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**NO_yi fluxes imply
rapid HO_x chemistry
in a pine forest**

D. K. Farmer and
R. C. Cohen

Observations of HNO₃, ΣAN, ΣPN and NO₂ fluxes: evidence for rapid HO_x chemistry within a pine forest canopy

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Abstract

Measurements of exchange of reactive nitrogen oxides between the atmosphere and a ponderosa pine forest in the Sierra Nevada Mountains are reported. During winter, we observe upward fluxes of NO_2 , and downward fluxes of total peroxy and peroxy acyl nitrates (ΣPNs), total gas and particle phase alkyl and multifunctional alkyl nitrates ($\Sigma\text{ANs}_{(g+p)}$), and the sum of gaseous HNO_3 and semi-volatile NO_3^- particles ($\text{HNO}_{3(g+p)}$). We use calculations of the vertical profile and flux of NO , partially constrained by observations, to show that net midday ΣNO_{yi} fluxes in winter are $-4.9 \text{ ppt m s}^{-1}$. The signs and magnitudes of these wintertime individual and ΣNO_{yi} fluxes are in the range of prior measurements. In contrast, during summer, we observe downward fluxes only of $\Sigma\text{ANs}_{(g+p)}$, and upward fluxes of $\text{HNO}_{3(g+p)}$, ΣPNs and NO_2 with signs and magnitudes that are unlike most, if not all, previous observations and analyses of fluxes of individual nitrogen oxides. The results imply that the mechanisms contributing to NO_y fluxes, at least at this site, are much more complex than previously recognized. We show that the observations of upward fluxes of $\text{HNO}_{3(g+p)}$ and ΣPNs during summer are consistent with oxidation of NO_2 and acetaldehyde by OH with the product of concentration and residence time equal to $1.1 \times 10^{10} \text{ molec OH cm}^{-3} \text{ s}$, e.g. $3 \times 10^7 \text{ molecules cm}^{-3} \text{ OH}$ for a 400 s canopy residence time. We show that $\Sigma\text{AN}_{(g+p)}$ fluxes are consistent with this same OH if the reaction of OH with ΣANs produces either HNO_3 or NO_2 in 6–30% yield. Calculations of NO fluxes constrained by the NO_2 observations and the inferred OH indicate that NO_x fluxes are downward into the canopy because of the substantial conversion of NO_x to HNO_3 and ΣPNs in the canopy. Even so, we derive that NO_x emission fluxes of $\sim 15 \text{ ng(N) m}^{-2} \text{ s}^{-1}$ at midday during summer are required to balance the NO_x and NO_y flux budgets. These fluxes are partly explained by estimates of soil emissions (estimated to be between 3 and $6 \text{ ng(N) m}^{-2} \text{ s}^{-1}$). One possibility for the remainder of the NO_x source is large HONO emissions. Alternatively, the $15 \text{ ng(N) m}^{-2} \text{ s}^{-1}$ emission estimate may be too large, and the budget balanced if the deposition of HNO_3 and ΣPNs is slower than we estimate, if there are large errors

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in either our understanding of peroxy radical chemistry, or our assumptions that the budget is required to balance because the fluxes do not obey similarity theory.

1 Introduction

5 Forests and other ecosystems constantly interact with the atmosphere, both emitting and removing chemicals including long-lived greenhouse gases (CO_2 , N_2O , CH_4) as well as more reactive carbon compounds and nitrogen oxides. Natural terrestrial systems release 1150 Tg C/yr of volatile organic compounds (VOC) (Guenther et al., 1995) and 27 Tg/yr of N compounds (12, 9 and 6 Tg/yr of NO_x , NH_3 and N_2O respectively). (Schlessinger, 1997). With few exceptions, these VOC and nitrogen compounds have
10 been thought to be emitted from leaves and soils and then transported out of the ecosystem canopy and into the boundary layer above on time scales of minutes, with oxidation occurring throughout the boundary layer. Effects associated with these large scale oxidation processes that have been of particular recent interest include the effects of biogenic isoprene mixing with anthropogenic NO_x on surface ozone (e.g. Fiore et al., 2005), the role of biogenic VOC on secondary organic aerosol (e.g. Larsen et al., 2001; Kanakidou et al., 2005), the effects of some of the longer-lived VOC such as acetone on the greenhouse forcing due to their effects on the global distribution of tropospheric ozone (e.g. Collins et al., 2002), the contribution of nitrogen oxides to the natural background of ozone and secondary organic aerosol (e.g. Lelieveld et al., 2004; Kroll et al., 2005) and the contribution of nitrogen oxide deposition to soil nutrient levels
20 (e.g. Takemoto et al., 2001).

Most of these prior studies either assume or calculate that within-canopy chemical reactions of nitrogen oxides or VOC arising from reaction with OH, O_3 or NO_3 are too slow to measurably affect fluxes. Important exceptions to this are the $\text{NO}-\text{O}_3-\text{NO}_2$,
25 $\text{NH}_3-\text{NH}_4\text{NO}_3(\text{aerosol})-\text{HNO}_3$ and sesquiterpene- O_3 -product chemical systems. These systems can exhibit chemical flux divergence depending on the timescale of turbulence relative to the timescale of chemistry (Fitzjarrald and Lenschow, 1983; Brost et

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al., 1988; Kramm et al., 1995; Rummel et al., 2002; Nemitz et al., 2004). Prior models of reactive within-canopy chemistry and the associated trace gas fluxes typically calculate or assume OH in the range 5×10^4 to 1×10^6 molec cm^{-3} within the forest canopy, which is indeed low enough that there is little effect of OH within the canopy on fluxes (Kramm et al., 1991; Gao et al., 1993; Fuentes et al., 1996; Stroud et al., 2005; Forkel et al., 2006; Rinne et al., 2007). These concentrations are much lower than those in the boundary layer above the canopy because the shade of the canopy reduces sunlight that initiates OH production through photochemistry. Ozone reactions have also been thought of as too slow to be important to the flux of species other than NO and sesquiterpenes. NO₃ concentrations are thought to be too low to be important during daytime, but may be important to fluxes at night, although there is little theory or experiment available to evaluate this idea (Kramm et al., 1995).

There is growing evidence indicating that we should rethink these assumptions with respect to O₃ and OH. Observations have shown that there is rapid oxidation of sesquiterpenes and monoterpenes prior to their escape from the forest canopy, resulting in a significant upward flux of oxidized VOC (Goldstein et al., 2004; Holzinger et al., 2005b). O₃ has been assumed to be the primary oxidant in these processes (Kurpius and Goldstein, 2003; Goldstein et al., 2004; Holzinger et al., 2005b). Laboratory observations have shown that the reaction of ozone with unsaturated hydrocarbons (Reaction R1), including many terpenoids, has a product channel with significant (7–100%) yield of OH (Paulson and Orlando, 1996; Donahue et al., 1998; Kroll et al., 2001),



Thus there is a well defined chemical mechanism linking biogenic emissions of terpenoids and subsequent oxidation by O₃ to the production of OH. Reaction (R1) has been invoked as a source of the OH observed in several field campaigns (Carslaw et al., 2001; Faloon et al., 2001; Tan et al., 2001). Goldstein et al. (2004) calculated that the terpenoid compounds emitted from a ponderosa pine forest at the University of California Blodgett Forest Research Station (UC-BFRS) would elevate OH levels in

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and above the canopy to $0.8\text{--}3.0\times 10^7$ molec cm⁻³ based on the assumption of a high OH yield from Reaction (R1). Prior to the nitrogen oxide flux observations described in this manuscript, these calculations by Goldstein et al. were the only observation-based suggestion that OH within a forest canopy might be higher than above. However, there are a number of OH observations indicating that emissions correlated with isoprene might be a significant source of OH, raising OH concentrations within and even high above forest canopies to well above those predicted by standard models (Faloona et al., 2001; Tan et al., 2001; Ren et al., 2007¹). Analysis of these observations provides hints of missing HO_x sources, while other studies have suggested that sinks are overestimated at low NO_x (Thornton et al., 2002). Consistent with the suggestion by Thornton et al. (2002), the recent observations of OH production in the reaction of oxygenated RO₂ with HO₂ (Hasson et al., 2004) may provide a partial explanation for the overestimate of HO_x sinks near forests.

Here we use observations of the fluxes of NO₂, ΣPNs, ΣANs_(g+p) and HNO_{3(g+p)} to assess the mechanisms affecting canopy nitrogen oxide exchange and to characterize the photochemical environment within a forest canopy, with specific attention to within-canopy OH. We find that OH within the ponderosa pine canopy at the University of California-Bldgett Forest Research Station (UC-BFRS) is at least five times the concentration above the canopy and an order of magnitude more than the upper end of the range for OH ($5\times 10^4\text{--}1\times 10^6$ molec cm⁻³) predicted in prior modeling studies of forest canopies (Gao et al., 1993; Stroud et al., 2005; Forkel et al., 2006). As we show in some detail here, within-canopy OH is a major factor affecting the fluxes of nitrogen oxides at this location. If the processes described here are important elsewhere, then a broad rethinking of the mechanisms of NO_y exchange above forests is necessary. In addition, high values of within-canopy OH will also affect O₃, VOC

¹Ren, X., Olson, J. R., Crawford, J. H., Brune, W. H., Mao, J., Long, R. B., Chen, G., Avery, M. A., Sachse, G. W., Barrick, J. D., Diskin, G. S., Huey, L. G., Fried, A., Cohen, R. C., Heikes, B., Wennberg, P., Singh, H. B., Blake, D. R., and Shetter, R. E.: HO_x Observation and Model Comparison during INTEX-A 2004, J. Geophys. Res., in preparation, 2007.

and secondary organic aerosol (SOA) chemistry; more quantitative analyses of the coupling of $\text{NO}_x/\text{VOC}/\text{O}_3$ and SOA will be needed before a complete understanding of forest-atmosphere interactions in the present and pre-industrial (with lower O_3 and lower NO_x) atmospheres can be achieved.

