 \text{ s}^{-1}$ . The amplitude of the oscil-

lations  $\Delta F$  is also a function of time with values of the order of few hundred SFU. As a flare develops, the system noise  $\Delta T_{\text{noise}}$  should increase. However, (Raulin et al. 2003) showed that the pulsation temperature amplitude  $\Delta T_{\text{pulse}} > \Delta T_{\text{noise}}$ , thus tending to rule out an instrumental explanation for the pulsations. In Fig. 12 we show the main features of the rapid pulsations observed during the SOL2003-11-04 THz event. The left panel shows a comparison between time variations of the repetition rate and the bulk emission, showing an apparent similarity between them. The right panel shows linear relations (for each observing frequency) between the mean flux density of the bulk emission and the repetition rate, with the proportionality coefficients  $k_{405} > k_{212}$ .

Below we present the main characteristics of the sub-mm pulsations and a comparison with related phenomena at lower frequencies:

- The amplitude the sub-mm pulsations normalized to the bulk emission (“ripple”) is observed to be of order 5 to 8 %. This is an intriguing result, since extrapolation from previous work suggested a ripple amplitude above 100 %. Indeed, during the SOL2003-11-04 THz event, observations at 44 GHz show a ripple of around 80 % (Kaufmann et al. 2009). This suggests that the sub-mm pulsations have a different physical origin.
- The spectral index of the sub-mm pulsations is always positive, with maximum values around 2 (Kaufmann et al. 2001).
- There is a linear relation between  $rr$  and the mean bulk emission flux density, i.e.,  $\langle \Delta F_{\text{pulse}} \rangle \propto rr$ , with a frequency-dependent constant of proportionality. A similar trend is found at low frequencies (Kaufmann et al. 1980; Qin et al. 1996; Raulin et al. 1998).
- Kaufmann et al. (2003) suggest a relationship between sub-mm pulsations and CME launch.

There is no compelling evidence that the observed signatures of the pulsations refer particularly to the sub-THz or THz domains. For the purposes of this review, we therefore henceforth restrict our attention to the spectral signatures.

### 3.3 Critical assessment of the sub-THz spectra

We are now in a position to ask the key question: Are the positive slopes in the sub-THz range credible? The assumption that this is the case has motivated this review, and any positive answer will encourage future observations of such phenomena, which we believe to be important for flare physics. The reader should now appreciate the difficulties in obtaining the broad-band photometric precision needed to be sure about the answer, in the absence of true imaging spectroscopy. For SOL2003-10-28, Lüthi et al. (2004a) quote a “worst case” uncertainty of (+100, −30 %) at 345 GHz. For the SST observations the most critical difficulty is the large correction factors (see Table 1). For both SOL2003-11-02 and SOL2006-12-06 we find that atmospheric opacity fluctuations may have a critical effect on the sub-mm spectrum during part of the event. We must bear in mind that this large uncertainty is only one component of the total uncertainty, although this factor generally is dominant. Nevertheless, the Sun itself provides a well-understood calibration standard, and so

gross calibration errors are improbable. Indeed the only common observation of a solar flare by SST and KOSMA shows that the flux densities derived using the independent calibration methods of each instrument provide consistent values of the flux densities within about 10 % near 210 GHz; these are closer to each other than the uncertainties of the individual measurements (Trottet et al. 2011, their Fig. 1). We note here that this good agreement was achieved despite the fact that the opacities of the SST observations with 0.46 and 1.9 at 212 and 405 GHz were rather high (cf. Table 1).

Generally the available data refer to three flare epochs of non-thermal emission: pre-impulsive, impulsive, and post-impulsive. In each of these epochs we have significant evidence for a THz component; the spectra in Fig. 7 definitely show cases of upturns towards the THz range, especially by reference to the negative spectral indices present or inferred at lower frequencies. Specifically we note SOL2006-12-06 for the pre-impulsive, SOL2003-11-04 for the impulsive, and SOL2003-10-28 for the post-impulsive phases. The existence of these indications in multiple events (though too few!) and from two observatories using different instrumentation, makes a convincing case for the existence of an independent component of emission at the shortest wavelengths.

In summary, the quality of the data at present fully justifies the vigorous theoretical work that we describe in the following sections.

## 4 Mechanisms

Surprisingly many mechanisms have been proposed already for the THz events. One reason for this is the strong connection with high-energy processes that may be fundamental to the physics of energy release and particle acceleration. In the following we touch on the areas of thermal, magnetic, and coherent processes separately. For convenience we summarize the several different emission mechanisms discussed below in Table 2, at the end of this section. At the highest frequencies the solar atmosphere becomes transparent, and the background solar radiation forms in the photosphere. Accordingly we start the discussion of individual mechanisms with thermal processes (see Heinzel and Avrett 2011, for a discussion of these processes in the context of semi-empirical flare model atmospheres).

The theoretical work described below usually starts from the idea that the source is uniform and isotropic, with unique physical parameters. Dropping this assumption in general would make things much more complicated, but there is one basic possibility that needs to be borne in mind. An external absorber could easily interpose itself between the source and the observer, and for the mm–sub-mm continuum this could easily result in a positive slope, depending upon the 3D structure of the absorber. Examples of this are well known in the literature of solar and stellar flares (e.g. Covington 1973; Giampapa et al. 1982).

### 4.1 Rayleigh–Jeans tail

We can first wonder if the THz emission is not simply due to the low-frequency extension of the white-light continuum flare emission. A description of this component

as a black body should suffice, and predicts a Rayleigh–Jeans tail in the mm–sub-mm range. To quantify this, we consider Hinode SOT (Tsuneta et al. 2008) G-band (430 nm) observations of the SOL2006-12-06 flare. From the observed increase of G-band emission and the area of the white light flare ribbons (Wang 2009), we estimate the flux density at 405 GHz flux to be only a few SFU, about three orders of magnitude below the observed peak flux. The estimated temperature for this flare was relatively low (8,000 K) but taking the upper limit of 25,000 K given by Fletcher et al. (2007) still leads to a discrepancy of about a factor of 100 that could only be resolved if the flaring area is very much larger than is observed in the white-light data.

Silva et al. (2007; see also Trotter et al. 2008; Kaufmann et al. 2009) point out that if the source temperature is of order 20 MK, the emitted soft X-ray (SXR) flux would greatly exceed the fluxes observed by the GOES satellites in these events. Similarly, material at 2 MK sufficient to produce the observed submillimeter fluxes would be far brighter than the flare features observed in Fe XII 195 Å images. These considerations apply to the more general case of a thermal source distributed in height, as discussed in the next section.

## 4.2 Thermal free–free emission

Continuum emission and absorption in the quiet solar atmosphere is dominated by thermal H II and H<sup>−</sup> free–free opacity at submillimeter wavelengths (e.g., Vernazza et al. 1981), resulting from collisions by thermal electrons with protons and neutral hydrogen, respectively. During a flare the ionization fraction will increase in some regions, and the thermal H II free–free emission and absorption then begin to dominate. For a fully ionized plasma with solar abundances for H and He the free–free absorption coefficient  $\kappa_{ff}$  is given approximately as

$$\kappa_{ff} \approx \frac{\beta n_e^2}{T^{3/2} \nu^2} \text{ cm}^{-1}, \quad (8)$$

where  $\beta \approx 0.16$  for plasma temperatures of 0.2–2 MK and  $\beta \approx 0.2$  for temperatures of 2–20 MK.

