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A B S T R A C T

The Cariaco Basin and the Gulf of Cariaco in Venezuela are two major basins along the seismogenic El Pilar right lateral fault, among which the Cariaco Basin is a pull-apart. Both basins are sites of anoxia and organic-rich deposits. To examine whether the sediments in the Gulf of Cariaco have recorded traces of historical or prehistorical earthquakes, we extracted and analyzed twelve 1 m-long gravity cores, sampling the last millennium sedimentation. We focused on analyzing the sediment sources with different techniques (particle size analysis, XRF, loss on ignition tests, magnetic properties, Rock-Eval pyrolysis, 14C dating). The results confirm that major upwelling occurs at the western gulf entrance and makes deep water flowing from the Cariaco Basin into the Gulf of Cariaco. These flows carry an organic-rich suspended load. Furthermore, we found evidence of a particular, widespread fine-grained siliciclastic deposit (named SiCL3) within the gulf, whose age suggests that it likely formed during the large 1853 AD earthquake that stroke the Cumaná city. We suggest that the earthquake-induced large submarine landslides that modified the topography of the gulf’s entrance, which in turn promoted upwelling and open marine water flows from the Cariaco Basin. The layer SiCL3 would be the sediment load remobilized during this chain of events.

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Introduction

The relative displacement between the South America and the Caribbean plates is mainly accommodated along the right lateral strike slip San Sebastian-El Pilar fault system, at the northern boundary of Venezuela (Fig. 1: Audemard et al., 2000, 2005; DeMets et al., 2000; Pérez et al., 2001; Stéphan et al., 1990; Symithe et al., 2015; Weber et al., 2001). In the eastern part of the fault system, named El Pilar fault or EPF, the plate boundary fault is underlined by a 1400 m-deep, 160 km-long, 50 km-wide, pull-apart basin, the Cariaco Basin (also named Cariaco Trough) (Schubert, 1979, 1982). Further east, the Gulf of Cariaco is a smaller (65 km-long, 15 km-wide) and shallower (85 m-deep) basin, connected to the Cariaco Basin. The former developed directly upon and along the EPF, on the northern side. The EPF fault accommodates most of the relative plate motions through a combination
of creep (~60%) and co-seismic offsets (~40%) (Audemard, 2007; Jouanne et al., 2011; Reinoza et al., 2015). The EPF fault has in particular produced large earthquakes (i.e. with estimated MSK intensities of IX/X; Audemard, 2007) over the last centuries; most have seriously affected the city of Cumaná (location in Fig. 2). In an attempt to estimate the seismic potential of the EPF fault, many studies have been conducted in the last decades, most were led by the Venezuelan Foundation for Seismological Investigations. Since the main section of the EPF fault (named “VE-13b” by Audemard, 2007) is offshore (Fig. 2), multiple high-resolution seismic reflection data have been acquired to analyze the fault and to search for information on its historical and prehistorical ruptures (Audemard et al., 2007; Van Daele et al., 2011). Subaqueous paleoseismology has also been conducted, using approaches previously developed along other seismogenic faults in Venezuela (Carrillo et al., 2008). These studies analyze the sedimentary content in short “gravity cores” (~1 m long) so as to search and identify sedimentary changes that might have resulted from large earthquake motions, as it was observed right after the most recent large earthquake in the area (MW 6.9 Cariaco earthquake, Lorenzoni et al., 2012; Thunell et al., 1999).

The present paper focuses on this question, and explores the possible sedimentary signature of large historical earthquakes in the Gulf of Cariaco and in the eastern part of the Cariaco Basin. The eastern region of the Cariaco basin is interesting because it marks the entrance to the Gulf of Cariaco, and it is the site of a steep, 400 m-high, ~north–south-trending escarpment at the western edge of the Manzanares River delta (MRd in Fig. 2). The MRd delta is where the Cumaná city has developed. This delta is crossect by the EPF fault. Therefore, we might expect that large historical and prehistorical earthquakes on the EPF fault in the area of Cumaná have disturbed the nearby eastern part of the Cariaco Basin, with possible consequences on the sedimentation within the Gulf of Cariaco.

