Evidence of stronger pitch angle scattering loss caused by oblique whistler-mode waves as compared with quasi-parallel waves


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Abstract Wave normal distributions of lower-band whistler-mode waves observed outside the plasmapause exhibit two peaks: one near the parallel direction and the other at very oblique angles. We analyze a number of conjunction events between the Van Allen Probes near the equatorial plane and Polar Orbiting Environmental Satellites (POES) at conjugate low altitudes, where lower-band whistler-mode wave amplitudes were inferred from the two-directional POES electron measurements over 30–100 keV, assuming that these waves were quasi-parallel. For conjunction events, the wave amplitudes inferred from the POES electron measurements were found to be overestimated as compared with the Van Allen Probes measurements primarily for oblique waves and quasi-parallel waves with small wave amplitudes (< ~20 pT) measured at low latitudes. This provides plausible experimental evidence of stronger pitch angle scattering loss caused by oblique waves than by quasi-parallel waves with the same magnetic wave amplitudes, as predicted by numerical calculations.

1. Introduction

The wave normal distribution of whistler-mode waves has been a subject of interest over the past few decades based on both satellite observations and ray tracing simulations [e.g., Burton and Holzer, 1974; Goldstein and Tsurutani, 1984; Hayakawa et al., 1984; Li et al., 2011, 2013a; Wilson et al., 2011; Breuillard et al., 2012; Agapitov et al., 2013; Chen et al., 2013; Santolík et al., 2014]. Although wave normal angles of upper-band waves (i.e., in the range of 0.5–0.8 fce where fce is the electron cyclotron frequency) exhibit a broad distribution extending from field-aligned to very oblique angles [Lauben et al., 2002; Hayakawa et al., 1984; Li et al., 2013a], lower-band waves (0.1–0.5 fce) are found to show two predominant peaks in wave normal distribution, one being quasi-parallel and the other very oblique, close to the resonance cone [Santolík et al., 2009; Li et al., 2011, 2013a; Agapitov et al., 2013]. Ray tracing results demonstrated that wave normal angles of whistler-mode waves become more oblique as they propagate from the equator to higher magnetic latitudes [Breuillard et al., 2012; Chen et al., 2013], which is roughly consistent with ~10 years of statistical results on whistler-mode wave normal distribution from the Cluster satellites [Agapitov et al., 2013].

Numerical and analytical calculations have shown that electron pitch angle scattering rates driven by very oblique whistler-mode waves are considerably larger at small pitch angles close to the bounce loss cone than scattering by quasi-parallel waves, and thus electron lifetimes can be significantly reduced due to the important contribution of higher-order cyclotron resonances in the energy range under consideration between ~30 keV and ~1 MeV [Artemyev et al., 2012; Mourenas et al., 2012]. The effect of different wave normal distributions of whistler-mode waves on diffusion rates has also been discussed in a few other previous studies [e.g., Shprits and Ni, 2009; Albert, 2012]. Therefore, a realistic treatment of the whistler-mode wave normal distribution is crucial in quantifying energetic electron dynamics in the inner magnetosphere.

Based on the fact that whistler-mode waves play a dominant role in electron precipitation over the energy range of 30–100 keV [Lam et al., 2010; Thorne et al., 2010], Li et al. [2013b] adopted a physics-based technique to infer lower-band wave amplitudes from the ratio of precipitated and trapped electron fluxes measured by...
Polar Orbing Environmental Satellites (POES) over energies of 30–100 keV. This technique has been validated by analyzing conjunction events between the Van Allen Probes and POES satellites near the conjugate field line at low altitudes and was shown to produce reasonable estimates of whistler-mode wave amplitudes [Li et al., 2013b]. Particularly, the inferred and measured wave amplitudes exhibited remarkable agreement for relatively strong wave amplitudes ($B_w > -20$ pT). However, the inferred wave amplitudes were overestimated compared to the direct wave measurements by the Van Allen Probes at lower wave amplitudes ($B_w < -20$ pT). It is important to note that in this process of inferring whistler-mode wave amplitudes from the two-directional POES electron measurements, we assume that the whistler-mode waves are quasi-parallel. The inconsistency for weak waves might therefore be related to this assumption.