### 4.2.1 Source size

As noted previously, the flux density  $S_\nu$  can then be written conveniently in terms of the mean brightness temperature  $T_B$  as in (7),

$$S_\nu = 2k_B \frac{\nu^2}{c^2} T_B \Omega, \quad (9)$$

where now  $\Omega$  is the solid angle filled by the emitting source(s). For a homogeneous source this can be expressed in SFU as

$$S_{\text{SFU}} = 8.2 \times 10^{-5} T_6 \nu_9^2 \theta^2, \quad (10)$$

where  $T_6$  is the mean brightness temperature in MK,  $\nu_9$  is the frequency in GHz and  $\theta$  is the characteristic angular size of an equivalent Gaussian source in arcseconds. Since we can give plausible physical arguments for the likely temperature range of

the thermal material, we can use this equation to estimate the size of a thermal source of known flux  $S$ :

$$\theta \approx 110 S_{\text{SFU}}^{1/2} T_6^{-1/2} \nu_9^{-1} \text{ arcsec.}$$

For the large flares displaying a THz component we have  $S_{\text{SFU}} \approx 10^4$ . For a frequency of 300 GHz, we then have  $\theta \approx 37'' \times T_6^{-1/2}$ . Thus if such a thermal source is to be optically thick at a transition-region temperature  $T_6 \approx 0.2$ , it has to have a large size  $\theta \approx 80''$ ; a source with coronal brightness temperature  $T_6 \approx 2$  requires a moderate size  $\theta \approx 26''$ ; and a source that is optically thick at a soft-X-ray-emitting temperature  $T_6 \approx 20$  only needs a very small size  $\theta \approx 8''$ .

An inverted spectrum ( $\alpha > 0$ ) between 212 and 405 GHz, for example, requires the 405 GHz emission to have an optical depth  $\tau_{ff} > 0.3$ ; a spectral index  $\alpha = 2$  requires  $\tau_{ff} > 3$ . The optical depth is given by  $\tau_{ff} \approx \kappa_{ff} L$ , where  $L$  is the depth of the source. To meet the condition  $\tau_{ff} > 1$  requires the column emission measure to be such that  $n_e^2 L > 10^{27} \beta^{-1} \nu_9^2 T_6^{1.5} \text{ cm}^{-5}$ . For 405 GHz, an optical depth greater than unity requires  $n_e^2 L > 10^{32}$  for  $T_6 > 0.2$ ;  $n_e^2 L > 3 \times 10^{33}$  for  $T_6 > 2$ , and  $n_e^2 L > 7 \times 10^{34}$  for  $T_6 > 20$ .

For illustration, we apply the above equations to the SOL2006-12-06 flare to check if the observed 405 GHz emission of  $S_{\text{SFU}} \approx 7000$  could be free-free emission from the flare ribbons. For a ribbon area of  $2.6 \times 10^{17} \text{ cm}^2$  we derive  $\theta \approx 7''$  and the required ribbon temperature becomes  $T_6 \approx 10.8$ . To have an optically thick source further requires  $n_e^2 L > 3 \times 10^{34}$ , giving a lower limit of the emission measure of the flare ribbon of  $EM = n_e^2 LA > 7 \times 10^{51} \text{ cm}^{-3}$ . The required temperature and emission measure correspond to a GOES flare class of X100 from the ribbons alone, well above the observed flux of X3 at the peak time of the 405 GHz emission. Hence, to produce the THz emission by free-free emission from the flare ribbons, far too strong soft X-ray emission from the ribbons would be produced. A larger source at cooler temperature (e.g.  $\theta \approx 80''$  and  $T_6 \approx 0.2$ ) remains a possibility, but such an extended source in the chromosphere is not observed at any other wavelengths. While during the decay phase source sizes of the order of  $\theta \approx 80''$  are observed (e.g. Lüthi et al. 2004b), their temperatures are much hotter than  $T_6 \approx 0.2$ . Emissions from flare ribbons that could be at  $T_6 \approx 0.2$  requires a longer ribbon than typically observed (i.e. a ribbon length of  $320''$  assuming a ribbon thickness of  $10''$  to account for a source size of  $\theta \approx 80''$ ). In summary, during the impulsive phase of flares, thermal free-free emission is unlikely the emission mechanism of the THz component.

#### 4.2.2 Source spectrum

The defining characteristic of the THz events is their inverted spectrum: the flux density increases between 200 and 400 GHz as  $S = S_0 \nu^\alpha$ . The index  $\alpha$  is found to range from 0.3 to 5, though with large uncertainties (see Sect. 3.2) such that the observations may generally be consistent with  $\alpha \leq 2$ . An isothermal free-free source would have a Rayleigh-Jeans spectrum ( $\alpha = 2$ ), but a more general multithermal one can produce a steeper slope (e.g. Heinzel and Avrett 2011).

The structure of the lower atmosphere during the impulsive phase of a flare remains relatively unknown, but has many important ramifications. One of these is the

perplexingly broad 511 keV line observed by Share et al. (2004), which, taken at face value, implies a large emission measure at transition-region temperatures. Silva et al. (2007) argue that such a source can be excluded because the implied luminosity is far greater than that inferred by Raymond et al. (2007) for a selection of X-class flares. Raymond et al. point out that the O VI luminosities they measure are consistent with a conventional high-pressure transition region with an electron number density of  $\sim 10^{12} \text{ cm}^{-3}$  and a thickness of 100 km. They note, however, that other observations (e.g., Doschek et al. 1977) suggest that number densities of  $10^{13}$ – $10^{14} \text{ cm}^{-3}$  from a thinner layer are plausible for large flares.

Returning to our requirements on the column emission measure of material at 400 GHz, we find that number densities of a few  $\times 10^{12} \text{ cm}^{-3}$  over a thickness of 100 km are needed to render material at 0.2 MK optically thick at 400 GHz, but a density of  $10^{13} \text{ cm}^{-3}$  requires a thickness of only 10 km, implying a pressure of order  $550 \text{ dyne/cm}^2$ . We conclude that if the material at transition-region temperatures has densities in excess of  $10^{13} \text{ cm}^{-3}$ , then it may be optically thick during large flares and such material could account for the inverted spectrum observed in the THz component provided  $\alpha \leq 2$ . We point out, however, that Raymond et al. (2007) consider the power carried by thermal conduction into the transition region and find that it greatly exceeds radiative losses. They conclude that either the conductive power is greatly over-estimated or that the two-dimensional filling factor of the dense UV/EUV-emitting material must be low. If the latter interpretation is correct, it implies that the angular extent that a multi-beam system would measure for a thermal source at 0.2 MK producing a flux as high as  $10^4 \text{ SFU}$  at 300 GHz may need to be much larger than the  $80''$  inferred above. Since the observations do not seem to be consistent with such spatially large sources for the THz emission, we conclude that this mechanism, though attractive because of its Rayleigh–Jeans spectral distribution, probably does not apply.

The flare SOL2003-11-02 provides the most striking example of a flare with a steep positive slope between 212 and 405 GHz (see Fig. 7). The spectrum is steepest ( $\alpha \approx 5$ ) at the beginning of the event when a dip occurs in the flux density of both bands, possibly the signature of absorbing material. If the steep positive slope is attributed to cool, absorbing foreground material, the absorber must be large enough to obscure a significant fraction of the 212 GHz source and persist for several minutes.

#### 4.2.3 Source variability

The origin of the rapid variations (Sect. 3.2.3) is presently unknown. We have established above that if free–free emission is relevant the bulk emission must originate from a relatively extended source with a transition-region temperature. At these temperatures, the radiative loss function has a value of  $\Lambda \approx 4 \times 10^{-22} \text{ ergs cm}^3 \text{ s}^{-1}$  (e.g. Cox and Tucker 1969). The radiative loss time is  $t_R = 3n_e k_B T / \dot{E}_R \approx 10^{12} T_6 / n_e \approx 25$ – $250 \text{ ms}$  for  $T_6 = 0.2$ , assuming a density in the range of a few times  $10^{12}$ – $10^{13} \text{ cm}^{-3}$ . Thus radiative loss from dense features at transition-region temperatures experiencing quasi-periodic heating episodes would be consistent with the time scales of the observed subsecond variations. Kašparová et al. (2009) have simulated such processes in model atmospheres. However, such rapid heating is unlikely to be spatially extended over scales of many tens of arcseconds, so we would expect it to be a

highly localized feature. (Raulin et al. 2003) show that the positions of the centroids of discrete subsecond spikes have considerable scatter around the mean position inferred for the bulk of the emission, amounting to 1–2 arcmin. Taking the flux density of a discrete spike at 405 GHz to be  $\sim 300$  SFU, and assuming a temperature  $T_6 = 0.2$  or greater implies a source size of  $10''$  or smaller. We suggest that the fast time variations could be explained by small-scale sources of free–free emission.