2 Field site and methods

The UC-BFRS flux site ($120^\circ 53' 42.9 \text{ N}$, $120^\circ 37' 57.9 \text{ W}$, 1315 m elevation) is located in a managed ponderosa pine plantation near the UC-BFRS, and is owned by Sierra Pacific Industries. The vegetation is dominated by *Pinus ponderosa* L. that were planted in 1990. During 2004–2005, the median tree height was 5.7 m, while the tallest trees in the canopy were 9 m and 80% of the trees were less than 7.3 m. There are also individuals of Douglas fir, white fir, incense cedar and California black oak in the flux tower's footprint. The plantation understory is dominated by whitehorn (*Ceanothus cordulatus*) and manzanita (*Arcostaphylos* spp.) (Holzinger et al., 2005a). The site is located approximately 75 km downwind of the city of Sacramento. Due to the mountain-valley circulation, the site experiences daytime upslope flow and nighttime downslope flow with unusual regularity and a relatively narrow spread of wind direction (Murphy et al., 2006b). The site experiences a Mediterranean climate, with a hot, dry summer season and a cold, wet winter season. Previous studies have described the meteorology, chemical composition (CO , VOC , NO_y and O_3) and O_3 and CO_2 fluxes at this site (Bauer et al., 2000; Goldstein et al., 2000; Dillon et al., 2002; Day et al., 2007²; Holzinger et al., 2005b; Murphy et al., 2006a, b, c). Note that this study took place one year later, and both the forest canopy and measurement height were approximately two meters higher, than the study described by Holzinger et al. (2005b).

We use thermal dissociation – laser induced fluorescence (TD-LIF) (Day et al., 2002)

²Day, D. A., Wooldridge, P. J., and Cohen, R. C.: Observations of the temperature dependence of HNO_3 , ΣANs , ΣPNs , and NO_2 , in preparation, 2007.

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in an eddy covariance mode (Farmer et al., 2006) to measure the full annual cycle (June 2004–June 2005) of fluxes of NO₂, total peroxy and peroxy acyl nitrates (ΣPNs, ΣR_iO₂NO₂), total alkyl and multifunctional alkyl nitrates (ΣANs, ΣR_iONO₂), including aerosol phase ΣR_iONO₂, and total gas plus semi-volatile particle bound HNO_{3(g+p)} (Bertram and Cohen, 2003) on a walk-up tower at a point 7 m (14.3 m above ground level, a.g.l.) above the UC-BFRS forest canopy (7.3 m a.g.l.). The semi-volatile aerosol component of the HNO₃ measurement is believed to be negligible at Blodgett Forest during the summer due to the high temperatures low ambient ammonia levels (Fischer and Littlejohn, 2007³).

The TD-LIF instrument has two major subsystems: a heated inlet and an NO₂ detector. Air is pulled through the modestly heated fore-inlet where the temperature is kept high enough to ensure complete transmission of HNO₃ (Neuman et al., 1999), but low enough to prevent dissociation of peroxy nitrates. (Farmer et al., 2006). The sample is then split into four separate heated quartz tubes for each of the four classes of reactive nitrogen oxide species (HNO_{3(g+p)}, ΣANs_(g+p), ΣPNs, NO₂). At the residence times characteristic of this experiment, these compounds dissociate to NO₂ and an accompanying radical at 550°C, 330°C, 180°C, or are present in the ambient air, respectively. (Day et al., 2002). The mixing ratio of each class of NO_yi is determined as the difference in NO₂ detected in adjacent-temperature channels. For example, ΣPNs are the difference in NO₂ observed between the 180°C and ambient temperature channels. Both gaseous and semi-volatile aerosol components of HNO₃ and ΣANs are dissociated to NO₂ in the 550°C and 330°C channels, respectively. Salts such as NaNO₃ are not detected. The temporal response of the inlet is rapid enough that it has little effect on the flux measurements. (Farmer et al., 2006).

The sample proceeds from the inlet in PFA tubing down the tower to the laser-based detection system housed in a temperature-controlled trailer at the foot of the tower. NO₂ is detected by LIF using a narrow band etalon-tuned 585 nm dye laser pumped by

³Fischer, M. and Littlejohn, D.: Measurements of NH₃ at Blodgett Forest., report to the California Air Resources Control Board, submitted, 2007.

a 532 nm diode-pumped, frequency-doubled, pulsed Nd:YAG laser (Spectra Physics) (Thornton et al., 2000). The dye laser is tuned to a specific rovibronic feature of NO₂, and is alternated between this feature and a weaker continuum absorption to test for interferences and maintain a line-locking algorithm. The laser is focused through a series of four multi-pass White cells, one for each channel. The resulting red-shifted fluorescent photons are imaged onto a photomultiplier tube (Hamamatsu H7421-50) and collected using time-gated counting after a delay that eliminates prompt scattering. The NO₂ mixing ratio is directly proportional to the fluorescence signal, and is detected at 5 Hz with a sensitivity that varied in the range of 24–64 pptv in 0.2 s (S/N=2) during the course of the year-long experiment. As described in detail in Farmer et al. (2006), the flux is calculated every half-hour as the covariance between observed mixing ratios and vertical wind speed, measured by a sonic anemometer (Campbell Scientific CSAT3 3-D Sonic Anemometer). Instrument noise affects fluxes by <15%, while spectral attenuation and sensor separation contribute to under-estimation of fluxes by <7% and <20%, respectively (Farmer et al., 2006). Temperature was derived from the sonic anemometer and used to calculate sensible heat fluxes.

Concentration measurements of NO₂, ΣPNs and HNO₃ by TD-LIF have been compared in the field to observations by independent techniques at the surface and by aircraft. In general, there has been average agreement to better than 15% with differences limited by precision of the measurements. In some cases, mean differences of up to 30% were observed and identified as due to issues in design of one of the variations we have developed for the TD-LIF inlet. The inlet used in the experiments described here does not suffer from this design error and these other comparison experiments suggest it is not expected to exhibit any interferences due to sampling or unexpected secondary chemistry within the heated regions (Thornton et al., 2003; Bertram, 2006).

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3 Results

During the winter (January–March) when conditions were cold, snowy and wet, we observed upward fluxes (emission) of NO_2 , and downward fluxes (deposition) of ΣPNs , $\Sigma\text{ANs}_{(g+p)}$, $\text{HNO}_{3(g+p)}$, and of the sum of $\text{NO}_2 + \Sigma\text{PNs} + \Sigma\text{ANs}_{(g+p)} + \text{HNO}_{3(g+p)}$ (Farmer et al., 2006). These results are qualitatively what were expected from these measurements, namely an upward flux of NO_2 resulting from conversion of NO to NO_2 within the canopy and downward fluxes of the higher oxides. Deposition of HNO_3 has been widely reported (e.g. Lefer et al., 1999; Sievering et al., 2001; Tarnay et al., 2001; Pryor et al., 2002), with the few measurements of upward fluxes generally attributed to flux divergence due to interconversion between gas and particle phase HNO_3 (Brost et al., 1988; Huebert et al., 1988; Neftel et al., 1996; Van Oss et al., 1998; Pryor et al., 2002; Nemitz et al., 2004). Note that since our measurement is the sum of both phases of HNO_3 , this mechanism is only relevant to interpretation of our measurements to the extent that gas and particle phase HNO_3 have different deposition velocities. Further, the low NH_3 concentrations ($0.5 \text{ ppb} < \text{observed} < 1.5 \text{ ppb}$; $K_p \gg (\text{NH}_3)(\text{HNO}_3)$) at the site imply that, at least during the summer, there is no semi-volatile aerosol that could affect the HNO_3 fluxes (Fischer and Littlejohn, 2007³). To our knowledge, there are no prior measurements of alkyl nitrate fluxes. ΣANs are a mixture of a wide range of compounds (O'Brien et al., 1995; Kastler et al., 2000; Day et al., 2003; Rosen et al., 2004; Cleary et al., 2005), many of which are hydroxyalkyl nitrates that are expected to have high deposition velocities because the hydroxyl group enhances their solubility in water (Shepson et al., 1996; Treves and Rudich, 2003). Peroxyacetyl nitrate (PAN) deposition has been observed over various grassland and forest ecosystems (Shepson et al., 1992; Schrimpf et al., 1996; Turnipseed et al., 2006), though upward PAN fluxes have been observed on hot days in the presence of precursors (Doskey et al., 2004). Upward NO_2 fluxes have been observed previously, and are attributed to NO reactions with O_3 and decreases in the NO_2 photolysis rate within forest canopies relative to above them (Gao et al., 1993; Horii et al., 2004).

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During summer (June–August) when conditions were hot and dry, we observed emission of the individual species NO₂, ΣPNs and HNO_{3(g+p)}, deposition of ΣANs, and emission of the sum Σ(NO₂+PNs+ANs+HNO_{3(g+p)}) (Fig. 1 shows observations averaged by time of day for August 2004). These results were typical of other summer months. As in winter, the NO₂ flux is expected to result from conversion of NO to NO₂ within the forest canopy. During summer, soil emissions of NO are also expected to contribute to the canopy scale NO_x flux, as has been observed at a number of other sites (e.g. Rummel et al., 2002). In contrast, the measurements of HNO_{3(g+p)} emission from the canopy are unexpected and contrary to the commonly accepted notion that HNO₃ is produced at a roughly constant rate throughout the boundary layer and removed at the surface by either dry deposition (as gas or particle) or rainfall. ΣPN emission is also surprising as PAN has generally been observed to deposit (e.g. Turnipseed et al., 2006). Extensive tests of the instrumentation and observing strategy confirm the measurements are accurate to within 15%, and that the upward fluxes are not an artifact of either our procedures, or of the topography of the UC-BFRS site. (Farmer et al., 2006).

4 Winter observations

The winter observations provide no indication that unusual processes need to be invoked. Accordingly, we assume a standard model to interpret the fluxes in winter. The mechanisms controlling fluxes during the winter, and a more detailed comparison of wintertime measurements to observations at other sites will be presented in a separate manuscript. Here we focus on winter primarily as a point of reference for evaluating the chemical mechanisms affecting summertime NO_yi fluxes.

