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Nanotribology of nacre : anisotropic dissipation in a multiscale hybrid material

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Abstract

Sheet nacre (so called *mother-of-pearl*) is a hybrid biocomposite with a multiscale structure including nanograins of calcium carbonate (97 wt.% – 40 nm in size) and two organic matrices: (i) the “*intracrystalline*” (mainly composed by silk-fibroin-like proteins), and (ii) the “*interlaminar*” one (mainly composed of β -chitin and proteins). Micro/Nanotribological behaviour was investigated on sheet nacre displaying various configurations (so-called *face-on* and *edge-on*), by varying the orientation of the matrices *vs.* the sliding direction. Different levels of frictional dissipated energy were observed as a function of both: (i) the type of matrix which is involved in the dissipation mechanism (*intracrystalline* or *interlaminar*), and (ii) the orientation of the matrices themselves *vs.* the sliding direction. These various dissipative ways can involve either, multiscale wear processes entailing the both matrices, or irreversible deformation only, without any wear process. They have been identified and explained by considering the double composite structure of sheet nacre.

Keywords: mother of pearl, biocrystal, modulus, strength, organic matrix, in situ wear assessment, tribolayer

1. Introduction

Sheet nacre (the pearly internal layer of molluscan shells) is a hybrid biocomposite with a multiscale structure including nanograins of calcium carbonate (97 wt.%) [1, 2, 3] and two organic matrices (3 wt.%) [3, 4, 5]. At the nanoscale, biocrystals (ca 40 nm in size) are drowned in an “*intracrystalline*” organic matrix (4 nm thick) in order to form a micro-sized flat organomineral aragonite tablet (thickness about 500 nm) [6, 7, 8, 9]. At a larger scale [10, 11, 12, 13, 14], these tablets are themselves surrounded by a porous “*interlaminar*” organic matrix (thickness: 40 nm, porosity in-between 35% and 59%) building up a

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material displaying highly interesting properties [15, 16, 17, 18, 19, 20, 21]: low density, good biocompatibility [22, 23, 24, 25] and osteogenic properties for human bone regeneration [22, 26, 27, 28]. Thus, this material is currently being studied as small prostheses (eg, rachis and dorsal vertebra prostheses, which are both subjected to microslip and fretting wear), or for the creation of new organic/inorganic bio-inspired hybrid materials [29, 30, 31, 32, 33, 34, 35].

Recently, friction and wear behaviour of sheet nacre were studied in dry friction [36, 37, 38, 39] and in liquid medium [36], respectively. Results have shown that:

- (i) the environment strongly influences the wear mechanisms of nacre by means of various physico-chemical interactions on the water-soluble “*intracrystalline*” organic phase [36];
- (ii) the energy absorption ability of the matrix is drastically reduced in presence of nanoshocks generated during friction by the dynamic solicitations. As a result, cracks migrate in the tablet involving the formation of wear nano-debris [37];
- (iii) at high mean contact pressure, additional thermal-induced wear damage involves the degradation of the organic matrices, that increases dramatically the wear rate of nacre [38, 39, 40];

Thus, for this kind of solicitations, nacre is clearly subjected to peculiar thermal [38, 41] and mechanical [36, 37] damage processes, which occur at two order of magnitude, involving its double composite structure – *i.e* both organic matrices are always directly involved in the friction-induced energy dissipation mechanisms [42, 43, 44, 45, 46, 47, 48] and in the subsequent damage mechanisms, as well [42, 49, 50, 51, 52, 53]. So, the orientation of the tablets [14, 54] – and especially the one of the matrices themselves – with respect to the sliding direction seems to be a key parameter for understanding the dissipation mechanisms of sheet nacre under dynamic solicitations, as met in tribological tests. Indeed, anisotropic specificities of sheet nacre under *quasi-static* solicitations – (*i.e*, tension [15, 49, 55, 56], three- and four-point bending [44], shear [44, 57], micro- [56, 57, 58, 59] and nano-indentation [37, 53, 60, 61, 62, 63]) have been actively studied or modelled [45, 54] in the past. But, up to now, tribological results have been achieved with a *face-on* configuration sheet nacre only [36, 37, 38, 39] – *i.e*, when the tablets are oriented more or less parallel to the friction direction –, leaving the study of the real influence of the tablets orientation on the wear mechanisms not investigated yet.

This work aims to compare friction and wear mechanisms of sheet nacre cut with a *face-on* and a *edge-on* configuration, respectively, in order to understand how the orientations of the organic phases *vs.* the sliding direction can change the ways to dissipate the frictional energy. In order to avoid any additional thermal effects, and to better control the environment around the contact, tribological tests have been carried out

under low contact pressures, by using a multi-asperity nanotribo-meter [64, 65, 66] working in an environmental glove box .

2. Experimental part

2.1. Samples

Samples are made of dry sheet nacre extracted from giant oyster *Pinctada maxima* [3, 4, 6, 7, 8, 36, 67] cut with a *face-on* and *edge-on* configuration, respectively (fig 1). Surfaces are polished with a RMS roughness about 14.5 ± 0.6 nm. Three configurations have been tested with respect to the direction of sliding:

- a *face-on* configuration *parallel* to the direction of sliding (fig 1a);
- an *edge-on* configuration *perpendicular* to the direction of sliding (fig 1b);
- an *edge-on* configuration *parallel* to the direction of sliding (fig 1c);

As mentioned previously, this type of sheet nacre has a multiscale structure [3, 68, 69]:

- On the one hand, aragonite tablet (500 to 700 nm thick) are surrounded by an “*interlamellar*” thin network (about 40 nm thick) of a biological porous organic adhesive (average porosity $49 \pm 12\%$ [63]) mainly composed of β -chitin and proteins [10, 11, 12, 13].
- On the other hand, each aragonite tablet is constituted by nanosized biocrystals of CaCO_3 surrounded by a water-soluble “*intracrystalline*” organic phase (mainly silk-fibroin-like proteins) organised as a foam with very thin walls and closed porosity (4 nm) [3, 4, 6, 7, 8, 36]. The average size of these initial biocrystals is about 38 ± 21 nm [62].

In addition, mechanical properties of each component – *i.e* biocrystals and organic matrices – have previously been assessed by using SEM analysis, acoustic microscopy [63, 70], spherical and sharp nanoindentation tests [37, 60, 62], combined with multiscale numerical simulations using FEM [37, 39] and homogenization approach [62]. Main mechanical features are reported in table 1. The mechanical properties of the “*interlamellar*” matrix are very close to the ones determined by Xu *et al* using more local assessments [71].

2.2. Nanotribological setup

2.2.1. in situ friction assessment

The experimental device (fig 2a) is constituted by a *ball-on-disc* nanotribo-meter manufactured by *CSM Instruments (Switzerland)* [66, 72]. A pin is mounted on a stiff lever, designed as a frictionless force transducer ($K_x = 265.1 \text{ Nm}^{-1}$; $K_z = 152.2 \text{ Nm}^{-1}$). The friction force is determined during the test by measuring the deflection of the elastic arm (low load range down to 50 μN). The ball ($\text{Si}_3\text{N}_4 - \text{Ø } 1.5 \text{ mm}$) is loaded onto a flat nacre sample with a precisely known force using closed loop. The load and friction resolutions are

about 1 μN . Tribological tests are carried out in linear reciprocating mode at room temperature (22°C) under ambient air (RH 35%), in an environmental glove box. The normal load varies from 10 to 80 mN corresponding to a contact pressure varying from 0.15-0.65 GPa. The stroke frequency, the stroke length and the stroke length resolution are respectively 10 Hz, ± 0.5 mm, and 250 nm. The velocity and the sliding distance are respectively $1 \text{ mm}\cdot\text{s}^{-1}$ and 0.2 m, corresponding to 100 cycles in order to reach the steady state in friction.

Due to the level of the frictional energy really dissipated within the contact (few $\mu\text{J}/\text{cycle}$), the thermal contribution is greatly limited and clearly too low for melting the matrices [38, 39, 40, 41]. The energy dissipated per cycle is computed as the work of the tangential force versus displacement loops recorded on-line (fig 2b). In order to notice any effect of the nacre microarchitecture orientation on the tribological behaviour, difference between the “*forward*” and “*backward*” friction is assessed by studying any asymmetry along the y -axis of the friction loops with respect to ordinate origin (fig 2b). This difference is then compared to the standard deviation of the friction coefficient, extracted from the friction loop.

2.2.2. in situ wear assessment

As shown in the fig 2a, a real-time depth measuring optical sensor is used for studying any time-dependent wear process [66, 72]. Its depth range varies from 20 nm to 100 μm with a resolution of about 20 nm. Results is compiled as a *triboscopic* approach [72] giving simultaneously, and for each cycle:

- (i) the *friction map* (fig 3a), plotting the evolution of the friction coefficient along the friction track ;
- (ii) the *wear map* (fig 3b) which reveals any time-dependent wear process and/or potential build-up of a tribolayer within the contact. This *map* is computed from an in situ *depth map* by taking into account the tilting and the initial deformation of the samples, respectively [72, 73]. Finally, an in situ *wear rate* assessment can be directly extracted from this *wear map* (fig 3b) as a classical *profile analysis* (fig 3c), by using a topographical software (eg. *Gwyddion*).