#### 4.2.4 Events with thermal emissions

SOL2001-04-12T10:28 and SOL2003-10-28T11:10 (see, respectively, Lüthi et al. 2004b and Trottet et al. 2008) provide clear examples of flares with thermal free–free emission detected up to submillimeter wavelengths. More recently, Trottet et al. (2011) extensively discusses free–free emissions seen at 200–400 GHz for the flare of SOL2003-10-27T12:43). For flares SOL2001-04-12 and SOL2003-10-27 the thermal emission last for tens of minutes after the impulsive phase and corresponds to the traditional microwave bursts refer to as “gradual rise and fall” or “post-burst increase” (e.g. Kundu 1965; Hudson and Ohki 1972; Shimabukuro 1972). This thermal emissions consists of two components, an optically thin component from coronal loops at  $1\text{--}3 \times 10^6$  K observed in the 10–200 GHz wavelength range, and an optically thick component from chromospheric regions with temperatures in the range  $10^3\text{--}10^5$  K (Trottet et al. 2011). The size of the emitting regions is rather large, being  $\sim 40''$  around 200 GHz and  $\sim 70''$  in the 200 and 400 GHz range, respectively (Lüthi et al. 2004b). The post-impulsive phase of the SOL2003-10-28 flare is somewhat different. It shows simultaneously non-thermal and thermal emission. At frequencies up to  $\sim 100$  GHz, the event is dominated by non-thermal emission associated with hard X-rays and high-energy gamma-rays, while at 345 GHz it is consistent with optically thick thermal emission from chromospheric regions at  $\sim 2 \times 10^5$  K (Trottet et al. 2008). The measured source size of the thermal source,  $60''$ , is again large (Lüthi et al. 2004a). These findings indicate that the observations of the radio continuum at centimeter–submillimeter wavelengths can serve as a powerful diagnostic tool to study the structure and the dynamics of the low solar atmosphere as well as energy transport during flares.

### 4.3 Gyromagnetic radiation

Gyromagnetic radiation is the electromagnetic radiation of an electron or positron gyrating under the Lorentz force in a magnetic field.

The fundamental frequency of the radiation is the electron cyclotron frequency  $\nu_c$  ( $= 2.8 \times 10^6 B$  Hz, with  $B$  measured in gauss). At low energies the radiation will be restricted to this frequency and low harmonics. With increasing particle energy radiation at higher harmonics becomes more efficient and the radiation becomes more and more beamed in the direction of motion of the particle. Taking the Doppler shift due to the field-aligned motion of the particle into account, the emission frequency of an electron or positron with Lorentz factor  $\gamma$  at the harmonic  $s$  is

$$2\pi\nu = s \frac{2\pi\nu_c}{\gamma} + k_{\parallel}v_{\parallel} \quad (11)$$

or

$$\nu = s \frac{v_c}{\gamma} \frac{1}{1 - n\beta \cos \alpha \cos \theta}. \quad (12)$$

Here  $n$  is the refractive index of the plasma,  $\beta$  the particle speed divided by the speed of light,  $\alpha$  its pitch angle, and  $\theta$  the angle between the viewing direction and the magnetic field.

#### 4.3.1 Incoherent gyrosynchrotron and synchrotron radiation of energetic electrons and positrons

“Gyrosynchrotron” is the label used to describe gyromagnetic radiation of mildly relativistic electrons ( $0 < \beta \ll 1$ ), while “synchrotron emission” designates the ultra-relativistic limit ( $\gamma \gg 1$ ,  $\beta \rightarrow 1$ ). The difference is not in the physical mechanism, but in the approximations made in the calculation of the coefficients of emission and absorption. The spectrum is a series of spectral lines whose width increases and separation decreases with increasing Lorentz factor. Above some harmonic number the spectrum is virtually continuous. The integration over the pitch angle and energy distributions of a particle population, rather than a single particle, further smears individual harmonics.

The emission of a single particle covers a band whose width increases with increasing energy. A typical frequency for the maximum emission of an electron with Lorentz factor  $\gamma$  and pitch angle  $\alpha$  is, in the ultrarelativistic approximation (e.g., Pacholczyk 1970, Eq. (3.28)),

$$\nu_0 = \frac{3}{2} \gamma^2 v_c \sin \alpha, \quad (13)$$

implying  $s \propto \gamma^2$ . This suggests that mildly relativistic electrons are most efficient emitters at low harmonics of the cyclotron frequency, as confirmed by numerical calculations.

While the emissivity of a single particle increases and its spectrum broadens with increasing energy, most particle distributions decrease with increasing energy. As a result the emissivity and absorption coefficient of, e.g., a power-law distribution of particles decrease with increasing frequency. Intensity spectra that rise at low frequencies can be produced by a low-energy cutoff of the radiating electrons in the MeV range, by self-absorption, free-free absorption in the ambient thermal plasma or at a plasma screen outside the radiation source, and by suppression of the gyromagnetic emission by the ambient medium. The action of the ambient medium is readily seen in Eq. (12), where the particle velocity  $\beta$  is multiplied by the refractive index  $n$ , which is smaller than unity in the plasma. Clearly the action of the ambient plasma here appears as if the particle velocity were lowered, thus diminishing emission. This effect is called Razin suppression, and it operates on both the emissivity and absorption coefficient. Razin suppression occurs at frequencies above, but not too far from, the electron plasma frequency of the medium. A handy expression (in cgs units) for the frequency below which Razin suppression is important, again in the ultrarelativistic regime, is

$$\nu_R = \frac{2}{3} \frac{v_{pe}^2}{v_c \sin \alpha} \simeq 20 \frac{n_e}{B} \text{ Hz} \quad (14)$$

(e.g., Pacholczyk 1970, Eq. (4.10)). Razin suppression creates a very steep low-frequency cutoff, which is not due to absorption, but to the suppression of energy transfer from the particles to electromagnetic waves in the vicinity of the plasma frequency.

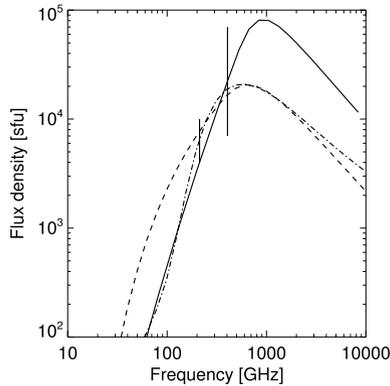
The observed frequency spectra of microwave bursts statistically peak near 10 GHz, but the peak frequency increases with increasing peak flux density (Fürst 1971; Guidice and Castelli 1975; Nita et al. 2004), and can exceed 20 GHz in major events (Correia et al. 1994; Ramaty et al. 1994). A peak frequency of 10 GHz, together with a typical harmonic number  $s = 5$ , implies a typical magnetic field of 700 G in the microwave source. This is of course nothing more than a rough estimate, because the spectral maximum of the gyrosynchrotron emission also depends on the column density of the radiating electrons, in line with the observed relationship between the frequency and flux density of the spectral maximum.

#### 4.3.2 Gyrosynchrotron radiation of non-thermal electrons and rising submillimeter spectra

In the following we discuss the ranges of parameters that can produce an increasing spectrum with a peak frequency above 500 GHz. For simplicity we consider a single electron population with a power-law energy spectrum in a uniform magnetic field and a radio emitting source of projected diameter  $d_s$  and depth  $h_s$  along the line of sight. In addition to  $B$ ,  $d_s$ , and  $h_s$ , even for such a simple model, there are a number of free parameters characterizing the ambient plasma (temperature  $T$  and electron density  $n_e$ ) and the instantaneous density of radio-emitting electrons above 20 keV,  $n_{20}$ , and the power-law spectral index  $\delta$ . As observations at two frequencies are not sufficient to determine this ensemble of free parameters we take  $n_{20} \approx 10^{37} \text{ cm}^{-3}$  and  $\delta = 3$ , values used in Trotter et al. (2008) for the SOL2003-10-28 event. We arbitrarily consider  $h_s = 1''$ .