To examine these hypotheses, we analyze the sedimentary content of 12 cores extracted in the Gulf of Cariaco. We focus on determining the sediment sources (based on mineralogy and geochemistry) and their distribution pattern. Previous results have suggested that upwelling at the basin–gulf junction significantly controlled the sediment sources (Gade, 1961; Okuda, 1981, 1982; Okuda et al., 1974, 1978). In turn, part of the upwelling might have been enhanced by historical large

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**Fig. 1.** Tectonic setting of the Gulf of Cariaco. Active faults from Audemard et al. (2000, 2005), DeMets et al. (2000), Pérez et al. (2001), Weber et al. (2001), Symithe et al. (2015).

**Fig. 2.** (Color online). Geomorphic setting of the Gulf of Cariaco and of its connection with the Cariaco Basin. SS: Salazar Sill; CAB: Cerro Abajo Basin; GD: Guaracayal Deep; MRd: Manzanares River delta; CH: Caiguire Hills. Bathymetry simplified from Caraballo (1982a). Shaded-relief map from Garrity et al. (2004).
earthquake disruptions of the basin–gulf junction morphology and topography.

1. Geological setting and sedimentary environment of the Gulf of Cariaco

1.1. Tectonic setting and characteristics of the Gulf of Cariaco catchment area

The offshore trace of the EPF in the Gulf of Cariaco has been identified in high-resolution seismic data (Audemard et al., 2007; Van Daele et al., 2011; Fig. 2). The fault trace appears segmented, with two large relay zones between its longest segments: the Garacayal pull-apart or “Garacayal deep” (GD, Fig. 2), and the Caiguíre Hills push-up (CH, Fig. 2). The entrance of the gulf is the site of the large Manzanares River delta.

According to historical archives (in Altez Ortega et al., 2004; Audemard, 2007; Grases, 1990), four major earthquakes with associated tsunami hit the City of Cumana in 1530, 1853, 1929 and 1997. Reports indicate that 4–6 m- and 6 m-high run-up hit the Cumana coast during the 1853 and 1929 events, respectively. Traces of Holocene paleo-tsunamis have also been found in coastal lagoons in the Manzanares River delta (Leal et al., 2014). Right after the Mw 6.9 1997 Cariaco earthquake, significant and long-lasting sediment resuspensions were observed in the eastern Cariaco Basin, and were attributed to earthquake-induced submarine landslides, most probably on the western slope of the Manzanares River delta (Lorenzoni et al., 2012; Thunell et al., 1999). Furthermore, inhabitants from both northeastern and southeastern coastal areas reported a lowering of the sea surface by several meters, but no subsequent inland overflowing. These observations suggest that a tsunami resulted from the 1997 earthquake, which induced east–west moving waves. The tsunami may have enhanced the observed sediment resuspensions.

The reliefs that bound the gulf’s catchment area (Supplementary Material S1) are ~400 m a.s.l. in the Araya Peninsula and ~800 m a.s.l. in the northern flank of the Eastern Interior Range. No major tributary is directly flowing into the gulf. On the southern coast, only few small and short gullies carry temporary minor terrigenous sediments within the gulf. To the north, the coast is arid and its sedimentary contribution in the gulf is almost negligible. To the west, the Manzanares River has a wide, elevated, humid catchment area within the Eastern Interior Range, but it does not directly flow into the gulf. An artificial channel has been dug in the 1970s to mitigate the Rio Manzanares floods (Grases, 1979, 1990), but this channel does not flow into the gulf (Fig. 2). The geological substratum of the gulf’s watershed (S1) shows a contrast between two groups of lithologies: mica–schists, quartzites, and green schist to the north, and claystones, siltstones, and sandstones to the south. The gulf substratum is thus dominated by siliciclastic rocks. Plio-Pleistocene limestones, calcareous sandstones and marls exist locally at the northern entrance of the gulf where they provide an additional minor carbonate contribution (Macsotay and Moore, 1974).