2.3. Atomic force microscopy

Topography of the friction tracks and elemental wear mechanisms are assessed using an AFM Dimension 3000 connected to a *Nanoscope IIIa* electronic controller (*Digital Instruments Santa Barbara, USA*). Its spatial and vertical resolutions are lower than 1 nm and the field depth is in-between 100 nm and 100 μm . Maps were achieved at high resolution (512×512 pixels) using an intermittent contact mode (*TappingModeTM*) and a Phase Detection Imaging. The silicon nitride probe displays a tip rounding lower than 10 nm. The work frequency, the stiffness and the cantilever amplitude are respectively: 270 kHz, 42 Nm^{-1} , and 25 nm. According to the size of the images (between 0.25 μm^2 and 25 μm^2) the scanning rates varies from 1 μms^{-1} to

	E (GPa)	ν	σ_y (GPa)
Aragonite biocrystals	96.75 (5.67) ^a	0.17 (0.05) ^d	13.4 (2.1) ^b
50% porous “ <i>interlaminar</i> ” organic matrix	13.4 (0.79) ^c	0.29 (1.7e-3)	0.118 (0.02)
“ <i>intracrystalline</i> ” organic matrix	3.81 (0.41) ^d	0.296 (1.4e-3) ^d	–

a) assessed by sharp nanoindentation test [62]

b) computed by a Hertzian model [62]

c) assessed by combining acoustic microscopy and spherical nanoindentation test [63]

d) computed by a Mori-Tanaka model [62]

Table 1: Mechanical properties of elemental components of dry sheet nacre

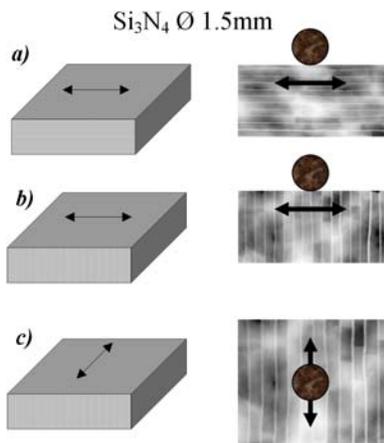


Figure 1: Various configurations of the samples: (a) *face-on* orientation ; (b) *edge-on* perpendicular to the sliding direction, and (c) *edge-on* parallel to the sliding direction

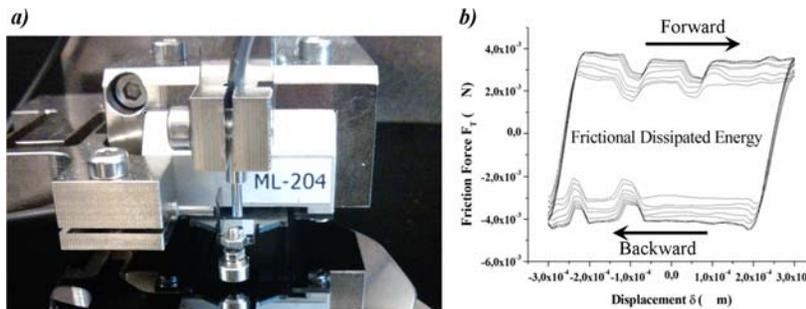


Figure 2: Nanotribological setup: (a) picture showing the two real-time measuring optical sensors (i) the horizontal one records the friction force and (ii) the vertical one records the time-dependent wear properties; (b) typical view of the friction loop allowing to compute the dissipated frictional energy, and the difference between the *forward* and *backward* friction force

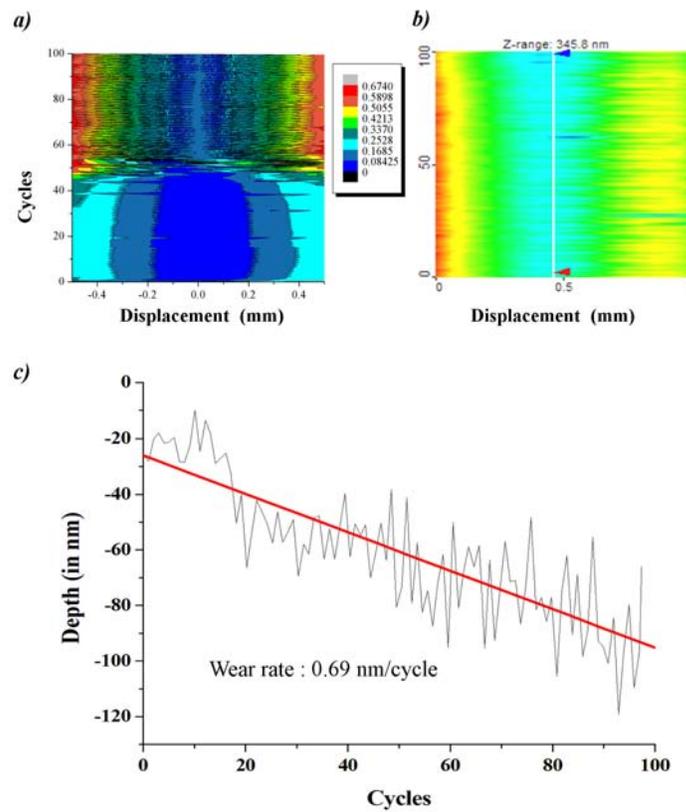


Figure 3: Typical views resulting from the *in situ* triboscopic approach giving simultaneously and for each cycle: (a) the evolution of the friction coefficient along the friction track (*friction map*); (b) the evolution of the time-dependent wear process and/or potential build-up of a tribolayer within the contact (*wear map*); and (c) the *in situ* wear rate assessment by using a classical *profile analysis*

2.4 μms^{-1} . The size of the wear debris and the initial biocrystal nanograins respectively, were determined from the *phase contrast* maps with a specific algorithm developed for the SPM data analysis software *Gwyddion* (<http://gwyddion.net>).

3. Results and discussion

3.1. Nanotribological results in the face-on configuration parallel to the direction of sliding

As shown in the fig 4a and b, there are two different frictional regimes for the same range of normal loads (10 to 80 mN), :

- (i) a *high level regime* (fig 4a) displaying a high level coefficient of friction, which is very close to the one observed in our previous macrotribology experiments [36, 37]. As expected (fig 5), these high friction values are connected to a high wear rate ($20 \text{ nm.cycle}^{-1}.N^{-1}$). Wear (fig 5a) was computed by integrating the height distribution histograms (fig 5b) assessed, for each normal load, by using a phase-shifting interferometric profilometer on the *inverted* friction scars (fig 5c) [74].
- (ii) a *low level regime* (fig 4b) which is, in contrast, specific to the micro/nanotribology experiments, and never observed before.

However, whatever the frictional regime, a load bearing capacity is clearly developed by increasing the normal load (fig 4a and b): the higher the normal load, the lower the coefficient of friction. So, an *in situ* approach is needed for explaining what is happening within the contact.

3.1.1. in situ tribological behaviour in the face-on high level regime

Fig 6 displays the *in situ* tribological behaviour in the *face-on* high level regime, for two typical normal loads (20 mN and 70 mN), respectively. Whatever the normal loads, the *friction maps* (fig 6a and b) are very unstable along the friction track and with time, because a heterogeneous tribolayer is continuously built-up and removed within the contact, as shown in the *wear maps* (fig 6c and d).