Figure 13 shows examples of gyrosynchrotron spectra which broadly agree with the range of flux densities observed at 212 and 405 GHz at the maxima of THz events, indicated by the two vertical bars.

- The spectrum shown by the full line is obtained for  $B = 1500 \text{ G}$  and  $d_s = 1''$ . The small size of the source is necessary to push the non-thermal number densities to very high values and thus contribute, in conjunction with the strong magnetic field, to creating a very high peak frequency, as shown in Fig. 4. With such parameters gyrosynchrotron self-absorption creates a spectrum that rises up to about 800 GHz. This interpretation was explored by Silva et al. (2007) to explain the SOL2003-11-02 flare observation by SST: they adopt a broken power-law electron energy distribution of slope 4.15 below 3 MeV and 3.15 above containing  $5 \times 10^{35}$  electrons above 50 keV confined to a region  $0.5''$  in size where the magnetic field strength is 4500 G. The parameters for the electron energy distribution are chosen to match the hard X-ray emission observed in this event. However, there is an upper limit to the possible values of magnetic field strength in the corona, set by the largest values seen in the photosphere ( $\sim 4000 \text{ G}$ , e.g., Zirin and Wang 1993). Coronal field strengths of 2000 G are commonly observed in large active regions by the Nobeyama Radioheliograph (NoRH) at 17 GHz (Shibasaka et al. 1994),



**Fig. 13** Examples of gyrosynchrotron radio spectra for emission by electrons with a power-law energy distribution with a spectral index of 3 in a source of homogeneous magnetic field. The angle between the line of sight and the direction of the magnetic field is taken to be  $45^\circ$ . The *full*, *dashed* and *dashed-dotted lines* correspond, respectively, to models where the low-frequency part of the radio spectrum is shaped by self-absorption, free-free absorption and Razin suppression (see text for the parameters used). The *vertical bars* indicate the ranges of flux densities observed at 212 and 405 GHz in THz flares

but field strengths in the corona have never been observed to reach 4000 G (when they would be observed by NoRH at 34 GHz). This implies that the largest possible value of  $\nu_c$  in the corona is of order 8 GHz (3000 G). While the self-absorbed spectrum in Fig. 13 gives a possible representation of the observations with a plausible magnetic field strength in the low corona, a persistent problem is the required non-thermal electron density. The high value of the density of non-thermal electrons in the radio emitting source ( $n_{20} = 2\text{--}4 \times 10^{13} \text{ cm}^{-3}$ ) required in order to achieve a high turnover frequency would be a significant fraction of the total electron density in the chromosphere, and unrealistically high for the flaring corona.

- The dashed line shows a spectrum where free-free absorption in the emitting source itself dominates below the spectral maximum. It has been obtained for  $B = 700 \text{ G}$ ,  $d_s = 10''$ ,  $n_e = 1.5 \times 10^{12} \text{ cm}^{-3}$  and  $T = 10^5 \text{ K}$ . In this case,  $n_{20} \approx 3 \times 10^{11} \text{ cm}^{-3} \approx 0.2 n_e$ . The temperature implies a source in the transition region, so that the thermal electron density is moderate. It is, however, questionable if this localization is consistent with the required size  $d_s$ .
- The spectrum shown by the dashed-dotted line is shaped by Razin suppression below 220 GHz. The adopted parameters are  $B = 700 \text{ G}$ ,  $d_s = 10''$ ,  $n_e = 8 \times 10^{12} \text{ cm}^{-3}$  and  $T = 5 \times 10^6 \text{ K}$ . In this case  $n_{20} \approx 3 \times 10^{11} \text{ cm}^{-3} \approx 0.04 n_e$ .

Although the above discussion is based on a specific set of parameters that is not uniquely defined, it indicates that self-absorption as well as free-free absorption may not be appropriate to account for increasing spectra in the 200–400 GHz domain because extremely high densities of energetic electrons are needed. The estimates above constitute lower limits because free-free absorption by a plasma screen outside the radiating source was not considered (such an absorbing screen is more likely if the emitting source is low in the solar atmosphere). Moreover, the observations often show an almost linear correlation between the submillimeter emission and the hard-X-ray peaks (see Sect. 3.2), whereas self-absorbed gyrosynchrotron, which depends

on the product of the effective energy of the emitting electrons and the area of the optically thick source, is not expected to show such a strong correlation. Such a correlation is expected for free–free absorption of optically thin gyrosynchrotron emission, since the variation of the non-thermal electron density only affects the emissivity, and for Razin suppression, because the 200–400 GHz emission is optically thin even though the spectrum rises with increasing frequency. For the parameters used above one needs  $5 \times 10^6 \text{ K} < T < 10^7 \text{ K}$  in order to avoid too much free–free absorption and too high a contribution to the hot soft X-ray source measured by GOES. But given the high temperature, the THz source must be located in the flaring corona, where the ambient density required for Razin suppression again appears rather high.

It is clear that the microwave component of the spectra in Fig. 13 is much weaker ( $< 100$  SFU) than that observed during THz bursts ( $> 10^4$  SFU around 15 GHz). This implies that the usual microwave emission arises from a separate region of weaker magnetic field. Silva et al. (2007) model the microwave emission of the SOL2003-11-02 flare using the same electron spectrum as in the THz source, but with a microwave source that is 100 times larger and has a magnetic field  $10\times$  smaller.

In summary, gyrosynchrotron radiation from non-thermal electrons can in principle explain radio spectra rising through the mm-wave range, but at the expense of requiring extreme values for the non-thermal or ambient electron density, or both. It is not clear how such a large non-thermal population is accelerated, nor how it can be maintained for the duration of this event. Furthermore, the confinement of such an electron population at such a high energy density is unclear (the required confining magnetic field for our three examples are 7000 G, 700G, and 700 G, respectively, while for the parameters proposed by Silva et al. (2007) require a magnetic field strength of 5500 G). The way in which the flare maintains two separate energetic electron populations radiating intensely in microwaves and in the mm-wave range, but with a gap in between, while showing some similarity of time profiles that argue for a direct magnetic connection between the two sources, is also not easily understood. The numerical evaluations presented here and in the literature hence do not completely rule out the incoherent gyrosynchrotron mechanism based on non-thermal electrons (see also Melnikov et al. 2013), but they clearly motivate a search for alternatives.

#### 4.3.3 Synchrotron emission from relativistic positrons

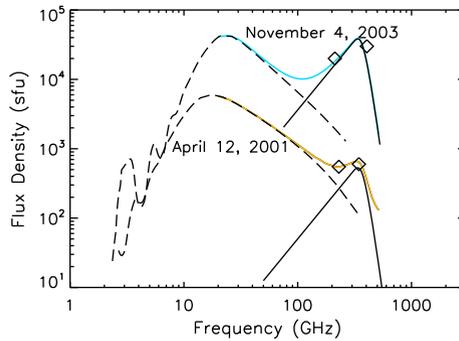
Gyrosynchrotron emission of positrons, instead of electrons, provides an attractive alternative because it might naturally explain the gap between the microwave spectrum and the THz spectrum, while maintaining a close link between the time histories of the radiating particle populations as revealed by common time structures. The impulsive phase emission of SOL2003-10-28 started simultaneously with the  $\gamma$ -ray emission above 60 MeV (Fig. 8). This high-energy  $\gamma$ -ray continuum is predominantly produced by  $\Pi^0$  decay photons and by bremsstrahlung from relativistic positrons from the decay of  $\Pi^+$  secondaries, bremsstrahlung from relativistic electrons from the decay of  $\Pi^-$  being negligible (e.g., Murphy et al. 1987; Vilmer et al. 2003). Moreover, the source at 210 GHz was found to be compact (Lüthi et al. 2004a) and co-spatial with one of the 2.2 MeV line sources (Trottet et al. 2008), i.e. with one

of the ion interaction regions observed by RHESSI Hurford et al. (2006). These facts suggest that the THz emission may be produced by relativistic positrons. The possibility that positrons contribute to the microwave spectrum by synchrotron emission was first pointed out by Lingenfelter and Ramaty (1967). For magnetic fields of the order of 1000 G the peak frequency of the synchrotron spectrum from positrons (or electrons) with energies typical of pion decay products falls in the sub-mm- or mm-wave range.