In the present study, by categorizing hundreds of conjunction events between Van Allen Probe wave measurements and POES electron measurements into quasi-parallel or oblique whistler-mode wave events (determined from the Van Allen Probe wave observation), we investigate whether evidence of stronger pitch angle scattering caused by oblique waves (as compared with quasi-parallel waves) can be found from the low-altitude energetic electron measurements made by the POES satellites. In section 2, we show observational results from the Van Allen Probes and the POES satellites. Numerical calculations were performed to interpret the observational results, which are described in sections 3 and 4. Finally, we summarize and discuss the principal findings of the present study in section 5.

2. Observational Results

The twin Van Allen Probes with an apogee of ~5.8 $R_E$ [Mauk et al., 2012] are ideally suited to measure whistler-mode waves in the near-equatorial inner magnetosphere. The Electric and Magnetic Field Instrument Suite and Integrated Science (EMFISIS) provides measurements of DC magnetic fields and a comprehensive set of wave electric and magnetic fields [Kletzing et al., 2013]. The Waveform Receiver (WFR) on the EMFISIS Waves instruments not only measures wave power spectral density but also provides wave polarization properties in the survey-mode from 10 Hz up to 12 kHz with a 6 s time resolution [Kletzing et al., 2013]. These polarization properties include wave normal angle, ellipticity, planarity, etc. calculated by the Singular Value Decomposition method [Santolik et al., 2003]. The High Frequency Receiver (HFR) is designed to provide electric spectral intensity between 10 and ~400 kHz, thus enabling the detection of the upper hybrid resonance frequency, from which the total plasma density can be calculated. This inferred total plasma density is used to determine whether observations are made in the plasmaspheric- or plasmatrough-like region. Specifically, if the plasma density is lower (higher) than the smaller value between $10 \times (6.6/L)^4$ and $30 \text{ cm}^{-3}$, the region is defined to be a plasmatrough (plasmaspheric) region, following Li et al. [2010a]. Only whistler-mode waves observed in the plasmatrough region are analyzed in the present study to exclude plasmaspheric hiss emissions.

The ratios of precipitated ($J_0$) and trapped electron fluxes ($J_{90}$) over 30–100 keV measured by low-altitude POES satellites [Evans and Greer, 2004; Green, 2013] are used to infer whistler-mode wave amplitudes using a physics-based technique described in Li et al. [2013b] and Ni et al. [2014]. Although the detailed description of this technique is not repeated here, it is important to note that in the process of inferring whistler-mode wave amplitudes, we assume that the wave normal angle distribution is quasi-parallel and does not change with magnetic latitude. Finally, we only infer whistler-mode wave amplitudes when both precipitated and trapped electron fluxes are sufficiently larger than the background level ($-500 \text{ cm}^{-1} \text{s}^{-1} \text{sr}^{-1}$), and set the wave amplitude to a very small value (1 pT) otherwise.

Figure 1 shows whistler-mode waves directly measured by both Van Allen Probes and inferred from the two-directional POES electron measurements. The presence of both lower-band (0.1–0.5 $f_{ce}$) and upper-band waves (0.5–0.8 $f_{ce}$) is clearly visible near apogee along the satellite trajectories. Since 30–100 keV electrons predominantly resonate with lower-band whistler-mode waves [Ni et al., 2008; Li et al., 2010b], we only evaluate wave amplitudes and wave normal angles for lower-band waves in the following analysis. Figures 1c and 1f show wave normal angles of lower-band waves where the values are only shown for the data points which satisfy the following four criteria: (1) observed in the plasmatrough region, (2) wave frequencies between 0.1 and 0.5 $f_{ce}$ (3) ellipticity > 0.7, and (4) planarity > 0.4. Lower-band wave amplitudes directly measured by the two Van Allen Probes and inferred from multiple POES satellites were both sorted into bins with a size of 0.5 h (UT) × 0.5 L × 0.5 h (MLT), and the root mean square (RMS) values of wave amplitudes were
calculated in each bin for the measured and inferred waves separately (Figures 1h and 1i). We define rough conjunction events if measurements from both Van Allen Probes and POES exist in the same bin, and these rough conjunction events are shown with colored bins in Figures 1g–1j. For each identified rough conjunction event, we calculate the median value of wave normal angles in each corresponding bin, which is shown in Figure 1g. For the conjunction events, in which relatively weak but very oblique waves were observed (e.g., ~07:30–08:00 UT, 08:30–09:00 UT, and 10:30–11:00 UT), inferred wave amplitudes appear to be generally larger than those directly measured by the EMFISIS instrument, as shown in Figures 1g–1i. We note that since these are rough conjunction events, in which both measured and inferred wave amplitudes were averaged over a bin size of 0.5 h (UT) × 0.5 L × 0.5 h (MLT), and there also exist uncertainties from the magnetic field mapping, the inferred wave amplitudes could deviate from the measured wave amplitudes (e.g., 05:00–05:30 UT and 14:30–15:00 UT). Nevertheless, in general the comparison of directly measured (Figure 1h) and inferred lower-band wave amplitudes (Figure 1i) exhibits fairly good agreement.