We estimate the gradients in NO_x, ΣPNs, ΣANs and HNO₃ from the chemical fluxes using three methods: 1) assuming similarity to CO₂ fluxes and gradients, 2) using Monin-Obukhov similarity theory, as described in detail by Fuentes et al. (1996) and 3.) using the surface renewal model described by Holzinger et al. (2005b). We note that

the flux measurements are made at 14.3 m, approximately twice the canopy height, and that some breakdown of similarity theory is expected. The comparison of these different calculations provides some indication of the potential magnitude of this breakdown and its effects on our conclusions.

5 Vertical profiles of oxidized VOC at UC-BFRS show maxima indicative of significant oxidation between 5 and 9 m (Holzinger et al., 2005b), and we choose the mid-point of this range just above the canopy, 7m, as a reference height. Typical NO_2 , ΣPN , $\Sigma\text{AN}_{(g+p)}$ and $\text{HNO}_{3(g+p)}$ noontime winter fluxes are +1.4, -0.89, -2.46 and -1.5 ppt m s^{-1} (1 $\text{ppt m s}^{-1} \approx 1.47 \times 10^5 \mu\text{mol m}^{-2} \text{ h}^{-1} \approx 0.5726 \text{ ng(N) m}^{-2} \text{ s}^{-1}$) and the corresponding concentrations observed above the canopy are 0.223, 0.205, 0.098, and 0.050 ppb, respectively. We derive NO_{y_i} gradients from the assumption that the same eddies carry the fluxes of two scalars, (F_{c1}) and fluxes (F_{c2}). In this approximation, known as the Bowen ratio, it is assumed that the ratio of any flux to the gradient of that species or scalar are equal (Meyers et al., 1989):

$$15 \quad \frac{F_{c1}}{\Delta C_1} = \frac{F_{c2}}{\Delta C_2} \quad (1)$$

where ΔC_1 and ΔC_2 are the mixing ratio gradients, corresponding to the fluxes F_{c1} and F_{c2} respectively. Rearranging Eq. (1) to solve for the mixing ratio gradient of a given compound gives, in terms of the other three quantities:

$$\Delta C_2 = F_{c2} \cdot (\Delta C_1 / F_{c1}) \quad (2)$$

20 Observations of the fluxes and gradients of both CO_2 and water are available to test the accuracy of Eq. (2). (Goldstein et al., 2000). During the winter, we find that the equation is consistent with the measurements to within a factor of 2 and we estimate that the uncertainty as applied to the NO_{y_i} species is at least that large. Typical observed winter CO_2 fluxes were $-24.8 \text{ mmol m}^{-2} \text{ h}^{-1}$ ($-0.186 \text{ ppm m s}^{-1}$) and typical gradients are 1.06 ppm. Using the observed CO_2 flux gradient and extrapolating the gradient

25 from the measured heights of 1.2, 3.0, 4.9, 6.8 and 10.5 m to our measurement height

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at 14.3 m, we calculate the gradients of NO₂, ΣPNs, ΣANs and HNO₃ between the measurement height of 14.3 m and 7 m above the ground to be +8.0, -5.1, -14, and -8.6 ppt, respectively.

As a second approach, we use Monin-Obukhov theory to calculate the flux-gradients.

5 In this approach, equations relating the concentration gradients to the fluxes are then:

$$\Delta C = C_2 - C_1 = \frac{F_C}{\kappa u_*} \left(\ln \left(\frac{z_2 - d}{z_1 - d} \right) + \Psi_{C_1} - \Psi_{C_2} \right) \quad (3)$$

where z is the height a.g.l., κ is the von Karman constant (0.4), L is the Monin-Obukhov length, u_* is the friction velocity, d is the zero plane displacement height, and Ψ_C is a stability parameter,

$$10 \Psi_C = 2 \ln \left(\frac{1 + x^2}{2} \right) \quad (4)$$

where

$$x = \left(1 - \frac{16z}{L} \right)^{1/4} \quad (5)$$

The NO_{*y*} gradients calculated using the Bowen ratio with CO₂ as the reference compound are 10–20% larger than those calculated using the Monin-Obukhov theory (Table 1).

15 Our third approach is to calculate flux-gradient relationships using the surface-renewal method, as described by Holzinger et al. (2005b). In this method, the production rate (P_C), or flux, of a species is determined by the amount in excess (EA_{*C*}) over background ([*C*]_{*B*}) produced in a given mixing time (t_{mix}),

$$20 P_C = \frac{EA_C}{t_{\text{mix}}} \quad (6)$$

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$$EA_c = \int_0^h [c]dz - h[c]_B \quad (7)$$

Assuming similarity in the vertical exchange, an unknown excess can then be derived from the ratio of two observed fluxes and one known excess:

$$P_{c1} = \frac{EA_{c1}}{EA_{c2}} P_{c2} \quad (8)$$

5 We integrated the observed CO₂ gradients to calculate an excess of -22.9 ppm m between our measurement height (14.3 m) and ground level. Note that deposition fluxes result in negative excess, and emission fluxes correspond to a positive excess. This excess concentration corresponds to the observed -0.186 ppm m s⁻¹ CO₂ flux. This approach overestimates the observed H₂O gradient by a factor of two. Substituting
 10 into Eq. (8) results in the -1.5 ppt m s⁻¹ HNO₃ flux corresponding to a -184.5 ppt m excess over background. For the sake of comparison, we assume that the CO₂ and NO_{y*i*} profiles have the same shape, and that the ratio of the integral between 7 and 14.3 m to the integral of the total column is consistent for different chemical species. In this way, we arrive at an estimate of the HNO₃ gradient between 7 and 14.3 m of 9 ppt.

15 We calculate the exchange velocities (V_{ex}) from the observed flux (F) and mixing ratio (C),

$$V_{ex} = \frac{F}{C} \quad (9)$$

A negative V_{ex} indicates a downward, deposition flux, while a positive V_{ex} represents an upward, emission flux; the deposition velocity, V_{dep} , is $-V_{ex}$. The median noon-time
 20 winter V_{ex} were -2.5, -2.0 and -0.8 cm s⁻¹ for HNO_{3(g+p)}, ΣANs_(g+p) and ΣPNs respectively at UC-BFRS (Farmer et al., 2006). These exchange velocities are in the range of previous observations for HNO₃ (e.g. Sievering et al., 2001; Tarnay et al., 2001; Pryor et al., 2002; Pryor and Klemm, 2004) and PAN (Shepson et al., 1992;

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Schrimpf et al., 1996; Turnipseed et al., 2006). Horii et al. (2005) used NO_y flux measurements at Harvard Forest to infer a -3 cm s^{-1} exchange velocity for a “missing NO_y flux” component that was not due to HNO₃ or PAN, and was identified as most likely due to isoprene-derived hydroxyalkyl nitrates. Their value of 3 cm s^{-1} for the deposition velocity of this ΣAN-like fraction of NO_y is similar to the 2 cm s^{-1} that we derive for ΣANs directly from measurements.

The V_{ex} for NO₂ is $+0.63 \text{ cm s}^{-1}$ in the winter at midday. Sources of NO_x in the forest canopy during winter are expected to be very small, and, to a first approximation, we assume that the observed flux is entirely driven by conversion of NO to NO₂ below the canopy and that NO_x is therefore constant throughout the canopy during winter. Analysis of the NO to NO₂ ratio is simplified if we can assume steady-state which requires residence times longer than 100 s. The residence time can be calculated by Eq. (10) (Martens et al., 2004),

$$\tau = h_c \cdot R_t \quad (10)$$

where τ is the residence time, h_c is the height, and R_t is the total aerodynamic resistance for a molecule passing through the canopy atmosphere, or the sum of aerodynamic and boundary layer resistances. For HNO₃, R_t can be approximated as $1/V_{\text{dep,HNO}_3}$, and the residence time for a molecule below the 14.3 m sensor height is 570 s in the winter. Alternatively, the estimate can be made using the surface renewal model (Eq. 6). For winter, that model suggests a residence time of 123 s. Both estimates are long enough that NO and NO₂ should reach steady state prior to being sampled as a result of the reactions:



NO and NO₂ are therefore related by the equation:

$$\frac{\text{NO}}{\text{NO}_2} = \frac{J_{\text{NO}_2}}{k_3 [\text{O}_3] + k_4 [\text{RO}_2] + k_5 [\text{HO}_2]} \quad (11)$$

where J_{NO_2} is the NO₂ photolysis rate and k_3 – k_5 are the rate constants for reactions 3 to 5. J_{NO_2} at the measurement height (14.3 m) was calculated from the Tropospheric Ultraviolet and Visible Radiation (TUV) model to be 0.0074 s⁻¹ (Madronich and Flocke, 1998). Previous observations of the above-canopy NO to NO₂ ratio (Day, 2003) and the observed 0.223 ppb NO₂ imply 0.098 ppb NO at 14.3 m. Winter NO concentrations at other heights can then be estimated using any of the flux gradient relationships described above for NO₂ and our assumption of zero NO_x flux. For simplicity, we use the Bowen ratio with CO₂ as the reference compound (values from the other methods are shown in Table 1). The -1.4 ppt m s⁻¹ NO flux corresponds to an 8 ppt gradient, and thus 0.090 ppb NO at 7 m a.g.l. Observed O₃ fluxes during winter are too small to produce a gradient that would affect the ratio of NO to NO₂; during winter the RO₂ and HO₂ terms are smaller than the O₃ term (<10%; (HO₂+RO₂)=1.6×10⁸ molec cm⁻³), and thus will also contribute little to the NO_x gradient. Substituting the measured and inferred NO and NO₂ concentrations at the different heights into Eq. (11), we can derive the attenuation of photolysis rates at the different heights. Because of the open canopy structure, we expect very little attenuation of the photolysis rate, and, consistent with that expectation, we calculate the photolysis rates at 7 m a.g.l. to be 89% of the measurement height J_{NO_2} .