The resulting synchrotron emission is thought to be optically thin, but the peculiar energy spectrum of the positrons, which increases up to  $\sim 10$  MeV as roughly the square of the positron energy, could create a synchrotron spectrum with a positive slope. The broad spectrum of the emission of individual positrons above about 1 MeV makes this slope rather shallow: the low frequency part of the synchrotron spectrum increases as  $\nu^{1/3}$  (e.g., Pacholczyk 1970, Eq. 6.30). This is inconsistent with the observed slope ( $\geq 1$ ) in the 200–400 GHz domain. However, a combination of free-free absorption and Razin suppression is capable of producing the required spectral shape (cf. Trotter et al. 2008; Fleishman and Kontar 2010). As discussed above, this requires high plasma densities ( $\geq 10^{12}$  cm $^{-3}$ ) and plasma temperatures in the  $0.5\text{--}1 \times 10^6$  K range. Nevertheless, to match the observed flux densities in the 200–400 GHz range, it seems that this mechanism requires more relativistic positrons than created even in the most powerful  $\gamma$ -ray flares. The instantaneous number of positrons needed is estimated to be of the order of a few times  $10^{31}$  to get flux densities of  $\sim 10^4$  SFU. As the number of positrons produced by  $>200$  MeV protons is in the range  $10^{-1}\text{--}10^{-2}$  per proton (cf. Fig. 3 in Lingenfelter and Ramaty 1967), a few times  $10^{32}\text{--}10^{33}$  protons above 200 MeV are needed to account for the radio emission. This is at least an order of magnitude larger than the range of values derived from the largest fluxes of pion-decay photons observed so far (cf. Vilmer et al. 2003). Although incoherent synchrotron emission from relativistic positrons seems to be ruled out, this does not necessarily imply that positrons do not play a major role in the production of the THz component through synchrotron maser or Vavilov–Cherenkov radiation (see the discussion below).

#### 4.3.4 Gyrosynchrotron radiation from thermal electrons

Gyrosynchrotron radiation by electrons is not restricted to non-thermal populations with a power law energy spectrum, but can also be produced by a very hot thermal population. Since the simultaneous presence of very hot thermal and non-thermal electron populations is well established in solar flares, the two populations are a possible means to explain the distinct microwave and THz spectral components. Thermal electron populations can be created directly during the flare energy release or through the impact of energetic particles onto the chromospheric footpoints, which causes the ionization and heating of the plasma, and flow upwards into the flaring loops. The plasma filling these loops has a temperature of order 20 million degrees, as revealed by extremely hot EUV lines and densities of  $10^{12}$  cm $^{-3}$  (e.g., Doschek et al. 1996). In order to produce optically thick gyrosynchrotron emission in the sub-THz range, however, much hotter electrons together with very strong coronal magnetic fields are required: at a temperature of about  $T_m \approx 10^8$  K, electrons gyro-radiating in a 5000 G



**Fig. 14** Spectral fits using gyrosynchrotron emission from non-thermal electrons plus thermal synchrotron. The *blue curve* represents the solution for the event SOL2003-11-04T19:44, with the following parameters for the thermal solution:  $T_e = 2.8 \times 10^8$  K,  $n_e = 8 \times 10^{11}$  cm $^{-3}$ , diameter =  $15''$ , length =  $10^9$  cm. The *yellow curve* is the solution for the SOL2001-04-12T10:28 event. The parameters of the thermal source are:  $T_e = 2.8 \times 10^8$  K,  $n_e = 8 \times 10^{11}$  cm $^{-3}$ , diameter =  $1.8''$ , length =  $10^9$  cm. The magnetic field  $B = 5200$  G for both solutions

magnetic field will have a radio spectrum peaking at around a few hundred GHz. Costa et al. (2013) argue that this can account for most of the flux detected during the observations.

Two examples of a thermal synchrotron spectrum are shown in Fig. 14. Either would be in conflict with the GOES soft X-ray photometry because of the high temperatures and emission measures (e.g., Garcia 1994). Furthermore, the magnetic field strength used in the models of Fig. 14 is beyond acceptable limits, especially with a scale size of  $10^9$  cm. Semi-empirical formulas of gyrosynchrotron radiation from a thermal plasma (cf. Dulk 1985) show that the peak frequency depends essentially on the magnetic field strength and the temperature, and very weakly on the column density of the radiating electrons (see Fig. 4). There seems to be little room for removing the requirement of an extremely high magnetic field by accommodating other parameters. The thermal gyrosynchrotron model hence does not work; the 50 keV HXR flux predicted by the two models shown in Fig. 14 would be  $3 \times 10^5$  and  $4 \times 10^3$  ph/(cm $^2$  s keV), respectively, each well in excess of what is observed (largest flares have peak flux at 50 keV of a several times 10 ph/(cm $^2$  s keV)).

#### 4.4 Coherent emission mechanisms

Coherent emission mechanisms are known to play an important role in the solar corona at frequencies of a few GHz (Slottje 1978) and below. They are rarely observed at higher frequencies: the highest-frequency examples are perhaps those reported by Benz et al. (1992), who observed millisecond spike bursts at frequencies up to 8 GHz, and by Staehli et al. (1987), who report some evidence of harmonic structure up to about 12 GHz. The latter note that such signatures are rare. Coherent radio bursts are usually readily identifiable via their extreme brightness temperatures, spectral signatures, rapid fluctuations, and/or polarization properties. While coherent radiation mechanisms may be relevant at millimeter or submillimeter wavelengths in principle, there are no compelling examples that require a coherent mechanism to

account for the observations. In the case of the THz component, however, coherent mechanisms cannot be definitely excluded either. Several coherent mechanisms are therefore listed below and could be relevant in principle.

#### 4.4.1 Cherenkov radiation

Fleishman and Kontar (2010) introduced a novel idea for the origin of the submillimeter radiation: Cherenkov radiation of fast electrons with velocities exceeding the speed of light in the local medium. Ultrarelativistic electrons and positrons in cosmic-ray showers produce such radiation in air and it has long been used as a standard tool for studying cosmic rays (e.g. Jelley 1955). The time development of such emission would reflect the acceleration and transport of flare energetic electrons (as well as any variations in the refractive index of the medium due to composition changes) so it could easily fluctuate very rapidly. The intensity and spectral form of Cherenkov emission depend crucially on the dielectric properties of the solar atmosphere. Cherenkov radiation is perhaps unfamiliar to many so we discuss these features briefly to recall its main properties.

The rate  $dI/d\nu$  ( $\text{erg s}^{-1} \text{Hz}^{-1}$ ) of Cherenkov radiation at frequency  $\nu$  by an electron of speed  $\beta c$  moving in a medium of dielectric permittivity  $\epsilon(\nu)$  (equal to the square of the refractive index) is given by the Tamm–Frank formula (e.g. Jackson 1962):

$$\frac{dI}{d\nu} = \frac{4\pi^2 e^2}{c} \nu \left( 1 - \frac{1}{\beta^2 \epsilon(\nu)} \right). \quad (15)$$

Cherenkov radiation is effective if  $\beta^2 \epsilon(\nu) > 1$ . To calculate the total Cherenkov flux at some frequency  $\nu$ , we multiply Eq. (15) by the energy distribution of electrons and integrate over all energies such that  $\beta > 1/\sqrt{\epsilon(\nu)}$ .