Figure 1. A comparison of whistler-mode waves observed by the EMFISIS instrument and their wave amplitudes inferred from the POES measurements. (a)–(c) Frequency-time spectrogram of electric field and magnetic field spectral density, and wave normal angles observed by Van Allen Probe A. Here the solid, dot-dashed, and dashed magenta lines indicate \( f_{ce} \), \( 0.5 f_{ce} \), and \( 0.1 f_{ce} \) where \( f_{ce} \) is the electron cyclotron frequency. (d)–(f) The same as Figures 1a–1c but observed by Van Allen Probe B. (g) Wave normal angles and (h) lower-band wave amplitudes integrated over 0.1–0.5 \( f_{ce} \) observed by two Van Allen Probes during the conjunction events with POES satellites. (i) Inferred whistler-mode wave amplitudes from POES electron measurements over 30–100 keV and (j) ratios of precipitated and trapped electron fluxes over 30–100 keV during the conjunction events.
We apply this technique to hundreds of conjunction events between Van Allen Probes and POES satellites during the period from 1 October 2012 to 1 July 2013 and categorize each event into either quasi-parallel ($\theta < 30^\circ$) or oblique ($\theta > 50^\circ$) whistler-mode wave events, based on the recorded median value of wave normal angles. We identified 160 (139) conjunction events for quasi-parallel waves (oblique waves), all of which were collected from the region $4 < L < 6$ over 20–09 MLT. We excluded events where the background magnetic field measured by the Van Allen Probes deviates more than 25% from the dipole magnetic field to ensure that our identification of conjunction events using similar ranges in $L$-shell and MLT is approximately correct. This procedure also eliminated strongly disturbed periods, during which the effect of a non-dipolar magnetic field could be significant. However, this should not affect our essential conclusions in this study, where we focus on evaluating the differences in pitch angle scattering caused by quasi-parallel and oblique waves. Figure 2 shows two scatter plots of measured and inferred wave power ($B_w^2$) from the conjunction events between Van Allen Probes and POES for the quasi-parallel (left) and oblique wave events (right), respectively. We categorized measured $B_w^2$ into 10 bins, which are logarithmically spaced over the range of $10^{-10}$–$10^0$ pT$^2$, and calculated the mean (black crosses) and median values (red crosses) of the inferred $B_w^2$ in each measured $B_w^2$ bin. Note that a portion of inferred $B_w^2$ is set to 1 pT$^2$, since the precipitated electron fluxes during these events are below the background level, as discussed above. This means that the waves driving electron pitch angle scattering are too weak to lead to a significant electron precipitation that can be measured by the 0° POES particle detector. Although this weak wave power could be 0.1 pT$^2$, 1 pT$^2$, or some other small values, setting it to the same small value of ~1 pT$^2$ does not significantly affect the calculation of the median or mean values, which are shown in Figure 2, due to its small contribution. Therefore, these $B_w^2 \sim 1$ pT$^2$ were taken into account in the calculation of mean and median values of $B_w^2$ in order not to overestimate the result by artificially excluding these points with weak wave power. For quasi-parallel wave events, the inferred values of $B_w^2$ are larger than the measured $B_w^2$ at low measured wave power ($B_w^2 < \sim 400$ pT$^2$), whereas they agree quite well for higher $B_w^2$, with collected conjunction events close to the dash-dotted green line where the measured and inferred $B_w^2$ are identical. The fact that the mean and median values of inferred $B_w^2$ roughly coincide indicates an absence of significant experimental bias. However, for oblique wave events, the inferred $B_w^2$ is generally larger than the measured $B_w^2$ by a factor of ~3. We discuss the possible causes of this discrepancy in sections 3 and 4.