The net winter ΣNO_{yi} fluxes are calculated as the sum of the four observed fluxes (NO₂, ΣPNs , $\Sigma\text{ANs}_{(g+p)}$ and HNO_{3(g+p)}) and the inferred NO flux; the noon-time ΣNO_{yi} flux is -4.9 ppt m s⁻¹ at a total NO_{yi} concentration of 0.67 ppb, a value that is in the range of previous observations (Munger et al., 1996). Thus the net V_{ex} for ΣNO_{yi} is -0.73 cm s⁻¹.}

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5 Summer observations

We have considered numerous possible explanations for our observations of upward fluxes of $\text{HNO}_{3(g+p)}$ and ΣPNs during summer. The only hypothesis we have been able to develop that is consistent with all of the available data, including previous observations at this and nearby sites (e.g. Murphy et al., 2006a), is that our sensor is located above the peak ΣPN and HNO_3 concentrations because there is a chemical source of these species associated with emissions from the forest canopy. In what follows, we develop a model showing that this hypothesis is plausible. Some aspects of this model are poorly constrained and additional laboratory and field observations will be needed to test whether some of the predictions of our model are correct.

As we did for winter, we estimate the summer gradients in NO , NO_2 , ΣPNs , $\Sigma\text{ANs}_{(g+p)}$ and $\text{HNO}_{3(g+p)}$ using the flux-gradient approach, the Monin-Obukhov calculation and the surface renewal models described above (Eqs. 1 to 8). Typical summer CO_2 gradients between 7 and 14.3 m a.g.l. are 4.59 ppm at noon, with a corresponding observed flux of $-25.002 \text{ mmol m}^{-2} \text{ h}^{-1}$ ($-0.1976 \text{ ppm m s}^{-1}$) and an excess concentration of -14.2 ppm m . Corresponding summer H_2O gradients, fluxes and excess concentrations are -0.1969 ppth (parts per thousand), $17.553 \text{ mol m}^{-2} \text{ h}^{-1}$ ($0.1387 \text{ ppth m s}^{-1}$), and 9.9864 ppth m . The Bowen ratio for CO_2 and H_2O (Eq. 1) agrees to within 12%. The closer correspondence for these calculations in the summer than in the winter is consistent with the presence of much more vigorous turbulence in the summer. During summer, CO_2 gradients calculated using the Monin-Obukhov calculations are about three times larger than calculated by the Bowen ratio, indicating that even with this more vigorous mixing, turbulent dynamics are not in a far field limit. The surface renewal model applied to CO_2 and water gives agreement to within 15%. Holzinger et al. (2005b) report accuracy of the surface renewal approach for a range of VOC is 30%. Since CO_2 , water and NO_{y_i} are all expected to have sources and sinks at similar heights, and the simpler Bowen ratio accurately represents the relationship of CO_2 and water fluxes and gradients, we use this calculation as the point of reference

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in the remainder of the text. Calculations using the other two models are included in the Tables to give some indication of the range of conclusions that would be consistent with the different models.

Typical NO_2 , ΣPN , $\Sigma\text{AN}_{(g+p)}$ and $\text{HNO}_{3(g+p)}$ noontime summer fluxes are 7.5, -6 and 5 ppt m s^{-1} , and the corresponding above-canopy concentrations are 0.30, 0.75, 0.25, and 0.50, respectively (Table 2). Using these numbers, CO_2 as a reference compound and Eq. (2), we calculate the gradients of NO_2 , ΣPNs , $\Sigma\text{ANs}_{(g+p)}$ and $\text{HNO}_{3(g+p)}$ between the measurement height of 14.3 m and 7 m above the ground to be -11, -7.9, 9.5, and -7.9 ppt, respectively (Table 2). The calculated NO_{yi} gradients are shown as solid lines in Figs. 3 and 4, and are used in the calculations presented below. Some of the conclusions presented below also depend on the residence times. Using Eqs. (6) and (10), we derive residence times of 420 and 72 s during the summer, compared to the 100 s ramp time observed by Holzinger et al. (2005b).

5.1 HNO_3

For a well-mixed system in steady-state, surface deposition of HNO_3 is balanced by chemical production of HNO_3 . Daytime photochemical production of HNO_3 occurs primarily by the reaction of OH with NO_2 ,



The daily variation of the $\text{HNO}_{3(g+p)}$ mixing ratio at UC-BFRS follows that of the solar irradiance, with little lag, confirming a strong daytime photochemical source in near steady-state with sinks, as has been reported for other sites (e.g. Lefer et al., 1999; Brown et al., 2004). Equating HNO_3 production by reaction with OH with loss to noon-time deposition, OH can be estimated as:

$$\text{OH} = \frac{V_{\text{dep}} \times \text{HNO}_3}{k_{\text{OH}+\text{NO}_2} \times \text{NO}_2 \times h} \quad (12)$$

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where $k_{\text{OH}+\text{NO}_2}$ is the rate constant for Reaction (R6) and h is the boundary layer height. We observe noontime NO_2 of 0.3 ppb and $\text{HNO}_3(g+p)$ of 0.5 ppb, and use $k_{\text{OH}+\text{NO}_2}$ (25°C, 868 mbar) of $9.85 \times 10^{-12} \text{ molec}^{-1} \text{ cm}^3 \text{ s}^{-1}$ (Sander et al., 2006). We scale the deposition velocity of 2.5 cm s^{-1} obtained from the noon-time winter data by the ratio of the average noon-time friction velocity to derive a summer noon-time V_{dep} of 3.4 cm s^{-1} , and assume a 1000 m boundary layer height. Inserting these quantities into Eq. (12), we derive a boundary layer average OH of $5.7 \times 10^6 \text{ molec cm}^{-3}$. Although the heights are not well known, several factors act to suppress boundary layer growth in the foothills and Central Valley, including the daytime upslope advection, return flows moving to the west aloft, and large-scale westerlies; a lower boundary layer height would not be surprising (Seaman et al., 1995; Kossmann et al., 1998; Carroll and Dixon, 2002; Dillon et al., 2002). Another uncertainty in this calculation arises because at least some of the HNO_3 is transported from upwind where NO_x concentrations are much higher (Murphy et al., 2006a) and thus observed HNO_3 is likely larger than the steady-state value, causing an over-estimate of OH.

The 3.4 cm s^{-1} deposition velocity, calculated by scaling the wintertime V_{dep} , implies a HNO_3 deposition flux of -17 ppt ms^{-1} , and, in the absence of chemical production, would result in a decrease in HNO_3 at 7 m of 26.9 ppt relative to 14.3 m, shown as the dashed line in Fig. 3. The positive gradient of 7.9 ppt that we calculate from the observed fluxes implies a chemical production of 34.8 ppt during the residence time of air between the ground and the sensor; this is shown as the black arrow in Fig. 3.

We assume the only source of HNO_3 is the reaction of OH with NO_2 . The product of OH and residence time required to produce 34.8 ppt of HNO_3 from the 311 ppt of NO_2 we calculate to be present at 7 m is $1.1 \times 10^{10} \text{ molec OH cm}^{-3} \text{ s}$, which corresponds to a range of approximately $2.7\text{--}16 \times 10^7$ for the 70 to 420 s range in estimated residence times. Similar values are obtained from the surface renewal model, but larger OH is required by the Monin-Obukhov model. The low end of the range of OH that we infer is about five times larger than the $5.7 \times 10^6 \text{ molec OH cm}^{-3}$ we calculate as the boundary

layer average, and the high end of this range is almost thirty times larger than the boundary layer average. If these OH estimates – or, more accurately, these estimates of the product of OH and canopy residence time – are correct, then they will also be consistent with the gradients we infer for Σ ANs, Σ PNs and NO_2 and NO_x .

5.2 Σ ANs

As for HNO_3 , the Σ AN gradient is due to the balance of deposition and within-canopy chemistry. Scaling the wintertime V_{dep} of 2.0 cm s^{-1} by the friction velocity results in a 2.7 cm s^{-1} estimate of the summer V_{dep} and a deposition flux of $-6.7 \text{ ppt m s}^{-1}$. Using this value and 0.25 ppb Σ ANs in the CO_2 similarity approach results in an estimate of the Σ AN gradient at 7 m of -10.6 ppt , compared to -9.5 ppt calculated from observed fluxes. Thus net chemical production of Σ ANs must be small, of order 1 ppt. This is surprising as the high OH we infer must be associated with rapid chemical production of Σ ANs.

The chemical source of Σ ANs is the minor channel in the reaction of RO_2 with NO (Reaction R4):



The branching ratios for Reaction (R4b) for individual compounds that are important to the VOC reactivity at UC-BFRS (Lamanna and Goldstein, 1999) vary widely, but are typically in the range of 1–20% (Atkinson et al., 1982; O'Brien et al., 1998).

Chemical production of Σ ANs is given by:

$$P_{\text{ANs}} = \Sigma \alpha_{4i} k_{4i} [R_i \text{O}_2][\text{NO}] = \alpha_{\text{eff}} k_{\text{eff}} [\text{RO}_2][\text{NO}] \quad (13)$$

where $\alpha_4 = k_{4b} / (k_{4a} + k_{4b})$. In our previous studies in urban plumes, we found that the effective branching ratio for a mix of hydrocarbons, α_{eff} , was calculated to be 4%, and that a branching ratio of 4–6% would be consistent with the observed correlation of O_3 and Σ ANs (Rosen et al., 2004; Cleary et al., 2005). We estimate Σ AN production by

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solving for HO₂, RO₂ and NO in steady state based on the kinetics of Reactions (3) to (9):