In order to estimate the amount of wear debris trapped within the contact, the tribolayers' thickness is computed, in both cases, from the *profile analyses* (fig 6e) carried out on the respective *wear maps* (fig 6c and d). After a first deformation due to the initial contact (fig 6e), a gradual build-up of the tribolayer is clearly observed, starting after the ten first cycles. Its thickness evolves with the number of cycles but, it increases with the normal load and even overshoots several micrometers for the highest normal load. Thus, this thickness is always higher than a *single* tablet's thickness (about 500 nm), revealing that *several layers* of tablets are probably involved in the wear process. Besides, successive jumps and drops observed on the wear profile (fig 6e) are always in the same order of magnitude as the tablets' thickness, suggesting some tablets' movement under the slider: hence, pieces of tablets are directly involved by the wear mechanism. As

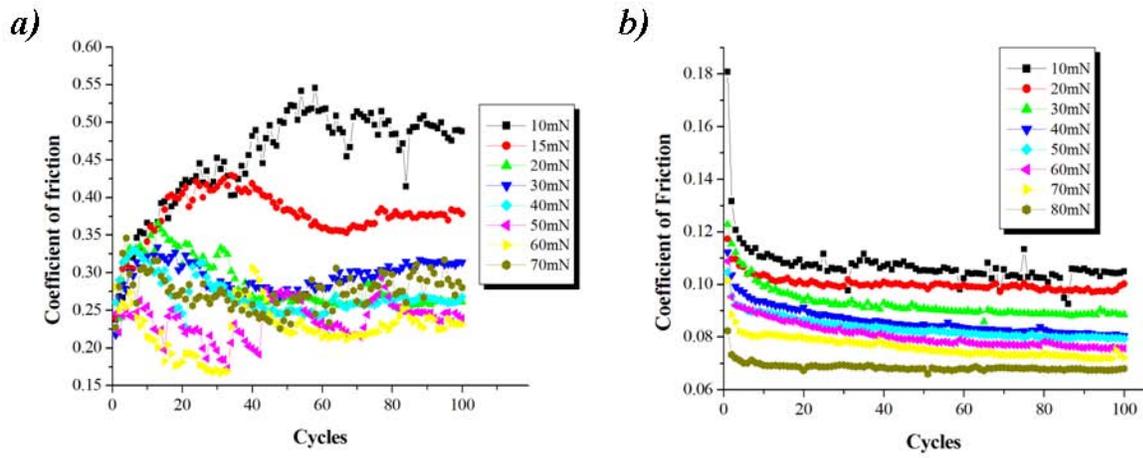


Figure 4: Evolution of the coefficient of friction with the normal load in the *face-on* configuration: (a) *high level regime*, and (b) *low level regime*

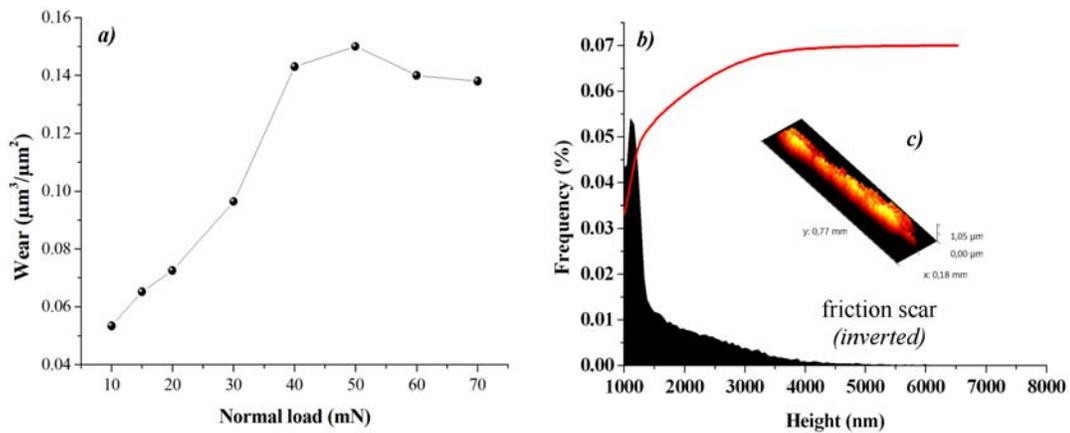


Figure 5: Wear assessment in the *face-on* high level regime: for each applied normal load, *wear* (a) is computed by integration of the height distribution histograms (b) from the topographical features of the *inverted* friction scar (c)

a result, the amount of the wear debris trapped within the contact is very important and so, the size of the wear debris itself, as reported in the typical SEM views after sliding (fig 7).

- For the lowest load (fig 7a), the contact occurs mainly on the first bodies. The size of the huge debris clearly involves the cracking of tablets and so, the fracturing of the “*interlaminar*” organic matrix as a main wear mechanism.
- For the highest normal load (fig 7b and c), the tribolayer appears more continuous due to a much more important amount of debris. In addition to the initial tablets’ fracturing, the wear behaviour involves the *crushing* of the initial huge debris (fig 7b). Hence, their progressive compaction as a continuous tribolayer (fig 7c) is probably connected to the development of the mentioned load bearing capacity, as observed in fig 4a. This process can be attributed to the nanograin-structure of the hybrid biocrystal which does not undergo brittle fracture, but ductile deformation at this scale.

As expected, the main wear mechanism in *high level* regime is then similar to the one reported in macrotribological tests carried out with *face-on* sheet nacre samples [36]: (i) the initial fracture of the “*interlaminar*” organic matrix, (ii) the *crumbling* of the aragonite tablets and finally, (iii) the *agglomeration* and compaction of the crumbled particles within the contact. Besides, the load bearing capacity effect observed as a function of the normal load seems only connected to the amount of compacted ductile debris trapped within the contact, which act on the frictional behaviour as a negative feedback loop [75]. In addition, no effect due to the nacre microarchitecture orientation has been observed in this regime: the frictional behaviour is completely controlled by the thick tribolayer. So, what is the difference with the *low level* regime?

3.1.2. *in situ tribological behaviour in the face-on low level regime*

In contrast to the previous regime, the *low level* regime has never been observed in macrotribological tests. As shown in fig 8a for the same range of normal loads, the level of the dissipated frictional energy is only the quarter of the one observed in the *high level* regime. Nevertheless, this behaviour is connected with a significant wear process, as shown on the typical SEM views observed after sliding (fig 8b and c). It is worth of noting that this difference of dissipated energy is similar to the ratio between the strengths of the organic matrices (table 1). So, a change of wear mechanism – which would involve the fracturing of “*intracrystalline*” instead of “*interlaminar*” organic matrix – is probably expected.

To check this assumption, *in situ* tribological behaviour is reported in fig 9, for two normal loads (20 mN and 70 mN). In contrast to what is observed in *high level* regime (fig 6), *friction maps* are very smooth along the friction track and with time, whatever the normal load (fig 9a and b). Similarly, *wear maps* are also quite homogeneous and reveal that cohesive tribolayers are continuously built-up during the test (fig 9c and d). They are never removed during the tests, in contrast to what is observed for the *high level regime* (fig

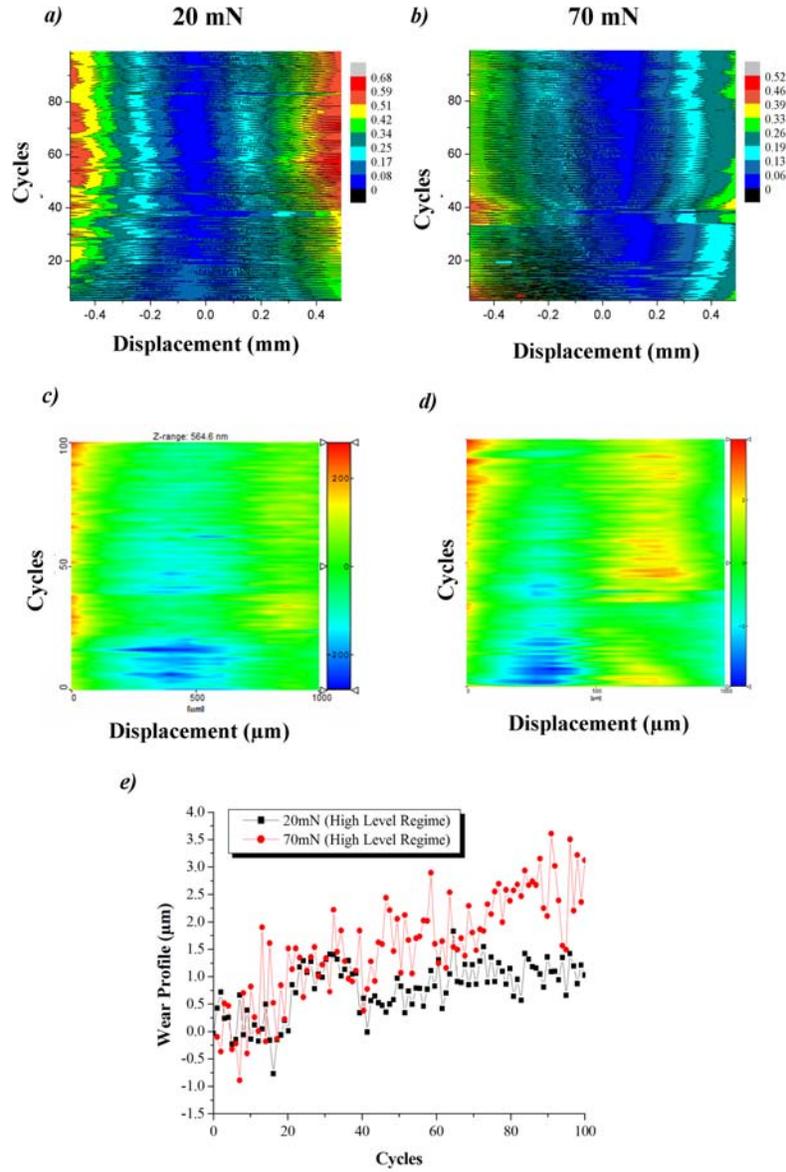


Figure 6: *in situ* tribological behaviour in the *face-on* high level regime for two applied normal loads: (a) friction maps at 20 mN, and (b) at 70 mN; (c) wear maps at 20 mN, and (d) at 70 mN; (e) corresponding *in situ* wear profile assessment carried out in the center of the friction track