1.2. Bathymetry of the gulf and of its western entrance

The floor morphology of the Gulf of Cariaco shows four singularities (Fig. 2) (Caraballo, 1982a, b; Maloney, 1966; Morelock and Maloney, 1972; Morelock et al., 1972):

- the Guaracayal basin (GD in Fig. 2), an elongated pull-apart ~90 m deep along the EPF, in the south of the gulf (Audemard et al., 2007);
- the large Cerro Abajo Basin (CAB), ~ 70 m deep, which occupies most of the gulf;
- to the northwest, the Salazar Sill (SS), a 5 km-wide, 40–50 m-deep, irregular channel, which links the Cerro Abajo Basin to the western entrance of the gulf;
- at the western tip of the gulf, the Manzaneras submarine canyon, ~3 km northwest of the Rio Manzanares delta and the city of Cumana. This canyon accounts for a 1350-m depth difference between the western mouth of the gulf and the deepest part of the Cariaco Basin. The eastern flank of the canyon, which bounds the Rio Manzanares delta, is very steep (up to 20°).

1.3. Upwelling on the eastern slope of the Cariaco Basin and anoxia in the Gulf of Cariaco

Prior works have suggested a strong anoxia in the Cariaco Basin and in the Gulf of Cariaco (Bonilla and Hui-Lin, 1979; Caraballo, 1982a; Miró Orell, 1974). In the basin, the anoxia established after the Last Glacial Maximum, due to an increase in the surface productivity (Lyons et al., 2003). Hydrological and geochemical investigations also evidenced present massive water exchanges and seasonal strong anoxia in the deepest part of the Gulf of Cariaco (Gade, 1961; Okuda, 1981, 1982; Okuda et al., 1974, 1978; Richards, 1960). It is suggested that these exchanges and anoxia result from a cyclic pattern of trade winds and a thermal stratification of isohaline waters (Fig. 3). The seasonal regime along the northern Venezuelan coast is controlled by the migration of the Inter-Tropical Convergence Zone (ITCZ) (Haug et al., 2001). Two different regimes are evidenced along the eastern slope of the Cariaco Basin and inside the gulf (Fig. 3): a strong upwelling period (A) from February to June, during which flows of cold water are moving upward, and a weak upwelling period (B) between July and December. Upwelled cold and dense subsurface waters flow from the Cariaco Basin into the gulf; they bypass the 50 m-deep Salazar sill, and then move along the bottom of the gulf (for density reasons) towards its innermost parts (Caraballo, 1982a). This cold flow merges with the overall gulf water when it reaches the eastern part of the gulf. During these phases of cold–water renewal in the gulf, the oxygen content is increased. By contrast, during the periods of moderate to null renewal of cold bottom water in the gulf, the oxygen content decreases by 0.2–0.5 ml/l (Okuda, 1982).

Bonilla and Hui-Lin (1979) have suggested that the high concentration in organic matter found in the sediments of the gulf may result from both a high primary productivity and the upwelling process. Interflows rich in organic suspended loads have actually been observed to enter the
gulf (Okuda et al., 1974, 1978). Upwelling processes thus significantly affect the sedimentary content in the Gulf of Cariaco. While these upwelling processes are mainly controlled by climatic changes, they might also occur following a significant change in the submarine morphology of the gulf entrance (especially the Manzanares canyon and the Salazar Sill). We will pay a particular attention to this later possibility in the following.

2. Core acquisition and analyses

We extracted and analyzed sedimentary cores in the Gulf of Cariaco to search for possible changes in the sedimentary content that might attest to morphological and topographic changes in the basin–gulf system. The sedimentary signature of morphological changes in small-sized basins (lacustrine or marine) has been revealed in prior works, based on core data (Avşar, 2013; Avşar et al., 2015; Beck, 2009; Beck et al., 2007; Campos et al., 2013; Carrillo et al., 2008). The cores were collected onboard the R/V GUAQUERI II, operated by the Eastern Oceanographic Institute (Instituto Oceanográfico de Oriente, Universidad de Oriente, Cumaná). We used a gravity piston corer based on the classical BENTHOS design, with a 6-cm internal diameter. The cores have an average length of 1 m.