3. Numerical Calculation Results

Electron pitch angle diffusion coefficients by lower-band whistler-mode waves are calculated by a full diffusion code [e.g., Artemyev et al., 2013a, 2013b], based on the method described by Glauert and Horne [2005] and Albert [2012]. Here we include $-5$ to $+5$ cyclotron harmonic resonances and the Landau resonance. Note that a dipole magnetic field model was used to calculate diffusion coefficients for simplicity, since it works well in the inner magnetosphere ($4–6$ $R_E$) during not-too-disturbed periods. The plasmatrough density model...
The shaded region contained within solid lines of the same color corresponds to loss cone angle values for $L = 4.5$ to 5.5. Different colors represent various values of $N_{\max}$, which are used to calculate the corresponding ratio.

Figure 3. (a) Ratio between oblique and quasi-parallel diffusion rates $D_{\text{obs}}/D_{\text{pa}}$ as a function of energy at $L \approx 5$ with $\omega_{\text{pe0}}/\Omega_{\text{ce0}} = 4.7$. This ratio was calculated for the magnetic latitude range $|\lambda| < 40^\circ$ for various values of $N_{\max}$ (color coded). (b) Ratio of $D_{\text{real}}/D_{\text{pa}}$ as a function of whistler-mode wave power ($B_{\text{w}}^2$) for an electron energy of 30 (solid lines) and 100 keV (dashed lines). Different colors represent various values of $N_{\max}$, which are used to calculate the corresponding ratio.

from Sheeley et al. [2001] averaged over 20–09 MLT was used to calculate the ratio of angular plasma frequency ($\omega_{\text{pe0}}$) to angular equatorial electron gyrofrequency ($\Omega_{\text{ce0}}$) at the equator at $L \approx 5$, since whistler-mode waves analyzed in the conjunction events were located from the premidnight to the postdawn sector. The plasma density in the plasmatrough region is assumed to increase along the field lines with magnetic latitudes as

$$n_e(\lambda) = n_{\text{eq}} / (\cos \lambda)^5,$$

where $n_e$ is the local plasma density at magnetic latitude $\lambda$ and $n_{\text{eq}}$ is the equatorial value [Denton et al., 2006]. We assume that the whistler-mode wave power spectral density follows a Gaussian frequency distribution with the peak frequency ($\omega_{\text{m}}$) of 0.35 $\Omega_{\text{ce0}}$. Lower and upper cutoffs of 0.125 and 0.5 $\Omega_{\text{ce0}}$ and a bandwidth $\delta_{\Omega} = 0.15 \Omega_{\text{ce0}}$, where $\omega$ is the angular wave frequency.

We then calculated pitch angle diffusion coefficients for two groups of waves, quasi-parallel waves and very oblique waves, respectively. Wave amplitudes are assumed to remain constant along magnetic field lines from the equator to $\lambda \approx 40^\circ$ in rough agreement with Cluster statistics in the considered MLT domain during moderately disturbed periods of $D_{\text{st}} > -25$ nT [Mourenas et al., 2014]. We then calculate pitch angle diffusion coefficients for two groups of waves, quasi-parallel waves and very oblique waves, respectively. Wave amplitudes are assumed to remain constant along magnetic field lines from the equator to $\lambda \approx 40^\circ$ in rough agreement with Cluster statistics in the considered MLT domain during moderately disturbed periods of $D_{\text{st}} > -25$ nT [Mourenas et al., 2014]. We assume that the whistler-mode wave power spectral density follows a Gaussian frequency distribution with the peak frequency ($\omega_{\text{m}}$) of 0.35 $\Omega_{\text{ce0}}$. Lower and upper cutoffs of 0.125 and 0.5 $\Omega_{\text{ce0}}$ and a bandwidth $\delta_{\Omega} = 0.15 \Omega_{\text{ce0}}$, where $\omega$ is the angular wave frequency.