If  $\epsilon$  depends only weakly on  $\nu$ , then we have roughly

$$\frac{dI}{d\nu} \propto \nu,$$

i.e. a continuous spectrum that increases with frequency, but less rapidly than is actually observed. If Cherenkov radiation is to account for flare submillimeter,  $\epsilon(\nu)$  must have a significant  $\nu$ -dependence so that the number of radiatively effective electrons increases with  $\nu$ .

Cherenkov emission emerges in a cone around the direction of motion of the electron, of half-angle  $\theta$  given by

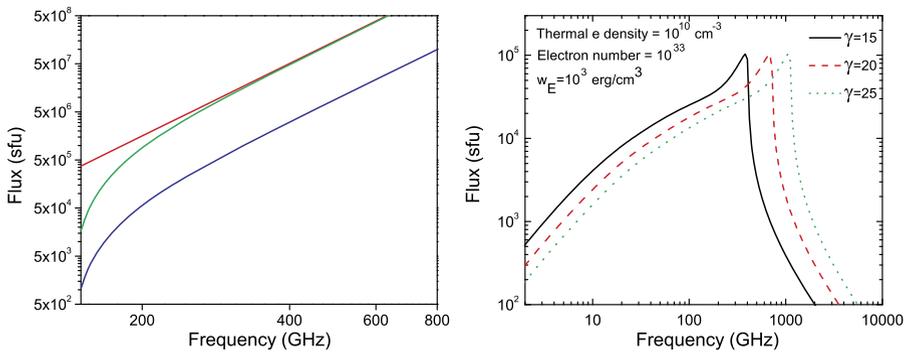
$$\cos \theta = \frac{1}{\beta \sqrt{\epsilon(\nu)}}.$$

Thus the radiation from a continuous distribution of electron energies will occupy the whole of the inside of a cone of half-angle  $\cos^{-1}(1/\sqrt{\epsilon(\nu)})$ .

A spectral line at frequency  $\nu_0$  adds a contribution to the dielectric permittivity that behaves as (e.g. Heitler 1954)

$$\sim \frac{1}{(\nu - \nu_0)^2 + i\Gamma},$$

where  $\Gamma$  is the decay constant of the transition.



**Fig. 15** *Left*: model spectra of the Cherenkov mechanism (power-law spectra, with *red* and *green* lines showing two different parameter choices; *red* line, ignoring effects near the plasma frequency); *right*: diffusive radiation in Langmuir waves, showing the dependence on electron energy  $\gamma$  for a fixed energy density in Langmuir waves (Fleishman and Kontar 2010)

Fleishman and Kontar (2010) suppose that very many (possibly overlapping) atomic and molecular transitions produce a net dielectric permittivity that may be modeled as

$$\epsilon(\nu) = 1 - \frac{\nu_p^2}{\nu^2} + \frac{\nu^2}{\nu_0^2}. \tag{16}$$

In principle a complete line list including wavelengths and oscillator strengths together with statistical equilibrium calculations could give  $\epsilon(\nu)$  exactly: however, Fleishman and Kontar (2010) do not make such a calculation and merely speculate that it would produce a result of the form (16). Equation (16) does allow some parametric discussion in the absence of this challenging calculation, but the key parameter  $\nu_0$  is completely unknown at present. Using this  $\epsilon(\nu)$  in Eq. (15) with a speed distribution  $\propto \beta^{-b}$ , and adopting values of  $\nu_0$  in the THz range, Fleishman and Kontar find a spectrum that can increase with  $\nu$  (Fig. 15, left panel).

The above description of the Cherenkov physics is ad hoc and its proposers do not provide concrete estimates based on experimental results. We can therefore consider cosmic-ray air showers, which develop in the Earth’s atmosphere, and for which coherent THz emission has not apparently been reported. In air the refractivity  $N = (n - 1) \times 10^{-6}$  is of order 300, where  $n$  is the refractive index. Empirically the refractivity at microwave frequencies is not very different (e.g. Bean and Dutton 1961). For solar plasma we can crudely estimate the refractivity by using air via scaling to the density of oxygen, which gives a factor of roughly  $10^{-4}$  and a refractivity of about 0.3. In a sense this is an upper limit since air is composed almost entirely of molecules and has a rich mm-wave spectrum due to water vapor, for example. For this refractivity, Eq. (15) shows the relevant electron energy to be about 600 MeV and for a simple power-law extrapolation of the electron spectrum as  $E^{-3}$ , one finds  $2 \times 10^{28}$  electrons and an ample 300 GHz flux. This electron energy is well above the value  $E_{\text{roll}} \approx 40$  MeV value of the high-energy cutoff estimated by Vilmer et al. (2003), so it is not clear if it is realistic. We conclude that the Cherenkov mechanism implies extreme parameters, but should be investigated further.

A further possibility is Cherenkov radiation from secondary positrons and electrons produced via the decay of charged pions in p-p interactions (Murphy et al. 1987). These naturally have an energy distribution peaking about 150 MeV, making them the most relativistic particles present in flares (in terms of Lorentz  $\gamma$ ), and thus prime candidates to radiate via the Cherenkov mechanism. A large flare might involve as many as  $\sim 10^{31}$  protons above 300 MeV (e.g. Vilmer et al. 2003), and thus  $\sim 10^{29}$  positrons (e.g. Murphy et al. 1987). If we suppose that all positrons are so energetic that they satisfy  $\beta\sqrt{\epsilon(v)} > 1$ , Eq. (15) implies a flux of  $< 4 \times 10^4$  SFU at 400 GHz (the upper limit applying in the case  $\sqrt{\epsilon} \gg 1$ ). This estimate unrealistically assumes that the positrons remain at their original energies in the source for the lifetime of the event. A more detailed calculation for individual events may be merited but this would have to be supplemented by a more realistic consideration of the atmospheric dielectric properties.

#### 4.4.2 Diffusive radiation in Langmuir waves

In this emission mechanism (e.g. Fleishman and Toptygin 2007a, 2007b) flare-accelerated electrons at relativistic energies are assumed to encounter a region with turbulent waves (e.g. in a flare loop) (e.g. Tsytovich et al. 1975). The electric fields of the turbulent wave then act as a random Lorentz force on the relativistic electrons creating electromagnetic emission termed “diffusive radiation” (the term diffusive is used to describe the random walk that electrons undergo in this process). For long-wavelength Langmuir waves, this process can produce a positive slope (power law index up to 2) in the submillimeter range with the peak frequency given by  $2\nu_p\gamma^2$ , where  $\nu_p$  is the plasma frequency (Fleishman and Kontar 2010). To have an increasing spectrum up to 400 GHz, the ambient density in the turbulent region therefore must be  $n_e > 4 \times 10^{14}\gamma^{-4} \text{ cm}^{-3}$ . For electrons with  $\gamma = 10$ , this gives densities above  $4 \times 10^{10} \text{ cm}^{-3}$ , values that are typical for flare loops. The electron population (number of electrons with energy  $\gamma$ ) and the energy density in Langmuir waves are the parameters required to calculate the radio flux. For  $10^{33}$  electrons with  $\gamma = 15$  (a large number) and energy density of  $10^3 \text{ erg cm}^{-3}$ , fluxes at 400 GHz of  $4 \times 10^4$  SFU could be produced (Fleishman and Kontar 2010); see Fig. 15 (right panel). This are values similar to seen for the largest events. However, the required energy density of Langmuir waves is large, corresponding to the energy density of a magnetic field of about 170 G, and the origin of these waves is not known. It is thus difficult to make quantitative statements. Nevertheless, the characteristic spectrum (Fig. 15, right panel) with a sharp decrease after the peak frequency, would provide an excellent diagnostic for future observations to distinguish this process from some other mechanism.