We use the 7 m OH of 2.7×10^7 molec cm⁻³ derived from the HNO₃ fluxes (using a 400 s residence time) and the OH loss rate to reaction with VOC at UC-BFRS of 8.4 s^{-1} . We arrive at this estimate by starting with the observations of Lamanna and Goldstein (1999), who determined a mean summer OH loss rate of 5.6 s^{-1} from C₂-C₁₀ VOC measurements. These measurements did not include the very reactive biogenic VOC reported by Holzinger et al. (2005b) or H₂CO and thus are an underestimate. We use the results from Di Carlo et al. (2004), who showed in a different ecosystem that typical VOC measurements underestimate OH loss rates by as much as a factor of 1.5. We scale the Lamanna and Goldstein (1999) loss rate by this factor. This OH loss rate gives an RO₂ production rate of approximately 2.4×10^8 molec cm⁻³ s⁻¹. The other constants required in our calculation of ΣAN production are $\alpha_{\text{eff}}=0.04$, NO₂=0.311 ppb, and $J_{\text{NO}_2, \text{in-canopy}}=0.0085 \text{ s}^{-1}$. We use the low end of previous observations of α_{eff} because of the large contribution of low-yield species such as isoprene, CH₄ and 2-methyl-3-buten-2-ol (MBO) to the measured reactivity at this site, and our estimate that formaldehyde (H₂CO), which has a zero nitrate yield, is also an important component of the reactivity. The photolysis rate is estimated as 89% of the above-canopy J_{NO₂} based on the inference from the winter measurements. A typical summer noon-time above-canopy photolysis rate is 0.0095 s^{-1} , calculated from the TUV model (Madronich and Flocke, 1998). With these constraints, we calculate 1.2×10^{10} and 2.5×10^9 molec cm⁻³ of RO₂ and HO₂, respectively, at 7 m a.g.l. For comparison, the RO₂ and HO₂ we calculate at the measurement height are 2.7×10^9 and 1.7×10^9 molec cm⁻³, respectively. Note that RO₂ is calculated to be 5 times larger than HO₂ as a result of the very low NO

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of 0.020 ppb, and that these high RO₂ and HO₂ concentrations result in the unusual situation of RO₂ and HO₂ being the dominant terms in the denominator of Eq. (11). We calculate an in-canopy NO to NO₂ ratio of 0.06, compared to the 0.18 ratio observed above the Blodgett Forest canopy (Day, 2003). Inserting these numbers into Eq. (13),

we calculate that 28 ppt ANs are produced chemically in the 400 s residence time, and that the net gradient produced by the combined effects of deposition and chemical production would be 17 ppt. If, as is the case for HNO₃, there were no other OH-driven processes acting on the time scale of canopy exchange, this is the gradient we would expect to observe, which would result in an upward flux.

A much smaller net chemical production of ΣANs may occur if some fraction of the reactions of OH with ΣANs produce either HNO₃ or NO₂ (R10a, b) instead of a chemically more complex AN (R10c):



Direct laboratory evidence for the products of Reaction (R10) is sparse and equivocal (Nielsen et al., 1991, Treves and Rudich, 2003), and global and regional models that represent organic nitrate chemistry in detail use branching fractions ranging from 0 to 100% (Horowitz et al., 2007; Poschl et al., 2000, and references therein). Assuming an effective rate constant of $4.5 \times 10^{-11} \text{ cm}^3 \text{ molec}^{-1} \text{ s}^{-1}$ for the reaction of ΣANs with OH (Horowitz et al., 2006) requires the combined yield of Reactions (R10a) and (R10b) to be 0.06 (resulting in conversion of 6% of the ΣANs reacting with OH to NO₂ or HNO₃) to reduce the net chemical production from 28 ppt (gross production) to the observed 1 ppt and have the sum of deposition and net chemical production be consistent with the observations for a 400 s residence time. A 0.3 yield, or 30% conversion of ΣANs, is required for a 72 s residence time. This range of 6–30% yield is less than what is used in global models; for example, a 40% yield for R10b is assumed in the model of Horowitz et al. (2006). If the 6–30% range in yields were true for ΣANs above the

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canopy as well, it implies a lifetime of Σ ANs with respect to conversion to other forms of NO_y in the boundary layer of 4 to 20 h at noon equivalent OH ($5 \times 10^6 \text{ molec cm}^{-3}$). During August 2004 (Fig. 1), we observe rapid changes in Σ AN mixing ratio above the canopy that are more consistent with the shorter end of this range of chemical lifetime (5 \sim hours). However, we note that Σ ANs represent a wide range of chemical species and that assuming that these species have a single chemical lifetime is probably not correct. More likely there is a set of short-lived compounds that quickly react away, leaving behind a longer-lived Σ AN population made up of different chemical species than the primary mixture.

Unsaturated alkyl nitrates also react with O_3 . Estimates for the rate constants for the reaction of isoprene nitrates with O_3 range from $1\text{--}40 \times 10^{-17} \text{ molec cm}^{-3} \text{ s}^{-1}$ (Giapopelli et al., 2005). If the higher end of this range is appropriate for the mix of Σ ANs in the UC-BFRS canopy, then these reactions would constitute a rapid sink of Σ ANs if, by analogy to Reactions (R10a, b), the products are not alkyl nitrates. Including the effect of loss to O_3 reactions would lower the yield required for the OH reactions, but would not change the apparent lifetime of Σ ANs, which is most directly constrained by the measurements.

These mechanisms affecting the Σ AN flux have a slight feedback to our assessment of within-canopy OH. For example, if the 6% yield inferred above occurred entirely via Reaction (R10a) and was thus a large source of within-canopy HNO_3 , with the balance an increasingly functionalized Σ AN (Reaction R10c), the OH required for the data to be consistent with the HNO_3 flux measurements would be about 80% of the value inferred assuming OH- NO_2 reactions are the only HNO_3 source. This is an important quantitative point; however, it has no effect on the qualitative conclusion that within-canopy OH during the summer at UC-BFRS is much higher than the OH at the 14.3 m measurement height.

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5.3 Σ PNs

The oxidation of ketones or aldehydes by either OH or O₃ produces peroxy acyl (RC(O)O₂) radicals, which react with NO₂ to produce peroxy acyl nitrates (PNs),



5 For example, the oxidation of acetaldehyde (CH₃CHO) followed by reaction with NO₂ produces peroxy acetyl nitrate (PAN), a molecule that is expected to represent 70–80% of the Σ PNs observed at UC-BFRS. The lifetime of acetaldehyde with respect to 3×10⁷ molec cm⁻³ OH is 2.4×10³ s, short enough to produce a considerable amount of PAN within the 400 s canopy residence time. Unlike HNO₃, PNs have rapid chemical
10 sources and sinks, so we cannot approximate the increase in Σ PNs in the canopy as equal to their integrated production over 400 s:



where PA is the peroxy acetyl radical. Most PNs have similar chemistry to PAN, and we use this chemistry as a surrogate for the chemistry of Σ PNs in the calculation below.

20 As above, we scale the winter V_{dep} for Σ PNs using the observed friction velocity to estimate the summertime V_{dep} of 1.1 cm s⁻¹. Then we use this number to calculate the Σ PN deposition flux. If deposition occurred without a chemical source of Σ PNs,

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the concentration at 7 m would be -13 ppt lower than at 14.3 m. The net chemical production of 21 ppt of Σ PNs required by the flux measurements can be expressed as:

$$P_{\text{PAN}} = \int (k_{13} [\text{PA}] [\text{NO}_2] - k_{14} [\text{PAN}]) d\tau \quad (14)$$

The canopy residence time (τ) is not long enough for PAN to reach steady state, but we assume PA radicals reach steady state:

$$PA_{ss} = \frac{k_{12} [\text{acetaldehyde}] [\text{OH}] + k_{14} [\text{PAN}]}{k_{13} [\text{NO}_2] + k_{15} [\text{NO}] + k_{16} [\text{HO}_2] + k_{17} [\text{RO}_2]} \quad (15)$$

We solve for OH by substituting Eq. (15) into Eq. (14) and integrating for 400 s. We use concentrations of 2.5 ppb for acetaldehyde, 0.758 for PAN, 0.311 for NO_2 , 0.028 ppb for NO, $2.5 \times 10^9 \text{ molec cm}^{-3}$ for HO_2 , $1.2 \times 10^9 \text{ molec cm}^{-3}$ for RO_2 with $k_{16} = 1.29 \times 10^{-11} \text{ cm}^3 \text{ molec}^{-1} \text{ s}^{-1}$ and $k_{17} = 1.1 \times 10^{-11} \text{ cm}^3 \text{ molec}^{-1} \text{ s}^{-1}$, the rate for PA radicals with CH_3O_2 (Tyndall et al., 2001). We calculate $2.5 \times 10^7 \text{ molecules OH cm}^{-3}$ (400 s residence time) are present at 7 m, producing the observed Σ PN gradient. This is nearly identical to the $2.7 \times 10^7 \text{ molec cm}^{-3}$ we derive from the HNO_3 measurements. Given the assumptions and uncertainties, this near exact agreement is no doubt fortuitous. However, the overall consistency is reassuring.

5.4 NO_x and NO_y

We expect emissions and chemistry to be affecting the flow of NO_x into and out of the canopy significantly and thus, for analysis of the summer NO_x fluxes, we cannot reasonably assume that the NO_x flux is zero as we did for winter. Instead, we calculate the concentrations and fluxes of NO using a combination of the steady state relationships connecting NO and NO_2 , the attenuation of J_{NO_2} derived from the winter observations and a calculation of the HO_2 and RO_2 concentrations that are consistent with the 14.3 m ($5.7 \times 10^6 \text{ molec cm}^{-3}$) and 7 m ($2.7 \times 10^7 \text{ molec cm}^{-3}$) canopy OH and the 8.4 s^{-1} OH loss rate. Using the steady-state model described above, we calculate the NO mixing

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ratio at 7 m was 20 ppt, and at 14.3 m was 45 ppt. The observed 300 ppt NO_2 above the canopy is thus associated with an NO to NO_2 ratio of 0.15 and a total NO_x of 345 ppt. This ratio is 20% lower than the 0.18 seen in prior observations by Day et al. (2006) at this site (although within the ± 15 ppt uncertainty of the NO measurement). At 7 m a.g.l., we calculate NO_x of 331 ppt, and a NO: NO_2 ratio of 0.06. Using these numbers and the CO_2 similarity approach to deriving a flux from a gradient, we calculate an NO flux of -16 ppt m s^{-1} at 14.3 m a.g.l. The NO_x flux, calculated as the sum of the observed NO_2 flux of 7 ppt m s^{-1} and the calculated NO flux of -16 ppt m s^{-1} , is -9 ppt m s^{-1} . If there were no chemical removal of NO_x , we would expect more NO_x within the canopy than above because of NO_x emissions from the soils in the region. This would result in a net upward flux of NO_x – a result that is opposite in sign to what we infer. The fact that there we calculate less NO_x and a net downward NO_x flux is strong supporting evidence for the chemical conversion of NO_x to higher oxides that we infer above. This is one of the key predictions of our analysis, one that should be tested with simultaneous observations of the NO and NO_2 fluxes and gradients.