The coring sites (location in Fig. 2) were selected with two main targets: 1) to define the depositional processes and sediment sources in the Gulf of Cariaco, and 2) to identify the possible impacts of EPF fault large earthquakes on the sedimentation. Four cores are distributed along an east–west trend across the Guaracayal depression. Four other cores were extracted 5 km to the north, in the Cerro Abajo depression. A third set of cores was extracted so as to complete a north–south line that would intersect the east–west section. In the following, we present only the results from the cores Cariaco-09-05, Cariaco-09-06, Cariaco-09-15 and Cariaco-09-18 (Fig. 2). Fig. 4 shows their position along a north–south 3.5 kHz seismic reflection profile (Van Daele et al., 2011).

Laboratory analyses encompass different nondestructive measurements and imaging, and different geochemical, mineralogical, and microscopic observations. The procedures are described in Supplementary Material S2.

3. Result analysis: recent sedimentation in the central and southern Gulf of Cariaco

3.1. Sediment layering and composition

In all analyzed cores, the sediments appeared homogeneous and organic, made of a continuous fine-grained mud (hemipelagic fraction). High-resolution X-ray pictures (SCOPIX) did not reveal any layering, with the exception of a few coarse terrigenous layers (left log in Fig. 5). Rare macrofossils (thin-shelled bivalves, 1 to 2 cm in size, Turitella-type Gastropods, and few Dentalium-type Pteropods) were found dispersed within the organic-rich mud. Microscopic observations of the hemipelagic mud (smear slides and SYMEX pictures) revealed clayey aggregates with clay-sized opaque and micritic particles. A siliceous biogenic material was found in significant proportion, mostly in the form of Diatoms and Silicoflagellids. Silt-size fibrous O.M. was found to be only a minor component.

3.2. General chemical evolution: XRD elementary profiles

Since the sedimentary features and chemical characteristics are clear in core Cariaco-09-06, we take it as a reference. Fig. 5 shows the XRF profiles of the elements that we consider as best attesting to the presence of terrigenous siliciclastic inputs (Zr, Fe) or carbonates (Ca), or for open marine influence (Br). The similarity of the Al, Si, and K profiles confirms that these three elements describe the evolution of the clay mineral content adequately. Three siliciclastic layers (noted SiCl) are identified in the K, Zr and Fe profiles, where each forms a clear peak (i.e. increase of concentration). Pronounced variations coincident with the three peaks are observed in
the Al, Si, K (increased content) and CaCO₃ (decreased content) profiles. Two of the siliciclastic layers are characterized by coarse grains (Section 3.5). By contrast, the SiCL3 layer is only distinguishable on the XRF profiles where it forms a peak similar to those formed by SiCL1 and SiCL2 (Fig. 5). The three layers are also characterized by a decrease in O.M. content (Section 3.3). Furthermore, the bromine (Br) content systematically decreases in the three SiCL layers. However, subsequently to a Br decrease in layer SiCL3 in core Cariac-09-06 (Guaracayal deep), the Br content increases progressively in cores Cariac-09-15 (central gulf) and Cariac-09-18 (close to southern coast).

**Fig. 4.** (Color online). Location of cores along a very-high-resolution seismic section across the central Gulf of Cariaco (profile location in Fig. 2); EPFmt: El Pilar Fault main active trace (Audemard et al., 2007); R: minor faults (Van Daele et al., 2011).