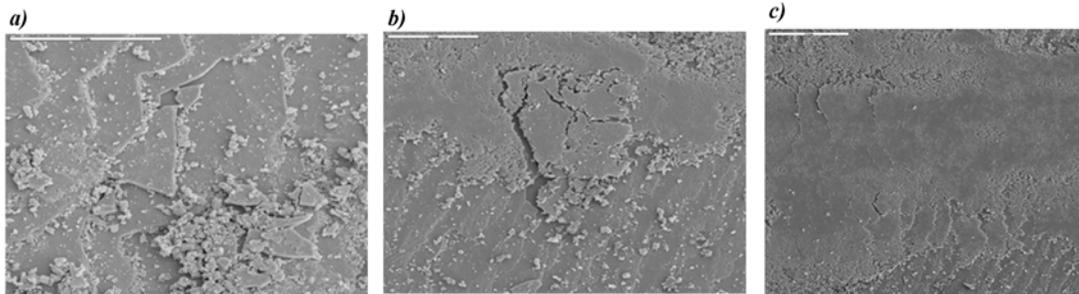


Figure 7: Typical SEM views of the friction track in the *face-on* high level regime: (a) at low applied normal load (20 mN – bar is 10 μm); (b and c) at high applied normal load (70 mN – bar is 10 μm and 20 μm, respectively)

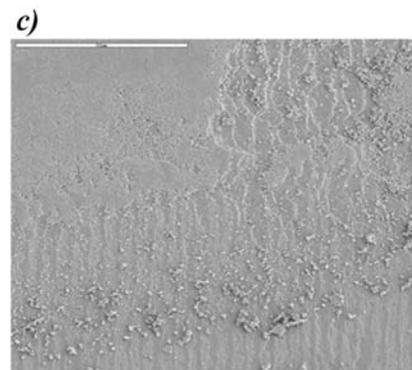
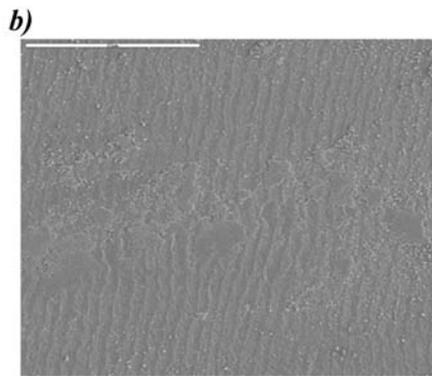
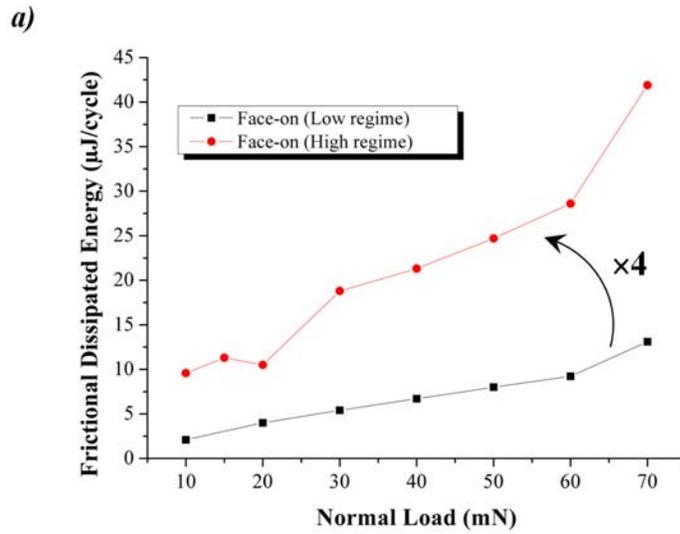


Figure 8: Tribological features in the *face-on* low level regime: (a) evolution of the dissipated energy with the applied normal load *vs.* that of high regime; (b) and (c) typical SEM views of the friction track at low (20 mN) and high normal load (70 mN), respectively (bars are 50 μm)

6). The cohesion ability of tribolayers being generally linked to the size of the elemental wear debris which constitute them [75], AFM image analysis (fig 9e) confirms that this size is very close to the one of the initial biocrystals.

Wear profiles (fig 10a) extracted from *wear maps* (9c and d) also confirm the presence of a very thin tribolayers whose thicknesses are never over the initial tablet's thickness (above 500 nm). That means that only *one layer* of tablets is here involved in the wear process. This result is also observed on typical SEM views (fig 10b).

Thus, in *face-on* low level regime, the wear mechanism would be mainly a fracturing within the tablet involving the "*intracrystalline*" organic matrix only. That would explain the reduction of the frictional dissipated energy mentioned above (fig 8a). Besides, this wear mechanism is clearly observed after sliding, on typical SEM (fig 10c) and AFM views (fig 10d), which both reveal the *crumbling* of the tablets instead of a clear cutting (fig 7a). This observation is also in good agreement with the distribution of wear debris' size (fig 9e). So, the main wear mechanism is due here to a detachment of initial biocrystals from the top row of tablets by breaking of the "*intracrystalline*" organic matrix. However, why are there two frictional levels in this *face-on* configuration?

3.1.3. Transition from low to high level regime

Typical profilometrical view of the friction track after the sliding test (fig 11a) reveals that the *high level* regime is always observed when the friction track has passed through an initial scratch, deeper than the tablets' thickness. In order to check this assumption, tribological behaviour of initially scratched surfaces is compared to the one of virgin surfaces (fig 11b). As expected, whatever the normal load, the coefficient of friction strongly increases and stays at the high level in presence of an initial scratch. Thus, in *face-on* configuration, friction and wear appear very sensitive to the track smoothness, as shown in fig 11c. As long the scratches are still below the critical depth, *i.e.*, close to the tablets' thickness, fracturing occurs within the tablets involving the "*intracrystalline*" matrix only. But as soon as the scratch's thickness overshoots the critical size (here around 35 cycles), the fracturing location moves from the "*intracrystalline*" matrix to the "*interlaminar*" one. As a result, the frictional dissipation energy suddenly increases because the two matrices are involved, but, at that time, the influence of the "*intracrystalline*" matrix is almost imperceptible, completely hidden by the "*interlaminar*" one.

As expected, the influence of nacre microarchitecture controls the tribological behaviour in *low level* regime, only. Because in *high level* regime, the formation of a tribolayer is sufficient for controlling the frictional and wear behaviour in the place of the initial nacre structure. So, what is now the effect of an orientation change on the tribological behaviour?

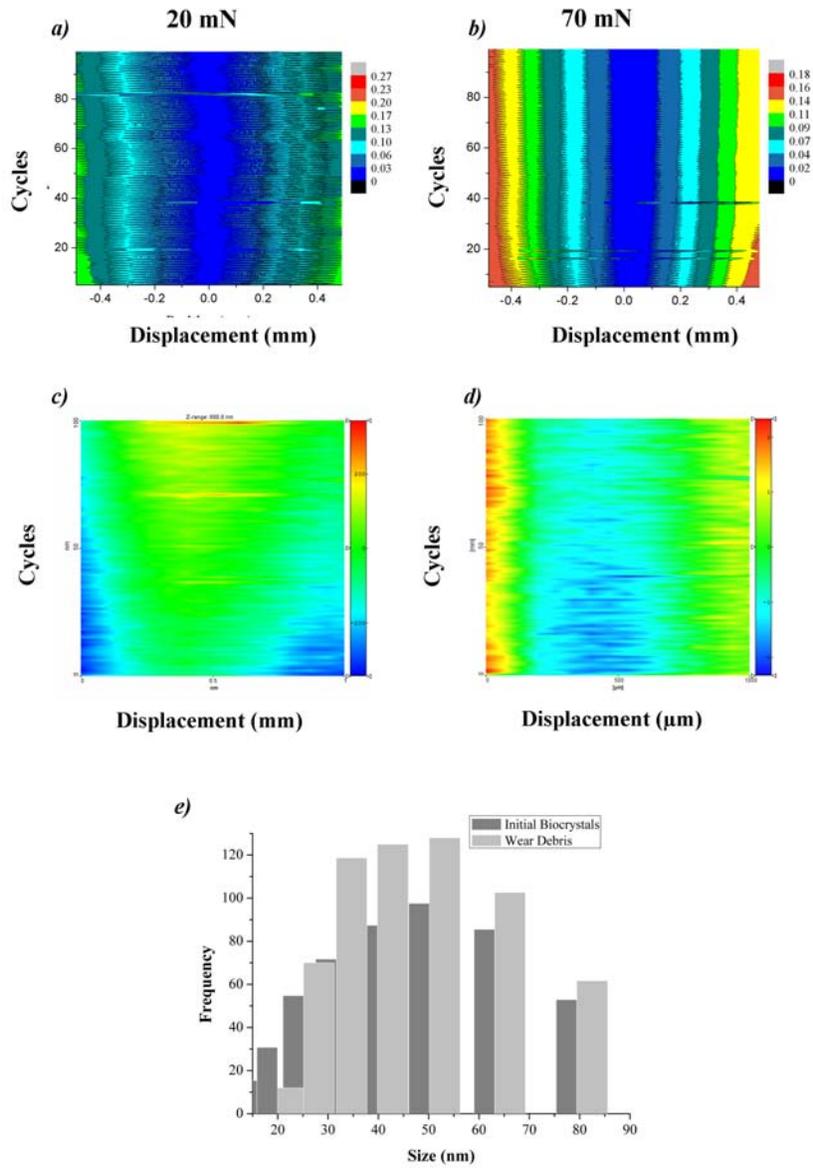


Figure 9: *in situ* tribological behaviour in the *face-on* low level regime, for two applied normal loads: (a) *friction maps* at 20 mN, and (b) at 70 mN; (c) *wear maps* at 20 mN, and (d) at 70 mN. (e) Comparison of the particles size distribution curves of wear debris with that of nanograins in the initial biocrystal