#### 4.4.3 Coherent-bunch synchrotron radiation

This model addresses the presence of two distinct components in the radio spectrum by attributing the submillimeter component to normal synchrotron emission, as discussed above, while using emission from coherent bunches to explain the microwave component (Kaufmann and Raulin 2006). Coherent bunching radiation works as follows: if  $N$  electrons move together within a volume of dimension much smaller than a

wavelength, then instead of radiating as  $N$  independent charged particles, they emit as if they were a single electron of charge  $Ne$ . The radiation from such a coherent group of electrons is then proportional to  $N^2$  rather than  $N$ . This phenomenon is common in laboratory devices such as particle colliders and free-electron lasers. The idea for submillimeter bursts is that all the radio emission is produced by a single population of highly relativistic electrons, but that the electrons are confined to bunches of dimension less than 1 cm, and so radiate coherently at longer wavelengths but incoherently at shorter wavelengths. This effect elevates the flux at longer wavelengths and makes it appear to be a separate component with a spectral peak in the microwave range.

The spectrum will appear to contain two components as long as the electrons remain confined to bunches smaller than 1 cm. However, any spread in electron velocities will quickly disperse the bunches and terminate the coherent emission in the microwave range. For this reason, this model requires the electrons to be highly relativistic (in order to produce synchrotron emission at submillimeter wavelengths) and essentially mono-energetic (to prevent bunch dispersion; highly relativistic electrons will all have velocities close to  $c$ ). In the case of synchrotron emission where the gyroradius is of the same order as the wavelength of radiation, the electrons must gyrate together bunched in phase around the gyro-orbit. Since the same electrons produce the radiation at all radio wavelengths, the microwave and submillimeter components should behave in a tightly correlated manner, but the ratio of the two components may vary. The microwave component would presumably be more highly variable as bunches are created and eventually disperse due to the spread in velocities.

Possible mechanisms for generating bunches are not well established. Klopff et al. (2010) note that such bunches are deliberately created in free-electron lasers using “undulators” (periodic magnetic structures) in order to create powerful radiation sources. There is also a self-bunching mechanism involving the ponderomotive force of the bunched radiation. Unless such self-bunching is a robust and universal process, it seems likely that the bunches must be generated intrinsically by the acceleration mechanism: such small groups of electrons do not seem to be a natural consequence of current candidate acceleration mechanisms.

Note that in this model the coherent bunching radiation is used to explain the more common component of the radio spectrum (the microwave-peaked component). Since this component does not seem to differ between flares with and without the submillimeter component, one is forced to conclude that the coherent bunching mechanism is always operating in flares to produce the microwave emission. This seems unlikely in view of the extreme conditions needed to maintain the bunches against velocity dispersion, and the fact that the incoherent gyrosynchrotron emission mechanism comfortably explains the properties of most observed microwave bursts, as well as their excellent correlation with hard X-ray emission.

Another issue for this mechanism is that in order for the submillimeter component to have a rising spectrum, it must be optically thick, and this implies that the lower-frequency microwave component is also in the optically thick regime. The effect of bunching in the optically thick limit seems not to have been addressed theoretically, since it is not relevant to the collider and free-electron laser circumstances. A simple argument is as follows: by Kirchoff’s law, the brightness temperature of the emission in the optically thick limit simply corresponds to the effective energy of the radiating

particles, and the effective energy of a particle consisting of a coherent bunch of  $N$  electrons moving together is  $N$  times the energy of a single electron. A more careful inspection of the emissivity and absorption coefficients for synchrotron emission confirms this argument. Thus if this mechanism works, it can make the microwave emission up to  $N$  times brighter than the extension of the submillimeter spectrum down to microwave frequencies. However, this multiplication factor also implies that extreme brightness temperatures, well in excess of the  $10^9$ – $10^{10}$  K values implied by electron energies of order 100 keV, should be measured in the microwave component. Current microwave imaging measurements seldom reach brightness temperatures of  $10^9$  K at a spatial resolution of order  $5$ – $10''$ , so much higher intrinsic brightness temperatures require very small (subarcsecond) microwave sources in the optically thick limit.

#### 4.4.4 Synchrotron maser

The electron cyclotron maser (ECM) involving mildly relativistic electrons has been much invoked in astrophysics (e.g. Wu 1985; Treumann 2006). Operating near the local gyrofrequency  $\Omega_e$ , ECM cannot be important in the submillimeter range even for the strongest magnetic fields found on the Sun. The association of submillimeter radiation with  $\gamma$ -rays raises the possibility of maser operation involving relativistic electrons, or possibly positrons, however. This would constitute a synchrotron maser (McCray 1966; Zheleznyakov 1967) potentially operating at much higher harmonics of the gyrofrequency, and in the regime where harmonics merge to form a continuum. Maser action by electrons in the MeV energy range has been discussed in Wu (1985) and Louarn et al. (1987). Direct electromagnetic radiation can occur at larger values of  $v_p/\Omega_e$  than for the mildly relativistic ECM, mostly at  $2\Omega_e$  where it can escape more easily, without re-absorption at an overlying harmonic layer.

One essential ingredient is a region of velocity space where  $\partial f/\partial p > 0$ , a situation which would develop naturally as electrons of lower energies stop higher in the atmosphere (e.g., Emslie and Smith 1984); or which would automatically be met for the electron and positron distributions resulting from p-p collisions and pion decay (Murphy et al. 1987). The high energies involved in the latter case and the naturally occurring positive slope of the energy spectrum of electrons and positrons produced during these nuclear reactions make this a potentially appealing mechanism. It remains unclear whether enough particles can be present in the same region of the atmosphere. A detailed calculation of positron production and transport would have to be combined with growth rate calculations in the relativistic regime; the expressions of Cawthorne (1985) and Crusius-Waetzel (1991), assuming isotropic distributions and randomly directed magnetic fields, might serve as first estimates.

#### 4.4.5 Coherent plasma radiation

Coherent plasma radiation from suprathermal electrons produced at the plasma frequency of the ambient medium is a well established emission mechanism at frequencies below  $\sim 10$  GHz (Bastian et al. 1998). The lack of plasma emission at higher frequencies is generally attributed to the rapid increase of free-free absorption with

frequency: since free–free opacity varies as  $n_e^2/T^{1.5}$ , where  $n_e$  is the ambient electron density, and the plasma frequency  $\nu_p$  varies as  $n_e^{0.5}$ , the free–free opacity at the plasma frequency rises roughly as  $\nu_p^4$ . (In practice the absorption depends on the density gradient as the electromagnetic wave propagates away from the plasma frequency layer; see Benz 1993, Sect. 11.2). If the temperature of the medium is very high, this can offset the effect of increasing frequency, but to produce plasma emission in the THz range, densities of the order of  $10^{15} \text{ cm}^{-3}$  are required, and such densities are not reached in models of the quiet-Sun atmosphere until one drops below the  $\tau = 1$  layer in the photosphere where the temperature is less than 10000 K (e.g., Fontenla et al. 2007). Particle-in-cell (PIC) simulations of relativistic ( $\gamma \approx 5$ ) electron beams (Sakai et al. 2006) and proton beams with velocities of  $\sim c/3$  (Sakai and Nagasugi 2007b) show that beams at high energies can indeed penetrate deep enough to reach such densities. Furthermore, plasma radiation in the mm-wave range with a higher flux at 400 GHz than at 200 GHz can be produced for relativistic electron beams as well as by proton beams. However, the problem of free–free absorption appears to be insurmountable: from Benz (1993), Eq. (11.2.5), a density scale height as small as 1 km still results in a free–free absorption optical depth of order  $10^7$  for fundamental plasma emission at 400 GHz.

#### 4.5 Compton scattering

High-energy electrons can Compton-scatter low-energy photons, for example converting gyrosynchrotron emission from long wavelengths up to THz frequencies. This is in principle interesting because at 10 GHz one may have large optical depth and large photon densities. In the relativistic limit, the mean upscattering is given by the factor  $4/3 \gamma^2$  (e.g., Rybicki and Lightman 1985), which implies that a THz conversion from 10 GHz photons requires a relativistic gamma of about 10, or an electron energy of about 5 MeV. This does not sound improbable, but there are convincing arguments (e.g., Fleishman and Kontar 2010) that the photon energy density will always be much less than the magnetic energy density. This means that Compton scattering cannot compete with gyrosynchrotron emission (Rybicki and Lightman 1985).