**Fig. 5.** (Color online). XRF scanning data from core Cariac-09-06. SiCL: siliciclastic layers; Br profiles for cores Cariac-09-18 (close to southern coast) and Cariac-09-15 (Gulf center) are shown to discuss correlations and signification of post-SiCL3 increase in Br; dotted vertical line as a reference value for comparison (note that Cariac-09-18 displays a similar Br evolution, but for lower values). BP ages correspond to probabilistic median of calibrated values distribution; the 2σ interval is indicated in Fig. 9.
3.3. Organic matter content and characteristics

Rock-Eval pyrolysis results on the three cores along the north–south profile (Fig. 4) are summarized in Fig. 6 with respect to Total Organic Carbon (TOC), S2 peak, Hydrogen Index (HI), and Oxygen Index (OI). Diagrams labeled A represent the OI/II plots similar to the Van Krevelen diagrams (Espitalié et al., 1985; Lafargue et al., 1998). Diagrams labeled B represent TOC vs. S2 HC as proposed by Langford and Valleron (1990). At all sites, O.M. appears of dominantly marine origin (type II), and is hardly or not altered. In core Cariac-09-18, close to the southern coast, the samples are dominated by type III, which suggests a terrestrial origin for O.M. In core Cariac-09-08, two major groups can be discriminated (separated by the red dashed line in Fig. 6): part of the samples have their O.M. attesting to a purely marine origin, whereas the other part of the samples have their O.M. attesting to a mixed marine and terrestrial nature. In core Cariac-09-15, the diagrams reveal that O.M. is of purely marine origin. Two subsets can be discriminated however (dashed line in Fig. 6).

Similar subsets are found in core Cariac-09-05B and their values have been added onto diagram B (yellow dots) for comparison. We interpret the two subsets as revealing an O.M. content of two different origins: i) in situ production, and ii) input from the eastern Cariaco Basin likely from upwelling.

3.4. Occurrence of coarser and/or more siliciclastic layers (SiCL)

The observation of several split cores reveals the occurrence of two coarser siliciclastic layers, which we named SiCL1 and SiCL2 in Figs. 5 and 7. On X-ray pictures, another siliciclastic layer is revealed (SiCL3), which appears different from SiCL1 and SiCL2. We showed earlier that the three layers are terrigenous, and more precisely siliciclastic (Section 3.3). Grain-size analysis of cores Cariac-09-18, Cariac-09-15, Cariac-09-06, and Cariac-09-05B shows that a sandy fraction is present only close to the southern coast. The XRF profile of core Cariac-09-18 (Fig. 7) reveals two clear peaks coincident with an increase
in silt-size grains in core Cariac-09-06. The siliciclastic enrichment in the other cores—including those in the gulf center—is clayey or clayey–silty, i.e. related to suspended-load transport and deposition.

The base-to-top evolution of both the SiCL1 and SiCL2 layers (Fig. 7) is typical of sediment evolutions related to flooding processes (Beck, 2009). Therefore, SiCL1 and SiCL2 do not result from gravity reworking processes. Rather, these layers likely arise from inputs from southern tributaries. In contrast, the SiCL3 layer appears as a widespread input of fine-grained siliciclastic material. We suggest that this widespread (suspended-load) input is an allochthonous terrigenous feeding coming from the western entrance of the gulf, likely induced by upwelling.

3.5. Chronology and mean sedimentation rate

The different investigated parameters confirm the importance of suspended-load coming from the Cariaco Basin, including “allochthonous” O.M. attesting to a more open marine influence. The regional ΔR was found due to the above-mentioned process. Therefore, this type of correction was applied to the age–depth curve of cores Cariac-09-06 and Cariac-09-5B (Supplementary Material S3). In both cores, a mean sedimentation rate of 1.0 to 1.2 mm/yr was deduced. If the SiCL layers are taken as sedimentary “events”, then the “normal sedimentation” rate might be slightly lower. Considering the correlations that we proposed between cores Cariac-09-06 and Cariac-09-15 for the SiCL3 layer (S3), we infer that the sedimentation rate might be lower in the central gulf.