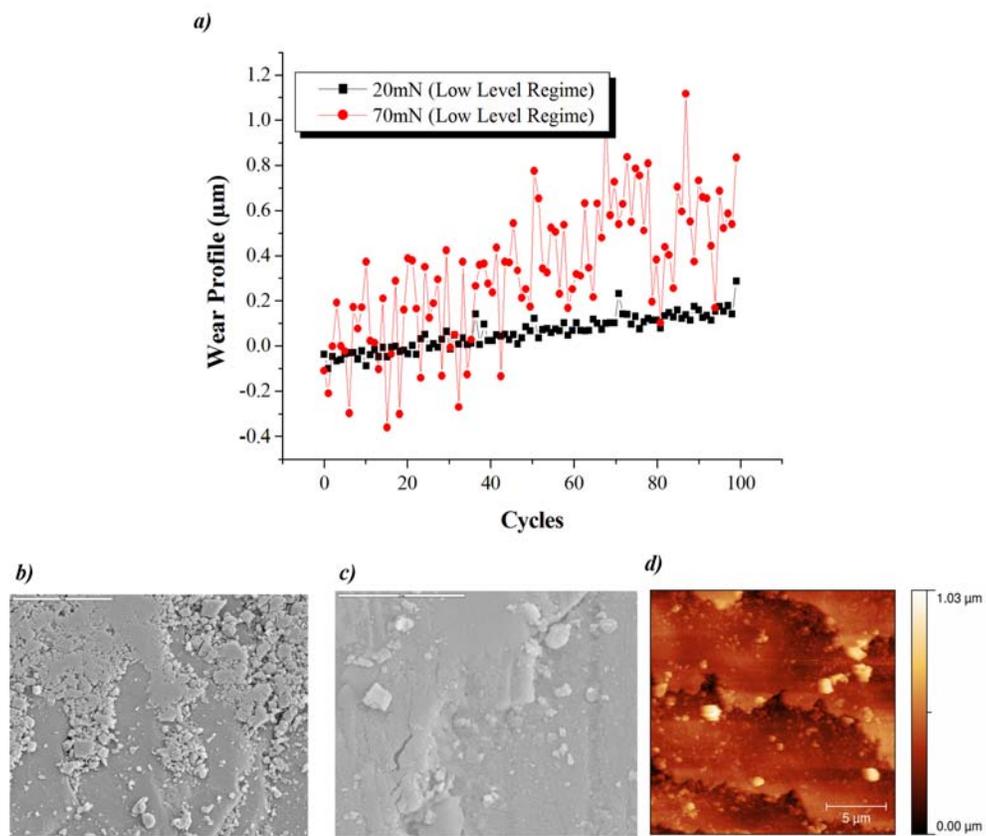


Figure 10: Wear features in the *face-on* low level regime: (a) *in situ* wear profile assessment carried out in the center of the friction track; (b) typical SEM view of the friction track; (c) and (d) typical views of the wear mechanism occurring in the *face-on* low level regime in SEM and AFM, respectively (bar is 5 μm , 2 μm and 5 μm , respectively)

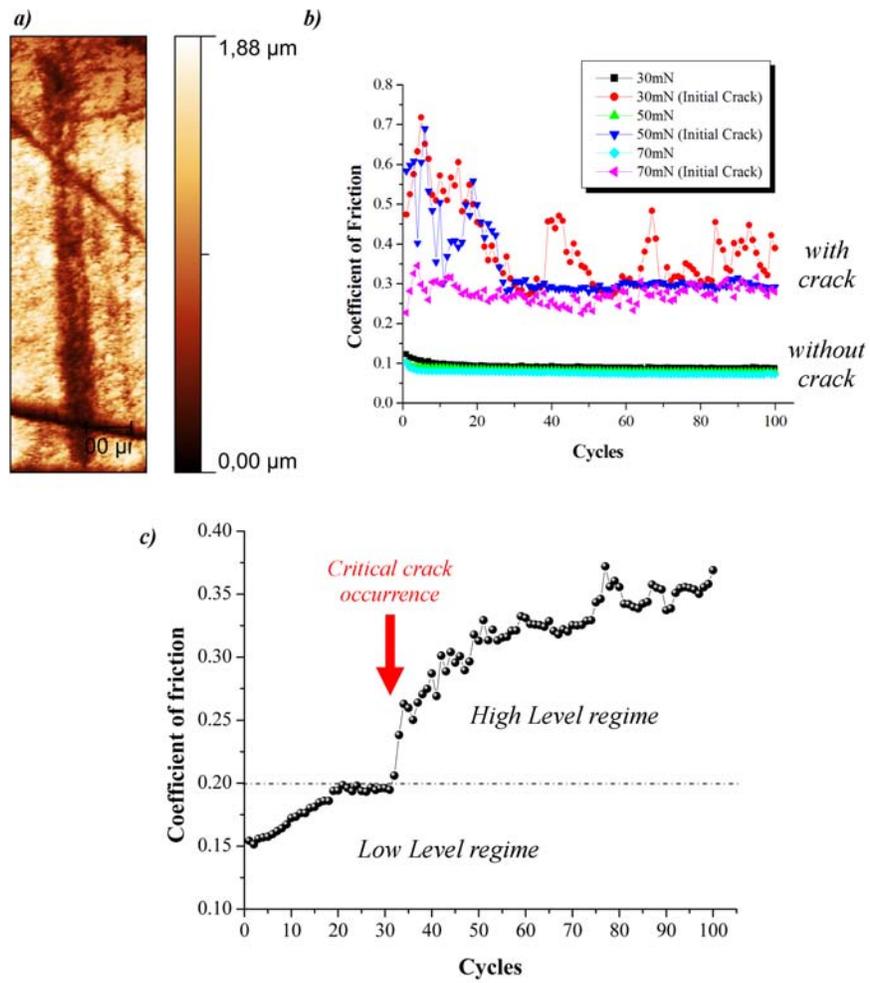


Figure 11: (a) Typical view of the friction track after sliding in the *face-on* high level regime ; (b) Evolution of the friction coefficient in absence and in presence of scratch;(c) example of transition from *low* to *high* level friction regime due to the occurrence of a critical crack around 35 cycles (Normal load 30 mN):

3.2. Nanotribological results in the edge-on configuration perpendicular to the direction of sliding

3.2.1. Variation of the friction coefficient: virgin polished surface vs. polished surface with scratches

The experiments are conducted now with the tablets *edge-on* and *perpendicular* to the sliding direction. Fig 12a and b show the evolution of the coefficient of friction *vs.* number of cycles for various normal loads, in absence (fig 12a) and in presence of an initial scratch (fig 12b), respectively.

- In absence of scratch (fig 12a), the frictional behaviour is similar to the one observed in the previous *face-on* low level regime. A load bearing capacity effect is also observed as a function of the normal load revealing a possible feedback effect of a tribolayer on the friction coefficient behaviour. As expected for *low level* regime, there is no effect of the initial microarchitecture orientation: “*forward*” and “*backward*” friction coefficient are quite similar (*i.e* the difference stays lower than the friction coefficient’s standard deviation).
- As expected too, the coefficient of friction strongly increases and stays at a *high level* regime in presence of an initial scratch (fig 12b).

Thus, similarly to the previous *face-on* configuration, there are two antagonistic friction regimes, which are sensitive to the presence of critical cracks or scratches. But, in contrast the wear behaviour appears very different because it does not change with the friction regimes – *i.e.* in absence or in presence of an initial scratch.