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and becomes even larger for higher values of $N_{\text{max}} = 200$ to 300. We note that the ratio $D_{\text{ob}}/D_{\text{pa}}$ is almost independent of electron energy in the considered range (30–100 keV) when almost all resonances for oblique and parallel waves are taken into account. Such features are consistent with rough analytical estimates [e.g., Mourenas et al., 2012].

In the case of realistic whistler-mode waves, we also use a constant average wave amplitude $B_{w}$, which is roughly consistent with Cluster observations during quiet to moderately disturbed periods [e.g., Mourenas et al., 2014], while the distribution $g(\theta)$ depends on $B_{w}$ and $\lambda$. The wave normal angle distribution $g(\theta)$ is obtained by approximating lower-band wave normal distributions from the Cluster statistics with polynomial fits. We consider a double distribution $g(\theta) = g_{\text{pa}} + A(\lambda)\lambda g_{\text{ob}}$, where $g_{\text{pa}}$ and $g_{\text{ob}}$ are the same as above, while the coefficient $A(\lambda)$ is derived by fitting Cluster mean values (detailed values used for calculations are shown in the supporting information). Cluster statistics shows that the amount of very oblique waves increases as $|\lambda|$ increases, while it decreases as $B_{w}$ increases [e.g., Mourenas et al., 2014]. Such an evolution is probably due to the refraction of whistler-mode waves to progressively larger wave normal angles as they propagate along the field lines to higher latitudes [e.g., Horne and Thorne, 2003]. Figure 3b shows the ratio of $D_{\text{real}}/D_{\text{pa}}$ as a function of wave power for 30 keV (solid lines) and 100 keV (dashed lines) over $|\lambda| < 40^\circ$. For $N_{\text{max}} > 100$ this ratio is larger than 1.5 for small $B_{w}^2 < 400$ pT$^2$, while it decreases to ~1 for $B_{w}^2 > 4000$ pT$^2$. This ratio also becomes larger as $N_{\text{max}}$ increases due to the increased contribution of higher-order cyclotron harmonic resonances. The trend of $D_{\text{real}}/D_{\text{pa}}$ is similar for both 30 and 100 keV electrons, although the values are slightly larger at 30 keV compared to 100 keV.

4. Interpretation of Observations and Computation Results

For very oblique whistler-mode waves near the resonance cone, the phase speed of the waves approaches the speed of thermal electrons, and thermal effects become important, as well as the Landau damping by hot electrons. Nevertheless, the cold-plasma refractive index, which steeply increases near the resonance cone angle, should be only slightly modified by thermal effects as long as it remains smaller than the value of the quasi-electrostatic expression of the refractive index [e.g., Horne and Sazhin, 1990; Hashimoto et al., 1977]. Furthermore, very oblique waves can also be damped by the Landau resonance. By considering these two effects, $N_{\text{max}}$ can be estimated using the given plasma parameters. During quiet to moderately disturbed periods, the calculated $N_{\text{max}}$ is in the range of 130–280 (with larger values for more quiet periods) over $|\lambda| = 25^\circ–35^\circ$, where pitch angle scattering of 30–100 keV electrons by oblique waves is most efficient. More details on the estimation of $N_{\text{max}}$ are provided in the supporting information.

First, we discuss the conjunction events between POES and Van Allen Probes during which only oblique waves were observed by Van Allen Probes. As shown in Figure 2b, the mean ratio of inferred and measured wave power is ~3 for measured wave power $B_{w}^2 > 25$ pT$^2$. Since wave power is proportional to pitch angle diffusion coefficients [e.g., Glauer and Home, 2005], the ratio of inferred and measured wave power is equivalent to the ratio of pitch angle diffusion coefficients inferred from the POES electron measurements and calculated using wave amplitudes directly measured by the Van Allen Probes under the assumption that the waves are quasi-parallel. Therefore, the ratio (~3) of inferred and measured wave power agrees well with $D_{\text{ob}}/D_{\text{pa}} = 2.5$ for $N_{\text{max}} = 150$, which should correspond to moderately disturbed periods. However, for periods of weaker oblique wave power $B_{w}^2 < 25$ pT$^2$, which are representative of very oblique whistler-mode wave amplitudes obtained onboard Cluster during quiet times [Agapitov et al., 2013], we might need to use the maximum, quiet time upper-bounds $N_{\text{max}} = 200–300$ at high latitudes to estimate $D_{\text{real}}/D_{\text{pa}}$. As shown in Figure 2b, for weaker oblique waves, the ratio between the inferred and measured wave power becomes larger (~6), which is roughly consistent with the larger ratio at $N_{\text{max}} = 300$ shown in Figure 3a.