4. Discussion

The recent dominant sedimentation in the central and southern parts of the Gulf of Cariaco, along and adjacent to the EPF fault trace, is organic-rich, finely terrigenous, and poorly layered. Biogenic and bio-induced components are essentially planktonic. The high particulate organic content (up to 5% TOC or 20% O.M., Fig. 8A) appears of dominant marine origin (type II), yet with two different possible sources: local production, and a general input from the eastern Cariaco Basin likely by mean of upwelling. The bromine content displays an evolution consistent with a significant open marine influence (Thomson et al., 2006; Ziegler et al., 2008). According to the 14C dating and the chronology we have inferred (S3), the open marine influence might have started around 1850 AD. We are aware that the Br evolution must be discussed with respect to the O.M. content, since their combined short-term diagenesis may induce Br changes. Yet, the evolution of Br and O.M. is different below and above the layer SiCL3 (Br vs. O.M. and Br vs. TOC, Fig. 8B and Fig. 8C), which suggests that they are not directly linked. We thus interpret the post-SiCL3 increase in Br evolution as attesting to a more marine influence related to an increase in upwelling-driven input (water and suspended load) from the Cariaco Basin.
Fig. 8. (Color online). Comparison of Br and O.M. profiles in Core Cariac-09-06. The O.M. content is represented by LOI measurements and COT deduced from Rock-Eval pyrolysis. The post-SICL3 Br increase is disconnected from the O.M. evolution (A); O.M. vs. Br diagrams (B and C) confirm this discrimination for the upper 40 cm of hemipelagic sediments; 1) samples within siliciclastic layers, 2) samples in organic mud prior to SICL3, 3) samples in organic mud after SICL3.

Such an upwelling increase could have resulted from: i) a reinforcement of the winds, ii) a modification of the gulf’s entrance geometry. Paleoclimatic investigations in northwestern Venezuela (Polissar et al., 2006) indicate strong easterly trade winds during the Little Ice Age, which ended in the middle of the 19th century. These findings do not support the reinforcement of trade winds in the second half of the 19th century. Conversely, the geometrical modification (bottom morphology, depth) of the basin–gulf connection is a reasonable hypothesis knowing the great instability of the area. We thus consider that the open marine signature reveals significant water flows from the Cariaco Basin, induced by upwelling resulting from a major morphological change at the basin–gulf junction.

The southern half of the gulf’s entrance corresponds to the steep western boundary of the Rio Manzanares delta (Fig. 2). High-resolution seismic profiles shot in the delta reveal the occurrence of large recent landslides (called Mass Transport Deposits or MTDs in Fig. 9) that accumulated at the foot of the delta. Their large volumes and their addition over time may have significantly modified the morphology and topography of the connection between the gulf and the basin. It is likely that some or even most of these landslides were induced by large earthquakes on the EPF fault, as it was observed right after the 1997 earthquake (the harbor of Cumaná underwent local piers collapses during the earthquake).

We thus interpret the SICL3 layer as a sedimentary “event” related to one or to several Mass Transport Deposits on the western side of the Manzanares River delta. The layer could represent a fine-grained suspension as the one observed after the 1997 earthquake. The layer would thus indirectly testify for a large earthquake. During the 1853 AD event, a 4- to 6 m-high tsunami wave was reported. The time of formation of SICL3 is consistent with the earthquake time. Therefore, we suggest that the SICL3
layer was formed as a result of one or several large, coeval submarine landslides that were induced by intense shaking during the 1853 earthquake. The submarine landslides significantly modified the topography of the gulf’s entrance, and these modifications promoted significant water flows from the open marine Cariaco Basin.

Conclusions

Our results confirm that the sedimentation in the central and the southern Gulf of Cariaco is significantly fed by upwelling at the western entrance of the gulf. We found evidence for a well-expressed, widespread, siliciclastic event (SICL3), which we interpret as being the fine-grained material remobilized during an important submarine land sliding along the Manzanare River delta forest. This major land sliding episode would have significantly modified the topography of the connection between the Cariaco Basin and the Gulf of Cariaco, and these changes would have promoted upwelling and entrance of open marine water flows into the gulf. We suggest that land sliding was induced by the large 1853 AD earthquake that struck the Cumaná city. Further work is needed to validate these hypotheses, which concerns the appraisal of earthquake-related risks for the city and its harbor. Finally, the present investigations show that earthquake record in sedimentary archives may be detected through varied parameters, each case needing however specific approaches.

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Appendix A. Supplementary data

Supplementary data associated with this article can be found, in the online version, at http://dx.doi.org/10.1016/j.crte.2015.10.001.

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