3.2.2. in situ tribological behaviour

Indeed, in contrast to what is observed in *face-on* configuration, only few debris are observed within the friction track (fig 13a): the coarse ones come from the initial scratch and are not agglomerated together, so they are quickly ejected on the friction track edges and beyond the ends. Besides, an enlargement on the friction track (fig 13b and c) reveals that a very thin and *discontinuous* tribolayer is observed even so. Its thickness is computed by triboscopic analyses (fig 14), respectively in absence (fig 14b), and in presence (fig 14c) of the initial scratch, for two typical normal loads (30 and 50 mN).

After an initial deformation, a tribolayer is gradually built-up with time (fig 14a). It appears very *heterogeneous* along the friction track, whatever the applied normal load (fig 14b). The amount of debris is quite low, even in presence of an initial scratch (fig 14a and c): thus,

- in absence of scratch (*i.e* in *low level* regime), the tribolayer’s thickness (fig 14a) is in the same order of magnitude as the size of the nanograins (50 nm);
- and is lower than ten layers of biocrystals in presence of initials scratches (*i.e* in *high level* regime).

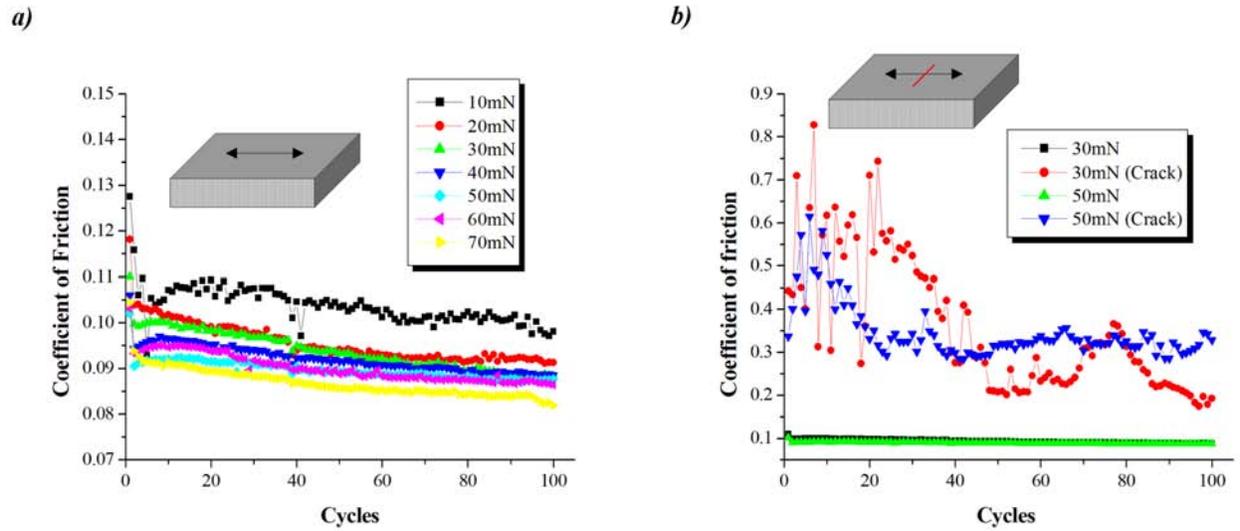


Figure 12: Evolution of the friction coefficient in the *edge-on* configuration *perpendicular* to the sliding direction (a) in absence, and (b) in presence of initial scratch

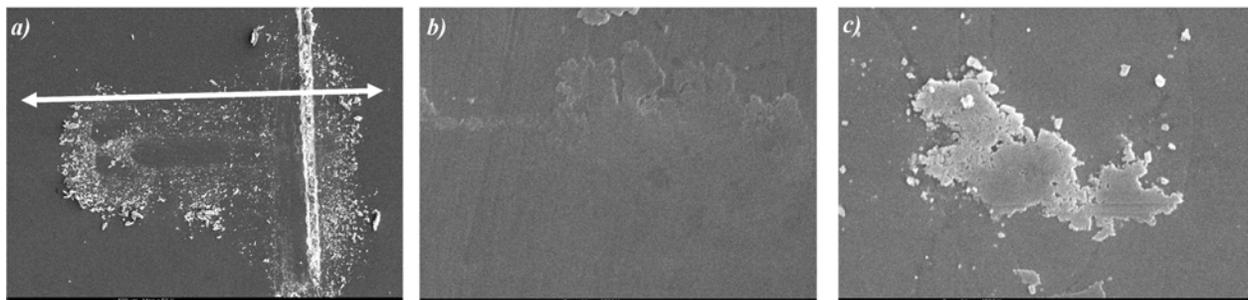


Figure 13: (a) Typical SEM views of the friction track after 100 cycles, in presence of initial scratch; (b) enlargement on the friction track (c) typical view of the discontinuous tribolayer (bar is 500 μm , 5 μm and 5 μm , respectively)

Hence, the main wear mechanism does not change from *low* to *high level* regime: it involves the “*intracrystalline*” matrix only, as also reported for the *face-on* low regime configuration (§ 3.1.2). The main difference between these configurations (see fig 10a and 14a) seems just linked: (i) to the amount of trapped wear debris, and (ii) to the type of generated tribolayers – rather *heterogeneous* and *discontinuous* here (fig 13c) – instead of *homogeneous* and *continuous* in fig 10b. This difference can appear obvious by considering that the presence of a much more important number of vertical organic/mineral interfaces (fig 15) is able to change a continuous wear phenomenon (*face-on*) into a discontinuous one (*edge-on*).

So, in the *edge-on* high level regime (*i.e* in presence of initial cracks), the frictional energy is probably dissipated within the cracks themselves and not by any additional wear mechanism, as reported in the *face-on* high level regime (*cf.* § 3.1.1). That is in good agreement with the low amount of wear debris observed within the contact (fig 13b). To check this assumption, tribological tests are now carried out in the *edge-on* configuration *parallel* to the direction of sliding.

3.3. Nanotribological results in the *edge-on* configuration parallel to the direction of sliding

3.3.1. Tribological behaviour on virgin polished surfaces and in presence of an initial scratch

Fig 16a shows the evolution of the coefficient of friction *vs.* number of cycles, for various normal loads, in the *edge-on* configuration *parallel* to the direction of sliding. Apart some instability at low load, its behaviour is similar to the previous configuration one. The frictional dissipated energy is even reduced by a factor 1.5 (fig 16b). Besides, the difference between the “*forward*” and “*backward*” friction coefficient is now greater than the standard deviation of the friction coefficient, while the nacre microarchitecture does not even change as a function of the direction of sliding. That means that something, which is connected to the reduction of the frictional dissipated energy, could have changed in the main wear mechanism. So, what is the frictional behaviour in presence of an initial scratch? (fig 17)

Surprisingly, the friction coefficient stays low whatever the direction of the initial scratch – perpendicular or parallel – to the direction of sliding (fig 17a and b). Besides, no debris is observed within the contact, even in presence of scratch (fig 18).

3.3.2. *in situ* tribological behaviour

Results are confirmed by the wear *profile analyses* whatever the orientation of the initial scratch *vs.* the sliding direction (fig 19 a and b). No significant tribolayer is built-up within the contact. No wear is observed either, because the continuous drop of the wear profile corresponds in fact to a deformation of the tablets squeezed under the slider. Indeed, an irreversible deformation induced by a possible plastic deformation of the “*interlaminar*” matrix is also observed on the typical AFM view of the friction track after sliding (fig 19c). As shown in this topographical view, the value of the final deformation is quite similar to the one of the *in situ* wear profile assessed just before unloading (eg. 19b). Thus, the final elastic recovery is very low

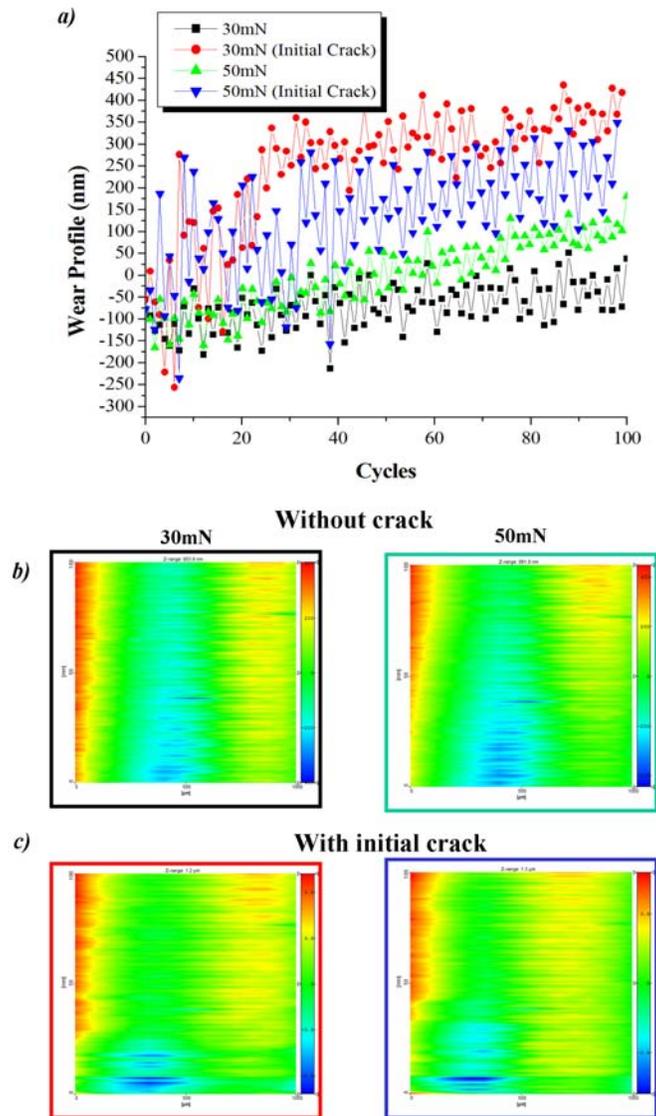


Figure 14: *in situ* tribological behaviour in the *edge-on* configuration *perpendicular* to the sliding direction, for two applied normal load (30 mN and 50 mN): (a) various *in situ* wear profiles carried out in the center of the friction track, in absence and in presence of initial scratch, respectively; Corresponding *wear maps* (b) in absence, and (c) in presence of initial scratch