### 5 Future observations

The spectral domain that is the subject of this review, basically the three decades between wavelengths of 1  $\mu\text{m}$  and 1 mm, essentially remains virgin territory for solar flare research. The THz events that we have glimpsed hint strongly that new and interesting insights await better observations. A large part of the THz spectrum has not yet been covered by solar observations. However, rapidly evolving detector technology in the far infrared range makes this possible in the future.

Existing radio telescopes also have interesting capabilities. Among these we could name the 15-m James Clerk Maxwell telescope (Lindsey et al. 1990), the 10.4-m Caltech Submillimeter Observatory, the CARMA array, and the stratospheric observatory SOFIA. SST is still the only instrument to regularly observe the Sun at frequencies above 200 GHz. Its sensitivity was enhanced in 2006 with the upgrade

**Table 2** Summary of mechanisms that can produce positive THz slopes

Mechanism	Arguments for	Arguments against	Ref.
Free-free	Known mechanism	Conflict with SXR for impulsive phase	(1), (3), (9)
Synchrotron (electrons)	Known mechanism	Extreme parameters required	(2), (3), (9)
Synchrotron (positrons)	Known mechanism Correlation with $\gamma$ -rays	Extreme parameters required Conflicts with $\gamma$ -ray intensity	(2), (3)
Synchrotron (thermal)	Known mechanism	Extreme parameters required Conflict with HXR	(7)
Cherenkov	Correlation with $\gamma$ -rays	Dielectric properties unknown Highly relativistic particles needed Inadequately studied	(4)
Microbunching	Correlation with $\gamma$ -rays	THz from synchrotron Bunching mechanism unknown Coronal $\mu$ waves unclear	(5)
Plasma emission	Correlation with $\gamma$ -rays High luminosity	Free-free absorption	(6)
Diffusive radiation	Correlation with $\gamma$ -rays	Origin of Langmuir waves Extreme parameters required	(4)
Synchrotron maser	Correlation with $\gamma$ -rays	Extreme parameters required Inadequately studied	(8)
Inverse Compton	Correlation with $\gamma$ -rays	Insufficient ambient photons	(4)

(1) Bastian et al. (1998); (2) Silva et al. (2007); (3) Trotter et al. (2008); (4) Fleishman and Kontar (2010); (5) Kaufmann and Raulin (2006); (6) Sakai and Nagasugi (2007a); (7) Costa et al. (2013); (8) This paper; (9) Kaufmann et al. (2009)

of the receivers at 212 GHz reducing the system temperature and increasing their waveband. New facilities are being installed at the SST site to increase the frequency coverage. A small mid-IR camera in the 8–14  $\mu$ m band with a high image cadence is operating at CASLEO on a daily basis. Furthermore, full-Sun polarimeters at 45 and 90 GHz are currently being installed (Polarization Emission of Millimeter Activity at the Sun (POEMAS), Valio et al. 2013). Their goal is to improve the characterization the intermediate region between the *normal* microwave gyrosynchrotron emission and the THz component. The former Bumishus patrol telescopes of the Bern University, now installed in Finland, operating between 1 and 50 GHz, will also be important to observe this intermediate region. A recently approved new submillimeter telescope that will be in operation by the fall of 2014, is the Large Latin American Millimeter Array (LLAMA, Arnal et al. 2011). While this 12-m single dish telescope is not solar-dedicated, it will occasionally provide high sensitivity observations of solar flares. Finally, a THz balloon-borne telescope, the *Solar-T*, is being developed to fly on a balloon. No imaging information will be available, but Solar-T will produce light curves at 3 and 7 THz with a sensitivity  $\sim 100$  s.f.u. The first science flight is expected to occur in 2013.

The DESIR project (Detection of Eruptive Solar Infra Red emission) was conceived for a microsatellite payload (e.g. Matthews et al. 2012). DESIR aims at photometric observations at two wavelengths with microbolometers. Use of a modest-size

telescope (17 cm diameter) allows one to localize the emitting sources. DESIR was conceived as a pioneering instrument to open the far-infrared window on solar flares, but remains in the planning stage at present.

The Atacama Large Millimeter Array (ALMA) (see, e.g., Loukitcheva et al. 2008) offers the combination of excellent image quality and high spatial resolution (arcsecond) simultaneously for the first time. ALMA will be capable of spatial resolution rivalling the best solar telescopes at any wavelength. However, the limited field-of-view of ALMA (21'' at 300 GHz) only covers a fraction of an active region reducing the chance of catching a flare significantly. Even for the case where ALMA is pointing at the flare location, the field-of-view is too small to contain all THz sources. Several options to improve flare observations with ALMA are available; two of them are mentioned here. ALMA could be split into sub-arrays to cover a larger area, at the cost of lower sensitivity and spatial resolution. To further enhance the chance of catching a flare, ALMA could be operated not as an interferometer, but in a single-dish mode. Each antenna is pointed at a slightly different location within the active region forming a mosaic pattern that covers the entire active region. Imaging information (at a much reduced level) would then be obtained in a similar fashion as currently been done with the multi-beam technique discussed in this review. A further key to the use of ALMA for solar observations lies in the implementation of an attenuator system, which will improve by a factor of 20 the basic system limit at about 5,000 K. This will definitely permit observations of the quiet Sun, active regions, and some flares. Saturation would occur for major THz events of the few thus far observed, but the enormous gain in sensitivity will certainly result in flare observations. Comparisons with hard X-ray sources, observed for example by RHESSI or by the STIX instrument on Solar Orbiter (Benz et al. 2012)), would be of primary interest. We eagerly look forward to learning how these new observations will relate to the observations and interpretations discussed in this review.

## 6 Conclusions

Solar flares generally have been detected at almost all wavelengths, but the three decades of wavelength in the far-IR/mm-wave spectral range have remained surprisingly ill-studied. This gap in our knowledge conceals unique important physics relating to high-energy particles and to the structure of the flaring atmosphere; only within the last solar cycle has a dedicated facility become (the SST at El Leoncito) become available. In this review we have critically assessed the observational material in the sub-THz band, corresponding to 0.1–0.2 mm wavelengths, most of which has come from a few events observed by the SST. Extremely valuable supplementary material also was obtained at the KOSMA instrument on Gornegrat, which is no longer active. There is virtually no observational material in the far-IR range yet.

The sub-THz observations of solar flares have now suggested two phenomena worthy of attention: a tendency towards pulsations, and an unexpected upward spectral break of the non-thermal radio spectrum that we have termed the “THz” component, with the clear implication that it may contain novel physics. Our main emphasis in this review has been to make a critical assessment of this THz component, and to give

an overview of the several possible explanations. We deem that the pulsations may or may not have a particular connection to the THz band, and have mainly dealt here with the spectral signatures.

Our critical review has provided clear evidence that spectra with positive slopes extending towards the THz region do occur in major flares. Besides this new, but unexplained component, the observations also detect the optically thin low-temperature (a few  $10^6$  K) tail of the hot coronal sources readily observable in soft X-rays. In some events, there may also be an optically thick multi-temperature component at millimeter to submillimeter wavelengths with an increasing spectrum with frequency and with a few times the soft X-ray emission measure, but obviously arises from the chromospheric regions with temperatures in the  $10^3$  to  $10^5$  K range. There may indeed be more than one explanation, since some evidence for positive slopes exists in the pre-impulsive, impulsive, and even post-impulsive phases of a flare. In all three phases we find that non-thermal mechanisms must play a role, and the associations with hard X-rays and  $\gamma$ -rays suggest several interesting interpretations involving the high-energy particles fundamental to flare physics.

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