The chemistry producing HNO_3 , ΣANs , and ΣPNs described above removes NO_x from the canopy; in the absence of ecosystem NO_x emissions, this chemical conversion of NO_x to NO_z ($\text{NO}_y - \text{NO}_x$) would produce a gradient in NO_x of -54 ppt, shown as a dashed line in Fig. 4c. However, the decrease in NO_x of 14 ppt at 7 m is only $\sim 25\%$ of the decrease we calculate based on oxidation of NO_x to ΣPN , ΣANs and HNO_3 . This difference implies a large NO_x emission source.

Before examining the possible NO_x sources, it is useful to consider the NO_y flux. ΣNO_{yi} at Blodgett Forest was 1.6 ppb at noon during August 2004. Assuming the NO_y flux is the sum of the NO flux we calculate and the fluxes of the four classes of species we observe directly, we calculate a net NO_y flux of -5 ppt m s^{-1} ($-2.9 \text{ ng(N) m}^{-2} \text{ s}^{-1}$). NO_y flux measurements at other sites range from $-3.4 \text{ ppt m s}^{-1}$ at Scheferville, Quebec, where NO_y was 0.24 ppb, to $-23.2 \text{ ppt m s}^{-1}$ at Harvard Forest, where NO_y varied in the range 1.9 to 4.7 ppb (Munger et al., 1996). These prior observations

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have been interpreted using estimates of HNO_3 fluxes based on concentration measurements (such as Eq. 9). Our results indicate some consistency in the total fluxes at the different sites, but suggest that the underlying mechanisms may be much more complex. That is, the flux-concentration relationship we observe for total NO_y is not unusual, rather the decomposition into individual terms obtained using eddy covariance is.

Returning to the question of the NO_x flux, the -5 ppt m s^{-1} NO_y flux at the top of the canopy must be equal not only to the sum of the observed NO_{yi} fluxes, but also to the sum of gross fluxes we calculate for exchange between the atmosphere and the forest. Exchanges between the atmosphere and the forest are the deposition terms we calculated above from the exchange velocities; gross deposition is calculated to be -32 ppt m s^{-1} , the sum of HNO_3 (-17 ppt m s^{-1}), ΣANs ($-6.7 \text{ ppt m s}^{-1}$), and ΣPNs ($-8.3 \text{ ppt m s}^{-1}$) deposition. If the flux into the forest at the top of the canopy is only -5 ppt m s^{-1} , this deposition flux implies that 27 ppt m s^{-1} ($\sim 15 \text{ ng(N) m}^{-2} \text{ s}^{-1}$) is emitted from the forest and rapidly converted to NO_x .

The only candidates for ecosystem NO_x emission we are aware of are emission of NO , NO_2 and nitrous acid (HONO). Microbial activity in soils causes NO emissions, which depend on soil type, temperature, moisture, and nitrogen content. (Williams et al., 1992). NO is then rapidly converted to NO_2 by reaction with O_3 . While no data are available for soil NO_x emissions at UC-BFRS, measurements of soil NO_x emissions in the oak forests of the Sierra Nevada foothills to the west showed fluxes in the range of 5.8 to 15 ppt m s^{-1} in the summer (Herman et al., 2003). Soil emissions at UC-BFRS, which receives less N-deposition because of its greater distance from the urban source region, are expected to be lower. An upward NO_x flux at the low end of this range of 5.8 ppt m s^{-1} ($2.9 \text{ ng(N) m}^{-2} \text{ s}^{-1}$) would account for 20% of the 27 ppt m s^{-1} NO_x emissions that are required to balance the NO_y flux budget. A flux of 15 ppt m s^{-1} would account for over 50% of the NO_x emissions we infer.

Other known NO_x sources from ecosystems are those associated with compensation points for NO and NO_2 and those associated with HONO fluxes. Direct NO_2 emissions

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from plants that are below their NO₂ compensation point, the atmospheric mixing ratio above which molecules are deposited to plants and below which emission occurs, have been reported by Sparks et al. (2001) who observed compensation points for tropical plants in the range of 0.5–1.6 ppb, and Rondon and co-workers (Rondon et al., 1993, 5 Rondon and Granat, 1994) who found coniferous trees exhibited compensation points in the range of 0.1–0.7 ppb. NO₂ mixing ratios are at or below all but the lowest of these thresholds at UC-BFRS. Using a leaf area index of 6 (Holzinger et al., 2005a), and an emission rate of 3.6 pmol NO₂m⁻² s⁻¹ (Sparks et al., 2001) when below the compensa-
10 tion point, plants at UC-BFRS are calculated to emit 0.5 ppt m s⁻¹ (0.3 ng(N) m⁻² s⁻¹). This is <2% of the inferred NO_x flux; thus unless ponderosa pines are much stronger NO₂ emitters than plants previously studied, NO₂ emission as a result of being below the compensation point is unlikely to account for the NO_x flux we infer. NO has a separate compensation point. Studies of NO emissions from spruce trees found an NO:CO₂ flux ratio of -4.1×10^{-6} (Wildt et al., 1997); extrapolation to the ponderosa
15 pine plantation at UC-BFRS suggests that direct plant emissions of NO could account for a flux of 0.87 ppt m s⁻¹, again too small (3% of the needed source) to account for the inferred fluxes. Both sources of NO_x emissions should certainly be examined with more direct leaf and plant level experiments to help clarify the mechanisms at work in the UC-BFRS ecosystem.

20 Another possible contribution to NO_x fluxes is UV-induced NO_x or HONO production, presumably from nitrate on leaves. For example, Raivonen et al. (2006) report UV-induced emission of NO_x of as much as 1.7 ppt m s⁻¹. Elevated HONO has recently been observed in forest canopies, possibly the result of heterogeneous reactions of deposited N on canopy surfaces. Kleffmann et al. (2005) suggested that day-
25 time HONO production of up to 500 ppt h⁻¹ that could not be explained by gas-phase chemistry alone was occurring in a forest canopy. Note that HONO is not detected by the TD-LIF as it thermally dissociates to NO, not NO₂ (Perez et al., 2007). HONO is rapidly photolyzed, and thus potentially provides a source of both OH and NO radicals ($\tau_{\text{HONO}} \approx 600$ s above the canopy at Blodgett), as well as a mechanism for deposited

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HNO₃ to be returned to the atmosphere as NO_x (e.g. Zhou et al., 2003). Assuming this process were occurring at the same rate at UC-BFRS as in the Kleffmann experiment, and that half of the HONO produced is converted to NO before transport out of the canopy, this production would contribute approximately 20 ppt m s⁻¹ and account for 74% of the inferred NO_x flux. Even a source 10 times smaller would be a significant contributor to the overall nitrogen budget, although HONO itself would have a mixing ratio of only a few ppt.

In addition to the above mechanisms, we note several other possibilities for balancing the flux budget, including systematic errors 1) in the measurements, 2) in the above-canopy NO estimate, 3) in the estimated V_{dep}, 4) in the estimate of RO₂ and HO₂ and 5) associated with the assumptions of our micrometeorological analyses. Neither of the first two possible sources of error is large enough by itself to affect our conclusions substantially. Systematic errors in the TD-LIF flux measurements may be as much as 20%. (Farmer et al., 2006). Reducing all of the observed summer fluxes by 20% reduces the derived ecosystem NO_x emission by 15% to 23 ppt m s⁻¹ (13 ng(N) m⁻² s⁻¹). If the above-canopy NO is larger than we calculate, we would be underestimating the NO deposition flux. Increasing the above-canopy NO to NO₂ ratio from 0.15 to the 0.18 previously observed at UC-BFRS results in greater net NO_y deposition (-9 ppt m s⁻¹), and a lower ecosystem emission of 19 ppt m s⁻¹ (~11 ng(N) m⁻² s⁻¹).

The third term, estimates of V_{dep}, is one of the key factors driving the need for large NO_x fluxes. If we reduce the V_{dep} terms by 50%, the inferred OH would be 1.2 × 10⁷ cm⁻³, the NO_x emission flux into the canopy would be one half of what we calculate in the standard case: 14 ppt m s⁻¹ (7 ng(N) m⁻² s⁻¹). This could be accounted for by a combination of soil emissions, a small HONO source and small errors in the flux measurements or estimates of NO. Lower values for V_{dep} than we calculate have been reported previously. For example, wet leaf surfaces, which occur during the wet Sierra Nevada winters, can increase deposition of PAN (Turnipseed et al., 2006). Since our estimates for summer are based on scaling the winter numbers, we might be overestimating PAN deposition. However, such a large reduction in the HNO₃ deposition

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velocity is unlikely.

The fourth item would reinforce or could be a more effective alternative to the second one, errors in the above-canopy NO estimate. Although our calculations already suggest very high OH, RO₂ and HO₂ in the canopy, results and analysis by Thornton et al. (2002) and the laboratory measurements of Hasson et al. (2004) have suggested that RO₂ and HO₂ loss rates are overestimated, possibly because oxygenated RO₂ react with HO₂ to regenerate OH instead of forming a soluble peroxide that is removed by deposition. If this is the case, then higher within-canopy RO₂ and HO₂ would cause a steeper gradient in NO, and thus increased ΣNO_{yi} deposition, reducing the need for additional NO_x emission sources.

Finally, all of the analysis in this paper assumes that the concentration of each NO_{yi} species peaks at the same height, and that they are transported across gradients according to a single common similarity calculation. However, spectral analysis of each compound suggests that vertical profiles of measured species are not identical, and that each is carried by turbulent eddies of different lengths, a fact which may mean that our assumption that both the fluxes and the chemistry must balance at a single height is too strict a constraint. To more fully address whether and to what extent this approximation does affect the inferred fluxes, a more detailed canopy model representing transport and chemistry must be developed.

5.5 Discussion

As we have described, the observations of HNO₃, ΣANs, ΣPNs, and NO₂ fluxes are self-consistent if we draw several conclusions about the chemistry occurring within the forest canopy. Chief among these is that for a 400 s residence time the OH is of the order 3×10⁷ molecules cm⁻³ within the canopy, a factor of 5 larger than it is above the canopy. This conclusion should clearly be tested by observations.