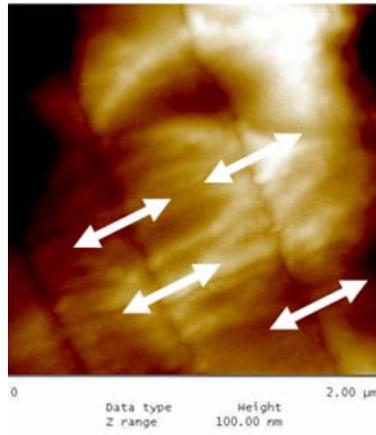


Figure 15: Effect of the vertical organic/mineral interfaces on the frictional dissipation mechanism in the *edge-on* configuration *perpendicular* to the sliding direction

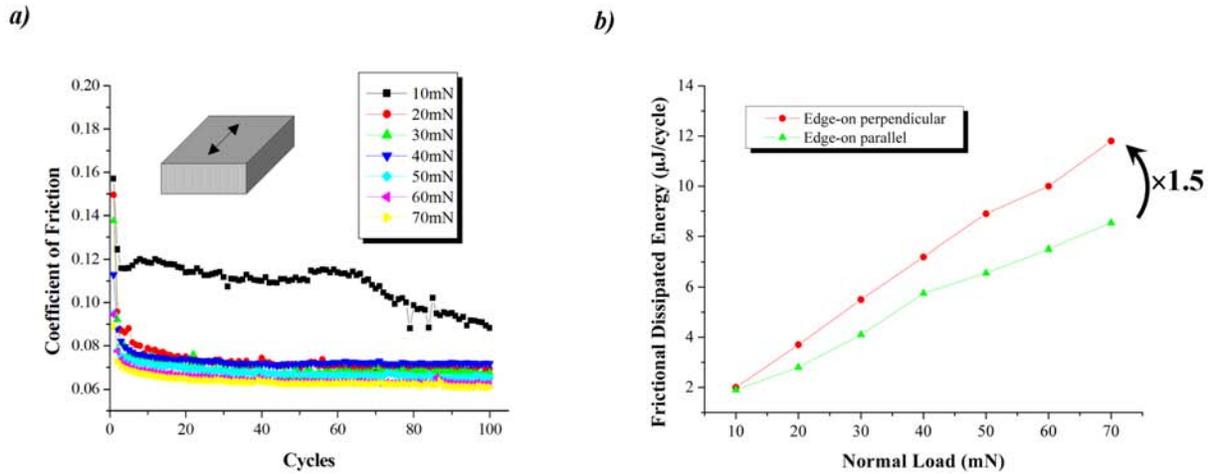


Figure 16: Frictional features in the *edge-on* configuration *parallel* to the sliding direction: (a) Evolution of the friction coefficient with the number of cycles ; (b) Evolution of the frictional dissipated energy with the applied normal load

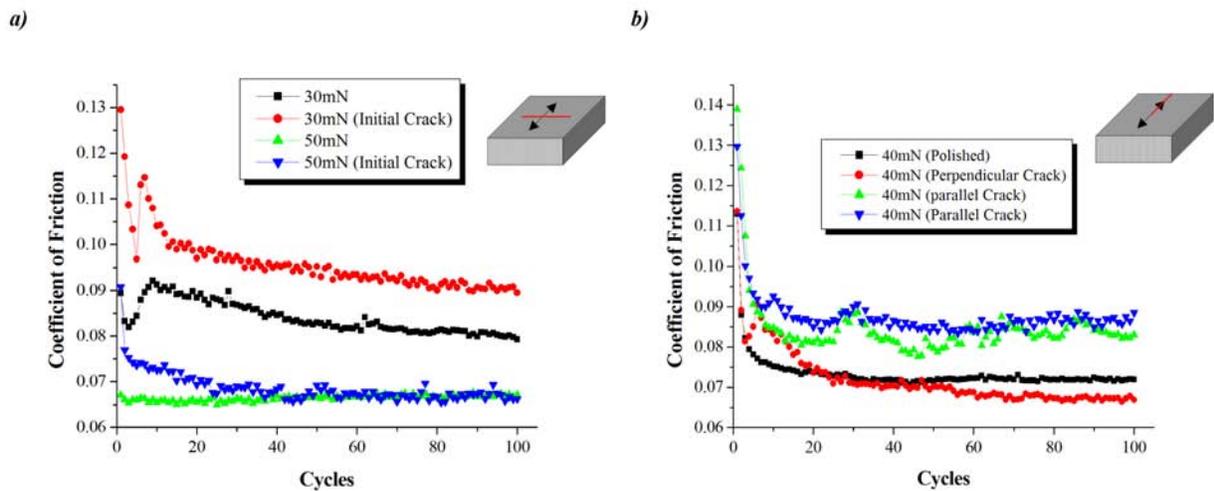


Figure 17: Influence of the presence of an initial scratch (a) *perpendicular* and (b) *parallel* to the direction of sliding on the frictional behaviour

and quite negligible compared to the plastic deformation. Besides, since this irreversible deformation already appears at beginning of the test, one can think that the reduction of the friction dissipated energy – with respect to the previous configuration (fig 16b) – is probably connected here to the absence of any wear process. So, fracturing the organic matrices needs more energy than their deformations alone: the “*intracrystalline*” matrix needs only 1.5 times more energy for being fractured in contrast to the “*interlaminar*” one, which needs at least 4 times more. This is in-line with recent results obtained by a different approach [76]

3.4. Discussion – Various friction-induced energy dissipation mechanisms of sheet nacre

In the *face-on* configuration, there are two tribological regimes. The lower regime is obtained on a polished surface. The transition to the upper regime occurs if the track crosses scratches or cracks with a critical depth higher than the thickness of a tablet (more than 500 nm). Thus, any significant change in the *roughness* can involve this transition, which is clearly controlled by the shear resistance of the “*interlaminar*” matrix (polysaccharides in-between the tablets), as reported in the fig 11c.

- The *low level regime* (fig 20a) occurs in absence of any critical cracks or scratches. The friction coefficient and the wear rate are low because only *one layer* of tablets is involved during the tribological process. The wear mechanism involves (i) the deformation of the tablet and (ii) the fracturing of the “*intracrystalline*” organic matrix as a continuous shear process. Besides, the difference between the friction “*forward*” and “*backward*” is significant *vs.* the standard deviation of the friction coefficient. So, an effect of the sliding direction is then observed due to the microarchitecture of nacre. Consequently, the low frictional energy is dissipated by fracturing the “*intracrystalline*” organic matrix after deformation of the tablets. The tribolayer is rather continuous and consists of nanograins torn away from the surface.
- The *high level regime* (fig 20b) occurs in presence of critical cracks. The friction coefficient and the wear rate are quite high. The wear mechanism involves the fracturing of the both organic matrices as a continuous process. Nevertheless, the high frictional energy is mainly dissipated by fracturing the “*interlaminar*” matrix, 4 times stronger than the “*intracrystalline*” one. Consequently, the effect of the direction of sliding (“*back*” and “*forward*”) is not relevant here, because the difference is greatly lower than the standard deviation of the friction coefficient. The tribolayer is constituted by very huge debris and pieces of fractured tablets.