It is important to mention that during conjunction events in which mainly quasi-parallel waves were recorded by Van Allen Probes, oblique waves were not necessarily absent at higher latitudes, since the Van Allen Probe wave observation is mostly limited within 20° of the magnetic equator. The wave normal angles of initially quasi-parallel waves tend to become larger as they propagate to higher latitudes [Thorne and Kennel, 1967; Chen et al., 2013], and the probability of observing oblique waves increases at higher magnetic latitudes, as shown in Cluster statistics [Agapitov et al., 2013; Artemyev et al., 2013a]. Therefore, in order to use a more realistic wave normal distribution as a function of magnetic latitude, we performed numerical fits to the mean wave-normal distribution $g(\theta, \lambda)$ as a function of RMS wave amplitude $B_{w}$ obtained from the Cluster data over...
It is more reasonable to compare such fits with the mean ratios of scattering rates derived from Van Allen Probes and POES, when quasi-parallel waves are mainly observed near the geomagnetic equator. Using these fits, the ratio $D_{\mathrm{real}}/D_{\mathrm{pa}}$ is calculated numerically for electrons with energies of 30 and 100 keV, respectively, as shown in Figure 3b. Considering nearly quiet time values for $N_{\mathrm{max}}$ ~200–300 at high latitudes, this ratio can reach a value of about 3–5 for small wave power $B_w^2$ ~4 to 400 pT², which are representative of quiet to moderately disturbed geomagnetic conditions [Artemyev et al., 2013a]. Even larger $D_{\mathrm{real}}/D_{\mathrm{pa}}$ would be obtained for smaller $\sigma^{\mathrm{real}}/\sigma^{\mathrm{max}}$. For higher full wave power $B_w^2 > 4000$ pT², the same ratio is reduced to about 1 due to the near-suppression of very oblique waves at high latitudes. This trend is consistent with Figure 2a, in which quasi-parallel waves were predominantly observed within 20° of the magnetic equator.

5. Summary and Discussion

We analyze conjunction events between the Van Allen Probes located in the magnetosphere near the geomagnetic equator and the polar-orbiting POES satellites located at conjugate low latitudes to evaluate electron pitch angle scattering rates driven by quasi-parallel and very oblique whistler-mode waves, respectively. We found that the mean wave amplitudes inferred from POES electron measurements under the assumption of quasi-parallel wave propagation are significantly larger than those directly measured by Van Allen Probes for the conjunction events that include mostly oblique waves or quasi-parallel waves with small amplitudes ($B_w < ~20$ pT), whereas the measured and inferred wave amplitudes are generally consistent for mostly quasi-parallel wave events with relatively strong wave amplitudes ($B_w > ~20$ pT). The comparisons between the observational and numerical calculation results suggest that pitch angle scattering rates derived from direct wave measurements of Van Allen Probes and inferred from the two-directional POES electron measurements during rough conjunction events provide plausible experimental evidence of the potentially important role that very oblique waves can play in scattering electrons towards the loss cone. Very oblique waves with the same magnetic wave amplitudes as the quasi-parallel waves can considerably increase pitch angle scattering rates near the loss cone, which is consistent with previous studies [Artemyev et al., 2012, 2013b; Mourenas et al., 2012].

The present study also emphasizes the importance of thermal effects and the Landau damping caused by hot electrons when treating very oblique whistler-mode waves near the resonance cone. In particular, the natural variability of the thermal and suprathermal electron populations in the outer belt dependent on geomagnetic activity could explain the factor of ~3–5 variation around the mean value of scattering rates obtained on the basis of POES measurements, particularly for small wave amplitudes. Therefore, it will be important to conduct more accurate warm plasma calculations of the refractive index based on the electron populations observed by the Van Allen Probes, but this is beyond the scope of the present paper and is left for a future study.

References