High OH concentrations within the UC-BFRS canopy have previously been suggested by Goldstein and coworkers (Goldstein et al., 2004; Holzinger et al., 2005b). In these papers, Goldstein et al. have taken three nominally independent points of

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view regarding the production of OH. They calculate OH production rates based on the removal rate of O₃, based on the reactivity of observed VOC, and based on the observation of molecules presumed to be products of VOC reaction with O₃. These routes all result in an estimate of the rate of O₃ reaction with VOC ($k_1 O_3 \text{VOC}$) in the UC-BFRS canopy of about $7 \times 10^8 \text{ reactions cm}^{-3} \text{ s}^{-1}$. Beginning with this estimate, the major unknowns required for estimating OH in steady-state are the OH loss rate and the yield of OH from R1. As described above, the OH loss rate, $\sum k_j [\text{VOC}_j] = 8.4 \text{ s}^{-1}$, can be estimated based on previous VOC observations at UC-BFRS (Lamanna and Goldstein, 1999) scaled by a “missing reactivity” factor (Di Carlo et al., 2004). Here we assume a 30% OH yield for R1. Then, assuming steady state, and that the HO₂+NO reaction is a small source by comparison,

$$P_{\text{OH}} = \alpha_1 k_1 [\text{VOC}] [\text{O}_3] = 0.3 \times 7 \times 10^8 \text{ molec cm}^{-3} \text{ s}^{-1} \quad (16)$$

$$L_{\text{OH}} = k_{\text{OH}+\text{VOC}} [\text{VOC}] [\text{OH}] = 8.4 \text{ s}^{-1} \times \text{OH} \quad (17)$$

Solving for OH, we calculate $2.4 \times 10^7 \text{ molec OH cm}^{-3}$. This number is of the same order as our entirely independent estimates based on the HNO₃ and ΣPN fluxes of 2.7 and $2.5 \times 10^7 \text{ molec cm}^{-3}$, respectively. Goldstein et al. (2004) presented a similar calculation for the UC-BFRS canopy, and explored some of the factors in the above analysis that are not well known to arrive at a range of $0.8\text{--}3 \times 10^7 \text{ molec cm}^{-3}$ OH averaged over the full 12.5 m between the forest floor and their observation point above the canopy. Our observations show that the concentration in the region of the canopy contributing to upward fluxes of nitrogen oxides is at the high end of this range.

In the only model we know of examining the chemical consequences of sesquiterpene emissions, Stroud et al. (2005) conclude O₃ reactions can increase within-canopy OH from 5×10^5 to $1 \times 10^6 \text{ molec cm}^{-3}$ for terpenoid emissions comparable to those implied by O₃ flux measurements at UC-BFRS. That study used OH yields for β-caryophyllene (6%), which are at the low end of the reported range for OH yields from sesquiterpenes (e.g. Atkinson et al., 1992; Shu and Atkinson, 1994). Our observations suggest the OH yields are much higher, and that enhanced within-canopy

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reactivity might be a general characteristic of pine forests and other ecosystems where very reactive terpene emissions are substantial.

At this point in time, our understanding of terpenoid emissions, OH yields, etc. is inadequate for an accurate extrapolation from our results to the effects of within canopy OH on a global scale. However, recent advances in technologies for observing very reactive VOC are beginning to provide data that might be used in an extrapolation. For example, studies show that variability in sesquiterpene emissions depends on plant species and varies with season, that pine tree sesquiterpene emissions can be temperature and light dependent, and that sesquiterpene emissions are strong enough to significantly impact secondary organic aerosol formation (Helmig et al., 2006, 2007; Holzke et al., 2006). At this point, pine forests (especially ones in warmer climates) appear to be particularly good candidates for sesquiterpene emissions that lead to high within-canopy OH.

Although much more research is required to assess the global effects of high within-canopy OH, the high OH we show is present within the canopy airspace at UC-BFRS, and suggest might be present in many pine forests, will no doubt have interesting and possibly important consequences for the mechanisms of regional atmospheric chemistry, biosphere-atmosphere exchange of nitrogen, production of secondary organic aerosols, and determining the identity of VOC that are emitted at the ecosystem scale. One example of the consequences of high within-canopy OH is the increase in the boundary layer average OH. Assuming a 1 km boundary layer, a 5-fold increase in OH confined to 10 m corresponds with a 5% increase in overall oxidation rates of NO_x and VOC. As the more oxidized forms of NO_y are more soluble than NO_x , they deposit to forest ecosystems faster than NO and NO_2 . Thus somewhat paradoxically, the upward HNO_3 flux we observe is evidence for a 5% faster regional deposition of HNO_3 . If mature pine forests emit reactive BVOC in proportion to their height, then this mechanism could easily increase oxidation rates by more than 10% along the western slopes of the Sierra Nevada. These effects could be important in assessing the spatial extent of regional ozone and in comparing models to ground-based or satellite observations

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of NO₂. Another example of potential ramifications of high OH is evidenced by comparison of OH and O₃ VOC oxidation rates, which suggest that about half the VOC oxidation occurring within the UC-BFRS canopy is due to reaction with OH and not with O₃, a result that should have testable implications for the distribution of VOC products. For example, Lee et al. (2006) showed that some of the masses identified by PTR-MS are produced during oxidation of terpenoids by OH, but not O₃.

The high within-canopy OH that we show is present in the UC-BFRS canopy implies that many of the compounds emitted by leaves will be oxidized by OH before they are observed as ecosystem-scale fluxes. It is already well known that sesquiterpenes are removed by ozone prior to escape from canopies because their chemical lifetime is seconds (Ciccioli et al., 1999). High OH concentrations imply that in addition there is substantial oxidation of species with slower reaction rates to O₃, and that reaction products such as acetone and acetaldehyde, which are important for the global HO_x budget may be observed in emission at the ecosystem-scale but, at least in part, be secondary products that are the result of VOC-OH reactions, and not primary biogenic emissions. For example, MBO, which is a primary emission from ponderosa pines at UC-BFRS, has a lifetime of about 700 s with respect to 2 × 10⁷ molec cm⁻³ OH. Oxidation of MBO by OH produces acetone with 50% yield (Ferronato et al., 1998). Since 700 s is comparable to the canopy residence time, within-canopy reactions of MBO may contribute substantially to the acetone flux observed above the canopy by Schade and Goldstein (2001).

In addition to accelerating oxidation rates, the localized nature of the high OH may increase the probability of forming secondary organic aerosol (SOA) within forest canopies. High SOA over forests has been described by Tunved et al. (2006). SOA produced through the condensation of oxidized organic compounds is more likely to form when a high concentration of gas phase precursors is available. Locally high OH concentrations are one recipe for producing such high concentrations as multiple oxidation steps can all occur before dilution of precursors into the boundary layer above the canopy air space.

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Finally we note that the oxidizing environment within forest canopies depends on O_3 , NO_x and BVOC emissions that depend on light and exponentially on temperature. Since temperature, O_3 and NO_x have all increased since pre-industrial times, there have likely been increases in the extent to which rapid within-canopy chemistry is occurring. Much remains to be learned about the consequences of this chemistry for our understanding of the present and pre-industrial atmosphere and biosphere and the interactions between them.

6 Conclusions

We used eddy covariance measurements of NO_2 , ΣPNs , ΣANs and HNO_3 to probe the mechanisms controlling biosphere-atmosphere exchange, obtaining surprising results that would not have been observed from more commonly used instrumentation and flux measurement techniques. During summer we observed upward fluxes of HNO_3 and ΣPNs and downward fluxes of ΣANs , and we inferred downward fluxes of NO_x above the ponderosa pine plantation at UC-BFRS. Contrasting these results with winter observations, which are consistent with our expectations (i.e. all of the higher oxides are observed to be depositing and NO_x fluxes are near zero), leads us to conclude that high ($3 \times 10^7 \text{ molec cm}^{-3}$) within-canopy OH is driving the upward fluxes during summer. These results show that mechanisms controlling NO_y fluxes – and, by implication, many other forest processes – are more complex than previously thought. Although this analysis shows that the high within-canopy OH hypothesis is plausible and consistent with a wide range of data, it also requires several inferences to be made about processes that are not well known at this time. First, we infer that reactions of ΣANs with OH produce NO_2 or HNO_3 with a yield of 6–30%. Second, the results also require that there be large emissions of NO_x into the canopy. These fluxes can be explained by a combination of NO_x fluxes from soil in the range of previous observations in the region and a large additional source. HONO production on canopy surfaces, as has been reported in recent experiments, would be consistent with this additional source.

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The size of the NO_x flux, and thus the size of the required HONO emissions, can be reduced if there are errors in our understanding of the deposition velocity of HNO₃, or if errors in the current understanding of peroxy radical chemistry result in steeper NO gradients. Either mechanism reduces the required NO_x flux. Alternatively, we note that a more sophisticated analysis of the data than we have yet developed, one capable of addressing the differences in the spectral properties of the fluxes of different NO_y species might result in a different interpretation of the flux balance.

Finally, taking these caveats and uncertainties into account does not change the basic conclusion of this paper – that there is much higher OH within the UC-BFRS canopy than above, and that the NO_y_i fluxes we describe and the OH we derive from these fluxes and a 400 s residence time are consistent with OH inferred from O₃ and VOC fluxes.

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NO_y fluxes imply rapid HO_x chemistry in a pine forest

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Table 1. Winter noon-time concentrations and fluxes of NO, NO₂, ΣPNs, ΣANs and HNO₃ at 14.3 and 7 m a.g.l.: Fluxes (14.3 m height, ppt m s⁻¹) and mixing ratios (ppb) at 14.3 m and 7 m a.g.l. were observed, estimated from previous measurements (†) or modelled by steady-state approximations (§) and the flux gradient approach (‡) as described in this paper. NO_y (∞) is calculated as the sum of NO, NO₂, ΣPNs, ΣANs and HNO₃. Within-canopy mixing ratios are calculated using Monin-Obukhov (MO), Bowen ratio/CO₂ similarity (BR) and surface renewal (SR) theories. Excess mixing ratio below the measurement height was calculated by the surface renewal approach.