In the *edge-on* configuration, there are two tribological behaviours which depend on the orientation of the tablets with respect to the direction of sliding:

- In *edge-on* configuration *perpendicular* to the direction of sliding (fig 20c), the friction coefficient is very sensitive to the presence of scratches or cracks. So, this way, roughness controls two antagonistic

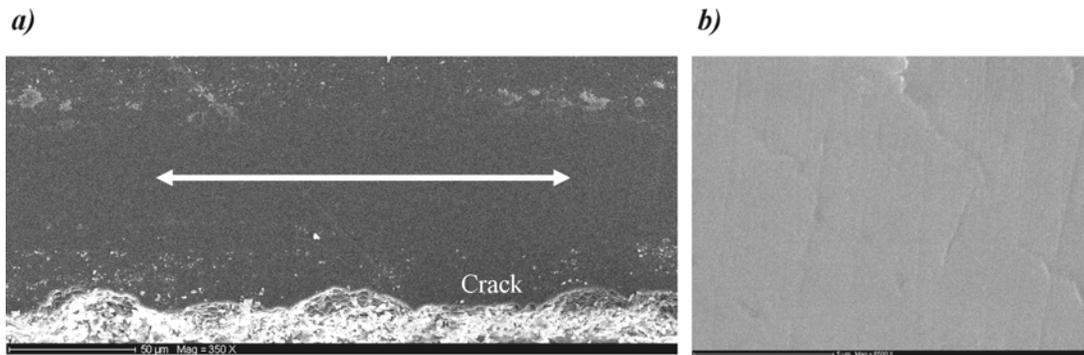


Figure 18: Typical views in the *edge-on* configuration *parallel* to the sliding direction: (a) friction track after sliding and, (b) enlargement: No tribolayer is really observed in this configuration (bar is 50 μm and 5 μm, respectively)

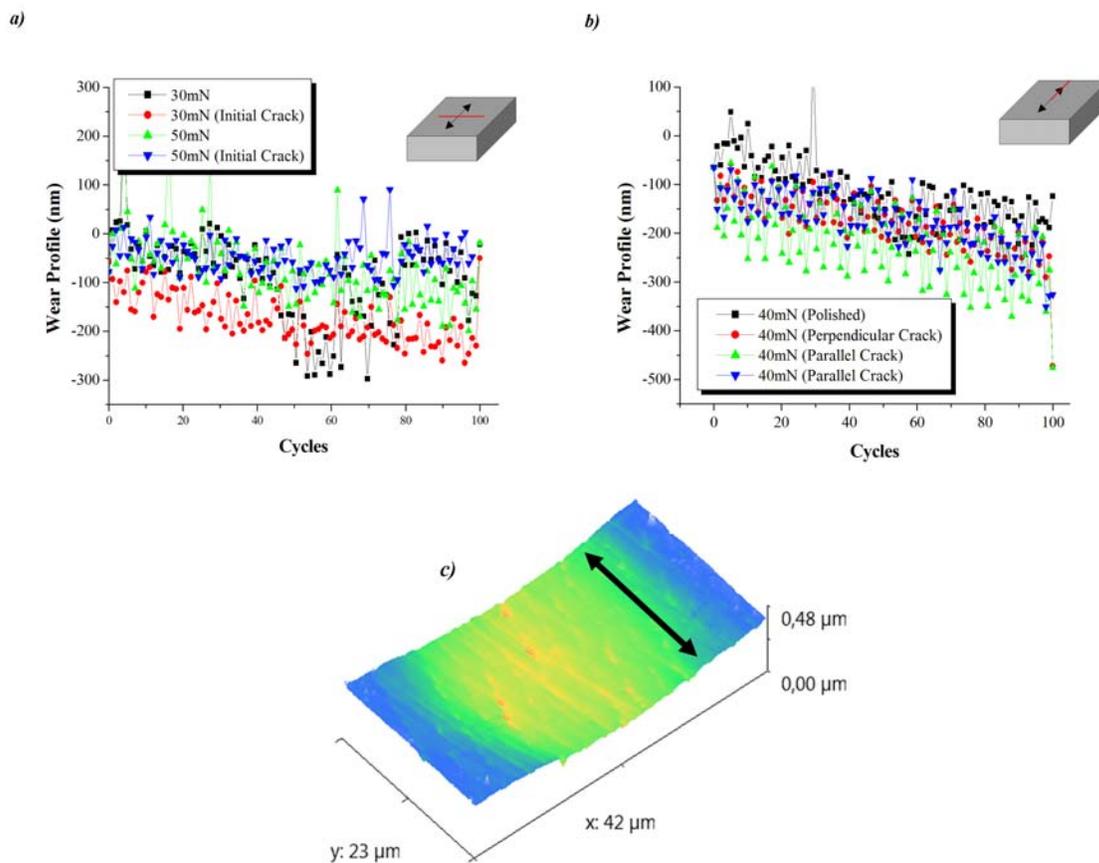


Figure 19: *in situ* wear profile assessments carried out in the *edge-on parallel* direction in absence and in presence of initial scratch (a) *perpendicular* and (b) *parallel* to the sliding direction, respectively; (c) Typical AFM view of the friction track after sliding

friction regimes. In contrast, wear – as a discontinuous process due to the orientation of the tablets – is always low: the tablets are never really damaged, even in presence of critical cracks, as schematized in fig 20c. The frictional energy is probably dissipated within the two matrices during the *low level regime*, and within the cracks themselves (without additional wear process) during the *high level* one. Besides, all the *low levels* are similar whatever the orientation of the tablets (*face-on* or *edge-on*). As suggested by the arrows in fig 20c, frictional energy is mainly dissipated at the location of the vertical interfaces between the tablets and the “*interlamellar*” organic matrix, as successive *tension/compression* events (*cf.* fig 15).

- In the *edge-on* configuration *parallel* to the direction of sliding (fig 20d), the friction coefficient and the wear rate are extremely low, even in presence of scratches or cracks – *parallel* or *perpendicular* to the direction of sliding. However, a residual strain is clearly observed after the tests. The frictional energy is here dissipated by *plastic deformation* of the matrices instead of their *fracturing*. The difference in dissipation – 1.5 times greater when the tablets are perpendicular (fig 16) – can be explained by the absence of a real damage process.

4. Conclusion

Micro/nanotribological behaviour of sheet nacre, displaying various configurations, have been studied by varying the orientation of the tablets *vs.* the sliding direction. Results reveal various dissipative mechanisms controlled by the matrices orientation, which is able to involve a multiscale wear process or not. Thus, in order of importance,

- the plastic deformation of the “*interlamellar*” organic matrix – as observed in the *edge-on* orientation *parallel* to the sliding direction – is the less dissipative way. Dissipation is made by friction on the *edge-on* tablets only, after an irreversible deformation of the matrices ;
- the fracturing of the “*intracrystalline*” matrix – as observed both (i) in the *face-on* low level regime and (ii) in the *edge-on* orientation *perpendicular* to the sliding direction – which involves a much more dissipative energy due the generation of nanosized wear debris ;
- and, the fracturing of the “*interlamellar*” organic matrix, which is the greatest dissipative way. It involves the generation of huge wear debris, and is mainly observed in the *face-on* configuration when the roughness reaches a critical value.

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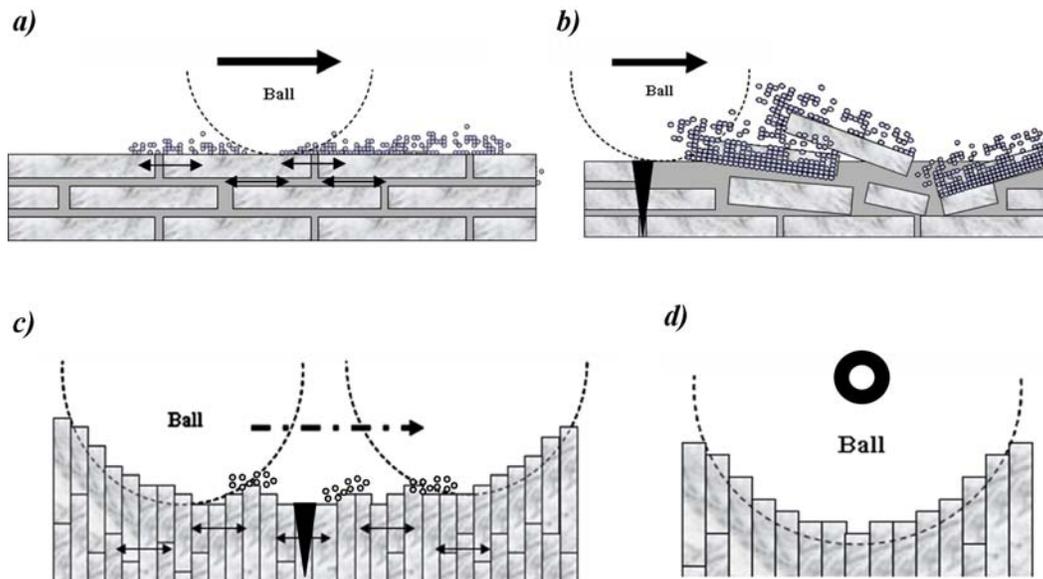


Figure 20: Various friction-induced energy dissipation ways of sheet nacre as a function of its orientation: (a) *face-on* low level regime; (b) *face-on* high level regime ; *edge-on* perpendicular (c) and parallel (d) to the sliding direction