Species	Flux (ppt m s ⁻¹)	14.3 m mixing ratio (ppb)	Excess (ppt m, SR)	7 m mixing ratio (ppb, SR)	7 m mixing ratio (ppb, MO)	7 m mixing ratio (ppb, BR)
NO	-1.4 [‡]	0.098 [†]	-172	0.090 [§]	0.091 [§]	0.090 [§]
NO ₂	+1.4	0.223	+172	0.231 [‡]	0.230 [‡]	0.231 [‡]
ΣPNs	-0.9	0.205	-111	0.200 [‡]	0.200 [‡]	0.200 [‡]
ΣANs	-2.5	0.098	-308	0.084 [‡]	0.085 [‡]	0.084 [‡]
HNO ₃	-1.5	0.050	-185	0.041 [‡]	0.042 [‡]	0.041 [‡]
ΣNO _y	-4.9 [∞]	0.674 [∞]		0.647 [∞]	0.648	0.647

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Table 2. Summer noon-time concentrations and fluxes of NO, NO₂, ΣPNs, ΣANs and HNO₃. Fluxes (14.3 m height, ppt m s⁻¹) and mixing ratios (ppb) at 14.3 m and 7 m a.g.l. were observed, estimated from previous measurements (†) or modelled by steady-state approximations (§) and the flux gradient approach (‡) as described in this paper. The predicted contributions to within-canopy mixing ratio (7 m) from deposition were determined from observed winter V_{dep} scaled by turbulence (*), while contributions from NO_x ↔ NO_z chemistry were assumed responsible for the differences between observed and predicted fluxes (^). NO_y (∞) is calculated as the sum of NO, NO₂, ΣPNs, ΣANs and HNO₃. Within-canopy mixing ratios are calculated using Monin-Obukhov (MO), Bowen ratio/CO₂ similarity (BR) and surface renewal (SR) theories; gradients that are due to separate effects of deposition or chemistry are calculated using the CO₂ flux-gradient. Excess mixing ratio below the measurement height was calculated by the surface renewal approach.

Species	14.3 m ing ratio (ppb)	mix- ratio (ppt m, SR)	Excess (ppt m, SR)	7 m mixing ratio (ppb) (SR)	7 m mixing ratio (ppb) (MO)	7 m mixing ratio (ppb) (BR)	Flux (ppt m s ⁻¹)	Gradient due to deposition (ppt)	Gradient due to chemical conversion of NO _x to NO _z (ppt)
NO	0.054 [†]		-468	0.020 [§]	0.012 [§]	0.020 [§]	-16 [‡]	-	-4 [§]
NO ₂	0.30		503	0.311 [†]	0.329 [‡]	0.311 [†]	7	-	-54 [§]
ΣPNs	0.75		360	0.758 [†]	0.771 [‡]	0.758 [†]	5	-13 [*]	21 [^]
ΣANs	0.25		-431	0.240 [†]	0.225 [‡]	0.241 [†]	-6	-11 [*]	2 [^]
HNO ₃	0.50		360	0.508 [†]	0.521 [‡]	0.508 [†]	5	-27 [*]	35 [^]
ΣNO _y	1.854 [∞]			1.837 [∞]	1.858 [∞]	1.837 [∞]	-5 [∞]	-51 [∞]	0 [∞]

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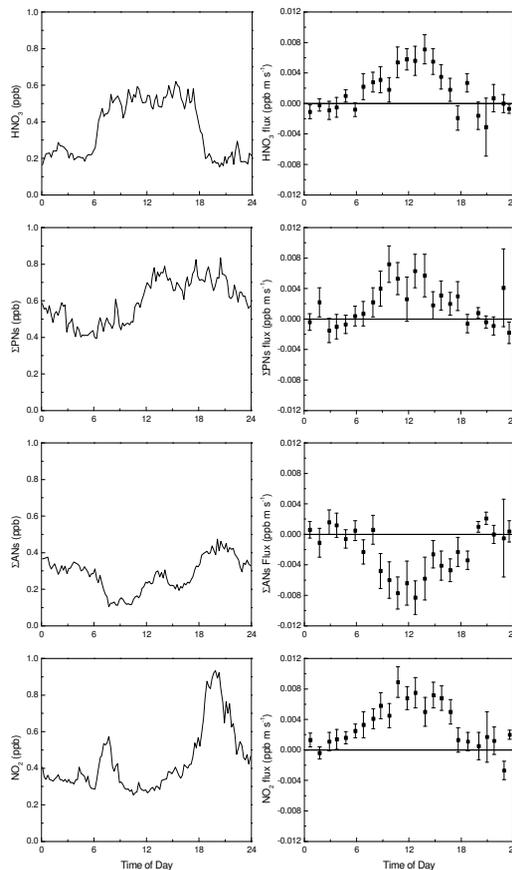
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Fig. 1. Average August 2004 UC-BFRS mixing ratios (left column, ppb) of NO₂, total peroxy and peroxy acyl nitrates (ΣPNs), total alkyl and multifunctional alkyl nitrates (ΣANs) and HNO₃. Net fluxes (right, ppt m s⁻¹) of HNO₃, ΣPNs and NO₂ are upward out of the canopy in the daytime; ΣANs are downward into the canopy. Nighttime fluxes are near zero.

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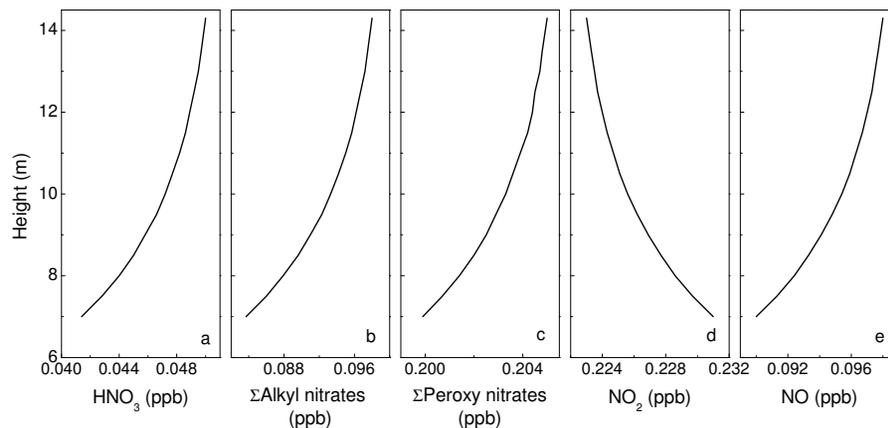
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Fig. 2. Calculations using the CO₂ similarity theory for the midday winter gradients in HNO₃, ΣANs, ΣPNs, NO₂ and NO required to produce observed fluxes. NO_x is assumed to be constant (0.321 ppb) through the entire vertical profile in winter, with zero net flux.

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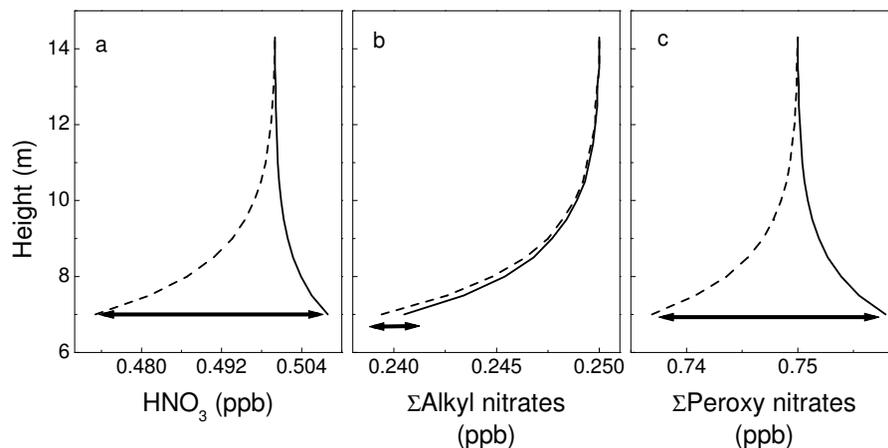
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Fig. 3. Panels (a–c): Calculations using the CO₂ similarity theory for the midday summer gradients in ΣANs, HNO₃, and ΣPNs required to produce observed fluxes (solid) and calculations of the deposition fluxes (dashed). Horizontal arrows represent the implied within canopy chemical source of HNO₃, ΣANs and ΣPNs.

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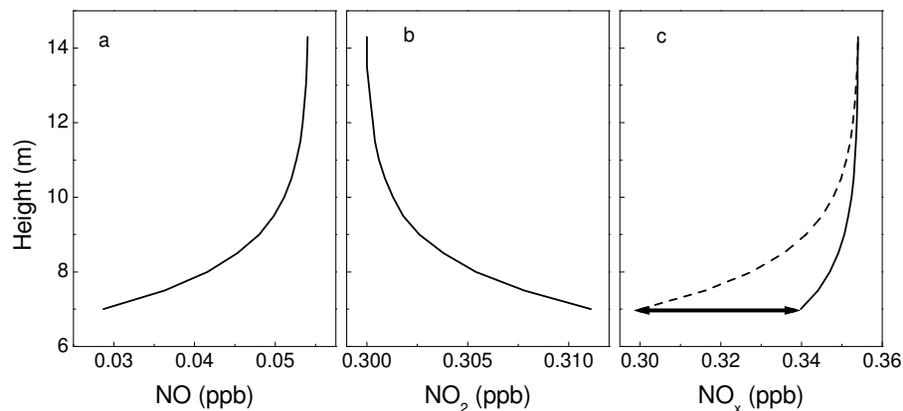
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Fig. 4. The gradients in NO, NO₂ and NO_x (\equiv NO+NO₂) derived from the observations (see text for details). The solid line represents the NO_x profile calculated as the sum of NO and NO₂ profiles. The dashed-line represents the NO_x gradient that we predict would be a result of within-canopy chemistry if there were no emission of NO_x. The difference between the dashed and solid lines shown with the double-headed arrow represents net ecosystem NO_x emission.

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